



CITY OF SURPRISE
Regular City Council Meeting Agenda
16000 N. Civic Center Plaza
Surprise, AZ 85374

Tuesday, May 6, 2014 @ 6:00 PM

[Back](#) [Print](#)

- A. Call To Order
- B. Roll Call
- C. Pledge of Allegiance
- D. PROCLAMATION AND COMMUNITY ACKNOWLEDGEMENTS
- E. City Manager Report:
- F. City Attorney Report:
- G. Regular City Council Meeting Agenda:

Approval of items on the Consent Agenda – all items with an asterisk (*) are considered to be routine matters and will be enacted by one motion and one roll call vote to the City Council. There will be no separate discussion on these items unless a Councilmember requests, in which event the item will be removed from the consent agenda and considered in its normal sequence on the agenda.

Consent Agenda:

- | | | |
|-----------------------|---|--|
| *#1 District Citywide | <u>Consideration and action approving an Intergovernmental Agreement (IGA) with Maricopa County Department of Transportation for the Bell Road Adaptive Signal Control Technology Deployment project and authorizing the Mayor sign on the City's behalf; Resolution 2014-39.</u> | Approve
Dick
McKinley,
Public
Works
Department |
| *#2 District 3 | <u>Consideration and action approving a final plat entitled "City at Surprise Phase III," generally located at the southeast corner of Civic Center Drive and Bell Road, in Surprise, Arizona; Resolution 2014-34.</u> | Approve
Chris Boyd,
Community
& Economic
Development |
| *#3 District Internal | <u>Consideration and action on the minutes of April 15, 2014 Regular Work Session and Regular Council Meeting and April 22, 2014 Special Council Meeting.</u> | Approve
Sherry Ann
Aguilar, City
Clerk |
| *#4 District 5 | <u>Consideration and action on a liquor license request by Adolfo Solis for US Pizza located at 15459 W. Bell Road, Suite 117, Surprise, Arizona 85374 for a series 12 (New License/LLC).</u> | Approve
Sherry Ann
Aguilar, City
Clerk MMC |
| *#5 District 6 | <u>Consideration and action of a liquor license request by Lawrence</u> | Approve |

Elliget for Smokey's BBQ located at 13749 N. Litchfield Road, Suite 109, Surprise, AZ. 85379 for a series 12 (New License/LLC).

Sherry Ann Aguilar, City Clerk MMC

*#6 District 6

Consideration and action on Resolution No 2014-25, a resolution accepting maintenance of the streets and public utility improvements at Southwest Products, generally located at 11690 North 132nd Avenue, within the Skyway Business Park II subdivision.

Approve
Dick McKinley,
Public Works
Department

Regular Agenda Item - Non-Public Hearing:

#7 District Internal

City Clerk, Sherry Aguilar will issue the oath of office to new Youth Civic Leaders Yazmin Padilla and Ewoma Ogbaudu.

No Action
Sherry Ann Aguilar, City Clerk MMC

#8 District Citywide

Consideration and action on appointing David Sanders to the Community and Recreation Services Advisory Board with the term expiration of July 1, 2017 and directing the City Clerk to administer the Oath of Office.

Approve
Council Member
Winters

#9 District Internal

Discussion and presentation regarding the NLC trips by Surprise Youth Delegates

No Action
CM
Williams & Mayor
Wolcott

#10 District Citywide

Consideration and action on publishing a Notice of Intention and set the public hearing date of June 17, 2014 for the proposed City utility rates.

Approve
Dick McKinley,
Public Works

#11 District Citywide

Presentation and update on the Surprise Transportation Plan.

No Action
Chris Hillman,
City Manager

Regular Agenda Item - Public Hearing:

#12 District Citywide

Consideration and action on the Community Development Block Grant Annual Action Plan 2014-2015 funding priorities.

Approve
Chris Boyd,
Community & Economic
Development

#13 District Citywide

Solicit public input on the FY2015 Tentative Budget and approve Resolution 2014-49, a Resolution adopting the tentative expenditure budget for fiscal year 2015 in the amount of \$310.9 M plus an additional \$23.0M for interfund transfers and setting the date of June 3, 2014 for the public hearing and final adoption of the FY2015 Budget.

Approve
Bob Wingenroth,
Assistant City
Manager /
Chief

H. Call To The Public:

INSTRUCTIONS: In order to address the City Council, you will need to fill out a Call to the Public Form available at the front counter, and then turn it in to the City Clerk before the meeting begins.

[Download the Call to the Public form](#) (PDF download requires [Adobe Acrobat Reader](#))

[Fill out the Call to the Public form online](#) If submitting form electronically, please submit to City Clerk at least one hour before the meeting start time.

The Call to the Public form is also available at the front counter.

Note: A.R.S. 38-431.01(H) - During this time members of the public may address City Council on any item not on the agenda. At the conclusion of the open call, City Council may respond to criticism, may ask staff to review the matter or may ask that the matter be put on a future agenda. No discussion or action shall take place on any item raised.

Approval of items on the Consent Agenda – all items with an asterisk (*) are considered to be routine matters and will be enacted by one motion and one roll call vote to the City Council. There will be no separate discussion on these items unless a Councilmember requests, in which event the item will be removed from the consent agenda and considered in its normal sequence on the agenda.

I. Other Business and Future Agenda Items:

J. Mayor/Council Reports:

K. Executive Session:

For information Purposes; Upon a public majority vote of a quorum of the City Council, the Council may hold an executive session, which will not be open to the public, but for only the following purposes:

- discussion or consideration of personnel matters (A.R.S. §38-431.03 (A)(1));
- discussion or consideration of records exempt by law from public inspection (A.R.S. §38-401.03 (A)(2));
- discussion or consultation for legal advice with the city's attorneys (A.R.S. §38-431.03 (A)(3));
- discussion or consultation with the city's attorneys regarding the city's position regarding contracts that are the subject of negotiations, in pending or contemplated litigation, or in settlement discussions conducted in order to avoid or resolve litigation (A.R.S. §38-431.03 (a)(4));
- discussion or consultation with designated representatives of the city in order to consider its position and instruct its representatives regarding negotiations with employee organizations (A.R.S. §38-431.03 (A)(5)); or
- discussion, consultation or consideration for international and interstate negotiations or for negotiations by a city or town, or its designated representatives, with members of a tribal council, or its designated representatives, of an Indian reservation located within or adjacent to the city or town. A.R.S. §38-401.03 (A)(6)).
- discussing or consulting with designated representatives of the city in order to consider its position and instruct its representatives regarding negotiations for the purchase, sale or lease of real property (A.R.S. §38-431.03 (A)(7)).

Confidentiality Requirements Pursuant to A.R.S. §38-431.03(C)(D): Any person receiving executive

session information pursuant to A.R.S. §38-431.02 shall not disclose that information except to the Attorney General or County Attorney by agreement of the City Council, or as otherwise ordered by a court of competent jurisdiction.

L. Adjournment:

SHERRY ANN AGUILAR, CITY CLERK, MMC

POSTED: May 1, 2014 at 9:35 AM

SPECIAL NOTE: PERSONS WITH SPECIAL ACCESSIBILITY NEEDS, INCLUDING LARGE PRINT MATERIALS OR INTERPRETER, SHOULD CONTACT THE CITY CLERK'S OFFICE @ 623.222.1200 OR TTY 623.222.1002, BY NO LATER THAN 24 HOURS IN ADVANCE OF THE REGULAR SCHEDULED MEETING TIME.



CITY OF SURPRISE
Regular City Council Meeting

May 6, 2014 @ 6:00:00 PM

[Back](#) [Print](#)

Council Meeting Date:	May 6, 2014	Contact Person:	Dick McKinley, Public Works Department
Submitting Department:	Public Works	District:	Citywide
Staff Recommendations:	Approve		

Consent	x	Regular	Public Hearing	Report/Discussion
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Agenda Wording:

Consideration and action approving an Intergovernmental Agreement (IGA) with Maricopa County Department of Transportation for the Bell Road Adaptive Signal Control Technology Deployment project and authorizing the Mayor sign on the City's behalf; Resolution 2014-39.

Motion:

I move to approve resolution 2014-39.

Background:

The Maricopa County Department of Transportation (MCDOT) has been awarded Federal funding for the procurement and deployment of adaptive traffic signal control systems along the Bell Rd. corridor.

This is a multi-agency project which includes the City of Surprise, Maricopa County, the City of Peoria, the City of Glendale, the City of Phoenix, the City of Scottsdale, and the Arizona Department of Transportation. The project consists of four deployment areas along Bell Rd with the area in the City of Surprise consisting of 21 intersections starting at Avenue of the Arts and ending at Cotton Lane.

The deployment of this equipment will allow for the signal timing to automatically adjust to changing traffic patterns in an effort to keep traffic moving along the corridor safely and efficiently. The total construction cost estimates for the improvements that will be installed within the City limits is \$900,000. The IGA requires a 5.7% construction only cost match which equates to \$51,300

Financial Impact Statement:

This project is planned in the FY2015 City Managers recommended capital improvements budget under Bell Rd Adaptive Signal Control Technology Deployment project in the amount of \$75,000 and is split between growth and non-growth. The growth-related portion is planned for \$47,700 in the Public Works development fee fund. The non-growth portion is planned for \$27,300 in the general capital fund. No monies will be paid as part of this IGA until construction begins in FY2015.

ATTACHMENTS:

Click to download

- ☐ [Resolution 2014-39](#)
- ☐ [Presentation](#)
- ☐ [Design Concept Report](#)
- ☐ [Memo](#)

External Attachment Links:

Meeting Requirements:

Powerpoint x Video White Board Other x

Presentation Speaker Names (spelling and titles for TV captions):

Michael Boule

RESOLUTION # 2014-39

**A RESOLUTION OF THE MAYOR AND COUNCIL OF
THE CITY OF SURPRISE, ARIZONA, APPROVING AN
INTERGOVERNMENTAL AGREEMENT BETWEEN
MARICOPA COUNTY AND THE CITY OF SURPRISE
FOR THE BELL ROAD ADAPTIVE TRAFFIC SIGNAL
CONTROL TECHNOLOGY DEPLOYMENT PROJECT.**

WHEREAS, pursuant to Arizona Revised Statutes § 11-951, *et seq.*, the City of Surprise has the authority to enter into Intergovernmental Agreements with other governmental entities.

NOW, THEREFORE, BE IT RESOLVED by the Mayor and Council of the City of Surprise, Arizona, as follows.

Section 1. The City of Surprise hereby agrees to enter into, and the Mayor is authorized to sign, an Intergovernmental Agreement between Maricopa County and the City of Surprise for the Bell Road adaptive traffic signal control Technology deployment project improvements.

Section 2. The City of Surprise acknowledges the estimated cost of this project to be \$51,300.00.

PASSED AND ADOPTED this ___day of _____, 2014.

Sharon R. Wolcott, Mayor

Attest:

Approved as to form:

Sherry Aguilar, City Clerk

Misty Leslie, City Attorney

**INTERGOVERNMENTAL AGREEMENT BETWEEN
MARICOPA COUNTY AND THE CITY OF SURPRISE
FOR THE BELL ROAD ADAPTIVE TRAFFIC SIGNAL CONTROL
TECHNOLOGY DEPLOYMENT PROJECT**

(TT447)

MAG #: MMA15-461

Fed Aid #: CM MMA-0(246)D

CFDA #: 20.205

TRACS #: SZ087 01C

(C-64-14- _____ -2-00)

This Intergovernmental Agreement (“**Agreement**”) is between the County of Maricopa, a political subdivision of the State of Arizona (**the “County”**), and the City of Surprise, a municipal corporation (**the “City”**). The County and the City are collectively referred to as the **Parties** or individually as a **Party**.

This Agreement shall become effective as of the date it is approved by the Maricopa County Board of Supervisors.

STATUTORY AUTHORIZATION

1. A.R.S. §§11-251 and 28-6701, *et seq.*, authorize the County to layout, maintain, control and manage public roads within its respective County, to acquire and condemn property necessary for such purposes, and to enter into this Agreement.
2. A.R.S. § 11-951, *et seq.*, provides that public agencies may enter into Intergovernmental Agreements for the provision of services or for joint or cooperative action.
3. A.R.S. §§ 9-240 and 9-276, *et seq.*, authorize the City to lay out and establish, regulate and improve streets within the City and to enter into this Agreement.

BACKGROUND

4. The County, acting through the Maricopa County Department of Transportation (MCDOT) and the City, are members of the regional traffic management and traveler information system known as AZTech™ (AZTech). Led by MCDOT, AZTech develops and supports traffic management projects along many of the Valley’s heavily traveled roadways.

5. Bell Road and its extension as Frank Lloyd Wright Boulevard is identified as a key east-west arterial extending from State Route 303L (Loop 303) on the west to State Route 101L (Loop 101) on the east.
6. Adaptive Traffic Signal Control Technology (ASCT) has been identified as an important tool to provide improved traffic signal timing adjustments in response to varying traffic volumes and congestion.
7. In association with the AZTech program, the current project (The "Project") consists of the installation of adaptive traffic signal control technology at 52 signalized intersections in four distinct areas along Bell Road and Frank Lloyd Wright Boulevard as identified below:
 - 7.1 Area 1 – 7.0 miles – 21 intersections – Bell Road from Cotton Lane through Avenue of the Arts/114th Avenue, including Loop 303 & Grand Avenue
 - 7.2 Area 2 – 3.4 miles – 13 intersections – Bell Road from 99th Avenue through 73rd Avenue, including Loop 101
 - 7.3 Area 3 – 3.2 miles – 10 intersections – Frank Lloyd Wright from Scottsdale Road through Thompson Peak Parkway, including Loop 101
 - 7.4 Area 4 – 2.0 miles – 8 intersections – Bell Road from 35th Avenue through 19th Avenue, including Instate – 17 (I-17)
8. Associated with the AZTech Program, this project is part of an ongoing joint effort by the County and the City to provide for the efficient management of traffic on Bell Road and Frank Lloyd Wright Boulevard in Maricopa County.
9. The Project is funded from local and federal funds. The estimated construction cost is \$2,455,000 with federal funds of \$2,315,065 and a local match of \$139,935.
10. This Agreement is contingent upon the City's compliance with the Single Audit Act of 1984 and the availability of federal funds through the MAG Transportation Improvement Program (TIP):
 - 10.1 Federal Contract Number: CM-MMA-0(246)D
 - 10.2 Catalog of Federal Domestic Assistance (CFDA) Number: CFDA# 20.205
 - 10.3 Fiscal Years: FY 2015
 - 10.4 Total Project Cost: \$2,455,000
 - 10.5 Federal Obligation Award: \$2,315,065
 - 10.6 Funding Sources:
 - i. Congestion Mitigation and Air Quality Funds (CMAQ) – \$2,315,065 Federal Highway Administration (FHWA)
 - ii. Highway User Revenue Funds (HURF) and local revenues – \$139,935 – Local Match – distributed proportionally between the participating agencies: Arizona Department of

Transportation (ADOT), Peoria, Glendale, Phoenix, Surprise, Scottsdale and the County.

10.7 Project Contact Information:

- i. Name: Paul Porell, Project Manager
- ii. Agency: Maricopa County Department of Transportation
- iii. Phone: 602-506-7174
- iv. Email: PaulPorell@mail.maricopa.gov

PURPOSE OF THE AGREEMENT

11. The purposes of this Agreement are to identify the roles and responsibilities of the Parties with respect to the Project and define the cost sharing of the local match for the Project.

TERMS OF THE AGREEMENT

12. **Responsibilities of the County:**

- 12.1 The County shall be the lead agency on all construction and construction management, and shall provide certification of right-of-way with the timely assistance of the City.
- 12.2 The County shall request from the City any necessary right-of-way, utility and environmental clearance background information.
- 12.3 The County shall provide design and installation documents to the City for review in a timely manner.
- 12.4 The County shall apply for no-cost permits for Project work within the City boundaries.
- 12.5 The County shall receive and administer the project federal-aid funding for the duration of the Project.
- 12.6 If the sum of the local match contributions provided by all of the agencies participating in the Project is insufficient to meet the total federally-mandated local match of 5.7 percent of the total construction cost of the Project, the County shall contribute the balance of local match necessary to meet the mandated local match of 5.7 percent of the total construction cost, currently estimated at a balance of \$6,555.
- 12.7 Upon completion of construction and approval and acceptance by the City, the County shall invoice the City for the City's local match requirement of the construction costs of the Project for twenty signals along Bell Road from Cotton Lane through Avenue of the Arts/114th Avenue, including Loop 303 and Grand Avenue (Area 1), currently estimated at \$51,300.
- 12.8 The County shall provide the construction documents for the Project to the City's representative when completed.

13. Responsibilities of the City:

- 13.1 The City shall provide the County any necessary right-of-way, utility and environmental clearance background information, within 30 days of formal request.
- 13.2 The City shall provide timely review of all design and installation documents provided by the County. The City shall provide comments to the County within 30 calendar days after receiving documents for review from the County.
- 13.3 The City shall issue the County no-cost permits for Project work within the City boundaries.
- 13.4 Within thirty (30) days of receiving the invoice from the County, the City shall contribute the local match requirement of the construction costs of the Project for twenty signals along Bell Road from Cotton Lane through Avenue of the Arts/114th Avenue, including Loop 303 and Grand Avenue (Area 1), currently estimated at \$51,300.
- 13.5 The City shall own, operate and maintain the equipment installed within the City's jurisdiction as part of this Project upon completion of the Project.

GENERAL TERMS AND CONDITIONS

- 14. By entering into this Agreement, the Parties agree that to the extent permitted by law, each Party will defend, indemnify and save the other Parties harmless, including any of the Parties' departments, agencies, officers, employees, elected officials or agents, from and against all loss, expense, damage or claim of any nature whatsoever which is caused by any activity, condition or event arising out of the performance or nonperformance by the indemnifying Party of any of the provisions of this Agreement. By entering into this Agreement, each Party indemnifies the other against all liability (including but not limited to vicarious liability), losses and damages of any nature for or on account of any injuries or death of persons or damages to or destruction of property arising out of or in any way connected with the performance or nonperformance of this Agreement, except such injury or damage that is occasioned by the negligence of that other Party. The damages which are the subject of this indemnity shall include but not be limited to the damages incurred by any Party, its departments, agencies, officers, employees, elected officials or agents. In the event of an action, the damages which are the subject of this indemnity shall include costs, expenses of litigation and reasonable attorney's fees.
- 15. This Agreement shall become effective as of the date it is approved by the Maricopa County Board of Supervisors and remain in full force and effect until all stipulations previously indicated have been satisfied except that it may be amended upon written Agreement by all Parties. Any Party may terminate this Agreement upon furnishing the other Party with a written notice at least thirty

- (30) days prior to the effective termination date.
16. This Agreement shall be subject to the provisions of A.R.S. Section 38-511.
 17. The Parties warrant that they are in compliance with A.R.S. Section 41-4401 and further acknowledge that:
 - 17.1 Any contractor or subcontractor who is contracted by a Party to perform work on the Project shall warrant their compliance with all federal immigration laws and regulations that relate to their employees and their compliance with the E-Verify program under A.R.S. Section 23-214(A), and shall keep a record of the verification for the duration of the employee's employment or at least three years, whichever is longer.
 - 17.2 Any breach of the warranty shall be deemed a material breach of the contract that is subject to penalties up to and including termination of the contract.
 - 17.3 The Parties retain the legal right to inspect the papers of any contractor or subcontractor employee who works on the Project to ensure that the contractor or subcontractor is complying with the warranty above and to require that the contractor make all papers and employment records of said employee available during normal working hours in order to facilitate such an inspection.
 - 17.4 Nothing in this Agreement shall make any contractor or subcontractor an agent or employee of the Parties to this Agreement.
 18. Each Party to this Agreement warrants that neither it nor any contractor or vendor under contract with the Party to provide goods or services toward the accomplishment of the objectives of this Agreement is suspended or debarred by any federal agency which has provided funding that will be used in the Project described in this Agreement.
 19. Each of the following shall constitute a material breach of this Agreement and an event of default ("Default") hereunder: A Party's failure to observe or perform any of the material covenants, conditions or provisions of this Agreement to be observed or performed by that Party ("Defaulting Party"), where such failure continues for a period of thirty (30) days after the Defaulting Party receives written notice of such failure from the non-defaulting party provided, however, that such failure shall not be a Default if the Defaulting Party has commenced to cure the Default within such thirty (30) day period and thereafter is diligently pursuing such cure to completion, but the total aggregate cure period shall not exceed ninety (90) days unless the Parties agree in writing that additional time is reasonably necessary under the circumstances to cure the default. In the event a Defaulting Party fails to perform any of its material obligations under this Agreement and is in Default, the non-defaulting party, at its option, may terminate this Agreement. Further, upon the occurrence of any Default and at any time thereafter, the non-defaulting party may, but shall not be required to, exercise any remedies now or hereafter available to it at law or in equity.

20. All notices required under this agreement to be given in writing shall be sent to:

Maricopa County Department of Transportation
Transportation Director
2901 West Durango Street
Phoenix, AZ 85009

City of Surprise
City Manager (or designee)
With a copy to the City Attorney
16000 N. Civic Center Plaza
Surprise, AZ 85374

All notices required or permitted by this Agreement or applicable law shall be in writing and may be delivered in person (by hand or courier) or may be sent by regular, certified or registered mail or U.S. Postal Service Express Mail, with postage prepaid, and shall be deemed sufficiently given if served in a manner specified in this paragraph. Either Party may by written notice to the other specify a different address for notice. Any notice sent by registered or certified mail, return receipt requested, shall be deemed given on the date of delivery shown on the receipt card, or if no delivery date is shown, the postmark thereon. If sent by regular mail, the notice shall be deemed given 72 hours after the notice is addressed as required in this paragraph and mailed with postage prepaid. Notices delivered by United States Express Mail or overnight courier that guarantee next day delivery shall be deemed given 24 hours after delivery of the notice to the Postal Service or courier.

21. This Agreement does not imply authority to perform any tasks, or accept any responsibility, not expressly stated in this Agreement.
22. This Agreement does not create a duty or responsibility unless the intention to do so is clearly and unambiguously stated in this Agreement.
23. This Agreement does not grant authority to control the subject roadway, except to the extent necessary to perform the tasks expressly undertaken pursuant to this Agreement.
24. Any funding provided for in this Agreement, other than in the current fiscal year, is contingent upon being budgeted and appropriated by the Maricopa County Board of Supervisors and the Surprise City Council in such fiscal year. This Agreement may be terminated by any Party at the end of any fiscal year due to non-appropriation of funds.
25. This Agreement shall be binding upon and inure to the benefit of the Parties and their respective successors and assignees. Neither Party shall assign its interest in this Agreement without the prior written consent of the other Party.
26. This Agreement and all Exhibits attached to this Agreement set forth all of the covenants, promises, agreements, conditions and understandings between the Parties to this Agreement, and there are no covenants, promises, agreements,

conditions or understandings, either oral or written, between the Parties other than as set forth in this Agreement, and those agreements which are executed contemporaneously with this Agreement. This Agreement shall be construed as a whole and in accordance with its fair meaning and without regard to any presumption or other rule requiring construction against the party drafting this Agreement. This Agreement cannot be modified or changed except by a written instrument executed by all of the Parties hereto. Each party has reviewed this Agreement and has had the opportunity to have it reviewed by legal counsel.

27. The waiver by any Party of any right granted to it under this Agreement is not a waiver of any other right granted under this Agreement, nor may any waiver be deemed to be a waiver of a subsequent right obtained by reason of the continuation of any matter previously waived.
28. Wherever possible, each provision of this Agreement shall be interpreted in such a manner as to be valid under applicable law, but if any provision shall be invalid or prohibited under the law, such provision shall be ineffective to the extent of such prohibition or invalidation but shall not invalidate the remainder of such provision or the remaining provisions.
29. Except as otherwise provided in this Agreement, all covenants, agreements, representations and warranties set forth in this Agreement or in any certificate or instrument executed or delivered pursuant to this Agreement shall survive the expiration or earlier termination of this Agreement for a period of one (1) year.
30. Nothing contained in this Agreement shall create any partnership, joint venture or other agreement between the Parties hereto. Except as expressly provided in this Agreement, no term or provision of this Agreement is intended or shall be for the benefit of any person or entity not a party to this Agreement, and no such other person or entity shall have any right or cause of action under this Agreement.
31. Time is of the essence concerning this Agreement. Unless otherwise specified in this Agreement, the term "day" as used in this Agreement means calendar day. If the date for performance of any obligation under this Agreement or the last day of any time period provided in this Agreement falls on a Saturday, Sunday or legal holiday, then the date for performance or time period shall expire at the close of business on the first day thereafter which is not a Saturday, Sunday or legal holiday.
32. Sections and other headings contained in this Agreement are for reference purposes only and shall not affect in any way the meaning or interpretation of this Agreement.
33. This Agreement may be executed in two or more counterparts, each of which shall be deemed an original but all of which together shall constitute the same instrument. Faxed, copied and scanned signatures are acceptable as original signatures.
34. The Parties agree to execute and/or deliver to each other such other instruments and documents as may be reasonably necessary to fulfill the

covenants and obligations to be performed by such party pursuant to this Agreement.

35. The Parties hereby agree that the venue for any claim arising out of or in any way related to this Agreement shall be Maricopa County, Arizona.
36. This Agreement shall be governed by the laws of the State of Arizona.
37. Unless otherwise lawfully terminated by the Parties, this Agreement expires upon completion and acceptance of the Project and fulfillment of all terms of the Agreement.

End of Agreement - Signature Page Follows

IN WITNESS WHEREOF, the Parties hereto have executed this Agreement.

MARICOPA COUNTY

CITY OF SURPRISE

Recommended by:

John B. Hauskins, P.E. Date
Transportation Director

Approved and Accepted by:

Approved and Accepted by:

Denny Barney, Chairman Date
Board of Supervisors

Mayor Date

Attest by:

Attest by:

Fran McCarroll Date
Clerk of the Board

City Clerk Date

**APPROVAL OF DEPUTY COUNTY ATTORNEY
AND ATTORNEY FOR THE CITY OF SURPRISE**

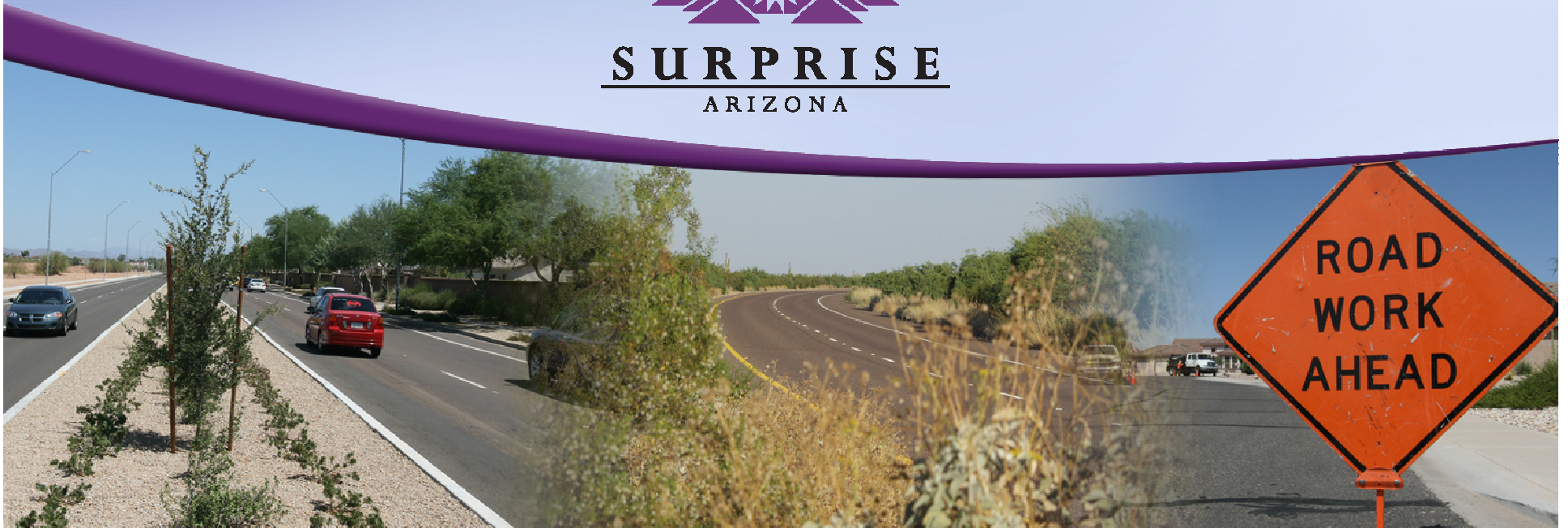
I hereby state that I have reviewed the proposed Intergovernmental Agreement and declare the Agreement to be in proper form and within the powers and authority granted to the Parties by their respective governing bodies under the laws of the State of Arizona.

Deputy County Attorney Date

City Attorney Date

Bell Rd. Adaptive Traffic Signal Control Technology Deployment Project IGA

April 15, 2014
Public Works Department



Adaptive Signal Control IGA

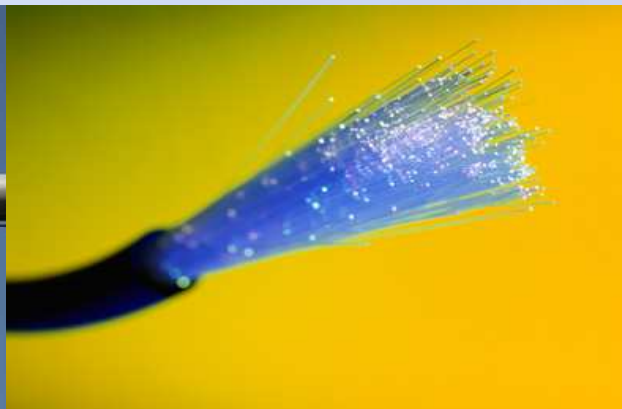
- Construction IGA with MCDOT for the construction of the Bell Rd adaptive signal Improvements.
- 20 Signals in Surprise to be improved
- Design is 30% complete, no city funding participation in design



How it Works



- Enhance signal operation to allow the intersections to dynamically adapt to real time traffic conditions via vehicle detection.
- Goal is to allow traffic to recover to normal flow following an unexpected traffic incident, i.e. accident, railroad crossing, construction.
- Minimal signal disturbance, improvements will utilize and enhance existing infrastructure



Financial Impact



- Engineers Estimated Construction Cost
 - \$900,000
- IGA Cost Match of 5.7%
 - \$51,300 local match
 - General fund 36.40%
 - PW development impact fee fund 63.60%
 - Requesting \$75,000 for cost match & contingency
 - Budgeted in FY15
- Federal Cost Match of 94.3%
 - \$848,700

Bell Road Adaptive Signal Control Technology (ASCT) Deployment in Surprise, Glendale, Peoria Phoenix, and Scottsdale, AZ

DRAFT FINAL DESIGN CONCEPT REPORT

Federal Aid Project No. CM-MMA-0(246)D
ADOT TRACS No. 0000-MA-MMA-SZ087 03D
MCDOT Project No. TT447

Prepared For:



Expires 9/30/14



Maricopa County Department of Transportation
Traffic Management Division, ITS Branch
2901 West Durango Street
Phoenix, AZ 85009

JAN 2014

Prepared By:



Table of Contents

A.	Overview.....	1
A.1	Authorization.....	1
A.2	Project Summary	1
A.3	Status in TIP	2
A.4	Overall Schedule	2
B.	Existing Conditions	2
B.1	Project area 1	3
	Network Characteristics.....	3
	Traffic Characteristics	4
	Pedestrians and Public Transit	4
	Existing Architecture	5
	Accident Data	5
B.2	Project area 2	6
	Network Characteristics.....	6
	Traffic Characteristics	6
	Pedestrians and Public Transit	6
	Existing Architecture	7
	Accident Data	7
B.3	Project area 3	8
	Network Characteristics.....	8
	Traffic Characteristics	8
	Pedestrians and Public Transit	9
	Existing Architecture	9
	Accident Data	9
B.4	Project area 4	9
	Network Characteristics.....	9
	Traffic Characteristics	10
	Pedestrians and Public Transit	10
	Existing Architecture	10
	Accident Data	11

C.	Description and Objectives of Project.....	11
C.1	General.....	11
C.2	This Project.....	12
Project Area 1	12	
Project Area 2	14	
Project Area 3	15	
Project Area 4	17	
C.3	System Selection.....	18
C.4	Construction/Installation Objectives	18
C.5	Project Milestones	19
D.	Design Features	20
D.1	General.....	20
D.2	Major Equipment and Operational Elements.....	20
ASCT Interface Devices	20	
ARID Sensors	20	
Traffic-Control Cabinet.....	20	
Vehicle Detection.....	20	
Electrical Power Source and Connections.....	21	
Trunk line Connection.....	21	
D.3	Right-of-way and Utility Clearances	21
D.4	Construction Traffic Control Plan.....	21
D.5	Construction Staging Area/Permits	21
D.6	Certification of Standards/Design Exceptions	22
D.7	Intergovernmental Agreement	22
E.	Preliminary Cost Estimate	22
F.	Social, Economic, and Environmental Considerations	23
G.	Alternatives	25
G.1	Traffic Responsive Pattern Selection (TRPS)	25
G.2	Complex Coordination Features.....	25
G.3	“No-Build” Alternative	26
H.	Administration	27

Exhibit A – Current Project Programming Insert (from MAG TIP).....	28
Exhibit B – Project Vicinity Map	29
Exhibit C – Project Area #1: Bell Road and Grand Avenue from Cotton Lane through Avenue of the Arts/114 th Avenue	30
Exhibit D – Project Area #2: Bell Road and Loop 101 from 99 th Avenue through 73 rd Avenue..	31
Exhibit E – Project Area #3: Frank Lloyd Wright Boulevard and Loop 101 from Scottsdale Road through Thompson Peak Parkway	32
Exhibit F – Project area #4: Bell Road and I-17 from 35 th Avenue through 19 th Avenue	33
Exhibit G – ADOT System Engineering Checklist for ITS.....	34

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

A. Overview

A.1 Authorization

This Design Concept Report is authorized by the Traffic Engineer and ITS/AZTech™ Program Manager of Maricopa County Department of Transportation (MCDOT).

A.2 Project Summary

This project will install vehicle detectors, purchase hardware, and acquire software licenses to deploy one to four real time Adaptive Signal Control Technology (ASCT) systems at specific locations along 15.6 miles (52 signalized intersections) of Bell Road to improve traffic operations in the Cities of Surprise, Peoria, Glendale, Phoenix and Scottsdale. MCDOT and ADOT intersections within these areas will also be included.

This project will add adaptive capabilities to the existing signal system and offer signal coordination between agencies that currently does not exist in each area. Adaptive capability will be provided for all of the signals within the Bell Road corridor for four areas as described below which are operated by Surprise, ADOT, Maricopa County, Peoria, Glendale, Scottsdale, and Phoenix. All the capabilities of the existing system will be maintained. Anonymous re-identification sensors will also be installed to detect travel time, a performance metric, and monitor congestion.

The Project Location Maps included as Exhibit B through Exhibit F at the end of this document illustrate the general location of the project. The project consists of four project areas:

1. Bell Road, from Cotton Lane through Avenue of the Arts/114th Avenue (including the Loop 303 and Grand Avenue intersections).
2. Bell Road, from 99th Avenue through 73rd Avenue (including the Loop 101 Agua Fria intersection).
3. Frank Lloyd Wright Boulevard, from Scottsdale Road through Thompson Peak Parkway (including the Loop 101 Pima intersection).
4. Bell Road, from 35th Avenue to 19th Avenue (including the I-17 intersections).

The vision of the ASCT system is to provide an advanced traffic control system that automatically responds to changing traffic conditions, and reduces delays and corridor travel times, while managing queues and improving safety for each of the four (4) sections mentioned above. At signalized intersections outside of the project area, the partnering agencies will continue to effectively manage traffic operations using existing signal timing and ITS strategies.

ASCT is an operations strategy with great potential to reduce congestion and improve safety. An adaptive traffic signal system is one in which some or all of the signal timing parameters are modified in response to changes in the traffic conditions, in real time. The purpose of providing adaptive control in these areas is to overcome variable, unpredictable traffic patterns and to minimize queues during periods of congestion.

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

A.3 Status in TIP

This project is part of the list of projects found in the Five-Year Maricopa Association of Governments (MAG) Transportation Improvement Plan (TIP). It is listed as project MMA15-431 for Federal Fund obligation in 2015. An excerpt sheet from the MAG TIP showing this project is included at the end of this report.

The federal funds which will be used are from the Congestion Management and Air Quality (CMAQ) program.

The estimated design cost for this project is \$318,134. The estimated construction cost for this project is \$2,455,000.

A.4 Overall Schedule

MCDOT anticipates that the installation design for this project will be completed in April 2015, with the Federal-aid funding obligation request before June 30, 2015. A contractor bid process would follow in August 2015.

MCDOT will complete the environmental, right-of-way, and utility clearances prior to the obligation request; however, gaining the necessary clearance relies, in part, upon the work completion schedules of agencies other than MCDOT.

Table 1: Design Schedule

	2013			2014												2015					
MONTH	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN
Design (PS&Es)																					
Intergovernmental Agreements																					
System RFP & Selection																					
Utility & Railroad Clearances																					
Environmental Clearances																					
ROW Clearances																					
Materials Report Clearances																					

B. Existing Conditions

Bell Road is classified as a six-lane principal arterial with an east-west alignment. The eastern end of the continuous Bell Road alignment is named Frank Lloyd Wright Boulevard. The posted speed limit along the corridor is typically 45 mph with a few areas posted at 40 mph. The existing average traffic speeds of the corridor fall in the range of 31 to 36 miles per hour. This data was obtained from floating car travel time and delay runs conducted as part of various 2012 Bell Road signal timing studies.

Traffic volumes vary along the corridors, as shown in the following table. Special events held at TPC Scottsdale Champions Golf Course, Westworld of Scottsdale, spring training facilities for

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

Major League Baseball, and Arrowhead Towne Center contribute to major seasonal increases in traffic volumes along Bell Road and adjacent roadways. Table 1 summarized the average daily traffic (ADT) volumes for Bell Road. Traffic volumes were obtained from the MAG Transportation Data Management System unless otherwise noted.

Table 2: Bell Road ADTs

Roadway	ADT	Year
Bell Road, between Reems Road and Bullard Avenue	35,470	2011
Bell Road, between 115 th Avenue and El Mirage Road	65,460	2011
Bell Road, between 84 th Avenue and 94 th Avenue	62,300*	2012
Frank Lloyd Wright, between Scottsdale Road and Greenway-Hayden Loop	29,083**	2012
Frank Lloyd Wright, between Greenway-Hayden Loop and Loop 101 Pima	43,421**	2012
Frank Lloyd Wright, between Loop 101 Pima and Thompson Peak Parkway	38,870**	2012
Bell Road, between 35 th Avenue and 31 st Avenue	38,995	2012
Bell Road, between 31 st Avenue and I-17	41,275	2012
Bell Road, between I-17 and 23 rd Avenue	47,855	2011

* Information provided by City of Peoria

** Information provided by City of Scottsdale

B.1 Project area 1

Network Characteristics

Project Area 1 has 21 signalized intersections along a 7.0 mile segment of Bell Road between Cotton Lane and Ave of the Arts/114th Avenue. The critical intersection in the project area is Grand Avenue, and the timing of adjacent intersections mainly needs to accommodate progression for the platoons serviced by the critical intersection. The Loop 303 intersection is expected to be a critical intersection when ultimate construction is complete, as development increases, and traffic volumes rise.

The signals are owned and/or operated and/or maintained by two separate agencies. The City of Surprise controls all of the signals within the project area, except for Grand Avenue, which is controlled by ADOT. The existing signal system is coordinated across jurisdictional boundaries; however, there are concerns with time drift in the traffic signal controller equipment and railroad preemption disruptions. Signal coordination requires all controllers in a coordinated group to have a common time reference, so that the start-of-cycle offsets are applied accurately. All controllers in a coordination group can be configured to use the same time of day (say midnight) as the reference point for offset calculation. The common background cycle is assumed to start at this time of day, and each controller can time its own offset from this common reference point. The controller's clock will drift and will typically be reset to standard time once a day. Since the existing signal system does not communicate across jurisdictional boundaries and the time reference used by each jurisdiction may be different, there are concerns with the common background cycle of the Grand Avenue/Bell Road signal not starting at the same time as the remaining signals in the project area.

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

The existing City of Surprise signal system has emergency vehicle preemption (EVP). The Grand Avenue/Bell Road signal does not currently have EVP.

Traffic Characteristics

There are heavy directional commuter peaks. During the AM peak, traffic is heavily directional in the EB direction on Bell Road and southbound on Grand Avenue. During the PM peak, traffic is heavily directional in the WB direction on Bell Road and northbound on Grand Avenue.

Heavy directional event traffic from Surprise Stadium is experienced in this area. Spring Training Baseball draws 5,000-10,000 vehicles per game. The games are usually scheduled for 1 PM and/or 6 PM lasting approximately 3 hours. They can occur on any day of week.

Some intersections have a high proportion of turning traffic. Queues often overflow and block the adjacent thru lane from the westbound left turn bay at Litchfield Road during the midday time period. Litchfield Road and Dysart Road westbound left turn movements have queue spillover in the PM Peak. Queuing at Grand in the PM peak blocks 134th Drive. Overall, there are issues with clearing protected only left turns, especially when there are pedestrian calls.

A grade separated interchange at Bell Road and Grand Avenue (US 60) is identified for construction in 2015 (DOT15-140C). The ADOT Project Manager is Mohammad Zaid. Right-of-way acquisition for this project is underway. A public hearing for this project is scheduled in March 2014. The DCR will be completed before June 30, 2014. ADOT will advertise this project for design before June 30, 2014. After the grade separation the City of Surprise will operate and maintain the signal through an IGA which will be executed during the design phase.

ASCT would be beneficial for traffic operations during construction and is proposed to be implemented prior to the start of the interchange construction and adjusted once the grade separation is completed.

Pedestrians and Public Transit

Pedestrian delays are a factor in choosing phasing and timing parameters. Pedestrians impede turning movements at any pedestrian crossings that cross Bell Road (e.g., Dysart Rd, Westpoint Pkwy, Litchfield Rd, and Bullard Ave). Pedestrians are present most cycles; however, this varies depending on the time of year.

Bus Route 571 - Surprise Express operates in this project area. Buses enter the coordinated route at Grand and leave via Dysart to serve the Surprise Park and Ride on the south side of Bell Road, one block east of 134th Drive.

The project area does not have transit signal priority.

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

Existing Architecture

The signal system is operated and monitored from workstations located at the Surprise traffic management center (TMC), City Hall, and Signal Shop. Communications infrastructure is fiber in Surprise connected signal to signal in daisy chain or point to point and wireless for the ADOT signal. The traffic management centers and traffic operation centers of the partnering agencies are connected to the Regional Communications Network (RCN).

Most of the signalized intersections within the project area operated by the City of Surprise utilize video detection. ADOT utilizes video detection.

Accident Data

The number and severity of motor vehicle crashes at the signalized intersections are shown in the following table for the years 2010, 2011, and 2012.

Table 3: Accident Data for Project area #1

Intersection	Avg. Crashes Per Year	Avg. Incapacitating Crashes Per Year	Avg. Fatal Crashes Per Year	Predominant Crash Type - % Total
Bell Road and Cotton Lane	7.3	0.3	0	Left Turn - 50%
Bell Road and Eastern Parkway	0	0	0	-
Bell Road and 168 th Drive	0	0	0	-
Bell Road and L303 (Bob Stump Memorial Parkway)	10.0	0	0.3	Rear End - 50% Fatal Crash - Left Turn
Bell Road and 165 th Avenue	0	0	0	-
Bell Road and Sarival Road	2.3	0	0	Rear End - 57%
Bell Road and Sunrise Boulevard	5.3	0	0	Rear End - 63%
Bell Road and Mountain Vista Boulevard	3.7	0	0	Rear End - 64%
Bell Road and Reems Road	12.7	0.3	0	Left Turn - 29% Rear End - 26%
Bell Road and Parkview Place	8.7	1.0	0	Rear End - 50%
Bell Road and Bullard Avenue	7.7	0	0	Rear End - 74%
Bell Road and Sun Village Parkway	4.3	0.3	0	Rear End - 54%
Bell Road and Litchfield Road	24.0	0.3	0	Rear End - 50%
Bell Road and West Point Parkway	22.0	0	0	Rear End - 42%
Bell Road and Grand Avenue	15.7	0	0	Rear End - 70%
Bell Road and 134 th Drive	7.7	0.3	0	Rear End - 70%
Bell Road and Dysart Road	15.0	0.3	0	Rear End - 51%
Bell Road and R H Johnson Boulevard	17.3	0.3	0.3	Rear End - 48% Fatal Crash - Left Turn
Bell Road and El Mirage Road	17.7	0.3	0.7	Rear End - 38% Fatal Crashes - Left Turn
Bell Road and Coyote Lake Parkway	3.3	0.3	0	Rear End - 60%
Bell Road and Avenue of the Arts/114 th Avenue	12.0	0.3	0	Rear End - 39%

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

B.2 Project area 2

Network Characteristics

Project Area 2 has 13 signalized intersections along a 3.4 mile segment of Bell Road between 99th Avenue and 73rd Avenue. The arterial has irregularly spaced signalized intersections, and there is no cycle length that allows two-way progression.

During the peak periods, the cycle length is generally determined by the needs of one or more critical intersections, including 99th Avenue, 91st Avenue, 84th Avenue, Loop 101 Agua Fria single point interchange, and 83rd Avenue.

The project location has several closely spaced intersections with major turning movements at the Loop 101 Agua Fria freeway interchange. It requires careful management of queue lengths to the Loop 101 Agua Fria on-ramps which affects the distribution of traffic across the lanes on the arterial.

The signals are owned and/or operated and/or maintained by Peoria, ADOT, Glendale, and MCDOT. There is a break in existing coordination between 84th Avenue (City of Peoria) and the Loop 101 Agua Fria interchange (ADOT). Thus, the signal timing cycle lengths may not be the same for both intersections. During hours of the day when signal timing cycle lengths are not the same or a multiple of one another (e.g. 60 secs and 120 secs), synchronization across intersections cannot be achieved.

The existing signal system has emergency vehicle preemption (EVP).

Traffic Characteristics

The arterial serves two major event centers: Arrowhead Entertainment Center and Peoria Sports Complex. Heavily directional event traffic is experienced at 83rd Avenue and Bell Road. There are approximately 3,000 to 10,000 attendees for each Spring Training Baseball game. These games typically occur from noon to 5 PM. There are also more than 25,000 attendees for the annual 4th of July event. In addition to the counted attendees, there are many other uncounted attendees that are parked in vacant lots and shopping center parking lots.

During the PM peak, queues often overflow from the westbound left turn bay at 84th Avenue and Bell, and from the eastbound left turn bay at 83rd Avenue and Bell.

Pedestrians and Public Transit

Intersections with the most pedestrian activity in the project area include 99th, 91st, and 84th Avenue.

Arrowhead Towne Center is a designated transit center. There are 5 bus lines operating near the corridor:

- Bus Route 67 - 67th Avenue
- Bus Route 170 – Bell
- Bus Route 186 - Union Hills

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

- Express Bus Route 573 - Northwest Valley-Downtown Express
- Express Bus Route 575 - Northwest Valley-Downtown Express

Bus routes 67, 170, and 186 go through the 73rd Avenue intersection and turn at 75th Avenue to enter/leave Arrowhead Towne Center. Express routes 573 and 575 turn at 83rd Avenue and the Loop 101 Agua Fria interchange.

The project area does not have transit signal priority.

Existing Architecture

The Peoria TMC is also an ADOT alternate traffic operations center and has three dedicated workstations. It is located at 9875 N. 85th Avenue, Peoria, AZ. The MCDOT TMC has five dedicated workstations and is located at 2919 W. Durango Street, Phoenix, AZ. The Glendale TMC has six dedicated workstations and is located at 9658 N. 59th Avenue, Glendale, AZ.

Communications infrastructure consists of fiber optic cable, wireless radio, Ethernet communications, and T1 leased line connected signal to signal in daisy chain or point to point. The traffic management centers and traffic operation centers of the partnering agencies are connected to the Regional Communications Network (RCN).

Accident Data

The number and severity of motor vehicle crashes at the signalized intersections are shown in the following table for the years 2010, 2011, and 2012.

Table 4: Accident Data for Project area #2

Intersection	Avg. Crashes Per Year	Avg. Incapacitating Crashes Per Year	Avg. Fatal Crashes Per Year	Predominant Crash Type - % Total
Bell Road and 99 th Avenue	23.7	0	0	Rear End - 48%
Bell Road and 98 th Avenue	9.0	0	0	Rear End - 63%
Bell Road and Lindgren Avenue	5.0	0.3	0.3	Rear End - 60% Fatal Crash - Angle
Bell Road and 92 nd Avenue	0	0	0	-
Bell Road and 91 st Avenue	29.3	1.3	0	Rear End - 55%
Bell Road and 87 th Avenue	18.7	0.7	0	Rear End - 73%
Bell Road and 84 th Avenue	17.3	0.3	0	Rear End - 75%
Bell Road and L101 (Agua Fria Freeway)	22.7	0.3	0	Rear End - 57%
Bell Road and 83 rd Avenue	61.0	0.7	0.3	Rear End - 57% Fatal Crash - Left Turn
Bell Road and 79 th Avenue	31.0	0.3	0	Rear End - 49%
Bell Road and 77 th Avenue	21.0	0.7	0	Rear End - 68%
Bell Road and 75 th Avenue	35.0	0.3	0	Rear End - 56%
Bell Road and 73 rd Avenue	13.0	0.3	0	Rear End - 49%

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

B.3 Project area 3

Network Characteristics

Project Area 3 has 10 signalized intersections along a 3.2 mile segment of Frank Lloyd Wright between Scottsdale Road and Thompson Peak Parkway. The arterial has irregularly spaced signalized intersections, and there is no cycle length that allows two-way progression. There are also several closely spaced intersections with major turning movements at a freeway interchange (Loop 101 Pima). It requires careful management of queue lengths on some approaches.

ADOT owns the Frank Lloyd Wright /L101 interchange but the City of Scottsdale maintains and operated the traffic signal at this location, under JPA04-103 with ADOT.

During the peak periods, the cycle length is generally determined by the needs of two critical intersections – Frank Lloyd Wright/Hayden Road and Frank Lloyd Wright /L101 - due to their proximity to one another.

Several intersections have multi-phase intersections that require a higher cycle length than most intersections. The timing of adjacent intersections mainly needs to accommodate progression for the platoons serviced by the Loop 101 Pima single point interchange. The weekday AM directional split at the interchange is 55% westbound and 45% eastbound. The weekday PM directional split at the interchange is 40% westbound and 60% eastbound.¹

The existing signal system has emergency vehicle preemption (EVP).

Traffic Characteristics

Traffic conditions vary during the commuter peaks. At the Loop 101 Pima single point interchange, the northbound left turn and westbound through movements are heavy. Progression is needed to prevent back up on Frank Lloyd Wright Boulevard into the interchange. The northbound right turn movement is heavy at Hayden Road in addition to the eastbound left turn at the Loop 101.

Heavily directional event traffic is experienced in this area. The arterial serves two major event centers - TPC Scottsdale Champions Golf Course and Westworld of Scottsdale, and provides access to a freeway interchange.

As an example, a few major events that impact the corridor are described here. The Phoenix Open golf tournament, held at the Stadium Course at the Tournaments Players Club of Scottsdale in January, spans seven days with total attendance of more than 500,000 in 2012. (wmphoenixopen.com) The largest attendance in a single day was 173,210 on the Saturday of the 2012 tournament. The Barrett-Jackson Arizona auction at West World of Scottsdale spans eight days in January with more than 270,000 attendees in 2012. (news.barrett-jackson.com) The Scottsdale Arabian Horse Show spans 11 days with approximately 250,000 in attendance.

¹ Volume data collected Thursday, March 22, 2012

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

Pedestrians and Public Transit

There are 2 bus lines operating along the corridor: Bus Route 72 - Scottsdale and Bus Route 170 – Bell. Bus Route 72 crosses the corridor at Scottsdale Road. Bus Route 170 enters and leaves the corridor at Hayden Road. Bus Route 170 provides service on Bell Road/Frank Lloyd Wright Boulevard west of Hayden Road.

The project area does not have transit signal priority.

Existing Architecture

The City of Scottsdale TMC and workstations are located at 7447 East Indian School Road, Scottsdale, AZ 85251. The communications infrastructure within the project area is fiber optic cable, wireless, and T1 leased line connected signal to signal in daisy chain or point to point.

Accident Data

The number and severity of motor vehicle crashes at the signalized intersections are shown in the following table for the years 2010, 2011, and 2012.

Table 5: Accident Data for Project area #3

Intersection	Avg. Crashes Per Year	Avg. Incapacitating Crashes Per Year	Avg. Fatal Crashes Per Year	Predominant Crash Type - % Total
Frank Lloyd Wright Boulevard and Scottsdale Road	23.3	0.3	0	Rear End - 64%
Frank Lloyd Wright Boulevard and Promenade	0	0	0	
Frank Lloyd Wright Boulevard and 76 th Street	4.0	0	0	Rear End - 33% Angle - 33%
Frank Lloyd Wright Boulevard and Greenway Hayden Loop	36.7	0.3	0	Rear End - 80%
Frank Lloyd Wright Boulevard and Northsight Boulevard	3.3	0.3	0	Angle - 30%
Frank Lloyd Wright Boulevard and Hayden Road	54.7	0.7	0	Rear End - 70%
Frank Lloyd Wright Boulevard and L101 (Pima Freeway)	44.7	0	0	Rear End - 66%
Frank Lloyd Wright Boulevard and 90 th Street	8.0	0	0	Rear End - 29%
Frank Lloyd Wright Boulevard and 92 nd Street / 100 th Street	2.7	0	0	Angle - 50%
Frank Lloyd Wright Boulevard and Thompson Peak Parkway	14.3	1.3	0	Angle – 30%

B.4 Project area 4

Network Characteristics

Project Area 4 has 8 signalized intersections along a 2.0 mile segment of Bell Road between 35th Avenue and 19th Avenue. The arterial has irregularly spaced signalized intersections, and there is no cycle length that allows two-way progression. During the peak periods, the cycle length is generally determined by the needs of two critical intersections that comprise the I-17 Diamond Interchange. This interchange is operating with two signal controllers, Bell Road East

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

and Bell Road West, due to the large separation between the ramps. This is atypical for most signalized diamond interchanges in Arizona.

The project location has a few closely spaced intersections with major turning movements at a freeway interchange. It requires careful management of queue lengths on some approaches. Queuing on the on-ramps affects the saturation flow of some movements during green. It is imperative that queuing on the off-ramp does not back up onto the mainlines of the freeway.

The signals are owned and/or operated and/or maintained by two separate agencies. The City of Phoenix controls the Bell Road signals at 35th Avenue, 33rd Avenue, 29th Avenue, 23rd Avenue, 21st Avenue, and 19th Avenue. ADOT controls the Bell Road signals at the I-17 West Ramp and I-17 East Ramp, which are located in the middle of the proposed corridor.

The existing signal system has emergency vehicle preemption (EVP).

Traffic Characteristics

There are heavy directional commuter peaks. There are significant turning movements onto and off the coordinated route at the I-17 freeway interchange.

Pedestrians and Public Transit

Pedestrian delays are a factor in choosing phasing and timing parameters. Pedestrians impede through traffic on Bell Road at 29th Avenue and eastbound at 21st Avenue due to the increased time required parallel to the minor street.

There are five bus lines operating in this project area:

- Bus Route 170 - Bell
- Bus Route I-17 RAPID
- Bus Route 19 - 19th Avenue
- Bus Route 27 - 27th Avenue
- Bus Route 35 - 35th Avenue

Bus Route 19, 17, I-17 RAPID, and 35 cross the corridor at their respectively named intersections. Bus Route 170 provides service on Bell Road for several miles east and west of the project area. A Park and Ride located on the southwest corner of the freeway interchange generates southbound through traffic at the west ramp. The project area does not have transit signal priority.

Existing Architecture

The City of Phoenix TMC and workstations are located at 200 West Washington Street, Phoenix, AZ 85003. ADOT's TOC is located at 2302 West Durango Street, Phoenix, AZ 85009.

Communications infrastructure is wireless in Phoenix connected signal to signal in daisy chain or point to point and fiber for the ADOT signals. The traffic management centers and traffic operation centers of the partnering agencies are connected to the Regional Communications Network (RCN).

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

Accident Data

The number and severity of motor vehicle crashes at the signalized intersections are shown in the following table for the years 2010, 2011, and 2012.

Table 6: Accident Data for Project area #4

Intersection	Avg. Crashes Per Year	Avg. Incapacitating Crashes Per Year	Avg. Fatal Crashes Per Year	Predominant Crash Type - % Total
Bell Road and 35 th Avenue	29.33	2.00	0.00	Rear End - 33% Left Turn - 33%
Bell Road and 33 rd Avenue	5.00	0.33	0.00	Angle - 33%
Bell Road and 29 th Avenue / Holmes Boulevard	10.33	0.33	0.00	Left Turn - 35% Rear End - 32%
Bell Road and I-17 (West Intersection & East Intersection)	44.00	0.67	0.00	Rear End - 69%
Bell Road and 23 rd Avenue	8.00	0.33	0.00	Rear End - 58%
Bell Road and 21 st Avenue	4.67	1.00	0.00	Angle - 43%
Bell Road and 19 th Avenue	28.33	1.33	0.00	Rear End - 40% Left Turn - 36%

C. Description and Objectives of Project

C.1 General

The goal of this project is to improve the overall traffic flow efficiency of Bell Road. The signalized intersections in this area employ fixed signal timing that is preset according to the time of day. Implementing adaptive signal control technology is an operations strategy with great potential to reduce congestion and improve safety. By employing traffic signal timing that adapts to actual traffic conditions, the partnering agencies seek to:

- Provide coordination across jurisdictions at key locations on Bell Road.
- Reduce recurring and non-recurring congestion on Bell Road and intersecting roadways.
- Mitigate the effects of non-recurring congestion on Bell Road, intersecting roadways, and intersecting freeways.
- Improve freeway and arterial operations at traffic interchanges and ramps.

The benefits of this project to the partnering agencies are significant. Day-to-day commutes, incidents, and special events define the traffic condition volume that will be used to determine signal timing cycles and allocation of green time. Traffic signals will adapt their timings to these volumes, therefore maximizing traffic flow. Another benefit of improved signal coordination is the associated reductions in emissions which may improve air quality and help the region adhere to national air quality standards. Reduction in fuel consumption may also be experienced, along with associated reductions in delay and congestion.

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

C.2 This Project

This project will implement adaptive traffic signal control systems, vehicle detection, and anonymous re-identification (ARID) sensors within four project areas on Bell Road / Frank Lloyd Wright Boulevard. The project will be located on the Bell Road corridor between (1) Cotton Lane and Ave of the Arts/114th Avenue, (2) 99th Avenue and 73rd Avenue, (3) Scottsdale Road and Thompson Peak Parkway, and (4) 35th Avenue and 19th Avenue.

The ARID system will calculate the travel time of motor vehicles on Bell Road in real time. This data will be used to monitor changes in congestion and as a performance measure. ARID sensors will be installed at a limited number of selected locations as identified in tables 7 through 10.

This project will involve the addition of adaptive traffic controller hardware and ARID sensors in existing traffic control cabinets, and installation of additional vehicle detection equipment at 52 signalized intersections as described in the following sections for each project area.

Project Area 1

This project will implement an adaptive traffic control system at 21 signalized intersections for a 7.0 mile segment of Bell Road between Cotton Lane and Ave of the Arts/114th Avenue. The project limits for Area 1 will extend 500 feet west of Cotton Lane and 500 feet east of Avenue of the Arts as well as 500 feet north and south of Bell Road on the intersecting, coordinated arterials. Grand Avenue is especially critical in the project area, and the timing of adjacent intersections mainly needs to accommodate progression for the platoons serviced by the critical intersection.

The proposed Project area #1 locations of the ASCT system and the AZTech™ member jurisdiction of the intersection location are listed in the table below. A map of the currently proposed ASCT system locations is shown at the end of this report. Proposed locations are in the jurisdictions of Arizona Department of Transportation and the City of Surprise.

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

Table 7: Proposed ASCT Locations for Project area #1

Intersection ID	Intersection	Jurisdiction
1-1	Bell Road and Cotton Lane*	City of Surprise
1-2	Bell Road and Eastern Parkway	City of Surprise
1-3	Bell Road and 168 th Drive	City of Surprise
1-4	Bell Road and L303 (Bob Stump Memorial Parkway)*	City of Surprise
1-5	Bell Road and 165 th Avenue	City of Surprise
1-6	Bell Road and Sarival Road	City of Surprise
1-7	Bell Road and Sunrise Boulevard	City of Surprise
1-8	Bell Road and Mountain Vista Boulevard	City of Surprise
1-9	Bell Road and Reems Road*	City of Surprise
1-10	Bell Road and Parkview Place	City of Surprise
1-11	Bell Road and Bullard Avenue*	City of Surprise
1-12	Bell Road and Sun Village Parkway	City of Surprise
1-13	Bell Road and Litchfield Road*	City of Surprise
1-14	Bell Road and West Point Parkway	City of Surprise
1-15	Bell Road and Grand Avenue*	Arizona Department of Transportation
1-16	Bell Road and 134 th Drive	City of Surprise
1-17	Bell Road and Dysart Road*	City of Surprise
1-18	Bell Road and R H Johnson Boulevard	City of Surprise
1-19	Bell Road and El Mirage Road*	City of Surprise
1-20	Bell Road and Coyote Lake Parkway	City of Surprise
1-21	Bell Road and Avenue of the Arts/114 th Avenue*	City of Surprise

*Proposed ARID Locations

Connections of the ASCT system and anonymous re-identification sensors will be made to the existing trunk line fiber optic communication cable, where available. At locations where trunk line fiber optic communication cable does not exist, other technologies, including wireless connections, will be considered to connect the ASCT system to the traffic operations center.

All vehicle detection and system interface equipment will be located within the existing public right-of-way. Penetration/drilling into existing poles will be required. Construction of the systems, for all 21 locations, will reflect a maximum total ground disturbance area of approximately 17,850 square feet (13,200 sq. ft. of trenching in landscape and 4,650 sq. ft of loops in pavement advance of the intersection). The project scope consists of the following work:

- Furnish and install new traffic-control cabinets on existing foundations, as needed.
- Furnish and install interface devices in existing traffic-control cabinets.
- Furnish and install additional over-roadway sensors (i.e., video image processor, microwave radar, infrared) placed on existing City-owned and ADOT-owned poles.
- Furnish and install additional in-roadway sensors placed into the asphalt (i.e., inductive loop detectors, magnetic sensors), at a maximum depth of 2 inches.

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

- Trench or bore (less than one foot in width) to a depth of 24–48 inches to install new conduit and install pull boxes, as needed.
- Furnish and install radios, banded to existing city-owned poles, for wireless communications, as needed
- Furnish and install anonymous re-identification sensors on signal cabinets, poles or mast arms at various intersections.
- Connecting the new vehicle-detection equipment, communication equipment, and anonymous re-identification sensors to hardware in the existing traffic signal cabinets, through existing conduit.
- Furnish and install new system hardware and software at Traffic Management Centers.

Project Area 2

This project will implement an adaptive traffic control system at 13 signalized intersections for a 3.4 mile segment of Bell Road between 99th Avenue and 73rd Avenue. The project limits for Area 2 will extend 500 feet west of 99th Avenue and 500 feet east of 73rd Avenue as well as 500 feet north and south of Bell Road on the intersecting, coordinated arterials.

The proposed Project area #2 locations of the ASCT system and the AZTech™ member jurisdiction of the intersection location are listed in the table below. A map of the currently proposed ASCT system locations is shown at the end of this report. Proposed locations are in the jurisdictions of Arizona Department of Transportation, Maricopa County, and the Cities of Peoria and Glendale.

Table 8: Proposed ASCT Locations for Project area #2

Intersection ID	Intersection	Jurisdiction
2-1	Bell Road and 99 th Avenue*	Maricopa County
2-2	Bell Road and 98 th Avenue	Maricopa County
2-3	Bell Road and Lindgren Avenue	Maricopa County
2-4	Bell Road and 92 nd Avenue	City of Peoria
2-5	Bell Road and 91 st Avenue*	City of Peoria
2-6	Bell Road and 87 th Avenue	City of Peoria
2-7	Bell Road and 84 th Avenue	City of Peoria
2-8	Bell Road and L101 (Agua Fria Freeway)	Arizona Department of Transportation
2-9	Bell Road and 83 rd Avenue*	City of Glendale
2-10	Bell Road and 79 th Avenue	City of Glendale
2-11	Bell Road and 77 th Avenue	City of Glendale
2-12	Bell Road and 75 th Avenue*	City of Glendale
2-13	Bell Road and 73 rd Avenue	City of Glendale

*Proposed ARID Locations

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

Connections of the ASCT system and anonymous re-identification sensors will be made to the existing trunk line fiber optic communication cable, where available. At locations where trunk line fiber optic communication cable does not exist, other technologies, including wireless connections, will be considered to connect the ASCT system to the traffic operations center.

All vehicle detection and system interface equipment will be located within the existing public right-of-way. Penetration/drilling into existing poles will be required. Construction of the systems, for all 13 locations, will reflect a maximum total ground disturbance area of approximately 22,000 square feet (9,600 sq. ft. of trenching in landscape and 3,550 sq. ft. of loops in pavement advance of intersection and 8,850 sq. ft. of loops in pavement at stop bars). The project scope consists of the following work:

- Furnish and install new traffic-control cabinets on existing foundations, as needed.
- Furnish and install interface devices in existing traffic-control cabinets.
- Furnish and install additional over-roadway sensors (i.e., video image processor, microwave radar, infrared) placed on existing City-owned and ADOT-owned poles.
- Furnish and install additional in-roadway sensors placed into the asphalt (i.e., inductive loop detectors, magnetic sensors), at a maximum depth of 2 inches.
- Trench or bore (less than one foot in width) to a depth of 24–48 inches to install new conduit and install pull boxes, as needed.
- Furnish and install radios, banded to existing city-owned poles, for wireless communications at each intersection location.
- Furnish and install anonymous re-identification sensors on signal cabinets, poles or mast arms at various intersections.
- Connecting the new vehicle-detection equipment, communication equipment, and anonymous re-identification sensors to hardware in the existing traffic signal cabinets through existing conduit.
- Furnish and install new system hardware and software at Traffic Management Centers.

Project Area 3

This project will implement an adaptive traffic control system at 10 signalized intersections for a 3.2 mile segment of Frank Lloyd Wright between Scottsdale Road and Thompson Peak Parkway. The project limits for Area 3 will extend 500 feet west of Scottsdale Road and 500 feet east of Thompson Peak Parkway as well as 500 feet north and south of Frank Lloyd Wright Blvd on the intersecting, coordinated arterials. There is one critical intersection in the project area (L101), and the timing of adjacent intersections mainly needs to accommodate progression for the platoons serviced by the critical intersection.

The proposed Project area #3 locations of the ASCT system and the AZTech™ member jurisdiction of the intersection location are listed in the table below. A map of the currently proposed ASCT system locations is shown at the end of this report. Proposed locations are in the City of Scottsdale jurisdiction.

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

Table 9: Proposed ASCT Locations for Project area #3

Intersection ID	Intersection	Jurisdiction
3-1	Frank Lloyd Wright Boulevard and Scottsdale Road*	City of Scottsdale
3-2	Frank Lloyd Wright Boulevard and Promenade	City of Scottsdale
3-3	Frank Lloyd Wright Boulevard and 76 th Street	City of Scottsdale
3-4	Frank Lloyd Wright Boulevard and Greenway Hayden Loop*	City of Scottsdale
3-5	Frank Lloyd Wright Boulevard and Northsight Boulevard	City of Scottsdale
3-6	Frank Lloyd Wright Boulevard and Hayden Road	City of Scottsdale
3-7	Frank Lloyd Wright Boulevard and L101 (Pima Freeway)*	City of Scottsdale
3-8	Frank Lloyd Wright Boulevard and 90 th Street	City of Scottsdale
3-9	Frank Lloyd Wright Boulevard and 92 nd Street / 100 th Street	City of Scottsdale
3-10	Frank Lloyd Wright Boulevard and Thompson Peak Parkway*	City of Scottsdale

*Proposed ARID Locations

Connections of the ASCT system and anonymous re-identification sensors will be made to the existing trunk line fiber optic communication cable, where available. At locations where trunk line fiber optic communication cable does not exist, other technologies, including wireless connections, will be considered to connect the ASCT system to the traffic operations center.

All vehicle detection and system interface equipment will be located within the existing public right-of-way. Penetration/drilling into existing poles will be required. Construction of the systems, for all 10 locations, will reflect a maximum total ground disturbance area of approximately 20,700 square feet (6,900 sq. ft. of trenching in landscape and 2,550 sq. ft. of loops in pavement advance of intersection and 11,250 sq. ft. of loops in pavement at stop bars).. The project scope consists of the following work:

- Furnish and install new traffic-control cabinets on existing foundations, as needed.
- Furnish and install interface devices in existing traffic-control cabinets.
- Furnish and install additional over-roadway sensors (i.e., video image processor, microwave radar, infrared) placed on existing City-owned and ADOT-owned poles.
- Furnish and install additional in-roadway sensors placed into the asphalt (i.e., inductive loop detectors, magnetic sensors), at a maximum depth of 2 inches.
- Trench or bore (less than one foot in width) to a depth of 24–48 inches to install new conduit and install pull boxes, as needed.
- Furnish and install radios, banded to existing city-owned poles, for wireless communications, as needed
- Furnish and install anonymous re-identification sensors on signal cabinets, poles or mast arms at various intersections.
- Connecting the new vehicle-detection equipment, communication equipment, and anonymous re-identification sensors to hardware in the existing traffic signal cabinets, through existing conduit.
- Furnish and install new system hardware and software at Traffic Management Centers.

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

Project Area 4

This project will implement an adaptive traffic control system at 8 signalized intersections for a 2.0 mile segment of Bell Road between 35th Avenue and 19th Avenue. The project limits for Area 4 will extend 500 feet west of 35th Avenue and 500 feet east of 19th Avenue as well as 500 feet north and south of Bell Road on the intersecting, coordinated arterials. The project location has some closely spaced intersections with major turning movements at a freeway interchange (I-17). It is imperative that queuing on the off-ramp does not back up onto the mainlines of the freeway.

The proposed Project area #4 locations of the ASCT system and the AZTech™ member jurisdiction of the intersection location are listed in the table below. A map of the currently proposed ASCT system locations is shown at the end of this report. Proposed locations are in the jurisdictions of Arizona Department of Transportation and the City of Phoenix.

Table 10: Proposed ASCT Locations for Project area #4

Intersection ID	Intersection	Jurisdiction
4-1	Bell Road and 35 th Avenue*	City of Phoenix
4-2	Bell Road and 33 rd Avenue	City of Phoenix
4-3	Bell Road and 29 th Avenue / Holmes Boulevard	City of Phoenix
4-4	Bell Road and I-17 West Ramp*	Arizona Department of Transportation
4-5	Bell Road and I-17 East Ramp	Arizona Department of Transportation
4-6	Bell Road and 23 rd Avenue	City of Phoenix
4-7	Bell Road and 21 st Avenue	City of Phoenix
4-8	Bell Road and 19 th Avenue*	City of Phoenix

*Proposed ARID Locations

Connections of the ASCT system and anonymous re-identification sensors will be made to the existing trunk line fiber optic communication cable, where available. At locations where trunk line fiber optic communication cable does not exist, other technologies, including wireless connections, will be considered to connect the ASCT system to the traffic operations center.

All vehicle detection and system interface equipment will be located within the existing public right-of-way. Penetration/drilling into existing poles will be required. Construction of the systems, for all 8 locations, will reflect a maximum total ground disturbance area of approximately 14,500 square feet (5,400 sq. ft. of trenching in landscape and 1,950 sq. ft. of loops in pavement advance of intersection and 7,150 sq. ft. of loops in pavement at stop bars).. The project scope consists of the following work:

- Furnish and install new traffic-control cabinets on existing foundations, as needed.
- Furnish and install interface devices in existing traffic-control cabinets.
- Furnish and install additional over-roadway sensors (i.e., video image processor, microwave radar, infrared) placed on existing City-owned and ADOT-owned poles.

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

- Furnish and install additional in-roadway sensors placed into the asphalt (i.e., inductive loop detectors, magnetic sensors), at a maximum depth of 2 inches.
- Trench or bore (less than one foot in width) to a depth of 24–48 inches to install new conduit and install pull boxes, as needed.
- Furnish and install radios, banded to existing city-owned poles, for wireless communications, as needed
- Furnish and install anonymous re-identification sensors on signal cabinets, poles or mast arms at various intersections.
- Connecting the new vehicle-detection equipment, communication equipment, and anonymous re-identification sensors to hardware in the existing traffic signal cabinets, through existing conduit.
- Furnish and install new system hardware and software at Traffic Management Center.

C.3 System Selection

Contracting mechanisms available for Federal-aid projects are determined by the scope of work and type of services being provided. Maricopa County will administer this contract as a Certification Acceptance Agency. It is proposed that the work be divided by type of work and then procured appropriately. The work would be divided as follows:²

Design and Procurement of an ASCT system - This would primarily be an engineering services contract and the hardware/software and other field services would be incidental to the engineering services work. In this scenario, 1 to 4 RFPs may be issued depending of the similarity of the requirements for the four segments. The selection evaluation would be based on the engineering qualifications, and weights assigned to various selection criteria. One of the criteria will be associated to number of detectors needed (could be on a per mile, per segment type of basis) for the proposers' system, which would give a relative factor for level of cost and effort of the installation and maintenance of the detector portion of the construction project(s).

Installation of detection or other field devices required by the selected ASCT system – This would be a construction project and would be handled accordingly based on low bid. This may be 1 to 4 individual construction projects, depending of the similarity of the requirements for the four project areas.

It is anticipated that the construction project would be let once the design determines the detection and other field equipment. An overlap between the projects is expected since final systems acceptance testing could not be completed until the detection is in place.

C.4 Construction/Installation Objectives

The objectives of the adaptive system that support the stated goal are:

To support vehicle traffic mobility:

- Allow effective use of all controller features currently in use or proposed to be used

² Aryan Lirange, FHWA email to Paul Porell, MCDOT on August 15, 2013:

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

- Minimize adverse effects caused by unpredictable traffic flow

To support measurable improvements in personal mobility:

- Adjust operation to changing conditions.
- Reduce delays.
- Reduce travel times.
- Provide the same level of or improvements in safety provided by the existing system to vehicles, pedestrians, transit, and emergency services.

To support regional systems:

- Be compliant with the regional ITS Architecture.
- Be part of the overall reduction of delays and travel time on the Bell Road corridor.

To support environmental objectives:

- Reduce vehicle emissions through improvements in appropriate determinants such as vehicle stops and delays.

To support a timely schedule:

- Be sufficiently mature and robust that risk is low and little or no development time will be required.
- Be ready for full operation based on Federal Aid requirements.

C.5 Project Milestones

Project milestones and deliverables are shown in the following table:

Table 10: Anticipated Project Milestones & Deliverables

Project Milestone	Anticipated Completion
Design Concept Report	March 31, 2014
System Procurement	August 31, 2014
Installation Plans and Cost Estimates	April 30, 2015
Environmental, Utility, and Right-of-Way Clearances	April 30, 2015
Construction and Construction Administration	August 31, 2015
System Integration	May 31, 2016
Final Testing and Project Acceptance	July 31, 2016
Overall Project Management	Throughout

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

D. Design Features

D.1 General

As referenced above, 52 intersections along Bell Road / Frank Lloyd Wright Boulevard will be equipped with adaptive signal control technology (ASCT) and anonymous re-identification device (ARID) sensors in Maricopa County. MCDOT has worked closely with members of the other agencies having jurisdiction along the corridor, and will continue to involve these agencies during the project's design and deployment of the new systems. After installation is completed, testing of all systems and data transmission capabilities will be conducted as part of the project.

Connections of the ASCT system and ARID sensors will be made to the existing trunk line fiber optic communication cable, where available. At locations where trunk line fiber optic communication cable does not exist, other technologies, including wireless connections, will be considered to connect the systems to the traffic management center.

Existing drainage contours will not be altered as a result of system hardware, sensors, vehicle detectors or other related equipment installation work associated with the project.

D.2 Major Equipment and Operational Elements

ASCT Interface Devices

The adaptive signal control technology hardware installation locations for this project will be inside traffic-control cabinets at existing signalized intersections. Some ASCT systems are integrated within the existing signal controller. In this case, no additional ASCT interface hardware would be required inside the traffic-control cabinets. Adaptive signal control technology hardware and software for this project will also be installed at the respective Traffic Management Center.

ARID Sensors

The anonymous re-identification equipment installation locations for this project will be at existing signalized intersections approximately one mile apart within each project area. The installation of this equipment will be both inside and externally mounted to existing traffic-control cabinets, poles or mast arms, and does not require ground disturbance.

Traffic-Control Cabinet

Existing traffic-control cabinets may not have sufficient space to accommodate the connections of new ASCT system hardware, ARID sensors, and vehicle detection equipment. New, larger traffic-control cabinets will be installed on the existing cabinet foundations, as needed, and do not involve ground disturbance.

Vehicle Detection

Over-roadway vehicle detection sensors (i.e., video image processor, microwave radar, infrared) will be installed on existing city-, county-, or state-owned poles and do not require ground disturbance.

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

In-roadway vehicle detection sensors (i.e., inductive loop detectors, magnetic sensors) will be installed in the asphalt, at a maximum depth of 2 inches. New conduit and pull boxes will be installed as needed by trenching (less than one foot in width) to a depth of 24 to 48 inches.

Electrical Power Source and Connections

No utility relocation conflicts or costs are anticipated for this project. This project does not include any new power service installations for any installed devices. Utility clearance will be handled by Maricopa County. The vehicle detection equipment will be installed at each intersection location and powered by the traffic control cabinets or at City managed poles, depending on final installation.

Trunk line Connection

Connections of the systems will be made to the existing trunk line fiber optic communication cable, where available. At locations where trunk line fiber optic communication cable does not exist, other technologies, including wireless connections, will be considered to connect the signs to the traffic operations center. Wireless communications involve installing radios, banded to existing city- or county-owned poles.

D.3 Right-of-way and Utility Clearances

It is expected that additional right-of-way or temporary construction easements will not be needed for this project. The expectation will be evaluated and confirmed during the design process.

During the conceptual and preliminary design of the project, utility companies with utility line ownership at or near the specific location sites will be identified; any potential conflicts with existing or planned utilities will be determined. If conflicts do appear, they will be either avoided or mitigated through design modification. At the time of actual construction, the construction contractor will be responsible for confirming utility locations in accordance with the ARS blue stake provisions, and that conflicts do not exist.

D.4 Construction Traffic Control Plan

A traffic control plan will be developed by the contractor and will be approved by the MCDOT Project Management Office, Traffic Management Division, and the respective jurisdictional owners, before any construction work begins. Traffic diversion during construction is not expected, but the need for additional traffic control will be re-evaluated at the time of construction, and if closures or diversion is required, the necessary components will be incorporated into the plan.

D.5 Construction Staging Area/Permits

Normally, for this type of project, a staging area for construction equipment is not needed; however, a final decision will be made during the pre-construction meeting between the contractor and MCDOT. Any permits needed for construction will be acquired by the contractor.

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

D.6 Certification of Standards/Design Exceptions

The design standards and safety requirements of this project will be in compliance, to the extent applicable, with those set forth in standards of the Cities of Surprise, Peoria, Glendale, Phoenix, or Scottsdale, the MCDOT Roadway Design Manual, Manual on Uniform Traffic Control Devices (MUTCD) 2009 Edition, Arizona Supplement to the MUTCD, and AASHTO “Geometric Design Guide for Local Roads and Streets” as modified. All construction specifications and details will follow the latest published and referenced MAG Standard Specifications and Details for Public Works Construction, along with the MCDOT Supplement to this document, and applicable specifications and details of the Arizona Department of Transportation and Cities of Surprise, Peoria, Glendale, Phoenix, or Scottsdale. No design exceptions are expected.

D.7 Intergovernmental Agreement

The Bell Road Coordination Committee consists of representatives from Surprise, MCDOT, ADOT, Peoria, Glendale, Phoenix, and Scottsdale. Each stakeholder agency representative for this project is a member of the Bell Road Coordination Committee to jointly manage the operation of Bell Road by using ITS technologies to provide for safe and efficient traffic flows. Formed in 2000, this group meets regularly to address all traffic issues dealing with the safe and efficient movement of vehicles and pedestrians along the Bell Road corridor.

Interagency agreements for cost sharing and accepting and operating installed equipment are anticipated for this project. The sponsoring and partnering agencies will contribute to the 5.7% local match for construction based on the number of intersections they operate within the project area that are implemented with adaptive signal control technology. Each agency will continue with operations and maintenance of their respective intersection equipment.

As a self certification agency, Maricopa County will pay the \$5,000 ADOT local projects review fee which is not eligible for federal reimbursement. Maricopa County will pay the local match for design which will also be used to offset their portion of the local match for construction.

Existing agreements (fiber optic sharing with other AZTech™ members) or operational memoranda already in place or expected to be in place (e.g. Concept of Operations) will be used to guide overall operations after the project installation is complete.

E. Preliminary Cost Estimate

The estimated design cost for this project is \$318,134. The estimated construction cost for this project is \$2,455,000. The project is eligible for federal funds for design (FFY 2013) and construction (FFY 2015) and a portion (\$300,000 and \$2,315,065, respectively, or approximately 94.3%) of the cost will be financed by those federal dollars. An itemized cost estimate will be developed and refined at various points in the project design process. The federal funds which will be used are from the Congestion Management and Air Quality (CMAQ) program.

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

Table 11: Cost Estimate

ADOT Item No.	ITEM DESCRIPTION	UNIT	QTY	UNIT PRICE	AMOUNT
7370600	Furnish, Deliver and Install (Adaptive Traffic Control System)	L. Sum	4	\$ 168,000	\$ 672,000
7370600	Furnish, Deliver and Install (Vehicle Detection)	Each	52	\$ 25,000	\$ 1,300,000
7370600	Furnish, Deliver and Install (ARID Central Processing Software)	Each	4	\$ 2,782	\$ 11,126
9240127	Miscellaneous Work (Bluetooth Sensor)	Each	20	\$ 2,500	\$ 50,000
	TOTAL BID ITEMS:				\$ 2,033,126
	Construction Contingencies @ 5%				\$ 101,656
	Construction Engineering @ 14%				\$ 298,870
	Post Design Services @ 1%				\$ 21,348
	TOTAL:				\$ 2,455,000

F. Social, Economic, and Environmental Considerations

Preliminary investigations would indicate that due to the nature of the project, little to no environmental impact to the surrounding area is anticipated because:

- No habitat exists for protected or special status species.
- The area is primarily urban in nature with natural desert present on undeveloped lots as well as near/within the rivers/washes in the project area.
- Vegetation is primarily ornamental by nature and associated with commercial and residential development (Lantana, Mexican bird of paradise, palm trees, and other non-native plant species). Some native vegetation is present on undeveloped lots and in the vicinity of washes/rivers but should not be impacted.
- No invasive species appear to be present.
- There are no riparian areas or wetlands.
- Farmlands are not present and therefore Prime or Unique Farmland will not be impacted.
- Archaeological/historical resources are not expected to be impacted.
- Work associated with this project is expected to take place within the existing right of way.
- It is anticipated that socioeconomic impacts may be expected but temporary in nature and occur during construction only.
- It is not anticipated that Section 4(f) Resources within a ¼ mile radius of the project area will be impacted due to the nature of the project.
- No hazardous materials are expected to be encountered due to the nature of this project.

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

- Although there are noise receptors in the area, noise generated from the project will be isolated to construction only, and therefore will not impact the surrounding neighborhoods.
- Air quality/mobile source air toxics will not be negatively altered by this project because this project will not result in increases in traffic volumes, vehicle mix, or any other factor that would cause an increase in emissions impacts relative to the no-build alternative.

Early in the design process, the level of public involvement needed for the Bell Road Adaptive Signal Control Technology Deployment project will be addressed. The purpose of public involvement is to inform the public of the objectives of the project, introduce the preliminary design, and to provide the opportunity for public comment. Those comments could then be incorporated into the project development process. It is anticipated that press releases about the project's objectives and progress will also be developed and distributed. In addition to public involvement, agency scoping with those affected and interested will be part of the project design and environmental analysis provided by MCDOT. The purpose of agency scoping is to receive feedback from all interested parties and to incorporate any concerns into the design. The Federal Highway Administration (FHWA), Arizona Department of Transportation (ADOT), and the Maricopa Association of Governments (MAG) are aware of the project.

The types of technical reports to be anticipated are as follows:

- Biological Resources – an Urban Biological Evaluation (BE) Form would be appropriate to determine any potential impacts to sensitive species as a result of this project due to the urban setting.
- Hazardous Materials – A preliminary Initial Site Assessment (PISA) would be appropriate to determine if any hazardous materials concerns are present in the project area. Any painted feature that will be impacted, i.e. cabinet drilled into, etc, will be mitigated by instructing the contractor to tape the area before drilling so that any paint residue from the drilling process would adhere to the tape. The tape would then be removed. Using this process, the tape would not be considered hazardous waste and would not require special disposal.
- Cultural Resources – A Cultural Resources evaluation will be prepared to determine the presence of cultural resources (archaeological and architectural) within the project area. This evaluation will undergo consultation pursuant to Section 106 of the National Historic Preservation Act of 1966.
- Final Environmental Determination – It is anticipated that a Categorical Exclusion will be the appropriate level of environmental documentation for this project. It will be submitted by MCDOT and – like the environmental technical reports – will be subject to the ADOT/FHWA review and approval process.

The environmental analysis must include all scope of work items, project footprint, new right of way, and all temporary disturbance areas associated with the project (including any utility work, underground borings, TCEs and any designated staging areas).

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

G. Alternatives

G.1 Traffic Responsive Pattern Selection (TRPS)

It is common for a coordinated traffic signal to operate different timing plans at different times of the day and days of the week. This is done by utilizing a predetermined timing plan that best suits the current traffic conditions. For example, at different times, a signal may operate an a.m. Peak, a p.m. Peak, or an Off Peak plan. It may also operate in free (uncoordinated) mode at other various times, such as overnight. The most common means of determining when to change timing plans is to use a time-of-day and day-of-week schedule. This is referred to as time-of-day (TOD) plan selection and is the existing implemented strategy in the project area.

Time-of-day plan selection works well when traffic conditions are consistent and predictable – that is, similar traffic patterns generally occur during the same times each day. When an incident, a planned event (e.g., construction, county fair, football game, etc.), extreme weather, or any other unusual occurrence causes a significant change in the normal traffic conditions, the timing plan selected by the time-of-day method may not be the plan best suited to current conditions. To address this situation, the Traffic Responsive Pattern Selection (TRPS) method uses data from traffic detectors, rather than time of day, to automatically select the timing plan best suited to current conditions.³

A TRPS strategy has been used near the Surprise Recreation Campus for event traffic. Although there were positive results, there were also some negative results. The TRPS system could only look for one event at a time. It relied solely on the accuracy of the video detection, which has been problematic. At this time, a TRPS system cannot be controlled by time-of-day (TOD), therefore if there is a failed detector(s), a traffic pattern strategy may be commanded that conflicts with the current traffic pattern. Also, at times the transition period was extremely lengthy.

TRPS has been operated by the City of Phoenix in the past at 44th Street and Indian School through video detection and TransSuite, using an advanced detector. It did work, but there was not enough time to refine it due to a lack of available, qualified staff.

A TRPS system could possibly be used in some of the Project areas, but the use of any TRPS system would need to be evaluated extensively and additional staff may be required.

G.2 Complex Coordination Features

The City of Scottsdale currently maintains 18 signal timing plans that they are able to manually implement. Eight of the plans are extreme, for directional flushing of vehicles.

Extensive resources are expended to develop and maintain 18 signal timing plans. Frequent traffic volume data collection and refinement of these signal timing plans is required to maintain their effectiveness. Manual implementation of the plans requires staff resources, which may not be available in every jurisdiction, and is reactive to changing traffic conditions. An adaptive

³ FHWA Traffic Signal Timing Manual, Chapter 9.3, Traffic Responsive Operation

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

system would be expected to recognize in real-time, and in some cases, predict changing traffic conditions and implement appropriate signal timing accordingly.

G.3 “No-Build” Alternative⁴

The U.S. Department of Transportation Federal Highway Administration (FHWA) identifies adaptive signal control technology as an innovation to deploy as part of the Every Day Counts initiative. The Every Day Counts initiative is designed to identify and deploy innovation aimed at reducing the time it takes to deliver highway projects, enhance safety, and protect the environment.

Poor traffic signal timing contributes to traffic congestion and delay. The existing, conventional signal systems use pre-programmed, daily signal timing schedules. The variability and unpredictability of traffic demand on arterial systems often outpace the ability of each agency to update signal timings so that signalized intersections operate efficiently and do not cause congestion and delays to motorists and pedestrians. Adaptive signal control technology adjusts the timing of red, yellow and green lights to accommodate changing traffic patterns and ease traffic congestion.

The existing system cannot recognize the onset of peak periods, so the peak period coordination plan introduction times are set conservatively to ensure they cover the normal variation in duration and intensity of the peak. This means that the timing is often less efficient during the early and late parts of the peak periods.

The coordinated signal operation for Project area #1 is often disrupted by rail preemption. An adaptive system would recover from these disruptions more quickly than the existing system.

The peak direction of traffic flow fluctuates during peak hours of the day, so the peak period plan is a compromise. An adaptive system would be expected to recognize the direction of heaviest flow in real time and react accordingly, rather than use a plan that is less efficient but can accommodate a range of flows.

The main benefits of adaptive signal control technology over conventional signal systems are that it can:

- Automatically adapt to unexpected changes in traffic conditions.
- Improve travel time reliability.
- Reduce congestion and fuel consumption.
- Prolong the effectiveness of traffic signal timing.
- Reduce the complaints that agencies receive in response to outdated signal timing.
- Make traffic signal operations proactive by monitoring and responding to gaps in performance.

⁴ www.fhwa.dot.gov/everydaycounts/technology/adsc/ accessed 1/21/14

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

Studies indicate that crashes could be reduced by up to 15 percent through improved signal timing. Adaptive signal control technology can reduce the intersection congestion that causes many crashes.

Adaptive signal control technologies also provide value directly to signal operators. By extending the effectiveness of traffic signal timing plans, implementing adaptive signal control technologies can yield direct savings by reducing the frequency of manually retiming signals.

Safety enhancements and traffic management efficiencies, necessary to keep pace with increasing traffic, along with diminishing budgets for capital improvements, clearly point to ITS solutions as a cost-saving, effective improvement addition. A critical component in that ITS solution is deployment of an adaptive signal control technology at strategically selected intersections.

H. Administration

MCDOT, a Certification Acceptance Agency, will administer the design of the project and will manage the construction of it through a staff Project Manager who has many years of construction management experience. MCDOT may also establish a Technical Working Group (TWG) and/or internal Design Team, with members drawn from various project development specialties (right-of-way, utilities, and environmental assessments, agreements), in order to provide advice during design and overall project construction. Billing will take place during design and construction of the project as milestones are reached and deliverables are completed.

Location maps, along with a MAG project insert and ADOT System Engineering Checklist, are found on the following pages.

BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

Exhibit A – Current Project Programming Insert (from MAG TIP)

Maricopa County: Page 1 of 10

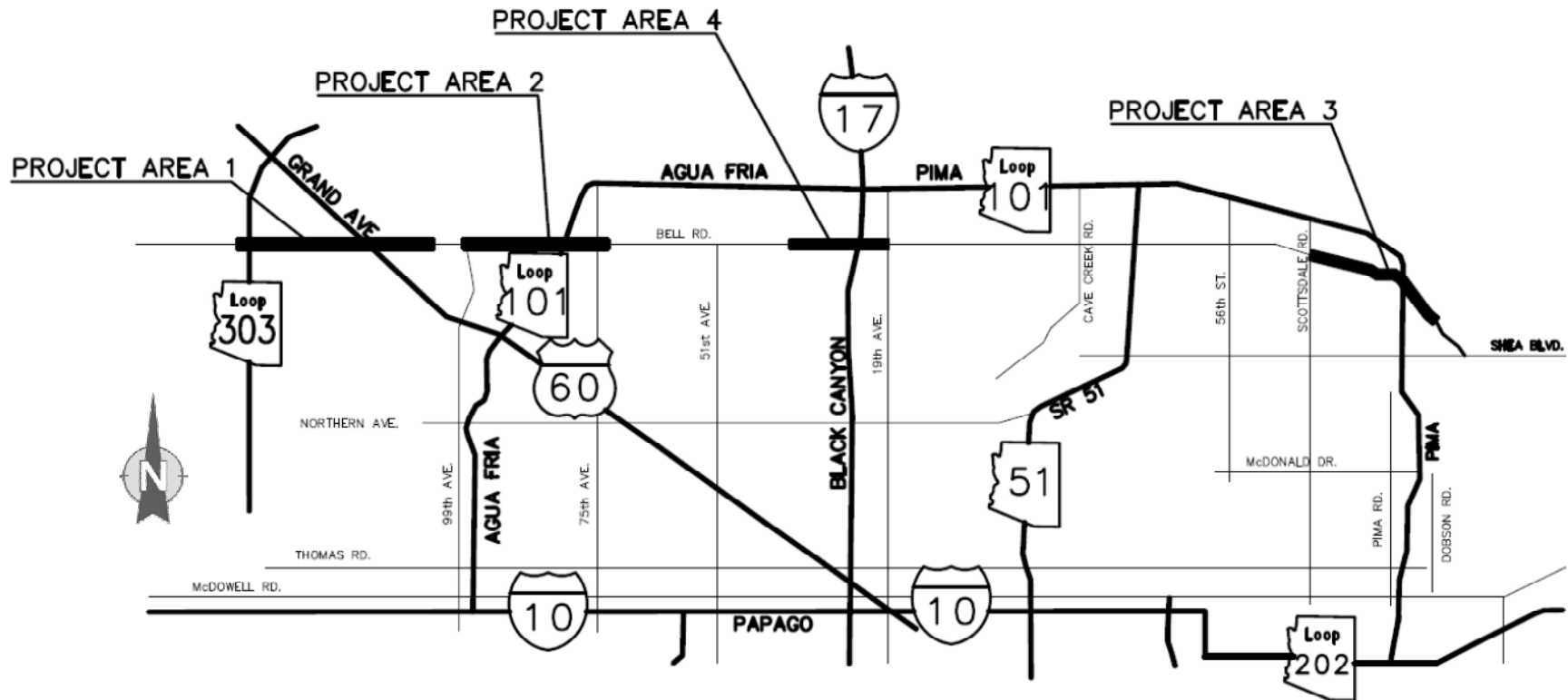
Maricopa County

DRAFT

Project Description		Work Phases - Identification Number(s), Description, Schedule and Funding										Technical Information									
Project IDN(s): - MAG ID - STIP ID - RTP ID	Project Location	Life Cycle Program	Work IDN(s) : - TIP ID - ADOT TRACS - Fed Aid ID	Work Descriptions - Text Description - FTA AU Number	Schedule			Funding			Project Total	Est. Date Project to be in use	Project Type Code	Project Length (MI)	On Facility Properties				Air Quality Area	Air Quality Code	CMP Reference Code
					Program Year	Status	Date Funding Committed	Funding Source(s)	Amount(s)	Work Phase Total					Facility Type	Fed Route Class	Thru Lanes Before	Thru Lanes After			
20230	Avondale Blvd at MC 85: RR and Intersection modifications	None	MMA13-190	Design Rail Safety Improvements	2013	Underway		HSP-RGC Local	100,000 90,455	190,455	2,237,455	Q2, 2015	140	0.1	131		4	4	M	0	
		None	MMA14-190	Acquisition of right-of-way for Rail Safety Improvements	2014	Planned		HSP-RGC Local	62,000 38,000	100,000											
		None	MMA15-190	Construction for Rail Safety Improvements	2015	Planned		HSP-RGC Local	946,075 1,000,925	1,947,000											
21955	Regionwide	None	MMA14-101 S2065 01C CM-MMA-0(242)D	Upgrade the Regional Archive Data Center Equipment and Systems to enhance archiving capacity and the utility of real time traffic data	2014	Planned		CMAQ Local	125,937 58,500	184,437	184,437	Q4, 2014	130	0.0	900	3	0	0	M	0	
28557	Bell Road	None	MMA15-431D	Design adaptive signal control	2013	Underway		CMAQ Local	300,000 18,134	318,134	2,773,134	Q4, 2015	130	7.0	131		0	0	M	0	
		None	MMA15-461 S2087 01C CM-MMA-0(246)D	Bell Road Adaptive Signal Control Technology (ASCT) Deployment	2015	Planned		CMAQ Local	2,315,065 139,935	2,455,000											
		None	MMA11-719	Construct bridge and access across the Agua Fria River	2015	Planned		Local	25,000,000	25,000,000	25,000,000	Q4, 2017	120	1.0	140	7	0	4	M	0	
16453 AQ-DOB-10-03	Dobson Road Bridge over the Salt River	ALCP	MMA08-810	Pre-Design roadway widening	2009	Completed		Local	47,969	47,969	47,801,952	Q2, 2022	120	1.6	131	4	0	6	M	0	
		ALCP	MMA08-810PZ	Pre-Design roadway widening	2009	Completed		Local	643,983	643,983											
		ALCP		Design roadway widening	2019	Planned		Local	4,000,000	4,000,000											
		ALCP		Acquisition of right-of-way for roadway widening	2020	Planned		STP-MAG Local	2,800,000 1,200,000	4,000,000											
		ALCP		Construct roadway widening	2021	Planned		STP-MAG Local	12,886,199 5,613,801	18,500,000											
		ALCP		Construct roadway widening	2022	Planned		STP-MAG Local	2,946,203 17,563,797	20,610,000											

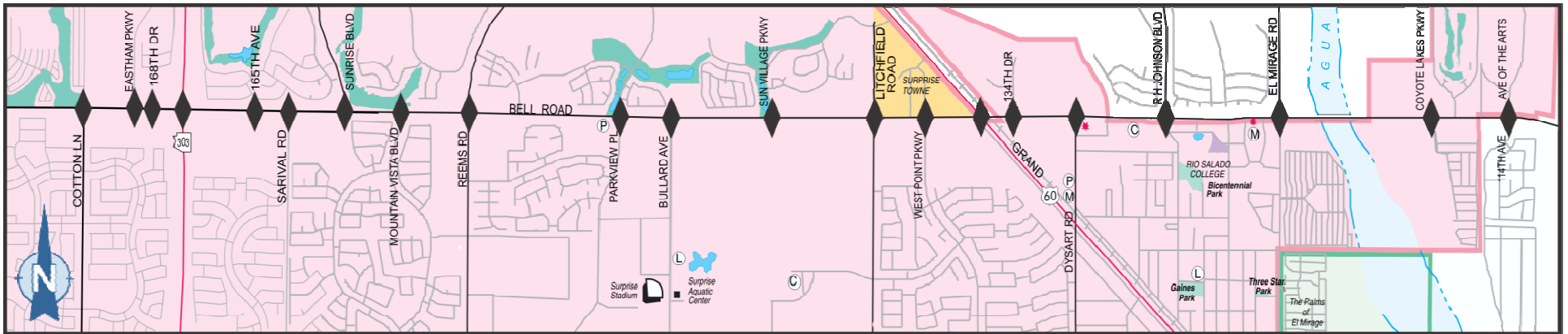
BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

Exhibit B – Project Vicinity Map



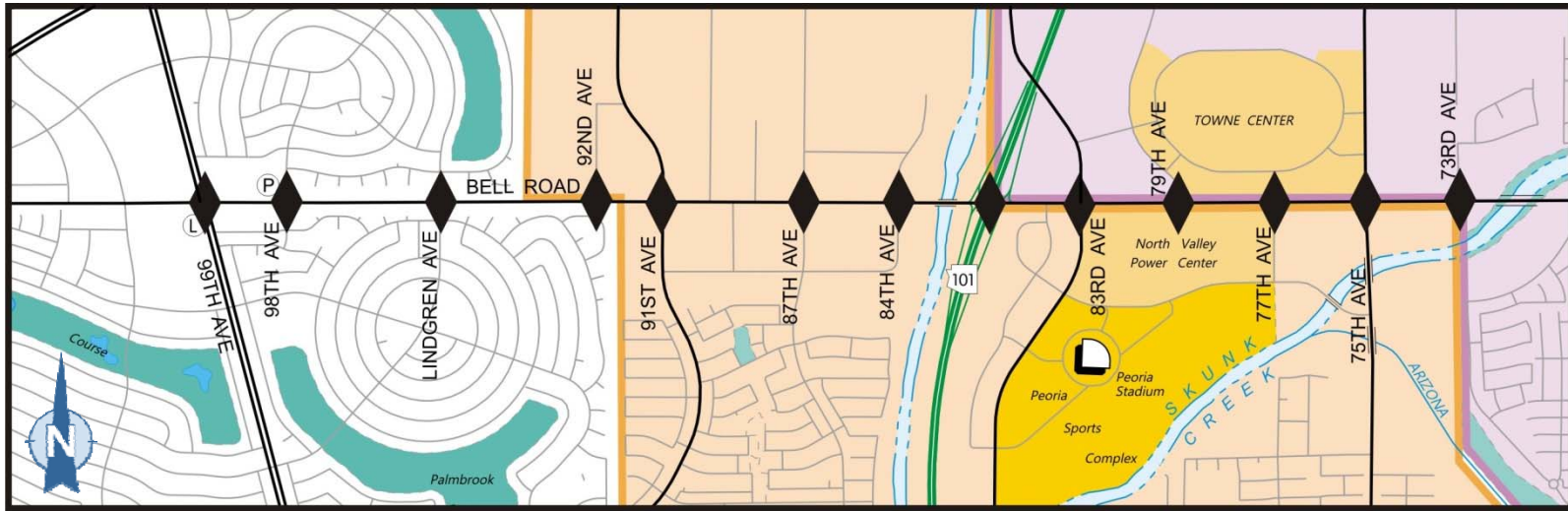
BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

Exhibit C – Project Area #1: Bell Road and Grand Avenue from Cotton Lane through Avenue of the Arts/114th Avenue



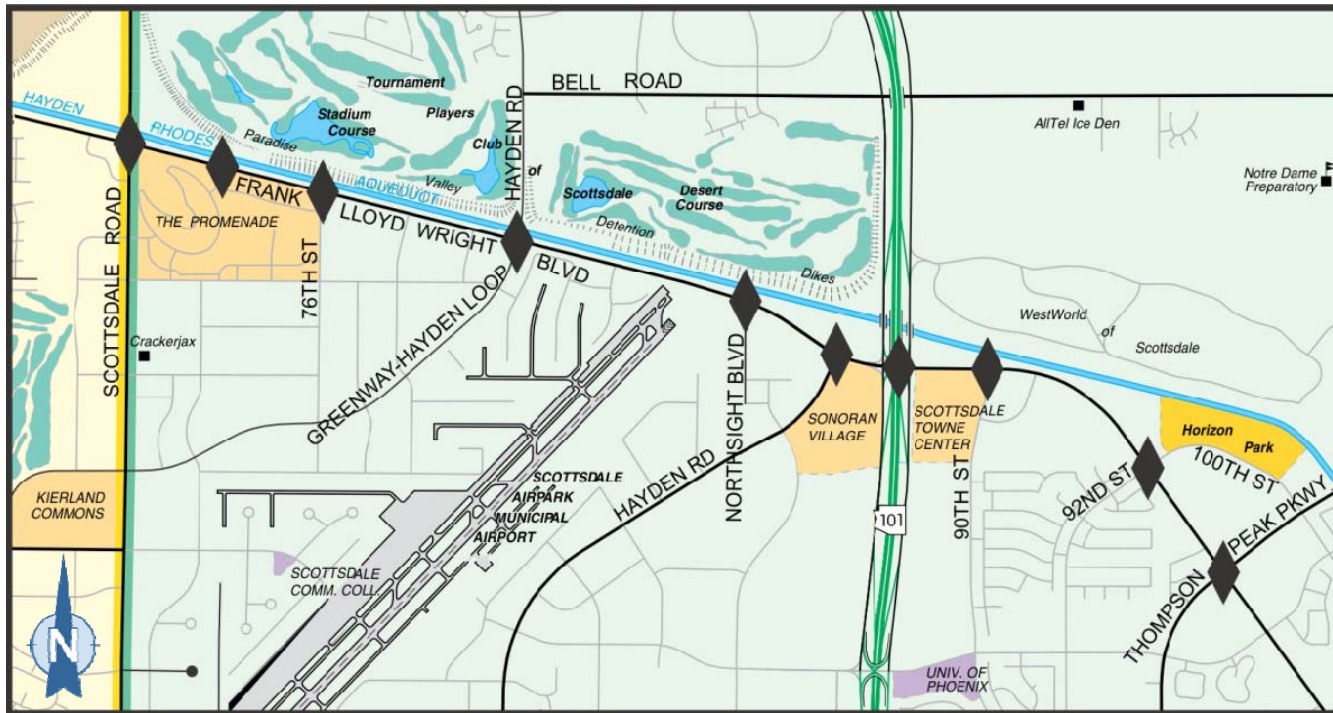
BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

Exhibit D – Project Area #2: Bell Road and Loop 101 from 99th Avenue through 73rd Avenue



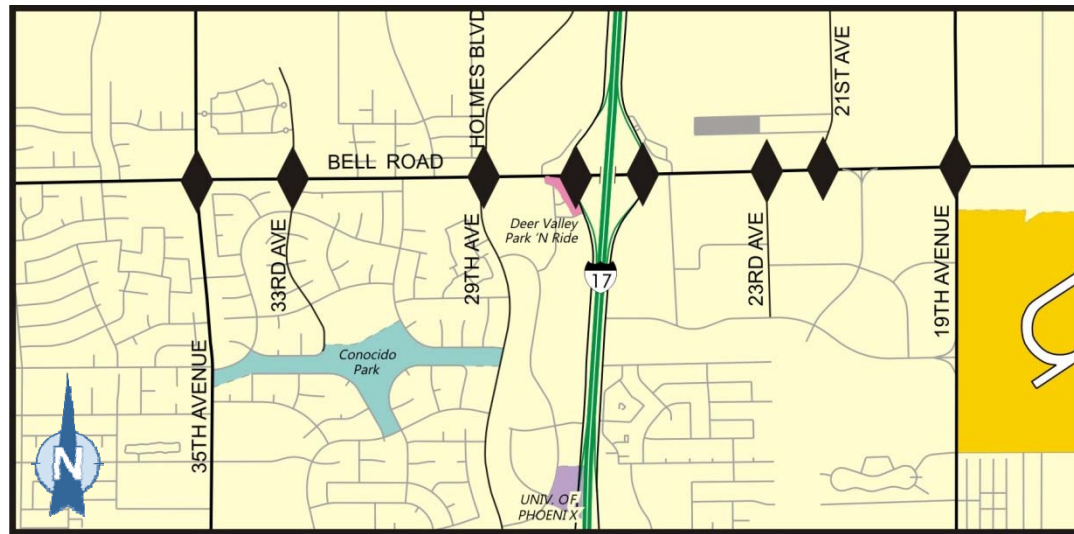
BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

Exhibit E – Project Area #3: Frank Lloyd Wright Boulevard and Loop 101 from Scottsdale Road through Thompson Peak Parkway



BELL ROAD ADAPTIVE SIGNAL CONTROL TECHNOLOGY DEPLOYMENT

Exhibit F – Project area #4: Bell Road and I-17 from 35th Avenue through 19th Avenue





Public Works Department

March 26, 2014

To: Dick McKinley, Director

From: Michael Boule, Project Manager

RE: Bell Rd. Adaptive Signal Control Technology Deployment IGA

The Maricopa County Department of Transportation (MCDOT) has been awarded Federal funding for the procurement and deployment of adaptive traffic signal control systems along the Bell Rd. corridor. This is multi-agency projects which include the City of Surprise, Maricopa County, the City of Peoria, the City of Glendale, the City of Phoenix, the City of Scottsdale, and the Arizona Department of Transportation. The project consists of four deployment areas along Bell Rd with the area within the City of Surprise consisting of 21 intersections starting at Avenue of the Arts and ending at Cotton Lane. The deployment of this equipment will allow our signals to dynamically adapt to real time traffic conditions in an effort to keep traffic moving along the corridor safely and efficiently. This technology will also allow traffic to recover to normal flow patterns following an unexpected traffic incident. The City will have complete control over the operation of the adaptive control system.

The Council item scheduled for April 15th will be for the consideration and action on an intergovernmental agreement with the Maricopa Department of Transportation for the acceptance of the grant funding and associated terms and conditions.

The total Construction cost estimates for the improvements that will be installed within the City limits is \$900,000. The IGA requires a 5.7% cost match which equates to \$51,300. There is no cost to the city for the design of this project. The city has budgeted this project within fiscal year 2015 and the funding will be split between the following funds:

Growth- Public Works Development Fee Fund (63.3%): \$47,700

Non-Growth- General Capital Fund (36.4%): \$27,300

Total Project Budget \$75,000

The \$75,000 will cover the cost match, MCDOT review fees, and allow for project contingency. This will be a onetime IGA payment with no ongoing operation and maintenance costs.



CITY OF SURPRISE
Regular City Council Meeting

May 6, 2014 @ 6:00:00 PM

Back Print

Council Meeting Date:	May 6, 2014	Contact Person:	Chris Boyd, Community & Economic Development
Submitting Department:	CD	District:	3
Staff Recommendations:	Approve		

Consent	x	Regular	Public Hearing	Report/Discussion
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Agenda Wording:

Consideration and action approving a final plat entitled "City at Surprise Phase III," generally located at the southeast corner of Civic Center Drive and Bell Road, in Surprise, Arizona; Resolution 2014-34.

Motion:

I move to approve Resolution 2014-34.

Background:

The applicant is requesting a final plat approval that will establish the property boundaries and necessary easements to accommodate a 7,500 square-foot, commercial emergency room facility.

Financial Impact Statement:

All activity related to ongoing development of the City of Surprise has an economic and fiscal impact on the city and the region.

ATTACHMENTS:

Click to download

- ☐ [Staff Report](#)
 - ☐ [Resolution and Plat](#)
 - ☐ [Vicinity Map](#)
-

External Attachment Links:

Meeting Requirements:

Powerpoint	x	Video	White Board	Other	x
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Presentation Speaker Names (spelling and titles for TV captions):

Nichole McCarty, Acting Planning Manager

Date: May 6, 2014

To: Mayor and City Council

From: Chris Boyd, Interim Director
Nichole McCarty, Acting Planning Manager
Katie Posler, Assistant Planner
katie.posler@surpriseaz.gov 623-222-3183

Re: FS13-560, City at Surprise Phase III – Final Plat

Application Summary

The applicant is requesting approval of a final plat entitled “City at Surprise Phase III.” The property is intended to be used as a hospital emergency room.

Location

The site is located on the southwest corner of Bell Road and Civic Center Drive. The parcel is located in the Surprise Center PAD and is adjacent to commercial and employment uses.

History

- On June 12, 1980, through Ordinance 80-3, the Mayor and City Council approved the annexation of the property into the City of Surprise.
- On January 11, 2007, the Mayor and City Council approved the Surprise Center PAD.

Project Summary

The applicant is proposing to combine two (2) commercial parcels into one (1) parcel. The final plat will accommodate the proposed Arizona General Hospital Emergency Room. The facility will be approximately 7,456 square feet, built on a 1.64 acre lot. The Planning and Zoning Commission considered the site plan for the Arizona General ER on May 1, 2014.

The proposed parcel, once developed, will be accessible from two (2) access points on the south private drive and one (1) access point on the east private drive which satisfies city code requirement of at least two (2) access points. Site improvements include parking, retention, pedestrian walkways, landscaping, and site lighting. Off-Site improvements on Bell Road are already in place.

Findings of Fact

1. Staff finds that the proposed Final Plat complies with the Surprise General Plan 2035.
2. Staff finds that the proposed Final Plat complies with the Surprise Municipal Code.

3. Staff finds that the proposed Final Plat complies with the Surprise Center PAD.

Recommendation

Staff recommends approval of the Final Plat, subject to the findings of fact.

Attachments

Vicinity Map, Final Plat, and Resolution.

RESOLUTION #2014-34

A RESOLUTION OF THE MAYOR AND COUNCIL OF THE CITY OF SURPRISE, ARIZONA, APPROVING A FINAL PLAT ENTITLED "CITY AT SURPRISE PHASE III," GENERALLY LOCATED AT THE SOUTHEAST CORNER CIVIC CENTER AND BELL ROAD, IN SURPRISE, ARIZONA.

WHEREAS, on June 12, 1980, the Mayor and City Council approved the annexation of this property into the City of Surprise;

WHEREAS, on January 11, 2007 the Mayor and City Council approved the Surprise Center Planned Area Development;

WHEREAS, the proposed Final Plat conforms to the Site Plan approved by Planning and Zoning Commission on May 1, 2014;

WHEREAS, the proposed Final Plat does not create any substandard or nonconforming lots; and

WHEREAS, the proposed Final Plat is in compliance with the Surprise Municipal Code and Surprise General Plan.

NOW, THEREFORE, BE IT RESOLVED by the Mayor and Council of the City of Surprise, Arizona, as follows:

Section 1. The Final Plat entitled "City at Surprise Phase III," dated April 3, 2014, is approved.

APPROVED AND ADOPTED this _____ day of _____ 2014.

Sharon R. Wolcott, Mayor

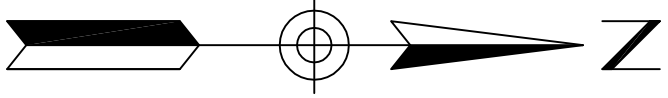
Attest:

Approved as to form:

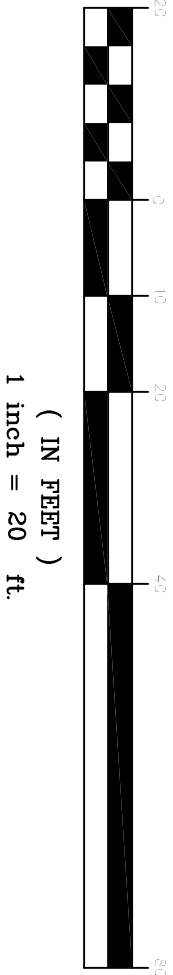
Sherry Aguilar, City Clerk

Misty Leslie, City Attorney

LINE TABLE		
LINE	LENGTH	BEARING
L1	50.51'	S83°42'12"E
L2	26.67'	S89°23'04"E
L3	25.08'	S44°23'04"E
L4	21.72'	S89°23'04"E
L5	22.74'	N00°12'57"E
L6	113.45'	N06°13'52"W
L7	37.34'	N08°38'47"E
L8	89.45'	N00°13'57"E
L9	12.73'	S89°23'04"E
L10	112.64'	S00°12'57"W

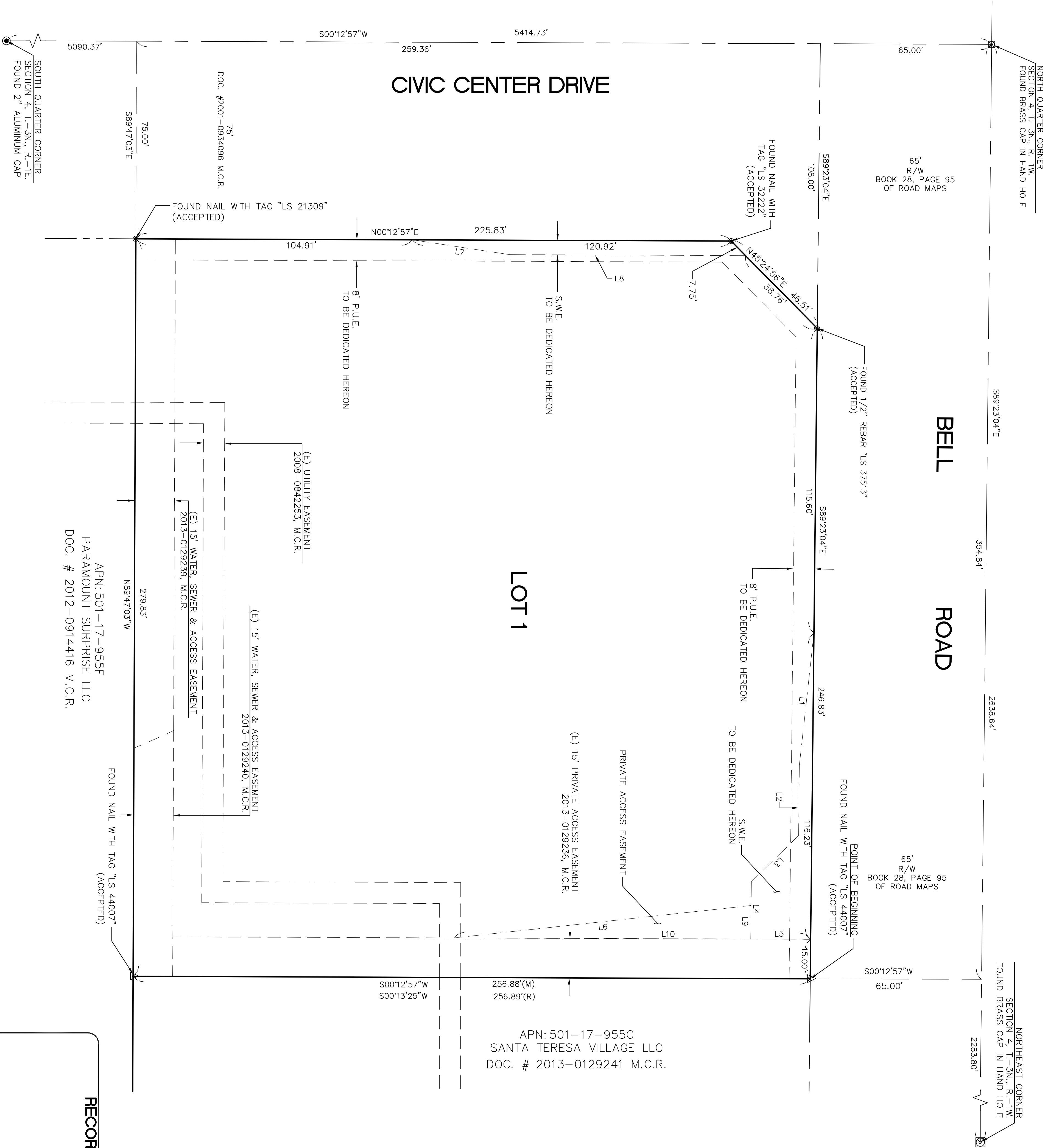


GRAPHIC SCALE



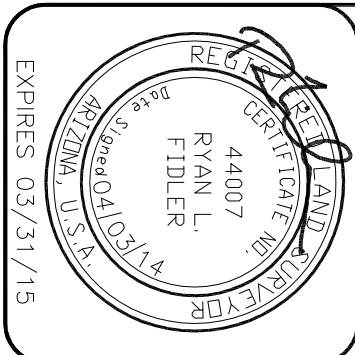
LEGEND

- FOUND BRASS CAP IN HAND HOLE
- SET NAIL WITH TAG RLS# 44007
- SET 1/2" REBAR WITH CAP RLS# 44007
- FOUND AS NOTED
- BREAKLINE
- SECTION LINE
- SUBDIVISION LINE
- LOT LINE
- RIGHT-OF-WAY LINE
- EASEMENT LINE
- TIE LINE
- ADJACENT BOUNDARY LINE
- M.C.R. MARICOPA COUNTY RECORDER
- P.U.E. PUBLIC UTILITY EASEMENT
- S.W.E. SIDEWALK EASEMENT
- (E) EXISTING
- R/W RIGHT-OF-WAY



RECORDER

FINAL PLAT
"CITY AT SURPRISE PHASE III"
A SUBDIVISION OF A PORTION OF THE NORTHEAST QUARTER OF SECTION 4, TOWNSHIP 3 NORTH,
RANGE 1 WEST OF THE GILA AND SALT RIVER BASE AND MERIDIAN, MARICOPA COUNTY, ARIZONA.



rlf
Consulting, llc
Land Survey & Mapping Solutions
1835 East 6th Street, Suite, 24 Tempe, AZ 85281
p. 480.445.9189 | f. 480.445.9185 | www.rlfconsulting.com

DESIGN BY: RLF
DRAWN BY: RLF
CHECKED BY: RLF

NO.	DATE	REVISION	BY
6.	04/03/14	COS Comments	RLF
PURPOSE: FINAL PLAT			

SHEET
2 OF 2

SCALE
N/A

JOB NO.:
09003065

SECTION: 4
TOWNSHIP: 3 N.
RANGE: 1 W.

VICINITY MAP



BELL RD

CIVIC CENTER DR

SITE

LITCHFIELD RD





CITY OF SURPRISE
Regular City Council Meeting

May 6, 2014 @ 6:00:00 PM

Back Print

Council Meeting Date: May 6, 2014

Contact Person: Sherry Ann Aguilar, City Clerk

Submitting Department:

District: Internal

Staff Recommendations: Approve

Consent	x	Regular	Public Hearing	Report/Discussion
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Agenda Wording:

Consideration and action on the minutes of April 15, 2014 Regular Work Session and Regular Council Meeting and April 22, 2014 Special Council Meeting.

Motion:

I move to approve the meeting minutes.

Background:

Financial Impact Statement:

ATTACHMENTS:

Click to download

- ☐ [Minutes](#)
 - ☐ [Minutes](#)
-

External Attachment Links:

Meeting Requirements:

Powerpoint	x	Video	White Board	Other	x
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Presentation Speaker Names (spelling and titles for TV captions):

CITY OF SURPRISE
Regular City Council Work Session Minutes
16000 North Civic Center Plaza
Surprise, AZ 85374

Tuesday, April 15, 2014 @ 04:00 PM

A. Call To Order

B. Roll Call

Mayor Wolcott called the **Regular City Council Work Session** to order at 04:00 PM at Surprise City Hall Council Chamber, 16000 North Civic Center Plaza Surprise, Arizona 85374, on **Tuesday, April 15, 2014**. Also in attendance with Mayor Wolcott were Vice Mayor Hall, Council Member Winters, Council Member Biundo, Council Member Villanueva and Council Member Tande.

Staff City Manager, Chris Hillman, City Attorney, Misty Leslie and City Clerk,
Present: Sherry Ann Aguilar.

C. Pledge of Allegiance

Regular City Council Work Session Agenda:

Regular Agenda Item - Non-Public Hearing:

#1 Presentation and discussion regarding the Surprise Parks and Recreation Master Plan - NO ACTION

Community and Recreation Services Director, Mark Coronado gave a presentation regarding the Surprise parks and recreation Master Plan.

Mr. Coronado introduced Consultant Jay Hicks to conduct the first part of the presentation.

The topics included parks inventory; assets replacement and graphs comparing total acreage of parks for various cities;

Regular City Council Work Session – April 15, 2014 – Page 2

Mayor Wolcott inquired about criteria that defines the different types of parks and size that we should have and the types of parks for villages.

Mr. Hicks stated that they are researching at this time.

Council Member Villanueva inquired about surveys.

Communications Director, Ken Lynch stated that they are working with the consultant with a very extensive survey as a web-based survey. He further commented that it was also promoted in the Surprise progress magazine.

Council Member Winters inquired about the website.

The website is www.surpriseaz.gov.

Council Member Tande commented on Village 1, 2 and looking into what the developers are adding to their communities.

#2 Presentation and discussion of stormwater as it relates to the development of City's Water Strategic Plan and possible creation of a stormwater utility and rate - NO ACTION

Public Works Director, Dick McKinley and Asst. Director of Utilities, Terry Lowe gave a presentation on the development of City's Water Strategic Plan and possible creation of a stormwater utility and rate. A powerpoint presentation is available in the City Clerk's Office.

The following topics were discussed: Stormwater Management Current City Stormwater Practices; what is a Stormwater Utility; services that will be funded; operational expenses; development planning; plan reviews and inspections; stormwater CIP's; public education and outreach and the next steps.

Council Member Tande inquired about revenues.

Vice Mayor Hall asked for clarification on rates.

Mayor Wolcott inquired about the comparison with other communities.

Council Member Villanueva inquired about the drainage project on El Mirage.

Regular City Council Work Session – April 15, 2014 – Page 3

Mr. Lowe explained that the roads are being widened and storm drainage is being installed.

Vice Mayor Hall inquired about the study.

#3 Presentation and discussion regarding an Intergovernmental Agreement (IGA) with Maricopa County Department of Transportation for the Bell Road Adaptive Signal Control Technology Deployment project - NO ACTION

Michael Boule, Engineering Department gave a presentation relating to an Intergovernmental Agreement (IGA) with Maricopa County Department of Transportation for the Bell Road Adaptive Signal Control Technology Deployment Project.

Vice Mayor Hall stated that it would be nice to have this done before next Spring Training.

Mr. Boule stated that a detailed schedule will be provided.

Council Member Tande inquired about 134th Avenue.

E. Call to the Public

F. Adjournment:

Council Member Biundo made the motion to adjourn the Regular City Council Meeting of April 15, 2014 at 5:21 p.m. Vice Mayor Hall seconded the motion. Six yes votes. Motion carried.

Sharon R. Wolcott, Mayor

ATTEST:

Sherry Ann Aguilar, City Clerk

CERTIFICATION:

I, Sherry Ann Aguilar, City Clerk for the City of Surprise, Maricopa County, Arizona, do hereby verify that these are the true and correct minutes of the Regular City Council Work Session of **Tuesday, April 15, 2014.**

Sherry Ann Aguilar, City Clerk

CITY OF SURPRISE
Regular City Council Meeting Minutes
16000 North Civic Center Plaza
Surprise, AZ 85374

Tuesday, April 15, 2014 @ 06:00 PM

A. Call To Order

B. Roll Call

Mayor Wolcott called the **Regular City Council Meeting** to order at 06:00 PM Surprise City Hall Council Chamber 16000 North Civic Center Plaza Surprise, Arizona 85374, on **Tuesday, April 15, 2014**. Also in attendance with Mayor Wolcott were Vice Mayor Hall, Council Member Winters, Council Member Biundo, Council Member Villanueva and Council Member Tande.

Staff Present: City Manager, Chris Hillman, City Attorney, Misty Leslie and City Clerk, Sherry Ann Aguilar.

C. Pledge of Allegiance

D. Invocation

E. City Manager Report:

Community and Recreation Services Director, Mark Coronado gave a Spring Training Update.

F. City Attorney Report: None

Mayor announced special guests, Girl Scouts Troop 1010 to please stand and be recognized; participating in 2014 Global Art project for peace; they asked to have their art work displayed at City Hall. The projects will be sent to Finland, and in turn, their artwork will be sent here to Surprise.

G. Regular City Council Meeting Agenda:

Consent Agenda:

***#1. Consideration and action approving a final plat amendment, entitled "A Replat of the Retreat at Sycamore Farms Condominium, Phase 1", dated October 25, 2013, a property generally located on the southeast corner of State Route 303 and Cactus Road within the Sycamore Farms Development; Resolution # 2014-30 - APPROVED**

***#2. Consideration and action approving a revised final plat entitled "Re-plat of Lot 6 of Bell & Dysart Commerce Center," dated April 3, 2014, a property generally located at the northeast corner of Bell Road and Dysart Road; Resolution #2014-36 - APPROVED**

***#3. Consideration and action on the minutes of April 1, 2014 Regular City Council Meeting - APPROVED**

Council Member Villanueva made the motion to approve the consent agenda. Council Member Winters seconded the motion. Six yes votes. Motion carried.

Non-Public Hearing:

#4. Consideration and action to amend the City's Debt Management Financial Policy to read: "The City shall periodically report on measurable steps taken while striving towards achieving and then maintaining the highest bond ratings in order to minimize borrowing costs, preserve access to credit, and demonstrate excellent financial stewardship by the City for our taxpayers and residents" - APPROVED

Council Member Tande asked for this item to be added to the agenda for Council consideration and he commented that this is very important to him and the City.

Council Member Tande made the motion to approve amending the City's Debt Management Financial Policy to read: "The City shall periodically report on measurable steps taken while striving towards achieving and then maintaining the highest bond ratings in order to minimize borrowing costs, preserve access to credit, and demonstrate excellent financial stewardship by the City for our taxpayers and residents". Vice Mayor Hall seconded the motion. Six yes votes. Motion carried.

#5. Community Outreach Grants Program Subcommittee Presentation - NO ACTION

Council Member Biundo gave an overview and breakdown of recommendations for the Outreach Grants Program.

#6. Consideration and action declaring its intention to create the "City of Surprise, Arizona, Sycamore Farms Parcel #12 Lighting Improvement District" pursuant to A.R.S. § 48-616; Resolution #2014-26 - APPROVED

City Engineer, Mike Mecham gave an overview of the Street Lighting Improvement District.

Council Member Villanueva made the motion to approve declaring its intention to create the "City of Surprise, Arizona, Sycamore Farms Parcel #12 Lighting Improvement District" pursuant to A.R.S. § 48-616; Resolution #2014-26. Council Member Tande seconded the motion. Six yes votes. Motion carried.

#7. Presentation and update on the Surprise Transportation Plan - CONTINUED TO MAY 6, 2014 REGULAR COUNCIL MEETING

Council Member Winters made the motion to continue item #7 to May 6, 2014 Regular Council Meeting. Council Member Biundo seconded the motion. Six yes votes. Motion carried.

#8. Consideration and action approving amendment to COS13-014 to add the second phase of the well project to include Guaranteed Maximum Price (GMP) 2 for the Construction Manager at Risk contract with Felix Construction for the Mountain Vista Ranch Well No. 2 in the amount of \$1,318,501 including a \$50,000 owners contingency - APPROVED

Michael Boule of Public Works reported on the project.

Vice Mayor Hall inquired about the completion date.

Mr. Boule reported November 2014.

Council Member Villanueva made the motion to approve amendment to COS13-014 to add the second phase of the well project to include Guaranteed Maximum Price (GMP) 2 for the Construction Manager at Risk contract with Felix Construction for the Mountain Vista Ranch Well No. 2 in the amount of \$1,318,501 including a \$50,000 owners contingency Council Member Tande seconded the motion. Six yes votes. Motion carried.

Regular Agenda Item - Public Hearing:

#9. Consideration and action on the Community Development Block Grant Annual Action Plan 2014-2015 funding priorities - CONTINUED TO MAY 6, 2014 REGULAR COUNCIL MEETING

Regular City Council Meeting Minutes – April 15, 2014 – Page 4

Council Member Winters made the motion to continue item #9 to May 6, 2014 Regular Council Meeting. Council Member Biundo seconded the motion. Six yes votes. Motion carried.

#10. Consideration and action on Ordinance 2014-04, adopting by reference the 2012 International Codes and amendments and setting an effective date of September 1, 2014 - APPROVED

Acting CED Director, Chris Boyd gave a presentation regarding the amendments.

Council Member Villanueva inquired about page 11.

Mr. Boyd explained that fences do not need a permit up to 6 feet, is this correct.

Council Member Winters made the motion to approve Ordinance 2014-04, adopting by reference the 2012 International Codes and amendments and setting an effective date of September 1, 2014. Council Member Biundo seconded the motion. Six yes votes. Motion carried.

#11. Presentation and opportunity for public input regarding the proposed development impact fees -NO ACTION

Assistant City Manager, Bob Wingenroth gave a presentation regarding public input regarding the proposed development impact fees. He further reported that this is a public hearing tonight, then we will bring this item back on May 20th for approval, then the fees will be in effect in August 2014.

#12. Consideration and action declaring the City's intent to increase water, wastewater, and sanitation rates and setting a date of May 20, 2014 for a public hearing on the proposed utility rate increases and adoption - CONTINUED TO MAY 6, 2014 REGULAR COUNCIL MEETING

Council Member Winters made the motion to continue item #12 to the May 6, 2014 Regular Council Meeting. Council Member Biundo seconded the motion. Six yes votes. Motion carried.

H. Call To The Public: None

I. Other Business and Future Agenda Items: None

J. Mayor/Council Reports:

Regular City Council Meeting Minutes – April 15, 2014 – Page 5

Mayor and Council attended and/or reported on the following:

Council Member Winters: OTS on Saturday, Apostolic Church, 1st event; Kingswood Parke Block Party Event.

Council Member Biundo: Manage traffic at major events; Auto Mall; Apostolic Church event on Saturday.

Council Member Villanueva: Apostolic Church, impressed with young students; OTS Meeting tomorrow night; traffic control, Grand Avenue/Bell Road during construction.

Council Member Tande: GPEC Event last week; AZ-Tech Beat; Valley Vista music programs.

Mayor Wolcott: Met with P & Z Chair, excited about working together as a team with joint sessions coming up; met with Ab Jackson, Chamber of Commerce, great partner with Surprise - their contract is about to expire and will need to visit. ADOT is holding their public hearing on April 30th - Sonoran plaza in SC Grand for Bell/Grand Interchange.

K. Executive Session: None

L. Adjournment

Council Member Villanueva made the motion to adjourn the Regular City Council Meeting of April 15, 2014 at 7:10 p.m. Council Member Biundo seconded the motion. Six yes votes. Motion carried.

Sharon R. Wolcott, Mayor

Regular City Council Meeting Minutes – April 15, 2014 – Page 10

ATTEST:

Sherry Ann Aguilar, City Clerk

CERTIFICATION:

I, Sherry Ann Aguilar, City Clerk for the City of Surprise, Maricopa County, Arizona, do hereby verify that these are the true and correct minutes of the Regular City Council Meeting of **Tuesday, April 15, 2014.**

Sherry Ann Aguilar, City Clerk

CITY OF SURPRISE
Special City Council Meeting Minutes
16000 North Civic Center Plaza
Surprise, AZ 85374

Tuesday, April 22, 2014 @ 06:00 PM

A. Call To Order

B. Roll Call

Mayor Wolcott called the **Special City Council Meeting** to order at 06:00 PM at Surprise City Hall Council Chamber, 16000 North Civic Center Plaza Surprise, Arizona 85374, on **Tuesday, April 22, 2014**. Also in attendance with Mayor Wolcott were Vice Mayor Hall, Council Member Winters, Council Member Biundo, Council Member Williams, Council Member Villanueva and Council Member Tande.

Staff Present: City Manager, Chris Hillman, City Attorney, Misty Leslie, and City Clerk, Sherry Ann Aguilar.

C. Pledge of Allegiance

Special City Council Meeting Agenda:

Mayor recognized Fire Chief, Michael White and commented that this is his last official City Council Meeting.

Regular Agenda Item - Non-Public Hearing:

#1 Introduction to the FY2015 City Manager's Recommended Budget - NO ACTION

City Manager Hillman; ACM Bob Wingenroth and Lindsey Duncan were asked by Mayor Wolcott to proceed with the budget presentation for FY2015. A powerpoint presentation is available for review.

ACM, Bob Wingenroth highlighted the following topics:

Budget Retreat - Saturday, April 26, 2014 - 8:30 a.m.

Special City Council Meeting – April 22, 2014 – Page 2

Current Employee Components - Class and Comp Study; MOU's, cost of living adjustment; reinstating the merit pay system in January 2015 for unrepresented employees.

Ongoing Priorities

Upkeep of facilities and assets

Highlights of the 2015 Recommended Budget

Tour of the 2015 Budget Document

Budget Calendar

Focus on General Fund - ACM Wingenroth commented that the budget books are your policy document, this is an operating guide for the departments and outline the priorities. The white book is the summary budget and the black book is the actual line item budget for all City departments.

Revenue Update

General Fund Operating Summary

Adoption Process Summary

Vice Mayor Hall asked for transfer explanation and clarification on Page 8; he also asked for a comparison of what stadium costs are through the Cactus League - page 13 and 24 positions - Identify which are growth related - page 15.

Council Member Biundo asked for clarification on page 15 - list of added positions.

Council Member Tande would like to see the capacity and ranges of property tax.

Big changes, want to make sure we can sustain the changes (Merit increases, assets, etc).

Focus on economic development a priority, focus on right of ways and medians, engage the HOA's.

Public Comments:

Special City Council Meeting – April 22, 2014 – Page 3

Leo Mankiewicz spoke of: crossroads budget, downtown area is crucial to the development of our City; city planning; land use and sustainability. He offered suggestions for level 1 planners and long range planners; streamline the process to hire a planning director with design experience and call it a City Architect.

#2 Presentation of quarterly report by the Surprise Regional Chamber of Commerce - NO ACTION

Surprise Regional Chamber of Commerce Director, Ab Jackson gave a quarterly report. A powerpoint presentation is available.

Mayor Wolcott asked Council to provide their thoughts on how you value the Chamber and give to the City Manager.

#3 Consideration and action on the grant funding recommendations of the Community Outreach Program Sub-Committee in the amount of \$14,600 - APPROVED

Council Member Biundo gave an overview of this request.

Vice Mayor Hall made the motion to approve the Community Outreach Program as recommended. Council Member Winters seconded the motion. Seven yes votes. Motion carried.

E. Call to the Public

F. Executive Session:

G. Adjournment:

Vice Mayor Hall made the motion to adjourn the Special City Council Meeting of April 22, 2014 at 7:25 PM. Council Member Villanueva seconded the motion. Seven yes votes. Motion carried.

Sharon R. Wolcott, Mayor

ATTEST:

Sherry Ann Aguilar, City Clerk

CERTIFICATION:

I, Sherry Ann Aguilar, City Clerk for the City of Surprise, Maricopa County, Arizona, do hereby verify that these are the true and correct minutes of the Special City Council Meeting of **Tuesday, April 22, 2014.**

Sherry Ann Aguilar, City Clerk



CITY OF SURPRISE
Regular City Council Meeting

May 6, 2014 @ 6:00:00 PM

[Back](#) [Print](#)

Council Meeting Date: May 6, 2014 Contact Person: Sherry Ann Aguilar, City Clerk
Submitting Department: District: MMC
Staff Recommendations: Approve

Consent	x	Regular	Public Hearing	Report/Discussion
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Agenda Wording:

Consideration and action on a liquor license request by Adolfo Solis for US Pizza located at 15459 W. Bell Road, Suite 117, Surprise, Arizona 85374 for a series 12 (New License/LLC).

Motion:

I move to approve a liquor license request by Adolfo Solis for US Pizza located at 15459 W. Bell Road, Suite 117, Surprise, Arizona 85374 for a series 12 (New License/LLC).

Background:

This request is of a routine nature. Departmental reports are attached with no concerns noted.

Financial Impact Statement:

N/A

ATTACHMENTS:

Click to download

☐ [US pizza Dept. Report](#)

External Attachment Links:

Meeting Requirements:

Powerpoint	x	Video	White Board	Other	x
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Presentation Speaker Names (spelling and titles for TV captions):



COMMUNITY & ECONOMIC DEVELOPMENT DEPARTMENT

Date: April 7, 2014
To: Sherry Aguilar, City Clerk
From: Vamshee Kovuru – AICP, Planner II
Re: Liquor License Application for:

**US Pizza- Adolfo's Enterprise, LLC
Series #12 – New License/LLC
15459 W. Bell Road, Suite 117
Surprise, Arizona 85374**

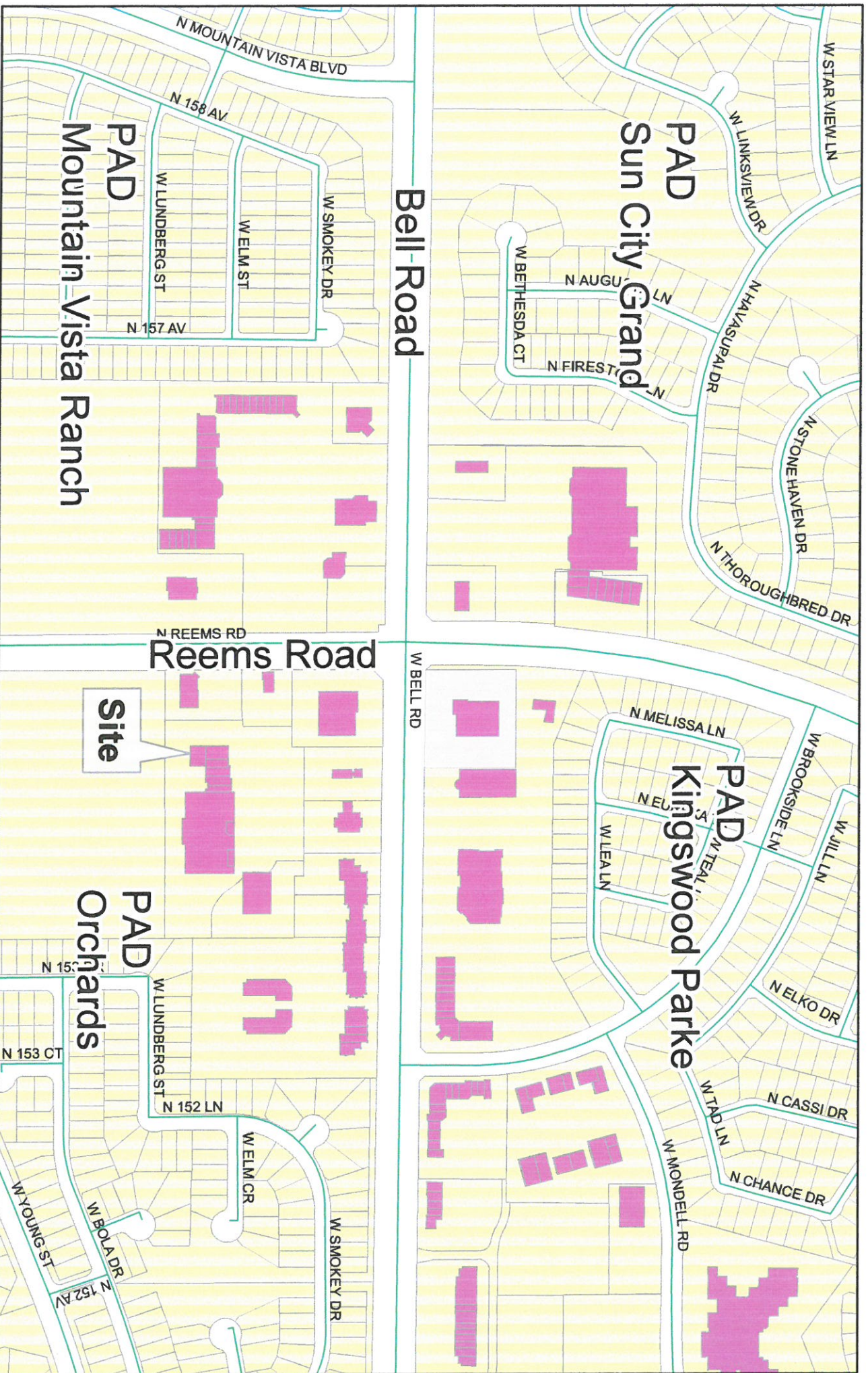
The number and series of licenses in close proximity to the subject site are:

1. Macayo's (Series 12) – 15565 W. Bell Rd.
2. Babbo's Italian Eatery (Series 12) – 15609 W. Bell Rd.
3. Bootys (Series 12) - 15557 W. Bell Rd.
4. Tailgaters Sports Grill (Series 12) - 15529 W. Bell Rd
5. Fry Food (Series 9) – 15510 W. Bell Rd.
6. Lucky's Pizza (Series 12) – 15508 W. Bell Rd. #107
7. Walgreens (Series 10) - 15490 W Bell Rd
8. Marleys (Series 9) -15226 W. Bell Rd. #100
9. Mei Wei China Bistro (Series 12) - 15332 W. Bell Rd. # 132
10. Archie's Deli (Series 12) – 15332 W. Bell Rd. #101 & #102
11. New York Flavor (Series 9) - 15226 W. Bell Rd. #100
12. 4 Sons Food Store (Series 10) - 15505 W Bell Rd

The immediate area is composed of commercial establishments. The property is zoned PAD Orchards which is consistent with the proposed use.

Commercial and high density residential uses will likely grow minimally on the two vacant parcels towards west and south of this location.

If managed properly, the effects of granting this liquor license on surrounding residences will be minimal. This license will have little to no affect on parking in the area. Staff believes that granting this liquor license will not directly impact the surrounding business and residential areas in a negative way.

[illegible]



MEMO

Date: 04/14/2014

To: Sherry Aguilar, City Clerk
Linda Stevens, Deputy City Clerk
From: Stacie McQuarrie, Fiscal Support Specialist
RE: Liquor License Application – US Pizza

Business License Status:

Application packet mailed on 4/15/2014.

Mailing to:

Adolfo's Enterprises, L.L.C.
dba US Pizza
Attn: Mr. Adolfo B. Solis
15459 W. Bell Rd., Ste. 117
Surprise, AZ 85374



FIRE PREVENTION DIVISION



Memorandum

Date: April 14, 2014
TO: Sherry Ann Aguilar, City Clerk, MMC
CC: Firehouse File
FROM: Doug Helbig, Fire Marshal

Ref: Fire Code Compliance Inspection

US Pizza – Adolfo's Enterprises, LLC
Series #12 – New License/LLC
15459 W. Bell Road, Suite 117
Surprise, AZ 85374

Dear Ms. Aguilar,

A fire code compliance inspection was conducted at the above-mentioned location on April 14, 2014. The tenant space 115 is under construction and upon completion will be attached to tenant space 117. I am confident that upon completion both tenant spaces will be in compliance with the City Fire Code.

Thank you,

Doug

R. Douglas Helbig, Fire Marshal

14250 W. Statler Plaza, Ste. 101
Surprise, AZ 85374 Office (623)222-5120
doug.helbig@surpriseaz.gov



**SURPRISE POLICE DEPARTMENT
LIQUOR LICENSE APPLICATION REVIEW**

REFERENCE:

DATE: April 10, 2014

LICENSE NUMBER - 12709853
Series #12 – New License / LLC

APPLICANT:

U S Pizza

15459 W. Bell Road #117
Surprise, Arizona 85374

FINDINGS:

- 1) *Evidence concerning the nature of the proposed business, its potential market and its likely customers.*

US Pizza currently is a to go only restaurant, specializing in pizza and Mexican Cuisine. It is looking to convert to a full service restaurant with seating for 90 patrons and no designated bar area. The potential market should be patrons of all ages looking to enjoy family dining.

- 2) *Effect of vehicular traffic in close proximity.*

There should be no significant change to traffic in the area with the acquisition of this license. The location is adequate to receive the added anticipated patrons and their vehicles. There is adequate access to the restaurant from Reems Road on the west and Bell Road from the north.

- 3) *The history for the past five years of liquor violations and reported criminal activity at the proposed premises provided that the applicant has received a detailed report of such activity at least 20 days before the hearing.*

There have been no significant incidents for police service at this location, other than a theft from the owner's vehicle while it was receiving a car wash during a charitable car wash in the adjacent parking lot in 2012.

- 4) *Comparison of hours of operation of the proposed premises to the existing businesses in close proximity.*

US Pizza's hours are from 10 AM until 10 PM Monday, Tuesday, Wednesday and Sunday, and will close at 11 PM Fridays and Saturdays. The current location is on the far west end of the shopping plaza, which is on the southwest corner of Reems and Bell Roads. The entrance faces west toward Bell Road. There are various other small shops in this plaza, which is anchored by Mountainside Fitness Center on the east end of the plaza. There are also other smaller pad shops, including a Sonic Drive In, and an automotive service, a commercial paint store. Sonic is usually open until midnight and opens early for breakfast and the other stores mentioned close early evening. On the very opposite end of the plaza on the east side is a professional day care service, which closes at approximately 7 PM.

- 5) *Appropriateness of series license requested.*

A series 12 license is appropriate and applicable for US Pizza per state guidelines for restaurants.

Submitted by Officer Chris Tovar #2059



CITY OF SURPRISE
Regular City Council Meeting

May 6, 2014 @ 6:00:00 PM

[Back](#) [Print](#)

Council Meeting Date: May 6, 2014 Contact Person: Sherry Ann Aguilar, City Clerk
Submitting Department: District: MMC
Staff Recommendations: Approve

Consent	x	Regular	Public Hearing	Report/Discussion
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Agenda Wording:

Consideration and action of a liquor license request by Lawrence Elliget for Smokey's BBQ located at 13749 N. Litchfield Road, Suite 109, Surprise, AZ. 85379 for a series 12 (New License/LLC).

Motion:

I move to approve a liquor license request by Lawrence Elliget for Smokey's BBQ located at 13749 N. Litchfield Road, Suite 109, Surprise, AZ. 85379 for a series 12 (New License/LLC).

Background:

This request is of a routine nature. Departmental reports are attached with no concerns noted.

Financial Impact Statement:

N/A

ATTACHMENTS:

Click to download

☐ [Smokey's Dept. Report](#)

External Attachment Links:

Meeting Requirements:

Powerpoint	x	Video	White Board	Other	x
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Presentation Speaker Names (spelling and titles for TV captions):



CITY OF SURPRISE
Regular City Council Meeting

May 6, 2014 @ 6:00:00 PM

[Back](#) [Print](#)

Council Meeting Date:	May 6, 2014	Contact Person:	Dick McKinley, Public Works Department
Submitting Department:	Public Works	District:	6
Staff Recommendations:	Approve		

Consent	x	Regular	Public Hearing	Report/Discussion
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Agenda Wording:

Consideration and action on Resolution No 2014-25, a resolution accepting maintenance of the streets and public utility improvements at Southwest Products, generally located at 11690 North 132nd Avenue, within the Skyway Business Park II subdivision.

Motion:

I move to approve Resolution No. 2014-25

Background:

The Public Works Department conducted the final inspection of the public utility improvements for Southwest Products on or about August 28, 2013 totaling 3,364 linear feet.

Financial Impact Statement:

Acceptance of streets, water, and sewer infrastructure increases the city's asset base and adds to future maintenance commitments. The anticipated incremental additional maintenance expense associated with the acceptance of these infrastructure items will be incorporated in future street preservation and maintenance and water and sewer operational budgets.

ATTACHMENTS:

Click to download

- ☐ [Resolution 2014-25](#)
- ☐ [Memo](#)
- ☐ [Inspector Sign Off](#)
- ☐ [Notice of completion Letter](#)
- ☐ [Final Plat](#)
- ☐ [Resolution of Final Plat](#)
- ☐ [Warranty Amount](#)
- ☐ [RFLS 3932](#)

External Attachment Links:

Meeting Requirements:

Powerpoint x Video White Board Other x

Presentation Speaker Names (spelling and titles for TV captions):

RESOLUTION # 2014-25

A RESOLUTION OF THE MAYOR AND COUNCIL OF THE CITY OF SURPRISE, ARIZONA, TO ACCEPT MAINTENANCE OF STREETS AND PUBLIC UTILITY IMPROVEMENTS AT SOUTHWEST PRODUCTS, LOCATED AT 11690 NORTH 132ND AVENUE.

WHEREAS, the Mayor and City Council of the City of Surprise approved a final plat entitled "Skyway Business Park II Units 29-47" on, September 11, 2012;

WHEREAS, LGE Design Build, the developer of Southwest Products, dedicated to the City the street and offsite street and public utility improvements, specifically those streets and utilities located at 11690 N. 132nd Avenue, within the Skyway Business Park II subdivision;

WHEREAS, the City of Surprise Public Works Department Engineering Division certifies that the two-year warranty period has expired; and,

WHEREAS, the City of Surprise Public Works Department Engineering Division conducted the final inspection of the streets and water and sewer improvements located at 11690 N. 132nd Avenue on or about August 28, 2013;

NOW, THEREFORE, BE IT RESOLVED by the Mayor and Council of the City of Surprise, Arizona, as follows.

Section 1. The City of Surprise accepts the maintenance of the streets and public utility improvements located as generally depicted on the map attached as Exhibit "A."

APPROVED AND ADOPTED this _____ day of _____, 2014.

Sharon R. Wolcott, Mayor

Attest:

Approved as to form:

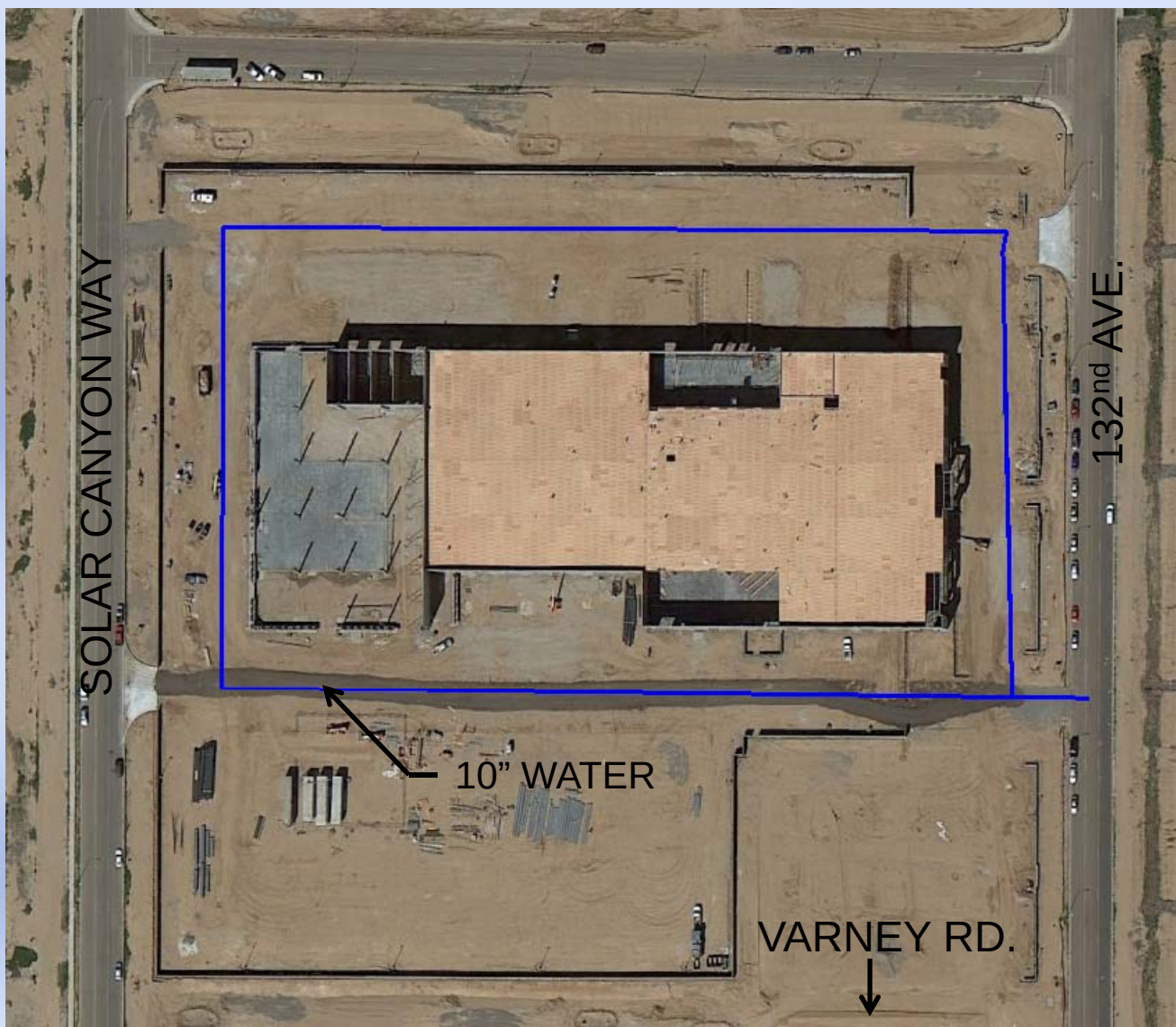
Sherry Aguilar, City Clerk

Misty Leslie, City Attorney

Exhibit A

[Map of Infrastructure]

SOUTHWEST PRODUCTS





Memorandum

To: City of Surprise City Council
From: Public Works – Engineering Services
Date: 1/23/14
Re: Southwest Products Public Improvements and City Acceptance

The public improvements for the above project have been completed and are on the March 4, 2014 council agenda for acceptance. The amounts for the infrastructure have been provided by a third party and not the City of Surprise. The following are the infrastructure improvements included with this acceptance and the corresponding valuation that the City will be accepting.

- City Paving - \$1,595.00
- City Concrete - \$68,943.00
- City Water - \$141,938.00

SURPRISE

ARIZONA

PROJECT SIGN OFF FORM

PUBLIC WORKS - ENGINEERING SERVICES, INSPECTIONS

Date Submitted: 08/29/2013 Commercial X Commercial w/Offsite Residential

 X COMPLETION ACCEPTANCE (End of Construction) FINAL ACCEPTANCE (End of Warranty)

07/25/2013 Date of Punch-List Walk 8/28/2013 Date Punch-List Completed

Permit Number: E12P-0266

Project Name: SouthWest Products Project Description (Phase/Parcel): _____

Project Developer/Builder: (Name, Address, and Phone #)

LGE Design Build

740 N 52st Phoenix. AZ 85008

MAG TIP Reporting:

Street Name	Classification	Begin Limits	End Limits	# Lanes	Length to nearest ¼ mi.	Features

MATERIALS:

Asphalt: N/A Supplier Total Lane Miles Total Square Yards

Water:	10" PVC	Size/Type	2,517'	Total Linear Feet	6" DIP	Size/Type	308'	Total Linear Feet
		Size/Type		Total Linear Feet		Size/Type		Total Linear Feet

Sewer:	<u>6" PVC</u>	Size/Type	<u>464'</u>	Total Linear Feet	<u> </u>	Size/Type	<u> </u>	Total Linear Feet
	<u> </u>	Size/Type	<u> </u>	Total Linear Feet	<u> </u>	Size/Type	<u> </u>	Total Linear Feet

Fire Ln:	8" DIP	Size/Type	75'	Total Linear Feet		Size/Type	Total Linear Feet
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Additional Comments:

Water Line is in 20' Access & Easment

SUPPORTING DOCUMENTATION REQUIRED:

 X Copy of Punch List

N/A Engineer of Record Reports to Include but not limited to:

n/a ATC, n/a AOC, n/a Material Submittals, n/a Water Test Results, n/a Daily Reports

N/A Final Certification Letter from Engineer of Record

N/A Asphalt Concrete Emulsion Fog Seal Cert. (SS1H)

 X Complete Geotechnical Testing Packet

N/A Asphalt Concrete Ticket w/ Name of Contractor

N/A Sewer Pressure Testing Results

N/A Streetlight Energize Letter

N/A Sewer Manhole Vacuum Testing Certification

X As built Documents (X 2 Bond and X 1 Mylar)

N/A Sewer Manhole Insecticide Certification

X CD with As Built Documents in .pdf format

N/A	Sewer Videos with Operators Notes (inc. re-videos)
-----	--

Other _____ (Specify)

APPROVAL:

Civil Inspector:

Date: 8/29/2013

Civil Engineering Supervisor:

Date: 8.29.13



Public Works Department
Engineering Development Services
16000 North Civic Center Plaza
Surprise, Arizona 85374
Phone 623-222-6141
Fax 623-222-6006

September 11, 2013

LGE Design Build
740 N. 52nd ST.
Phoenix, AZ 85008

**RE: NOTICE OF COMPLETION AND COUNCIL ACTION REQUIREMENT FOR
SOUTHWEST PRODUCTS – FS12-225**

The City of Surprise Public Works Department has completed the inspection of the street and public utility improvements for the above referenced subdivision. These improvements are in substantial compliance with the approved plans and specifications. Council action is required prior to conditional acceptance and the official beginning of the warranty period. The time frame for Council action is approximately 90 days after the following items have been submitted:

- A copy of all lien releases or a title report
- Actual construction cost for related civil permits issued
- Warranty assurance in a format acceptable by the City

A notice of the required assurance amount and acceptable formats will be sent via email once actual construction costs have been submitted by the applicant. The warranty period starts upon council acceptance for a period of two (2) years; please ensure warranty assurance will not expire prior to this date. The applicant will be notified of the council date as soon as it is scheduled and the warranty financial assurance is required to be submitted to the city 10 calendar days prior to the council date. If a warranty financial assurance is not received within 10 calendar days, council action and start of the warranty period will be delayed, at a minimum, an additional two (2) weeks.

A letter of conditional acceptance and notification that the warranty period has begun will be forwarded upon the completion of council acceptance. Also, a request to release the associated completion assurance will be made; notification will be sent when assurance document is available for pick up.

If you have any questions or concerns, you can reach me at 623-222-6141.

Sincerely,

Jason Mahkovtz,
Interim City Engineer

jm/jg

c: David Mobly, Civil Inspector
Cindy Coen, Legal
David Kohlbeck, Public Works
File

Andrea Davis, Finance
Antonio DeLaCruz, Public Works
Dan Blackson, Public Works

FINAL PLAT FOR SKYWAY BUSINESS PARK II UNITS 29 - 47
CITY OF SURPRISE, AZ.

A REPLAT OF UNITS 29 THROUGH 47, SKYWAY BUSINESS PARK II CONDOMINIUM, ACCORDING TO THE FINAL PLAT RECORDED IN BOOK 923 OF MAPS, PAGE 1, RECORDS OF MARICOPA COUNTY, ARIZONA, LOCATED WITHIN A PORTION OF THE NORTHEAST QUARTER OF SECTION 22, TOWNSHIP 3 NORTH, RANGE 1 WEST, OF THE GILA AND SALT RIVER BASE AND MERIDIAN, MARICOPA COUNTY, ARIZONA.

DEDICATION:

STATE OF ARIZONA

COUNTY OF MARICOPA

KNOW ALL PERSONS BY THESE PRESENTS: THAT SKYWAY, L.L.C. A CALIFORNIA LIMITED LIABILITY COMPANY AS "OWNER", HAS SUBDIVIDED UNDER THE NAME FINAL PLAT FOR "SKYWAY BUSINESS PARK II" UNITS 29-47, A SUBDIVISION LOCATED IN A PORTION OF THE NORTHEAST QUARTER OF SECTION 22, TOWNSHIP 3 NORTH, RANGE 1 WEST, OF THE GILA AND SALT RIVER BASE AND MERIDIAN, MARICOPA COUNTY, ARIZONA, AS SHOWN AND PLATTED HEREON AND DOES HEREBY PUBLISH THIS PLAT AS AND FOR THE PLAT OF "SKYWAY COMMONS" AND DECLARES THAT THIS PLAT SETS FORTH THE LOCATION AND GIVES THE DIMENSIONS OF EACH LOT, TRACT, STREET, AND EASEMENT CONSTITUTING SAME, AND THAT EACH LOT, TRACT, STREET, AND EASEMENT SHALL BE KNOWN BY THE NUMBER, LETTER, AND/OR NAME GIVEN TO EACH RESPECTIVELY AS SHOWN ON THIS PLAT.

OWNER HEREBY GRANTS TO THE CITY OF SURPRISE AN EXCLUSIVE EASEMENT OVER, UPON AND ACROSS ALL WATER/SEWER LINES AND WATER METERS AS SHOWN ON THE PLAT, INCLUDING A CROSS-ACCESS EASEMENT ACROSS THE ENTIRE PLAT FOR THE PURPOSE OF PROVIDING CONTINUOUS AND UNINTERRUPTED INGRESS AND EGRESS FOR EMERGENCY AND TRASH COLLECTION VEHICLES AND TO DEDICATED WATER/SEWER LINES/WATER METERS FOR THE PURPOSE OF INSTALLING, CONSTRUCTING, MAINTAINING, REPAIRING, REPLACING AND UTILIZING THE WATER/SEWER LINES/WATER METERS.

OWNER HEREBY GRANTS TO THE CITY OF SURPRISE A NON-EXCLUSIVE EASEMENT OVER, UPON AND ACROSS THE AREAS DESIGNATED AS PUBLIC UTILITY EASEMENTS AS SHOWN ON THE PLAT FOR THE PURPOSE OF INSTALLING, CONSTRUCTING, MAINTAINING, REPAIRING, REPLACING AND UTILIZING PUBLIC UTILITIES.

OWNER HEREBY GRANTS TO THE ULTIMATE OWNER OF EACH PARCEL CREATED BY THIS PLAT AN EASEMENT OVER, UPON AND ACROSS THE ENTIRE PLAT FOR THE PURPOSE OF PROVIDING CONTINUOUS AND UNINTERRUPTED INGRESS AND EGRESS TO EACH PARCEL CREATED BY THIS PLAT.

OWNER HEREBY GRANTS TO THE UNITED STATES OF AMERICA DEPARTMENT OF THE AIR FORCE ("USAF") AN AVIGATION EASEMENT OVER AND ACROSS THIS PLAT AND EVERY LOT AND PARCEL THEREOF, WHICH EASEMENT SHALL INCLUDE, BUT NOT BE LIMITED TO, THE RIGHT OF FLIGHT OF AIRCRAFT OVER THIS PLAT, TOGETHER WITH ITS ATTENDANT NOISE, VIBRATIONS, FUMES, DUST, FUEL AND LUBRICANT PARTICLES, AND ALL OTHER EFFECTS THAT MAY BE CAUSED BY THE OPERATION OF AIRCRAFT LANDING AT, OR TAKING OFF FROM, OR OPERATING AT OR ON LUKE AIR FORCE BASE AND AUXILIARY FIELD.

OWNER HEREBY GRANTS TO THE CITY OF SURPRISE AN ODOR EASEMENT OVER, UPON AND ACROSS THIS PLAT AND EVERY LOT AND PARCEL THEREOF, WHICH EASEMENT SHALL INCLUDE, BUT NOT BE LIMITED TO, THE RIGHT TO INVADE WITH ODORS, FUMES, SMELLS, AND PHYSICAL AIRBORNE PARTICULATES CAUSED BY THE OPERATION AND MAINTENANCE OF THE CITY'S WATER RECLAMATION FACILITIES.

THE MAINTENANCE OF LANDSCAPING WITHIN THE OPEN SPACES, LANDSCAPED TRACTS, RETENTION BASINS AND PARKS SHALL BE THE RESPONSIBILITY OF THE OWNER OR THE HOMEOWNER'S ASSOCIATION FORMED BY THE OWNER.

THE MAINTENANCE OF LANDSCAPING WITHIN THE ADJACENT PUBLIC RIGHTS-OF-WAY, INCLUDING LANDSCAPED MEDIANS WITHIN COLLECTORS AND LOCAL STREETS AND LANDSCAPED AREAS BETWEEN THE CURB AND THE DETACHED SIDEWALK, SHALL BE THE RESPONSIBILITY OF THE ADJACENT PROPERTY OWNER OR THE PROPERTY ASSOCIATION FORMED BY THE ADJACENT PROPERTY.

LANDSCAPING MAINTENANCE WITHIN THE MEDIANS WITHIN THE PUBLIC RIGHT-OF-WAY WITHIN ANY ARTERIAL OR PARKWAY STREET CLASSIFICATION SHALL BE RESPONSIBILITY OF THE CITY OF SURPRISE AFTER ACCEPTANCE.

ALL IMPROVEMENTS, FOR STREETS AND PUBLIC UTILITIES OWNED AND OPERATED BY THE CITY, INSTALLED OR CONSTRUCTED BY OWNER WITHIN THE PUBLIC RIGHTS-OF-WAY, THE EASEMENTS, OR ANY TRACTS OR PARCELS HEREBY DEDICATED TO THE CITY OF SURPRISE SHALL BE DEEMED TO HAVE BEEN DEDICATED BY OWNER TO THE CITY UPON THEIR COMPLETION; HOWEVER, SUCH TRANSFER SHALL NOT OCCUR UNTIL THE CITY COUNCIL FOR THE CITY OF SURPRISE MANIFESTS ITS ACCEPTANCE BY SEPARATE FORMAL COUNCIL ACTION. THE EASEMENTS GRANTED WITHIN THIS DEDICATION ARE PERMANENT AND PERPETUAL AND SHALL RUN WITH THE LAND AND BE BINDING UPON OWNER AND ITS HEIRS, ASSIGNS, AND SUCCESSORS IN INTEREST TO THIS PLAT OR ANY PARCEL OR LOT THEREOF.

IN WITNESS WHEREOF, OWNER HAS HEREUNTO CAUSED ITS CORPORATE NAME TO BE SIGNED AND ITS CORPORATE SEAL TO BE AFFIXED BY THE UNDERSIGNED, DULY AUTHORIZED OFFICER THIS 22 DAY OF August, 2012.

BY: (OWNER)

BY:

ITS GENERAL MANAGER



Diana J. Kean - Notary Public
Ventura
Comm exp - 9-16-15

ACKNOWLEDGMENT:

STATE OF CA

COUNTY OF VENTURA

THE FOREGOING INSTRUMENT WAS ACKNOWLEDGED BEFORE ME THIS 22ND DAY OF AUGUST, 2012 BY 2012, ITS General Manager, IN WITNESS WHEREOF, I HEREUNTO SET MY HAND AND OFFICIAL SEAL.

IN WITNESS THEREOF:

I HEREUNTO SET MY HAND AND OFFICIAL SEAL.

NOTARY PUBLIC

MY COMMISSION EXPIRES 3-16-15

OWNER:

SKYWAY, L.L.C.
101 HODENCAMP ROAD, SUITE 200
THOUSAND OAKS, CA 91360
CONTACT: MOSHE SILAGI
PHONE: 805-494-7704

DEVELOPER

LGE CORPORATION
740 N. 52ND STREET
PHOENIX, ARIZONA 85008
PHONE: (480) 966-4001
FAX: (480) 966-9001
CONTACT: FRANK PETTIT

SURVEYOR:

HUNTER ENGINEERING, INC.
10450 N. 74TH STREET, SUITE 200
SCOTTSDALE, ARIZONA 85258
PHONE: (480) 991-3985
FAX: (480) 991-3986
CONTACT: JERRY D. HEATH, JR.

NOTES:

- CONSTRUCTION WITHIN PUBLIC UTILITY EASEMENTS EXCEPT BY PUBLIC AGENCIES AND UTILITY COMPANIES SHALL BE LIMITED TO UTILITIES, AND WOOD, WIRE OR REMOVABLE SECTION-TYPE FENCING UNLESS APPROVED OTHERWISE BY THE CITY OF SURPRISE.
- ALL ELECTRIC AND COMMUNICATION LINES TO BE CONSTRUCTED UNDERGROUND AS REQUIRED BY THE ARIZONA CORPORATION COMMISSION.
- THIS SUBDIVISION IS LOCATED WITHIN THE CITY OF SURPRISE WATER SERVICE AREA AND HAS BEEN DESIGNATED AS HAVING AN ASSURED WATER SUPPLY.
- NO STRUCTURES SHALL BE CONSTRUCTED IN OR ACROSS, NOR SHALL OTHER IMPROVEMENTS OR ALTERATIONS BE MADE TO THE DRAINAGE FACILITIES THAT ARE A PART OF THIS DEVELOPMENT WITHOUT WRITTEN AUTHORIZATION OF THE CITY OF SURPRISE.
- ALL UTILITIES SHALL BE PLACED UNDERGROUND.
- THE CITY OF SURPRISE IS NOT RESPONSIBLE FOR AND WILL NOT ACCEPT THE MAINTENANCE OF ANY PRIVATE STREETS, PRIVATE UTILITIES, PRIVATE FACILITIES AND LANDSCAPED AREAS WITHIN THE PROJECT.
- ALL RETENTION BASINS MUST DRAIN ANY STORM EVENT UP TO AND INCLUDING THE FIFTY-YEAR, TWENTY-FOUR-HOUR STORM WITHIN THIRTY-SIX (36) HOURS. OWNER(S) OF ANY BASIN FAILING TO MEET THIS REQUIREMENT MUST TAKE CORRECTIVE ACTION TO BRING THE BASIN INTO COMPLIANCE.
- ALL DRYWELLS ON THIS PROJECT SHALL BE MAINTAINED BY THE OWNER(S) AND ARE TO BE REPLACED BY THE OWNER(S) WHEN THEY CEASE TO DRAIN THE SURFACE WATER IN A THIRTY-SIX (36) HOUR PERIOD. REGULAR MAINTENANCE OF THE DRYWELL SILTING CHAMBER IS REQUIRED TO ACHIEVE THE BEST OPERATION OF THE DRYWELL.
- ALL INDIVIDUAL LOT PROPERTY CORNERS SHALL BE SET WITH 1/2" REBAR AND CAP BEARING THE REGISTRATION NUMBER OF THE SURVEYOR RESPONSIBLE FOR THEIR PLACEMENT.
- THE PROPERTY OWNERS, OR ANY SUBSEQUENT OWNERS SHALL NOT PROCEED WITH ANY ON SITE GRADING OR EXCAVATION WITHOUT FIRST OBTAINING A PERMIT FROM THE CITY OF SURPRISE ENGINEERING DEPARTMENT.
- ALL COMMERCIAL/INDUSTRIAL BUILDINGS SHALL BE EQUIPPED WITH A FIRE SPRINKLING SYSTEM, SUBJECT TO THE REVIEW AND APPROVAL BY THE CITY OF SURPRISE FIRE CHIEF OR THE FIRE CHIEF'S DESIGNEE.
- ZONING FOR THIS PROPERTY IS P.A.D., PLANNED AREA DEVELOPMENT.
- THE PROPERTY IS LOCATED WITHIN AN AREA HAVING FLOOD ZONE "X" BY THE FEDERAL EMERGENCY MANAGEMENT AGENCY, ON FLOOD INSURANCE RATE MAP NO. 1605 J, WITH A DATE OF IDENTIFICATION OF SEPTEMBER 30, 2005, FOR COMMUNITY NO. 040053, IN MARICOPA COUNTY, STATE OF ARIZONA, WHICH IS THE CURRENT FLOOD INSURANCE RATE.
- IN ACCORDANCE WITH ARS § 9-461.07, THE CITY OF SURPRISE HAS DETERMINED THAT ALL DEDICATIONS OCCURRING WITH THIS PLAT ARE IN CONFORMANCE WITH THE SURPRISE GENERAL PLAN.
- PURSUANT TO A.R.S. § 42-11102, THE CITY OF SURPRISE, A POLITICAL SUBDIVISION OF THE STATE OF ARIZONA, IS EXEMPT FROM ALL TAXES AND ASSESSMENTS BASED ON ASSESSED VALUE EXCEPT FOR SPECIAL DISTRICTS #14751 AND 14710, WHEN APPLICABLE.
- THE EXISTING 60 FOOT RIGHT-OF-WAY KNOWN AS POINSETTIA DRIVE THAT IS ACROSS A PORTION OF LOT 1 OF THIS FINAL PLAT IS TO BE ABANDONED WITH THIS FINAL PLAT.

PUBLIC NOTICE:

THE LOTS DEPICTED ON THIS PLAT ARE LOCATED WITHIN THE VICINITY OF LUKE AIR FORCE BASE AND MAY BE SUBJECT TO OVERFLIGHTS BY JET AIRCRAFT. ALL STRUCTURES WITHIN THIS PLAT SHALL BE CONSTRUCTED IN COMPLIANCE WITH THE SOUND ATTENUATION STANDARDS ADOPTED BY THE CITY OF SURPRISE. A MAP DEPICTING THE MOST CURRENT ADOPTED MAG NOISE CONTOURS IN RELATION TO THIS PLAT SHALL BE DISPLAYED IN ALL SALES OFFICES. ADDITIONAL INFORMATION MAY BE OBTAINED BY CONTACTING THE CITY OF SURPRISE COMMUNITY DEVELOPMENT DEPARTMENT.

RELEASE OF LIABILITY:

1. SKYWAY, L.L.C. A CALIFORNIA LIMITED LIABILITY COMPANY ("OWNER") DOES HEREBY (1) RELEASE AND DISCHARGE THE USAF AND THE CITY OF SURPRISE, AND (2) INDEMNIFY, DEFEND, AND HOLD HARMLESS THE CITY OF SURPRISE, OF AND FROM ANY LIABILITY FOR ANY AND ALL CLAIMS FOR DAMAGES OF ANY KIND TO PERSONS OR PROPERTY THAT MAY ARISE AT ANY TIME IN THE FUTURE OVER, OR IN CONNECTION WITH AIRCRAFT OVERFLIGHTS FROM AIRCRAFT UTILIZING LUKE AIR FORCE BASE, WHETHER SUCH DAMAGE SHALL ORIGINATE FROM NOISE, VIBRATION, FUMES, DUST, FUEL AND LUBRICANT PARTICLES, AND ALL OTHER EFFECTS THAT MAY BE CAUSED BY THE OPERATION OF AIRCRAFT LANDING AT, OR TAKING OFF FROM, OR OPERATING AT OR ON LUKE AIR FORCE BASE. THIS INSTRUMENT SHALL RUN WITH THE LAND AND BE BINDING UPON OWNER AND ITS HEIRS, ASSIGNS, AND SUCCESSORS IN INTEREST TO THIS PLAT OR ANY PARCEL OR LOT LIABILITY FOR DAMAGE OR INJURY TO PERSON OR PROPERTY CAUSED BY FALLING AIRCRAFT OR FALLING PHYSICAL OBJECTS FROM AIRCRAFT, EXCEPT AS STATED HEREIN WITH RESPECT TO NOISE, FUMES, DUST, FUEL, AND LUBRICANT PARTICLES.

2. OWNER HEREBY FURTHER AGREES TO INDEMNIFY, DEFEND, AND HOLD HARMLESS THE CITY OF SURPRISE FROM ANY AND ALL CLAIMS FOR DAMAGES OF ANY KIND TO PERSONS OR PROPERTY THAT MAY ARISE IN CONNECTION WITH THE USE OF THE SIDEWALKS LOCATED WITHIN THE RIGHT-OF-WAY, UNTIL SUCH TIME THE CITY OF SURPRISE HAS ACCEPTED THE SIDEWALKS

FEMA NOTES:

THE CURRENT FEMA FLOOD INSURANCE RATE MAP (FIRM) FOR THIS AREA, MAP NUMBER 04013C1605J (EFFECTIVE REVISED DATE SEPTEMBER 30, 2005), DESIGNATES THE PROPERTY WITHIN FLOOD HAZARD ZONE X.

ZONE: X IS DEFINED AS AREAS OUTSIDE THE 1% ANNUAL CHANCE FLOODPLAIN, AREAS OF 1% ANNUAL CHANCE SHEET FLOW FLOODING WHERE THE AVERAGE DEPTHS ARE LESS THAN 1 FOOT, AREAS OF 1% ANNUAL CHANCE STREAM FLOODING WHERE THE CONTRIBUTING DRAINAGE AREA IS LESS THAN 1 SQUARE MILE, OR AREAS PROTECTED FROM THE 1% ANNUAL CHANCE FLOOD BY LEVEES. NO BASE FLOOD ELEVATIONS OR DEPTHS ARE SHOWN WITHIN THIS ZONE. INSURANCE PURCHASE IS NOT REQUIRED IN THESE ZONES.

BASIS OF BEARING & DATUM INFO.:

COORDINATE SYSTEM: GDACS 4FB1 CONTROL POINT
DATUM: GPS 80
PROJECTION: NAD 83, ARIZONA CENTRAL ZONE
GEOID MODEL: GEOID 99
PER FINAL PLAT FOR SKYWAY BUSINESS PARK, BK. 808, PG. 23, M.C.R.

THE BASIS OF BEARING IS BASED UPON THE NORTH LINE OF THE NORTHEAST QUARTER OF SECTION 22, T3N, R1W, FROM A 2-INCH ALUM. CAP (0.4-FEET BELOW PAVEMENT, RLS 36563) AT THE NORTH 1/4 CORNER TO A BRASS CAP IN HANDHOLE AT THE NORTHEAST CORNER OF SAID SECTION, HAVING A BEARING OF SOUTH 89 DEGREES 09 MINUTES 46 SECONDS EAST A DISTANCE OF 2615.58-FEET. PER THE FINAL PLAT OF SKYWAY BUSINESS PARK II CONDOMINIUM, BK. 923, PG. 01, M.C.R.

LEGAL DESCRIPTION - GROSS SITE:

THAT PORTION OF THE NORTHEAST QUARTER OF SECTION 22, TOWNSHIP 3 NORTH, RANGE 1 WEST OF THE GILA AND SALT RIVER BASE AND MERIDIAN, MARICOPA COUNTY, ARIZONA BEING MORE PARTICULARLY DESCRIBED AS FOLLOWS:

COMMENCING AT AN ALUMINUM CAP MARKING THE NORTH QUARTER CORNER OF SAID SECTION 22, FROM WHICH A BRASS CAP IN HANDHOLE MARKING THE NORTHEAST CORNER OF SAID SECTION 22 BEARS SOUTH 89°09'46" EAST, SAID BEARING BEING RECORDED IN THE FINAL PLAT FOR SKYWAY BUSINESS PARK II, BOOK 923 OF MAPS, PAGE 1, OF OFFICIAL RECORDS OF MARICOPA COUNTY, ARIZONA, FOR A DISTANCE OF 2615.58 FEET;

THENCE SOUTH 89°09'46" EAST, ALONG THE NORTH LINE OF THE NORTHEAST QUARTER OF SAID SECTION 22, FOR A DISTANCE OF 1962.91 FEET TO THE POINT OF BEGINNING;

THENCE SOUTH 00°00'52" WEST, FOR A DISTANCE OF 2633.28 FEET TO A POINT ON THE SOUTH LINE OF THE NORTHEAST QUARTER OF SAID SECTION 22;

THENCE NORTH 89°10'41" WEST, ALONG SAID SOUTH LINE, FOR A DISTANCE OF 907.87 FEET;

THENCE NORTH 00°07'51" WEST, FOR A DISTANCE OF 2633.63 FEET TO A POINT ON THE NORTH LINE OF THE NORTHEAST QUARTER OF SAID SECTION 22;

THENCE SOUTH 89°09'46" EAST, ALONG SAID NORTH LINE, FOR A DISTANCE OF 914.55 FEET TO THE POINT OF BEGINNING.

LOT AREAS:

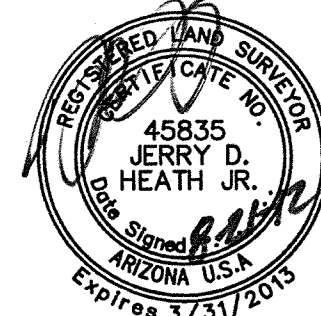
LOT NO.	ACRES±	SQ.FT.±
1	24.059	1,048,005
2	17.257	751,711
3	3.152	137,316
4	3.221	140,308
TRACT A	0.965	42,019
TRACT B	1.065	46,371
R/W-NET	5.363	233,624
TOTAL GROSS	55.081	2,399,335

THE TOTAL (NET) AREA OF LOTS FOR THIS SUBDIVISION IS:
49.717 ACRES (2,165,711) SQUARE FEET±

CERTIFICATION:

I, JERRY D. HEATH, JR., HEREBY CERTIFY THAT I AM A REGISTERED LAND SURVEYOR IN THE STATE OF ARIZONA. THAT THIS MAP, CONSISTING OF 3 SHEETS, CORRECTLY REPRESENTS A BOUNDARY SURVEY MADE UNDER MY SUPERVISION DURING THE MONTH OF JUNE 2012, THAT THE SURVEY IS TRUE AND COMPLETE AS SHOWN. THAT ALL EXTERIOR BOUNDARY MONUMENTS ACTUALLY EXIST AS SHOWN, THAT THEIR POSITIONS ARE CORRECTLY SHOWN AND THAT SAID MONUMENTS ARE SUFFICIENT TO ENABLE THE SURVEY TO BE RETRACED.

JERRY D. HEATH, JR., R.L.S. #45835
HUNTER ENGINEERING, INC.



CITY OF SURPRISE ENGINEER APPROVAL:

DATA ON THIS PLAT REVIEWED AND APPROVED THIS 27TH DAY OF August, 2012, BY THE CITY ENGINEER OF SURPRISE, ARIZONA.

APPROVED

Jan Marking
CITY ENGINEER

FOR 8-27-12
DATE

CITY OF SURPRISE COUNCIL APPROVAL:

APPROVED BY THE CITY COUNCIL OF THE CITY OF SURPRISE, ARIZONA THIS 11TH DAY OF September, 2012.

Shawn White
MAYOR

9/8/2012
DATE

ATTEST:

Sherry Ann Ogila
CITY CLERK

DATE

RECORDER

OFFICIAL RECORDS OF
MARICOPA COUNTY RECORDER
HELEN PURCELL

20120846671 09/19/2012 10:59

BOOK 1124 PAGE 24
ELECTRONIC RECORDING

ParkSkyway-3-1-1-M-
chagollaj

FINAL PLAT FOR SKYWAY BUSINESS

PARK II UNITS 29 - 47

A REPLAT OF UNITS 29 THROUGH 47, SKYWAY BUSINESS PARK II CONDOMINIUM, ACCORDING TO THE FINAL PLAT RECORDED IN BOOK 923 OF MAPS, PAGE 1, RECORDS OF MARICOPA COUNTY, ARIZONA, LOCATED WITHIN A PORTION OF THE NORTHEAST QUARTER OF SECTION 22, TOWNSHIP 3 NORTH, RANGE 1 WEST, OF THE GILA AND SALT RIVER BASE AND MERIDIAN, MARICOPA COUNTY, ARIZONA.

HUNTER ENGINEERING
CIVIL AND SURVEY

10450 N. 74TH ST., SUITE 200
SCOTTSDALE, AZ 85258
T 480 991-3985
F 480 991-3986

NO.	DATE	REVISION	BY

DRAWN BY: PJE
CHECKED BY: JDH

PURPOSE:
FINAL PLAT

SECTION: 22
TWN: 3N
RANGE: 1W

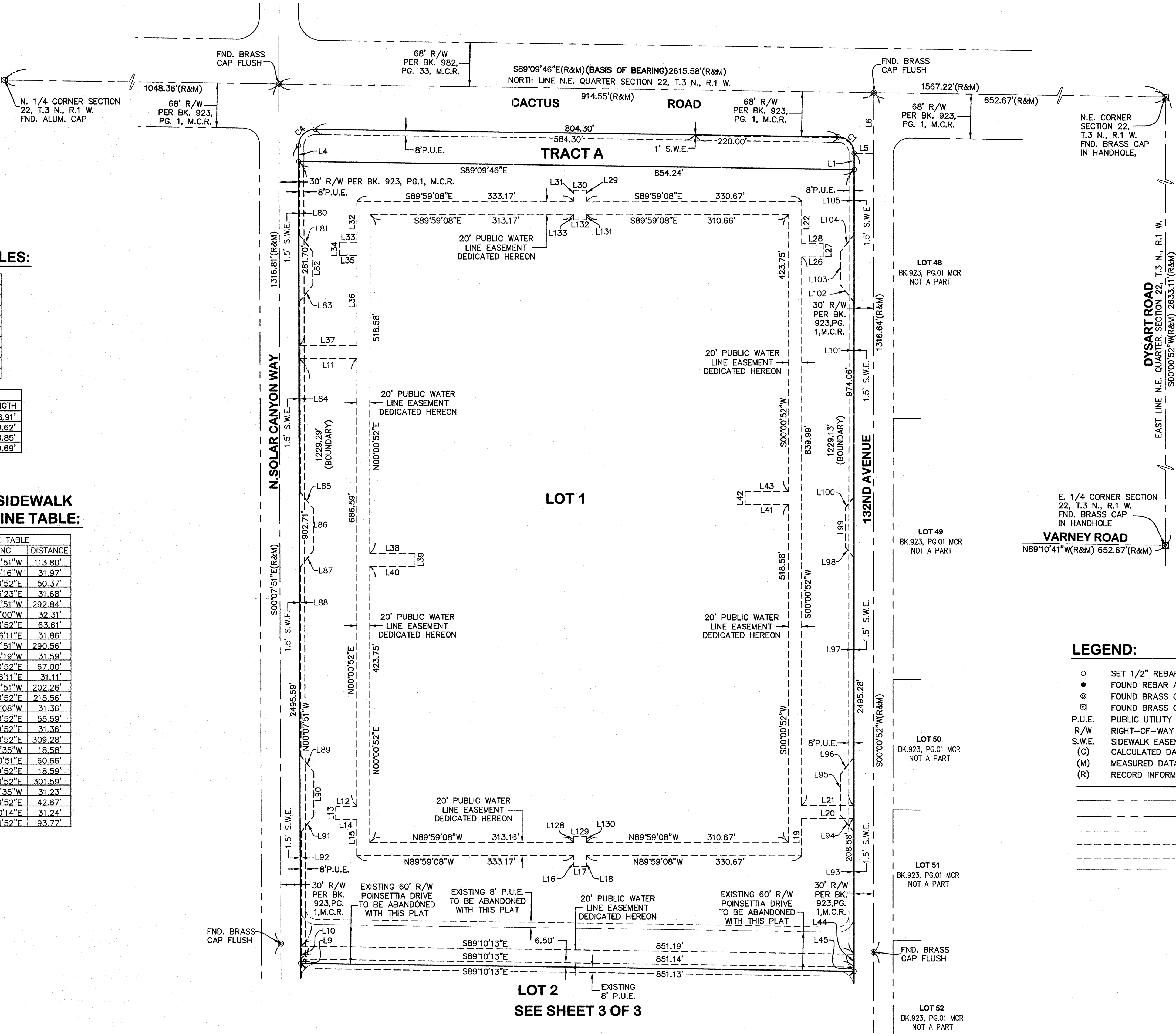
JOB NO.:
LGEC123-SP

SCALE
1"=80'

SHEET
1 OF 3

FINAL PLAT FOR SKYWAY BUSINESS PARK II UNITS 29 - 47
CITY OF SURPRISE, AZ.

A REPLAT OF UNITS 29 THROUGH 47, SKYWAY BUSINESS PARK II CONDOMINIUM, ACCORDING TO THE FINAL PLAT RECORDED IN BOOK 923 OF MAPS, PAGE 1, RECORDS OF MARICOPA COUNTY, ARIZONA, LOCATED WITHIN A PORTION OF THE NORTHEAST QUARTER OF SECTION 22, TOWNSHIP 3 NORTH, RANGE 1 WEST, OF THE GILA AND SALT RIVER BASE AND MERIDIAN, MARICOPA COUNTY, ARIZONA.



BOUNDARY LINE TABLES:

LINE TABLE		
LINE	BEARING	DISTANCE
L1	S00°00'52"W	24.86'
L2	S00°00'52"W	29.65'
L3	S00°07'51"E	30.42'
L4	S00°07'51"E	24.08'
L5	S89°59'08"E	30.00'
L6	S00°00'52"W	92.22'
L7	S89°59'08"E	30.00'
L8	N00°00'52"E	45.78'

CURVE TABLE			
CURVE	RADIUS	DELTA	LENGTH
C1	25.00'	89°10'38"	38.91'
C2	25.00'	90°48'27"	39.62'
C3	25.00'	89°02'50"	38.85'
C4	25.00'	90°58'05"	39.69'

LOT 1 20' WATER ESMT. LINE TABLE: LOT 1 SIDEWALK ESMT. LINE TABLE:

LINE TABLE		
LINE	BEARING	DISTANCE
L9	N00°07'51"W	6.50'
L10	N00°07'51"W	20.00'
L11	N89°59'08"W	88.89'
L12	S89°59'08"E	32.29'
L13	N00°00'52"E	20.00'
L14	S89°59'08"E	32.29'
L15	N00°00'52"E	55.31'
L16	N00°00'52"E	17.00'
L17	S89°59'08"E	20.00'
L18	N00°00'52"E	17.00'
L19	S00°00'52"W	57.28'
L20	N89°59'08"W	80.67'
L21	N89°59'08"W	80.67'
L22	S00°00'52"W	65.06'
L26	N89°59'08"W	33.00'
L27	N00°00'52"E	20.00'
L28	N89°59'08"W	33.00'
L29	S00°00'52"W	17.00'
L30	N89°59'08"W	20.00'
L31	S00°00'52"W	17.00'
L32	N00°00'52"E	63.16'
L33	S89°59'08"E	26.98'
L34	N00°00'52"E	20.00'
L35	S89°59'08"E	26.98'
L36	N00°00'52"E	137.28'
L37	S89°59'08"E	88.94'
L38	S89°59'08"E	69.50'
L39	S00°00'52"W	20.00'
L40	N89°59'08"W	69.50'
L41	N89°59'08"W	67.02'
L42	N00°00'52"E	20.00'
L43	S89°59'08"E	67.02'
L44	N00°00'52"E	20.00'
L45	N00°00'52"E	6.50'
L128	N00°00'52"E	8.98'
L129	N89°54'39"E	20.00'
L130	S00°00'52"W	9.02'
L131	N00°00'52"E	8.44'
L132	S89°59'08"E	20.00'
L133	S00°00'52"W	8.44'

LINE TABLE		
LINE	BEARING	DISTANCE
L80	N00°07'51"W	113.80'
L81	N38°44'16"W	31.97'
L82	N00°00'52"E	50.37'
L83	N38°35'23"E	31.68'
L84	N00°07'51"W	292.84'
L85	N38°36'00"W	32.31'
L86	N00°00'52"E	63.61'
L87	N38°36'11"E	31.86'
L88	N00°07'51"W	290.56'
L89	N38°34'19"W	31.59'
L90	N00°00'52"E	67.00'
L91	N38°36'11"E	31.11'
L92	N00°07'51"W	202.26'
L93	N00°00'52"E	215.56'
L94	N38°38'08"W	31.36'
L95	N00°00'52"E	55.59'
L96	N38°39'52"E	31.36'
L97	N00°00'52"E	309.28'
L98	N38°39'35"W	18.58'
L99	N00°00'51"E	60.66'
L100	N38°39'52"E	18.59'
L101	N00°00'52"E	301.59'
L102	N38°39'35"W	31.23'
L103	N00°00'52"E	42.67'
L104	N38°40'14"E	31.24'
L105	N00°00'52"E	93.77'

LEGEND:

- SET 1/2" REBAR LS #45835
- FOUND REBAR AND CAP
- FOUND BRASS CAP FLUSH
- ⊗ FOUND BRASS CAP IN HAND HOLE
- P.U.E. PUBLIC UTILITY EASEMENT
- R/W RIGHT-OF-WAY
- S.W.E. SIDEWALK EASEMENT
- (C) CALCULATED DATA PER FOUND MONUMENTATION
- (M) MEASURED DATA PER FIELD COLLECTION
- (R) RECORD INFORMATION
- LOT LINE AND R/W LINE DEDICATED HEREON
- - - EXISTING R/W LINE ABANDONED HEREON
- - - EXISTING RIGHT-OF-WAY LINE
- - - EXISTING EASEMENT
- - - PUBLIC UTILITY EASEMENT LINE (P.U.E.)
- - - PUBLIC WATER LINE EASEMENT LINE
- - - MONUMENT CENTERLINE

RECORDER

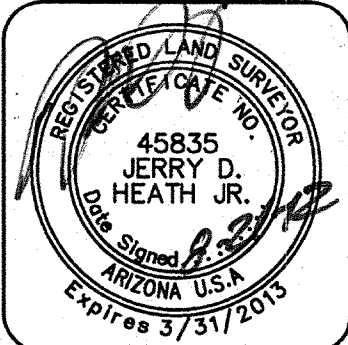
OFFICIAL RECORDS OF
MARICOPA COUNTY RECORDER
HELEN PURCELL
20120846671 09/19/2012 10:59
BOOK 1124 PAGE 24
ELECTRONIC RECORDING

ParkSkyway-3-1-1-M-
chagollaj

BY	
NO.	DATE
REVISION	
PURPOSE: FINAL PLAT	

DRAWN BY: PJE
CHECKED BY: JDH

HUNTER
ENGINEERING
CIVIL AND SURVEY
10450 N. 74TH ST. SUITE 200
SCOTTSDALE, AZ 85258
T 480 991 3985
F 480 991 3986



FINAL PLAT FOR SKYWAY BUSINESS
PARK II UNITS 29 - 47
A REPLAT OF UNITS 29 THROUGH 47, SKYWAY BUSINESS PARK II CONDOMINIUM,
ACCORDING TO THE FINAL PLAT RECORDED IN BOOK 923 OF MAPS, PAGE 1, RECORDS
OF MARICOPA COUNTY, ARIZONA, LOCATED WITHIN A PORTION OF THE NORTHEAST
QUARTER OF SECTION 22, TOWNSHIP 3 NORTH, RANGE 1 WEST, OF THE
GILA AND SALT RIVER BASE AND MERIDIAN, MARICOPA COUNTY, ARIZONA.

SECTION: 22
TWN: 3N
RANGE: 1W

JOB NO.:
LGEC123-SP

SCALE
1"=80'

SHEET
2 OF 3

A REPLAT OF UNITS 29 THROUGH 47, SKYWAY BUSINESS PARK II CONDOMINIUM, ACCORDING TO THE FINAL PLAT RECORDED IN BOOK 923 OF MAPS, PAGE 1, RECORDS OF MARICOPA COUNTY, ARIZONA, LOCATED WITHIN A PORTION OF THE NORTHEAST QUARTER OF SECTION 22, TOWNSHIP 3 NORTH, RANGE 1 WEST, OF THE GILA AND SALT RIVER BASE AND MERIDIAN, MARICOPA COUNTY, ARIZONA.

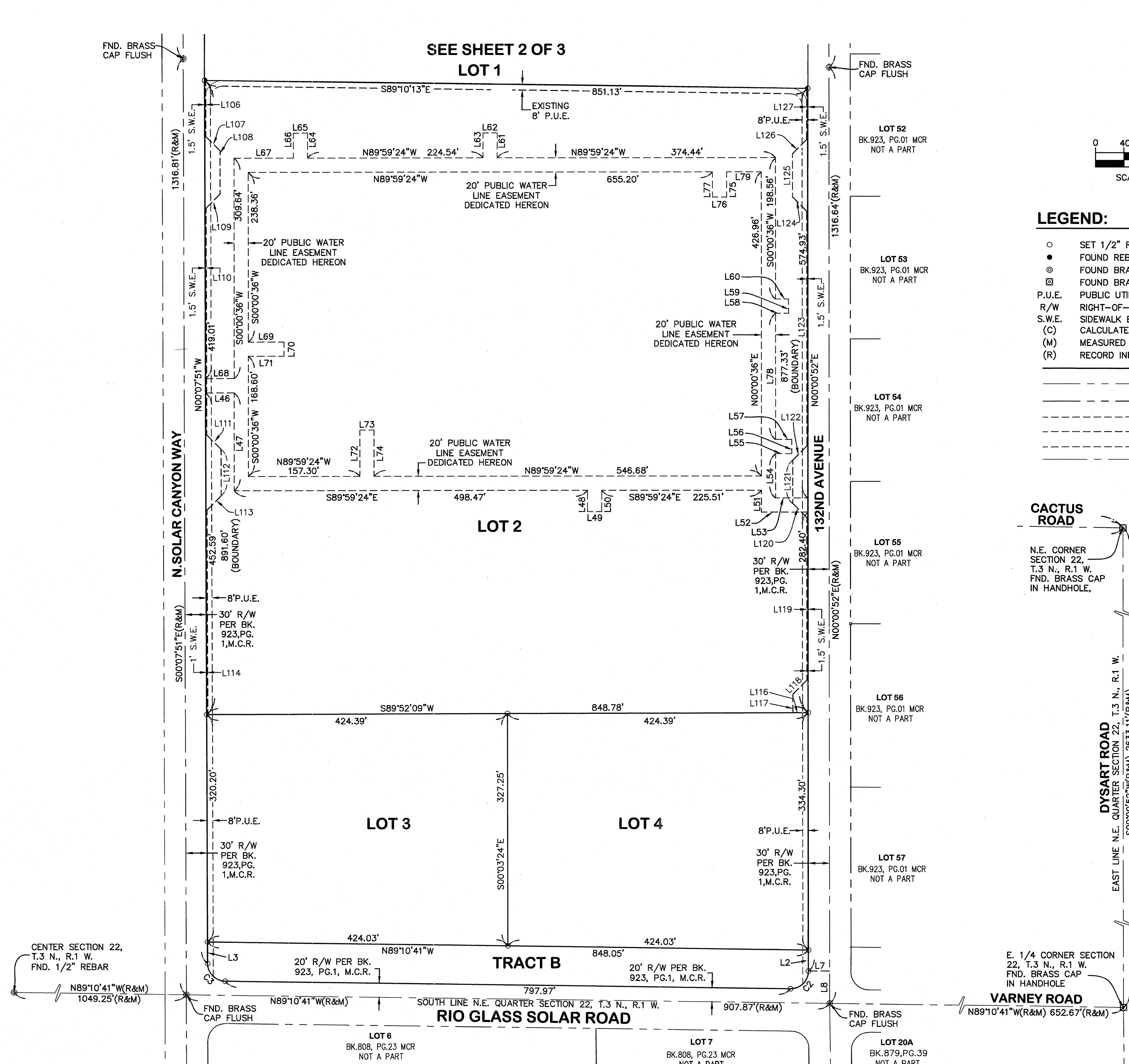
LINE TABLE		
LINE	BEARING	DISTANCE
L1	S00°00'52"W	24.86'
L2	S00°00'52"W	29.65'
L3	S00°07'51"E	30.42'
L4	S00°07'51"E	24.08'
L5	S89°59'08"E	30.00'
L6	S00°00'52"W	92.22'
L7	S89°59'08"E	30.00'
L8	N00°00'59"E	45.78'

CURVE TABLE			
CURVE	RADIUS	DELTA	LENGTH
C1	25.00'	89°10'38"	38.91'
C2	25.00'	90°48'27"	39.62'
C3	25.00'	89°02'50"	38.85'
C4	25.00'	90°58'05"	39.69'

**LOT 2 SIDEWALK
ESMT. LINE TABLE:**

LINE TABLE		
LINE	BEARING	DISTANCE
L46	S89°59'24"E	40.30'
L47	S00°00'36"W	137.32'
L48	S00°01'31"W	29.97'
L49	S89°59'24"E	20.00'
L50	N00°01'31"E	29.97'
L51	N00°00'36"E	30.78'
L52	N89°59'24"W	65.64'
L53	N89°59'24"W	45.64'
L54	S00°00'36"W	62.37'
L55	N89°59'24"W	22.72'
L56	S00°00'36"W	20.00'
L57	S89°59'24"E	22.72'
L58	N89°59'24"E	18.49'
L59	N00°00'36"E	20.00'
L60	N89°59'24"W	18.49'
L61	S00°00'36"W	35.02'
L62	S89°59'24"E	20.00'
L63	N00°00'36"E	35.02'
L64	S00°00'36"W	35.04'
L65	N89°59'24"W	20.00'
L66	S00°00'36"W	35.04'
L67	N89°59'24"W	83.54'
L68	S89°59'24"E	40.35'
L69	S89°58'49"W	50.22'
L70	S00°01'11"W	20.00'
L71	N89°58'49"W	50.22'
L72	S00°01'31"W	65.19'
L73	S89°58'29"W	20.00'
L74	N00°01'31"E	65.19'
L75	N00°00'36"E	35.50'
L76	S89°59'24"E	20.00'
L77	S00°00'36"W	35.50'
L78	S00°00'36"W	176.80'
L79	N89°59'24"W	48.77'

LINE TABLE		
LINE	BEARING	DISTANCE
L106	N00°07'51"W	78.89'
L107	N44°55'08"W	28.32'
L108	N00°03'11"E	60.83'
L109	N45°00'52"E	27.90'
L110	N00°00'51"E	318.39'
L111	N44°59'08"W	28.26'
L112	N00°13'44"W	65.54'
L113	N45°00'52"E	28.28'
L114	N00°00'51"E	288.21'
L116	N89°52'09"E	21.65'
L117	S00°00'52"W	26.20'
L118	S45°00'52"W	28.50'
L119	N00°00'52"E	228.35'
L120	N44°59'08"W	28.47'
L121	N00°00'52"E	60.82'
L122	N45°00'52"E	28.47'
L123	N00°00'52"E	328.98'
L124	N45°00'25"W	28.32'
L125	N00°03'10"E	60.83'
L126	N45°00'52"E	28.27'
L127	N00°00'52"E	71.82'



○ SET 1/2" REBAR LS #45835
● FOUND REBAR AND CAP
⊙ FOUND BRASS CAP FLUSH
⊗ FOUND BRASS CAP IN HAND HOLE

P.U.E. PUBLIC UTILITY EASEMENT
R/W RIGHT-OF-WAY
S.W.E. SIDEWALK EASEMENT
(C) CALCULATED DATA PER FOUND MONUMENTATION
(M) MEASURED DATA PER FIELD COLLECTION
(R) RECORD INFORMATION

_____ LOT LINE AND R/W LINE DEDICATED HEREON
_____ EXISTING R/W LINE ABANDONED HEREON
_____ EXISTING RIGHT-OF-WAY LINE
----- EXISTING EASEMENT
----- PUBLIC UTILITY EASEMENT LINE (P.U.E.)
----- PUBLIC WATER LINE EASEMENT LINE
_____ MONUMENT CENTERLINE

**CACTUS
ROAD**

N.E. CORNER
SECTION 22, —
T.3 N.; R.1 W.
FND. BRASS CAP
IN HANDHOLE,

DYSART ROAD
EAST LINE N.E. QUARTER SECTION 22, T.3 N., R.1 W.
— — — — — 500'00" (50'00" (2.011) 2633 141' (5.044)

E. 1/4 CORNER SECTION
22, T.3 N., R.1 W.
FND. BRASS CAP
IN HANDHOLE

RECORDER

OFFICIAL RECORDS OF
MARICOPA COUNTY RECORDER
HELEN PURCELL
0120846671 09/19/2012 10:59
BOOK 1124 PAGE 24
ELECTRONIC RECORDING

ParkSkyway-3-1-1-M-
chagollaj

NO.	DATE	REVISION	BY

PURPOSE:

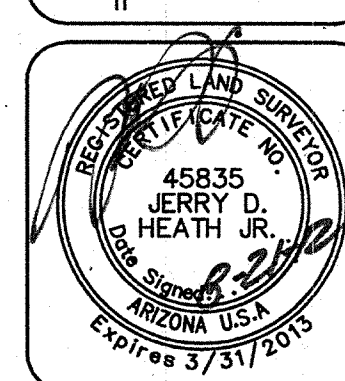
FINAL PLAT

DRAWN BY: PJE
CHECKED BY: JDH

CIVIL AND SURVEY

HUNTER
ENGINEERING

H ST., SUITE 200
DALE, AZ 85258
T 480 991 3985
F 480 991 3986



**FINAL PLAT FOR SKYWAY BUSINESS
PARK II UNITS 29 - 47**

A REPEAT OF UNITS 29 THROUGH 47, SKYWAY BUSINESS PARK II CONDOMINIUM, ACCORDING TO THE FINAL PLAT RECORDED IN BOOK 923 OF MAPS, PAGE 1, RECORDS OF MARICOPA COUNTY, ARIZONA, LOCATED WITHIN A PORTION OF THE NORTHEAST QUARTER OF SECTION 22, TOWNSHIP 3 NORTH, RANGE 1 WEST, OF THE CONTOUR OF THE

SECTION: 22
TWNSHIP: 3N
RANGE: 1W

JOB NO.:
LGEC123-SF

SCALE
1"=80'

SHEET
3 OF 3

RESOLUTION #2012-83

**A RESOLUTION OF THE MAYOR AND COUNCIL OF THE
CITY OF SURPRISE, ARIZONA, APPROVING A FINAL PLAT
ENTITLED "SKYWAY BUSINESS PARK II UNITS 29-47,"
GENERALLY LOCATED SOUTH OF CACTUS ROAD
BETWEEN SOLAR CANYON WAY AND 132ND AVENUE.**

WHEREAS, on December 14, 2006, the Mayor and City Council approved the Skyway Business Park II Final Plat, and;

WHEREAS, this resolution is in compliance with the approved Surprise Municipal Code, and Surprise General Plan.

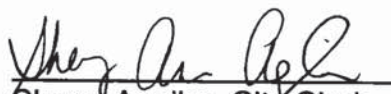
NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Surprise, Arizona, as follows:

Section 1. The Final Plat case FS12-192 entitled "Skyway Business Park II Units 29-47," is approved.

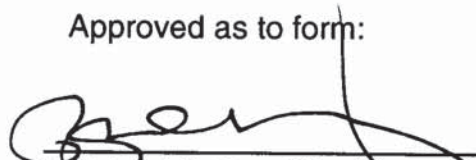
APPROVED AND ADOPTED this 11 day of Sept., 2012.


Sharon R. Wolcott, Mayor

Attest:


Sherry Aguilar, City Clerk

Approved as to form:


Michael Bailey, City Attorney

Yeas: Vice Mayor Williams, Council Members: Biundo, Alton, Villanueva,
Woodard and Hall. Absent – Mayor Wolcott

Nays: _____



COMMUNITY AND ECONOMIC DEVELOPMENT DEPARTMENT

Date: September 11, 2012

To: Mayor and City Council

From: Jeffrey J. Mihelich, Assistant City Manager
Vamshee Kovuru, Planner
vamshee.kovuru@surpriseaz.gov 623-222-3153

Re: FS12-192, Final Plat for Park Skyway and Southwest Products

Application Summary

The applicant is requesting a Final Plat Amendment of the Skyway Business Park Phase II Units 29-47 that will establish the property boundaries to accommodate the proposed Park Skyway and Southwest Products industrial projects.

Location

The project is generally located south of Cactus Road between Solar Canyon Way and 132nd Avenue. The total land area of units 29-47 equals 49.7 acres.

History

- On December 14, 2006, the Mayor and City Council approved the Skyway Business Park II Final Plat.
- On September 6, 2012, the Planning and Zoning Commission conditionally approved the site plans for Park Skyway and Southwest Products pending the approval of the Final Plat Amendment by the City Council.

Project Summary

The proposed location is currently zoned Industrial Performance (IP). The Final Plat Amendment includes abandoning Poinsettia Drive that will allow for a shared retention basin along the common property line of Park Skyway and Southwest Products. The Final Plat Amendment would create four (4) new lots by combining Units 29-47. The four (4) new lots will be developed in phases as follows:

- Lot 1 will be developed as Phase 1 that will accommodate 418,672-square-foot Park Skyway industrial shell building. The intended uses are distribution, warehouse, and manufacturing.

- Lot 2 will be developed as Phase 2 that will accommodate 121,285-square-foot Southwest Products industrial building. The proposed uses consist largely manufacturing, assembly, and distribution of specialty vehicles, generators for military, industrial and commercial applications, and truck engine sales and distribution.
- Lot 3 and 4 will be developed as market permits.

Economic Impact

All activity related to ongoing development of the City of Surprise has an economic and fiscal impact on the city and the region. Southwest Products will create 120-160 full time and part time jobs. Park Skyway will create 150-220 full time and part time jobs.

Findings

1. Staff finds that the proposed final plat complies with the Surprise Municipal Code.
2. Staff finds that the proposed final plat complies with the Surprise General Plan.

Recommendation

Staff recommends approval of Final Plat Amendment for Skyway Business Park Phase II Units 29-47.

Attachment

Resolution, Vicinity Map, Final Plat, Site Plan.

Cactus Road

**Skyway Business
Park Phase II Units
29-47**

Varney Road

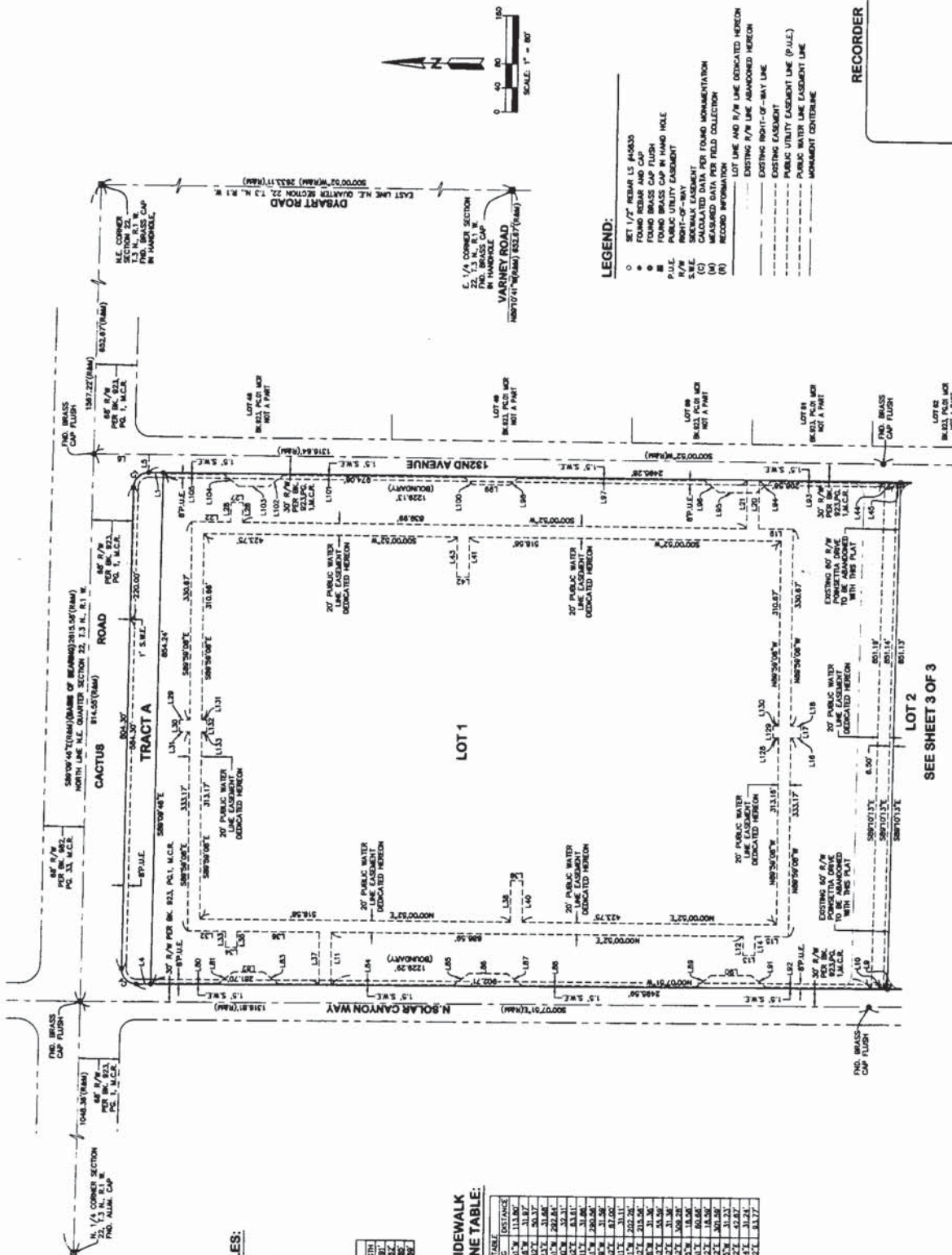


Solar Canyon Drive

132nd Avenue

A REPLAT OF UNITS 29 THROUGH 47, SKYWAY BUSINESS PARK II CONDOMINIUM, ACCORDING TO THE FINAL PLAT RECORDED IN BOOK 923 OF MAPS, MARICOPA COUNTY, ARIZONA, LOCATED WITHIN A PORTION OF THE NORTHEAST QUARTER OF SECTION 22, TOWNSHIP 3 NORTH, RANGE 1 WEST, OF THE GLA AND SALT RIVER BASE AND MERIDIAN, MARICOPA COUNTY, ARIZONA.

A REPLAT OF UNITS 29 THROUGH 47, SKYWAY BUSINESS PARK II CONDOMINIUM, ACCORDING TO THE FINAL PLAT RECORDED IN BOOK 923 OF MAPS, MARICOPA COUNTY, ARIZONA, LOCATED WITHIN A PORTION OF THE NORTHEAST QUARTER OF SECTION 22, TOWNSHIP 3 NORTH, RANGE 1 WEST, OF THE GLA AND SALT RIVER BASE AND MERIDIAN, MARICOPA COUNTY, ARIZONA.



LINE TABLE		
LINE	BEARING	DISTANCE
L1	S00°00'32"W	24.85'
L2	S00°00'52"W	29.85'
L3	S00°0'51"E	30.42'
L4	S00°0'31"E	24.08'
L5	S88°58'08"E	30.00'
L8	S00°00'32"W	82.22'
L7	S88°58'08"E	30.00'
L6	S00°0'31"E	24.08'

CURVE	RADIUS	DELTA	LENGTH
C1	23.00'	88°10'38"	38.91'
C2	23.00'	90°48'27"	39.62'
C3	23.00'	89°02'50"	38.85'
C4	23.00'	89°02'50"	38.85'

ESMT. LINE TABLE: ESMT. LINE TABLE:

LINE	BRANCH	LINE DATE
1	00001-2116	6/30
2	00001-2116	6/30
3	00001-2116	6/30
4	00001-2116	6/30
5	00001-2116	6/30
6	00001-2116	6/30
7	00001-2116	6/30
8	00001-2116	6/30
9	00001-2116	6/30
10	00001-2116	6/30
11	00001-2116	6/30
12	00001-2116	6/30
13	00001-2116	6/30
14	00001-2116	6/30
15	00001-2116	6/30
16	00001-2116	6/30
17	00001-2116	6/30
18	00001-2116	6/30
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96	00001-2116	6/30
97	00001-2116	6/30
98	00001-2116	6/30
99	00001-2116	6/30
100	00001-2116	6/30

LINE TABLE

LINE		IN BRING		DISTANCE	
160	MOOT 31.1.10	31.187		113.90	
161	MOOT 31.1.10	31.187			
162	MOOT 31.1.10	31.187			
163	MOOT 31.1.10	31.187			
164	MOOT 31.1.10	31.187			
165	MOOT 31.1.10	31.187			
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297	MOOT 31.1.10	31.187			
298	MOOT 31.1.10	31.187			
299	MOOT 31.1.10	31.187			
300	MOOT 31.1.10	31.187			

LEGEND:

- SET 1/2" REBAR L.S. ANALYSIS
- FORMED REBAR CAP CLIP
- FORMED BRASS CAP CLIP
- FORMED BRASS CAP IN FRAME HOLE
- U.L.C.
- PUBLIC UTILITY EASEMENT
- RIGHT-OF-WAY
- SIDEWALK EASEMENT
- RECORD INFORMATION FOR FIELD COLLECTION
- MEASURED DATA FOR FIELD COLLECTION
- (N) RECORD INFORMATION

_____ LOT LINE AND R/W LINE DEDICATED HEREON
_____ EXISTING R/W LINE ABANDONED HEREON
_____ EXISTING RIGHT-OF-WAY LINE
_____ EXISTING EASEMENT
_____ PUBLIC UTILITY EASEMENT LINE (P.U.L.)
_____ PUBLIC WATER LINE EASEMENT LINE
_____ ADJACENT CONTIGUOUS

2

SEE SHEET 3 OF 3

2 of 3

SCALE

JOE BOY

SECTION: 22

FINAL PLAN FOR SKYWAY BUSINESS
PARK IN UNITS 29 - 47

A REPEAT OF LOTS 28 THROUGH 47, SKYWAY BUSINESS PARK & COMBINATION
OF MARQUETTE COUNTY, MINNESOTA, LOCATED WITHIN A PORTION OF THE
QUARTER OF SECTION 22, TOWNSHIP 3 NORTH, RANGE 1 WEST,
R1A AND SALT RIVER BASIN AND MARQUETTE, MARQUETTE COUNTY, MINNESOTA

FINAL PLAT FOR SKYWAY BUSINESS PARK II UNITS 29 - 47 CITY OF SURPRISE, AZ.

A REPLAT OF UNITS 29 THROUGH 47, SKYWAY BUSINESS PARK II CONDOMINIUM, ACCORDING TO THE FINAL PLAT RECORDED IN BOOK 923 OF MAPS, PAGE 1, RECORDS OF MARICOPA COUNTY, ARIZONA, LOCATED WITHIN A PORTION OF THE NORTHEAST QUARTER OF SECTION 22, TOWNSHIP 3 NORTH, RANGE 1 WEST, OF THE GILA AND SALT RIVER BASE AND MERIDIAN, MARICOPA COUNTY, ARIZONA.

BOUNDARY LINE TABLES:

LINE	BEARING	DISTANCE
L1	S60°00'00"E	24.00'
L2	S60°00'00"E	29.65'
L3	S60°00'00"E	30.12'
L4	S60°00'00"E	30.12'
L5	S60°00'00"E	30.00'
L6	S60°00'00"E	30.00'
L7	S60°00'00"E	30.00'
L8	S60°00'00"E	30.00'
L9	S60°00'00"E	30.00'

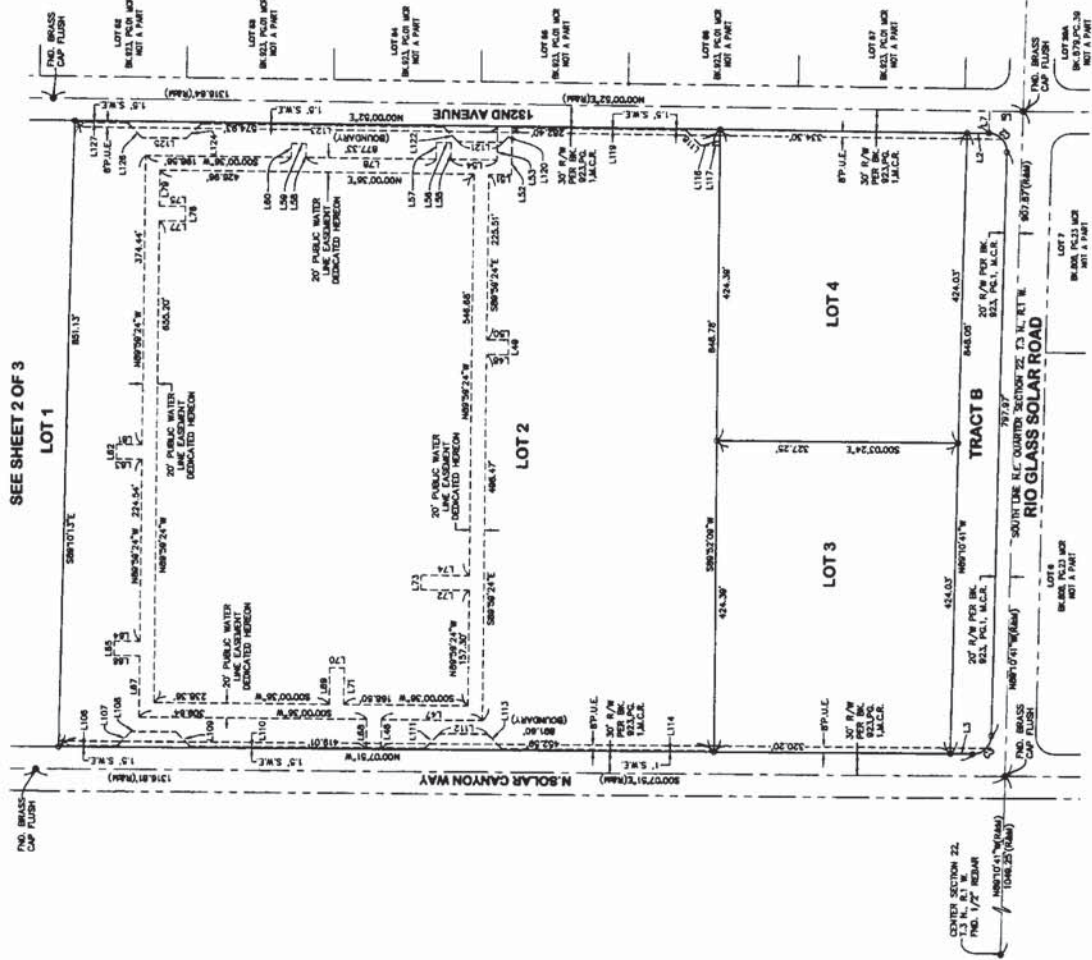
CURVE	BEARING	LENGTH
C1	S60°00'00"E	30.00'
C2	S60°00'00"E	30.00'
C3	S60°00'00"E	30.00'
C4	S60°00'00"E	30.00'

LOT 2, 20' WATER
ESMT. LINE TABLE:

LINE	BEARING	DISTANCE
L1	S60°00'00"E	24.00'
L2	S60°00'00"E	29.65'
L3	S60°00'00"E	30.12'
L4	S60°00'00"E	30.12'
L5	S60°00'00"E	30.00'
L6	S60°00'00"E	30.00'
L7	S60°00'00"E	30.00'
L8	S60°00'00"E	30.00'
L9	S60°00'00"E	30.00'

LOT 2, 20' WATER
ESMT. LINE TABLE:

LINE	BEARING	DISTANCE
L1	S60°00'00"E	24.00'
L2	S60°00'00"E	29.65'
L3	S60°00'00"E	30.12'
L4	S60°00'00"E	30.12'
L5	S60°00'00"E	30.00'
L6	S60°00'00"E	30.00'
L7	S60°00'00"E	30.00'
L8	S60°00'00"E	30.00'
L9	S60°00'00"E	30.00'



RECORDER

FINAL PLAT FOR SKYWAY BUSINESS
PARK II UNITS 29 - 47

SECTION 22
TOWNSHIP 3 NORTH
RANGE 1 WEST

SCALE
1"=80'

SHEET
3 OF 3

LOEC123-SP

DATE
10/1/2010

BY
[Signature]

REVISION

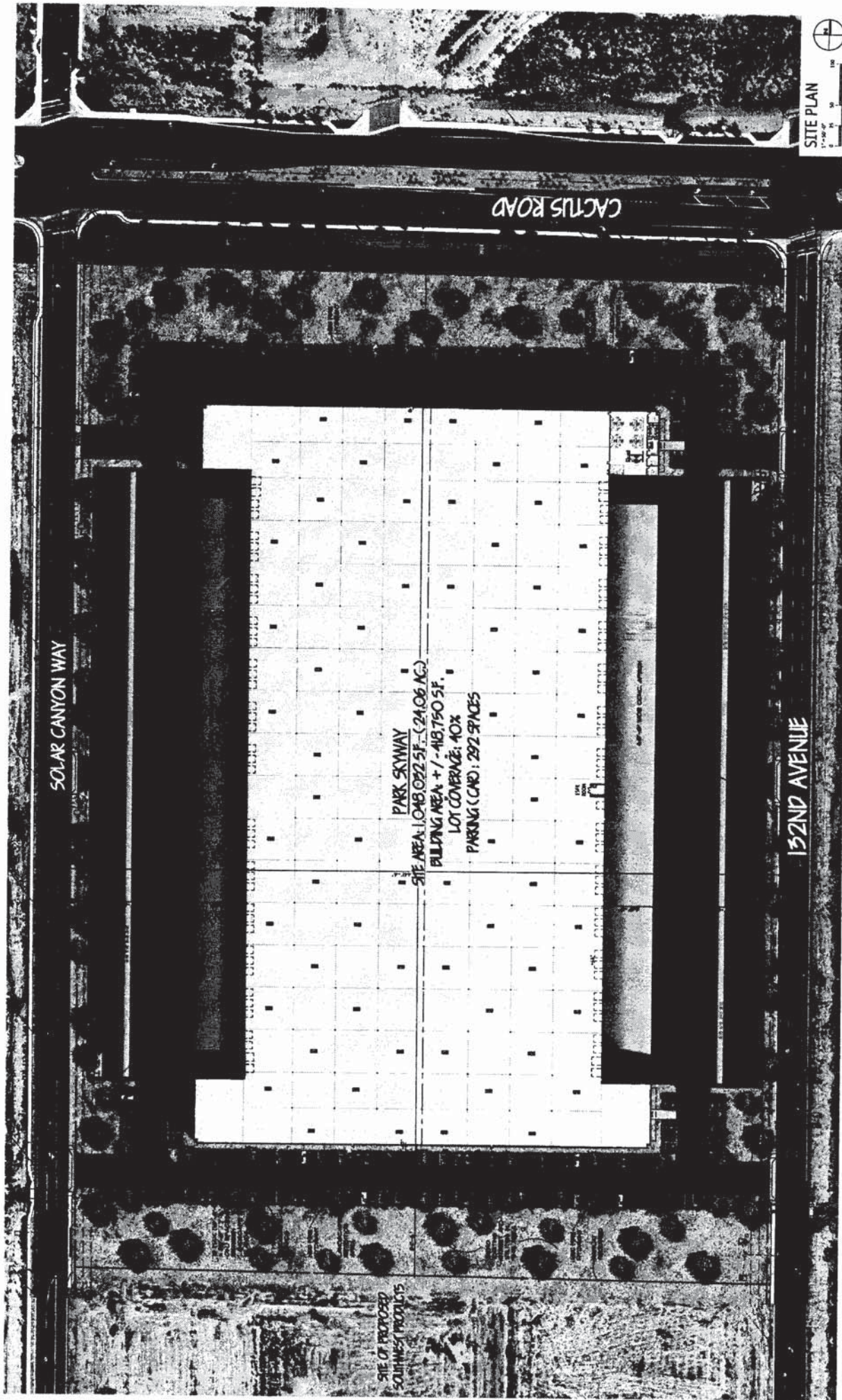
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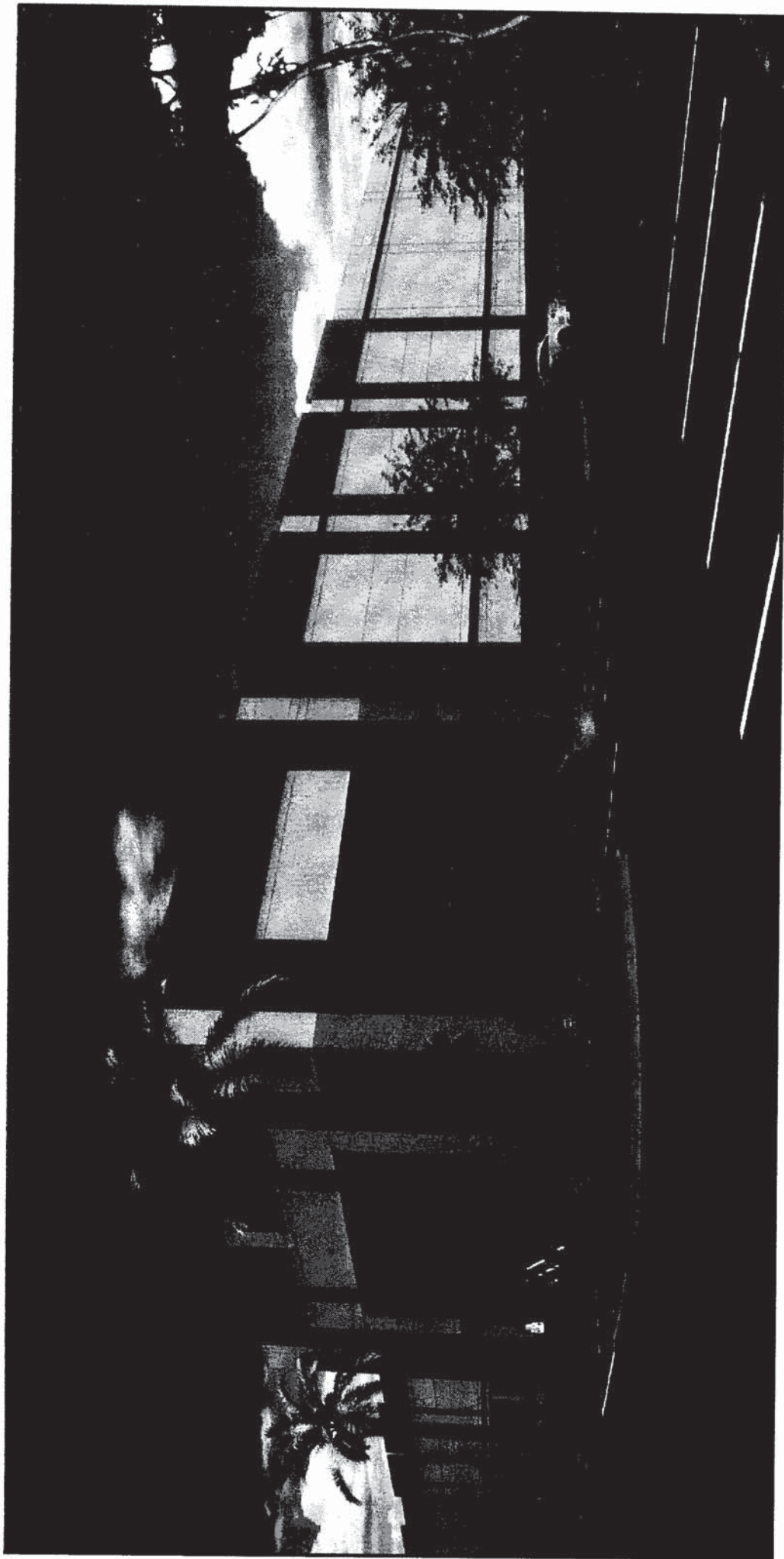
DATE

DATE

DATE

DATE





Rendering Date: 02.07.2012

This artist rendering is for conceptual design only and should not be referred to as a construction document

SOLAR CANYON WAY

RIO ALASS SOLAR ROAD

132ND AVENUE

SITE OF PROPOSED
PARK SWYWAY

SWP
Southwest Products

BUILDING AREA +/- 121,285 SF.

CANOPY AREA +/- 45,700 SF.

TOTAL BUILDING & CANOPY +/- 166,985 SF.

SITE AREA +/- 715,930 SF. (16.4 AC)

LOT COVERAGE: 25%

PARKING (CAR): 295 SPACES

2.4 CARS/1,000 SF.

SITE PLAN



Surprise, Arizona

2012 . 05 . 23

This artist rendering is for conceptual design only and should not be referred to as a construction document.

SWP
Southwest Products

CRAWLEY
DESIGN BUILD

TCB
DESIGN BUILD

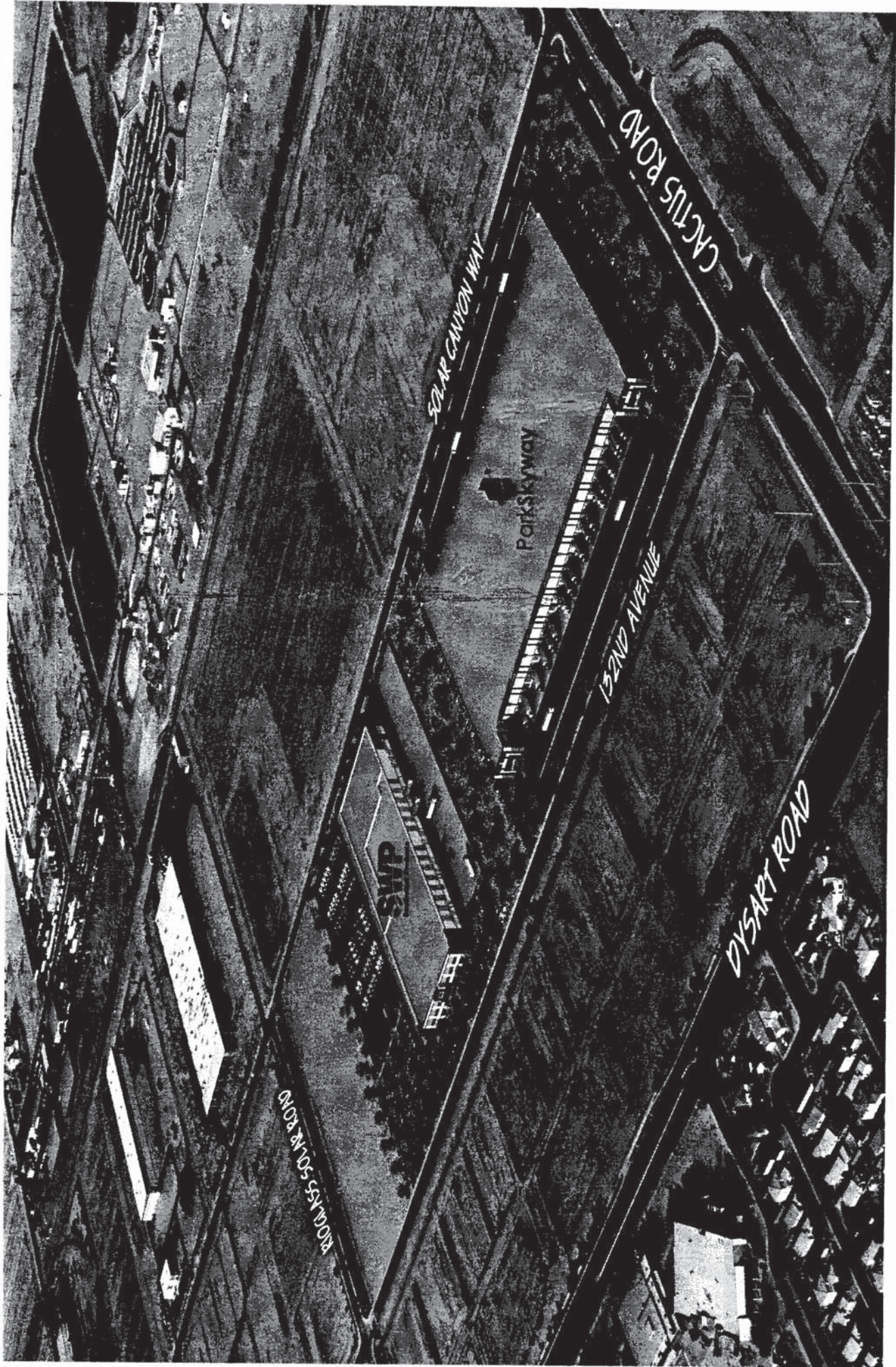


SWP
Southwest Products

This artist rendering is for conceptual design only and should not be referred to as a construction document.

2012.05.16







CITY OF SURPRISE
Regular City Council Meeting

September 11, 2012 @ 6:00:00 PM

+ Back Print

Council Meeting Date:	September 11, 2012	Contact Person:	Vamshee Kovuru, Community & Economic Development
Submitting Department:	CD	District:	3
Staff Recommendations:	Approve		

Consent	x	Regular	Public Hearing	Report/Discussion
---------	---	---------	----------------	-------------------

Agenda Wording:

Consideration and action on Resolution No. 2012-83, a resolution of the Mayor and Council of the City of Surprise, Arizona, approving a final plat entitled "Skyway Business Park II Units 29-47," located south of Cactus Road between Solar Canyon Way and 132nd Avenue.

Motion:

I move to approve Resolution 2012-83.

Background:

The applicant is requesting a final plat amendment of the Skyway Business Park Phase II Units 29-47. The final plat includes abandoning Poinsettia Drive and will establish the property boundaries to accommodate the proposed Park Skyway and Southwest Products industrial projects.

Financial Impact Statement:

All activity related to ongoing development of the City of Surprise has an economic and fiscal impact on the city and the region. Southwest Products will create 120-160 full time and part time jobs. Park Skyway will create 150-220 full time and part time jobs.

ATTACHMENTS:

Click to download

- ☐ [Staff Report](#)
 - ☐ [Resolution](#)
 - ☐ [Vicinity Map](#)
 - ☐ [Final Plat](#)
 - ☐ [Site Plan](#)
-

External Attachment Links:

Meeting Requirements:

Powerpoint x Video White Board Other x

Presentation Speaker Names (spelling and titles for TV captions):

Vamshee Kovuru, Planner, Community & Economic Development

City Clerk's Office only

Council Action

Motion/Second:

Wolcott	_____
Biundo	_____
Alton	_____
Williams	_____
Villanueva	<u>S</u>
Woodard	_____
Hall	<u>M</u>

Results:

For	<u>6</u>
Against	<u>0</u>
Passed	<u>XX</u>
Failed	_____
Continued	_____
Tabled	_____
Absent	<u>1</u> (Wolcott)



**Financial Assurance
Warranty Bond**

FA12-0005

Date: 1/22/2014 1:53:27 PM **Case #:** FS12-225
Project Name: SOUTHWEST PRODUCTS

LGE DESIGN BUILD
740 N 52ND ST., #200 PHOENIX, AZ 85008

JIM ALONZO 480 966 4001

Permit Information:

Permit #	Type	Cost	Bond Amount
E12P-0295	WATER	\$141,938.00	\$14,193.80
E12P-0297	CONCRETE	\$68,943.00	\$6,894.30
E12P-0298	PAVING	\$1,595.00	\$159.50

Total Bond Amount: \$21,247.60

The Total Bond Amount represents the Bond Amount that is required for your project at the time of this document's generation. If there are any questions or if there are any changes to the estimated costs for the permits covered by this bond, contact the City of Surprise Engineering Department at 623-222-6141.

LGE Design Build
740 North 52nd Street
Phoenix, AZ 85008



480.966.4001
602.225.2924
www.lgedesignbuild.com

January 22, 2014

Joe Garza
Engineering Permit Specialist
City of Surprise Public Works Dept.
Transportation-Engineering Division
16000 N Civic Center Plaza
Surprise, AZ 85374

Re: Southwest Products, 11690132nd Avenue

Joe,

Please be advised the final contract amounts for the Southwest Products project are as follows:

E12P-0295	Water	\$141,938.00
E12P-0297	Concrete	\$68,943.00
E12P-0298	Paving	\$1,595.00

If you need any other information for the warranty bond, please let me know.

Sincerely,

A handwritten signature in black ink, appearing to read "James Alonzo", written over a horizontal line.

James Alonzo
Project Manager

/kg

RFLS NO:	3932
SUBMIT DATE:	2/19/2014

**SURPRISE CITY ATTORNEY
REQUEST FOR LEGAL SERVICES**

Please retain form with document throughout the entire process.

Requestor: JOE GARZA

Department & Extension: City Attorney, Ext. 26141

Contract Title: Res 2014-25 Offsite Impr Acceptance for SW Products

Date of Contract, Event/Performance: 3/5/2014

Description of Legal Services Requested: ACCEPTANCE OF OFFSITE IMPROVEMENTS FOR SOUTHWEST PRODUCTS

Vendor Name, Address & Phone:

To Council? Yes, with Resolution

If Yes, Council Meeting Date: 03/04/2014

*****City Attorney's Office Use*****

RFLS Status: Approved

Attorney Comment:

CINDY.COEN
City Attorney's Office

3/11/2014

Date

4/2/2014 11:06:29 AM



CITY OF SURPRISE
Regular City Council Meeting

May 6, 2014 @ 6:00:00 PM

[Back](#) [Print](#)

Council Meeting Date:	May 6, 2014	Contact Person:	Sherry Ann Aguilar, City Clerk MMC
Submitting Department:		District:	Internal
Staff Recommendations:	No Action		

Consent	Regular	Public Hearing	Report/Discussion
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Agenda Wording:

City Clerk, Sherry Aguilar will issue the oath of office to new Youth Civic Leaders Yazmin Padilla and Ewoma Ogbaudu.

Motion:

No action.

Background:

Financial Impact Statement:

ATTACHMENTS:

[Click to download](#)

No Attachments Available

External Attachment Links:

Meeting Requirements:

Powerpoint	x	Video	White Board	Other	x
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Presentation Speaker Names (spelling and titles for TV captions):



CITY OF SURPRISE
Regular City Council Meeting

May 6, 2014 @ 6:00:00 PM

[Back](#) [Print](#)

Council Meeting Date:	May 6, 2014	Contact Person:	Council Member Winters
Submitting Department:	City Clerk	District:	Citywide
Staff Recommendations:	Approve		

Consent	Regular	Public Hearing	Report/Discussion
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Agenda Wording:

Consideration and action on appointing David Sanders to the Community and Recreation Services Advisory Board with the term expiration of July 1, 2017 and directing the City Clerk to administer the Oath of Office.

Motion:

I move to approve appointing David Sanders to the Community and Recreation Services Advisory Board with the term expiration of July 1, 2017 and directing the City Clerk to administer the Oath of Office.

Background:

Financial Impact Statement:

ATTACHMENTS:

Click to download

☐ [APPLICATION](#)

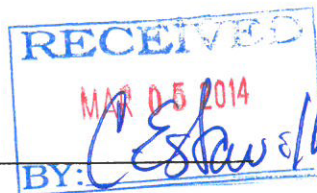
External Attachment Links:

Meeting Requirements:

Powerpoint	x	Video	White Board	Other	x
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Presentation Speaker Names (spelling and titles for TV captions):

Date/Time/Initials Received by Clerk's Office:



11-45(A)



City of Surprise

APPOINTMENT APPLICATION FOR ALL SURPRISE COMMISSIONS

Name: DAVID SANDERS Date Submitted: 3-5-14

Address: [REDACTED] Zip Code: 85374

Phone (Home): [REDACTED] Cell: [REDACTED]

E: Mail Address: [REDACTED]

Resident of Surprise: ☒ Yes ☐ No Number of Years: 4.5

Board/Commission(s) you are applying for? CRS ADVISORY

Education: MASTER OF BUSINESS ADMIN. (MBA)

EMPLOYMENT BACKGROUND:

Present Employer/Position: COMERICA BANK (1/2 YR)

Previous Employer(s)/Position (Last 5 Years): CHARLES SCHWAB & CO. (5 YRS)

Special Qualifications (Include list of Surprise activities or organizations you have participated in and dates):

CRS BOARD MEMBER 2013-2014, SUNDAY IN THE PARK JAN '14,

SURPRISE PARTY VENDOR (ASST) & ATTENDEE DEC 6 & 7 2013,

(Continued on Reverse Side)

SURPRISE PARKS TOUR 2013, DIVE-IN MOVIE 2012 & 2013
MOVIE NIGHT AT BALLPARK 2011, 2012, 2013, SURPRISE PRIDE
DAY 2013, ASST COACH - COACH PITCH BASEBALL 2013 & 2014,
ASST COACH AGES 7 & 8 BASKETBALL, CANNED FOOD DRIVES (2) →
WESTGATE COMMUNITY FRIENDS CHURCH 2012 & 2013, EASTER EGG
HUNT AT SURPRISE REC CAMPUS 2010, 2011, 2012, 2013, NUMEROUS
CITY COUNCIL MEETINGS 2012 & 2013.

Do you currently serve, or have you previously served on any other City of Surprise Commissions? If yes, which one(s):

CRS (Previous)

Statement as to why you want to serve as a Commission Member for the City of Surprise:

I AM A RESIDENT OF SURPRISE FOR OVER FOUR YEARS & I AM
VERY ACTIVE IN THE COMMUNITY. I HAVE THREE YOUNG CHILDREN THAT
ARE INVOLVED IN ACTIVITIES RANGING FROM SWIMMING, TO BASEBALL
TO TODDLER GYMNASTICS. THIS CITY IS ON THE VERGE OF TREMENDOUS
GROWTH & SUCCESS. I KNOW WHAT IT TAKES FOR THE CRS BOARD TO
HELP FACILITATE THAT. I WOULD LIKE TO LEAD MY EXPERIENCE,
EDUCATION & COMMUNITY INVOLVEMENT TO HELP IN THAT GROWTH PROCESS.

Additional Information/Comments:

I, ANDR ANDERS certify that to the best of my knowledge, all information given herewith is true and correct. I understand that any falsification or misrepresentation of facts will be cause for dismissal from the position if I am appointed by the City Council.

Applicant's Signature

Please return to:

City Clerk's Office
16000 N. Civic Center Plaza
Surprise, AZ. 85374
623-222-1200

Please note that applications are accepted on an open rolling basis and shall be maintained as an active application for future vacancies.



CITY OF SURPRISE
Regular City Council Meeting

May 6, 2014 @ 6:00:00 PM

[Back](#) [Print](#)

Council Meeting Date: May 6, 2014

Contact Person: CM Williams & Mayor Wolcott

Submitting Department:

District: Internal

Staff Recommendations: No Action

Consent

Regular

Public Hearing

Report/Discussion

Agenda Wording:

Discussion and presentation regarding the NLC trips by Surprise Youth Delegates

Motion:

No action to be taken.

Background:

Financial Impact Statement:

ATTACHMENTS:

[Click to download](#)

No Attachments Available

External Attachment Links:

Meeting Requirements:

Powerpoint x

Video

White Board

Other x

Presentation Speaker Names (spelling and titles for TV captions):



CITY OF SURPRISE
Regular City Council Meeting

May 6, 2014 @ 6:00:00 PM

[Back](#) [Print](#)

Council Meeting Date:	May 6, 2014	Contact Person:	Dick McKinley, Public Works
Submitting Department:	Public Works	District:	Citywide
Staff Recommendations:	Approve		

Consent	Regular	Public Hearing	Report/Discussion
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Agenda Wording:

Consideration and action on publishing a Notice of Intention and set the public hearing date of June 17, 2014 for the proposed City utility rates.

Motion:

I move to approve directing the City Manager to post the appropriate notice of intention and to set the public hearing on June 17, 2014 for the proposed City utility rates.

Background:

There are several steps the City must complete as required by state law to consider and adopt changes to its utility rates. As required by Arizona Revised Statutes 9-511.01, the city must adopt a notice of intention to raise utility rates at least 30 days prior to holding a public hearing on those proposed changes.

The notice is required to be published one time in a newspaper of general circulation within the boundaries of the municipality not less than 20 days before the public hearing date. **The City will advertise in the Daily News Sun for an affidavit of publication and Surprise Today and Independent for general city circulation.**

After holding the public hearing, Council may adopt the proposed rates which will become effective no earlier than 30 days after adoption of the resolution.

Financial Impact Statement:

There is no fiscal impact related to this action as the item is only for notification to the public of the City's intent to set city utility rates. The proposed rates are designed to allow full cost recovery associated with those services through user fees. The impact to the City and the user will be presented as part of the public hearing.

ATTACHMENTS:

[Click to download](#)

- ☐ [Legal Notice for Publication](#)
- ☐ [Utility Rate Report](#)
- ☐ [Rate Schedule](#)

External Attachment Links:

Meeting Requirements:

Powerpoint x Video White Board Other x

Presentation Speaker Names (spelling and titles for TV captions):

Dick McKinley; PW Director

Bob Wingenroth; CFO

Legal Notice

City of Surprise
Notice of Public Hearing Regarding City's
Intention to Increase Utility Rates

The City of Surprise hereby gives notice pursuant to Arizona Revised Statute section 9-511.01 of a public hearing to be held at the regularly scheduled City Council meeting on June 17, 2014 at 6 p.m. in the City Hall Council Chambers, 16000 North Civic Center Plaza, Surprise, Arizona in connection with the City's intention to consider a resolution adjusting the utility rates and charges for August 1, 2014 through June 30, 2019. At the public hearing, the City will accept verbal and written comments concerning the proposed utility rate resolution. The comprehensive utility rate study report is available at the City Clerk's office and available online at <http://www.surpriseaz.gov/>.

City of Surprise



Report for
**COMPREHENSIVE
WATER, WASTEWATER,
AND SANITATION
UTILITIES RATE STUDY**

March 2014

FCS GROUP

7525 166th Avenue NE, Suite D-215
Redmond, WA 98052
T: 425.867.1802 | F: 425.867.1937

This entire report is made of readily recyclable materials, including the bronze wire binding and the front and back cover, which are made from post-consumer recycled plastic bottles.

TABLE OF CONTENTS

SECTION I: INTRODUCTION	1
SECTION II: RATE STUDY METHODOLOGY.....	2
A. Rate Setting Principles and Methodology.....	2
B. Revenue Requirement	2
B.1 Special Planning Areas.....	3
C. Rate Design	3
SECTION III: WATER UTILITY	4
A. Introduction.....	4
B. Revenue Requirement	4
B.1 Operating Forecast	4
Reserves.....	4
Operating Revenue.....	4
O&M Expenses	5
Debt Service	5
System Reinvestment	5
System Development Fees	6
B.2 Capital Funding Plan	6
B.3 Summary of Revenue Requirement.....	7
C. Rate Design	7
C.1 Existing Water Rates.....	8
C.2 Proposed Water Rates.....	8
D. Summary	9
SECTION IV: WASTEWATER UTILITY.....	10
A. Introduction.....	10
B. Revenue Requirement	10
B.1 Operating Forecast	10
Reserves.....	10
Operating Revenue.....	10
O&M Expenses	11
Debt Service	11

System Reinvestment	11
System Development Fees	12
B.2 Capital Funding Plan	12
B.3 Summary of Revenue Requirement.....	13
C. Rate Design	14
C.1 Existing Wastewater Rates	14
C.2 Proposed Wastewater Rates	14
D. Summary	15
SECTION V: SANITATION UTILITY	16
A. Introduction.....	16
B. Revenue Requirement	16
B.1 Operating Forecast	16
Reserves	16
Operating Revenue.....	16
O&M Expenses	17
Debt Service	17
Vehicle and Equipment Funding	17
B.2 Capital Funding Plan	17
B.3 Summary of Revenue Requirement.....	18
C. Rate Design	19
C.1 Existing Sanitation Rates.....	19
C.2 Proposed Sanitation Rates.....	19
D. Summary	19
TECHNICAL APPENDIX: WATER FUND	21
TECHNICAL APPENDIX: WASTEWATER FUND	22
TECHNICAL APPENDIX: SANITATION FUND.....	23

SECTION I: INTRODUCTION

In October of 2013 the City of Surprise (the City) engaged FCS GROUP to complete comprehensive rate studies for the water, wastewater, and sanitation utilities. The results of these studies aim to establish a blueprint for achieving strong financial performance in the future and delivering efficient and effective services to the City's customers. The scope of the project outlined an approach to address the following topics:

- ◆ Fiscal/rate policies and practices.
- ◆ Capital funding strategies that address system infrastructure needs.
- ◆ Multi-year financial plan to create a strong financial foundation from which to proactively address "total" system needs and obligations.
- ◆ Multi-year rate strategy to recover the target revenue levels identified.
- ◆ Rate structures that recover the revenue identified.

The methodology, key factors, conclusions and recommendations for each of the key task areas of the study are summarized in this executive level report. The full technical exhibits for the comprehensive rate studies can be found in the Technical Appendix.

SECTION II: RATE STUDY METHODOLOGY

A. RATE SETTING PRINCIPLES AND METHODOLOGY

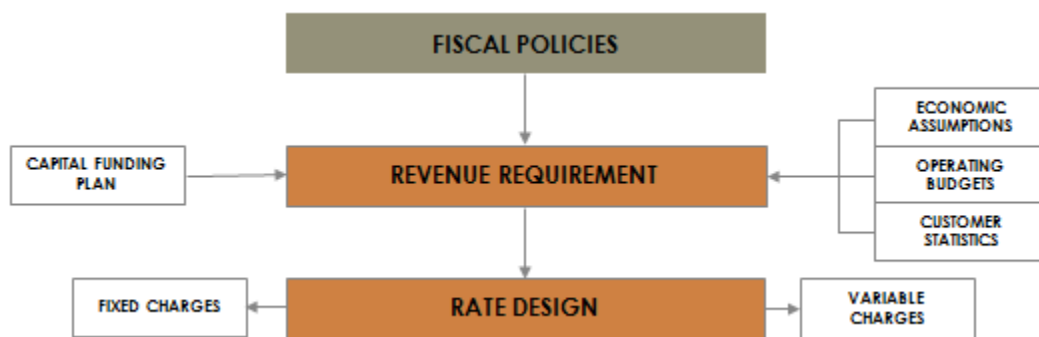
The methods used to establish user rates are based on principles that are generally accepted and widely followed throughout the industry. These principles are designed to produce rates that equitably recover costs from each class of customer by setting the appropriate level of revenue to be collected from ratepayers, and establishing a rate structure to equitably collect those revenues.

The primary tasks of the rate study are listed below:

- ♦ **Revenue Requirement Analysis.** This analysis identifies the total revenue requirement to fully fund each system on a standalone basis, considering operating and maintenance expenditures, capital funding needs, debt requirements and fiscal policy objectives.
- ♦ **Rate Design Analysis.** This analysis includes the development of rates that generate sufficient revenue to meet each system's revenue requirement forecast.

Exhibit 2.1 illustrates the rate study process.

Exhibit 2.1: Overview of the Rate Study Process



B. REVENUE REQUIREMENT

A revenue requirement analysis forms the basis for a long-range financial plan and multi-year rate management strategy for each system. It also enables the City to set utility rate structures which fully recover the total cost of operating each system: capital improvement and replacement, operations, maintenance, general administration, fiscal policy attainment, cash reserve management, and debt repayment. Linking rate levels to a financial plan such as this helps to enable not only sound financial performance for the City's utility enterprise funds, but also a clear and reasonable relationship between the costs imposed on utility customers and the costs incurred to provide the service.

A revenue requirement analysis includes the following core elements to form a complete portrayal of the utility's financial obligations.

- ◆ **Fiscal Policy Analysis.** Identifies formal and informal fiscal policies of the City to ensure that current policies are maintained, including reserve levels, capital/system replacement funding and debt service coverage.
- ◆ **Operating Forecast.** Identifies future annual non-capital costs associated with the operation, maintenance, and administration of the system.
- ◆ **Capital Funding Plan.** Defines a strategy for funding the City’s capital improvement/equipment replacement program, including an analysis of available resources from rate revenues, debt financing, and any special resources that may be readily available (e.g. grants, outside contributions, etc.). Identifies if additional funding sources are needed.
- ◆ **Revenue Sufficiency Testing.** Evaluates the sufficiency of revenues in meeting all financial obligations, including any coverage requirements associated with long-term debt.
- ◆ **Rate Strategy Development.** Designs a forward-looking strategy for adjusting rates to fully fund all financial obligations on an annual basis over the projection period.

B. 1 Special Planning Areas

The City’s General Planning Area (GPA) consists of six separate Special Planning Areas (SPAs). As described in the ***Surprise General Plan***¹:

“SPAs 1, 2, and 3 are located within the ‘primary planning area’ that includes lands that are anticipated to be developed over the next 20 years. It also comprises areas where the City of Surprise can work closely with Maricopa County to assist in directing that growth. SPAs 4, 5 and 6 are located within the ‘secondary planning area,’ that is considered the city’s ‘sphere of influence.’ How the sphere of influence develops could positively or negatively impact the City of Surprise’s development patterns.”

Within the SPAs the City provides water, wastewater and sanitation services at different levels. The City provides wastewater services to a majority of customers, provides sanitation to residential customers only, and is one of thirteen drinking water providers for water services. The revenue requirement analysis evaluates each utility on a stand-alone basis for all areas combined and does not differ by SPA.

C. RATE DESIGN

The principal consideration of rate design is for the rate structure to generate sufficient revenues for the system which are reasonably commensurate with the cost of providing service. Most rate designs consist of fixed and variable charges. Fixed costs typically attempt to cover costs of the system that do not vary with demands on the system while variable costs vary with a change in user demand. Although the majority of costs of operating a utility are fixed, in general customers prefer more costs tied to the variable charge since changes in behavior have a direct correlation with a change in their bill. The optimum rate structure is a balance of both fixed and variable charges tied to the unique revenue requirements of each utility.

¹ Specifically described in *Surprise General Plan 2020*

SECTION III: WATER UTILITY

A. INTRODUCTION

The City of Surprise is one of the largest of the thirteen drinking water providers operating within the Surprise Planning Area. Current well production is 6 million gallons per day (MGD) from five water supply facilities and fifteen well sites. This water production currently serves approximately 14,600 customers. The City operates and maintains their own water service area and contracts with American Water for billing services.

B. REVENUE REQUIREMENT

A revenue requirement analysis forms the basis for a long-range financial plan and multi-year rate management strategy. The analysis is developed by completing an operating forecast that identifies future annual operating costs and a capital funding plan that defines a strategy for funding the capital improvement needs of the City.

B.1 Operating Forecast

The purpose of the operating forecast is to determine whether the existing rates and charges are sufficient to recover the costs the City incurs to operate, maintain, and provide water service. A combination of FY 2014 and FY 2015 budget revenues and expenses formed the baseline for the forecast. The operating forecast was developed for the ten-year FY 2015 through FY 2024 time period. This report focuses on the first five-year period of FY 2015 through FY 2019, as this was established as the rate-setting period. The Technical Appendix provides the detail for the full forecast period. The following discussion highlights the key assumptions used to develop the water utility operating forecast.

Reserves

- ◆ **Operating Reserves.** A minimum of 60 days of operating and maintenance (O&M) expenses (\$1.48 million to \$2.19 million, based on existing City target and industry standards).
- ◆ **Capital Contingency Target.** 2.00 percent of plant in service (\$2.21 million to \$2.60 million, per discussion with City staff and industry standards).

Operating Revenue

- ◆ **Retail Rate Revenue.** Consists of FY 2014 budgeted service/collection fee revenue, escalated with customer growth.
- ◆ **Non Rate Revenue.** Water meter installation charges, escalated with customer growth (based on budget provided by City).
- ◆ **Customer Growth.** City staff provided a five-year forecast of new Equivalent Dwelling Units (EDUs) by SPA, ranging from 436 in FY 2015 to 854 FY 2019, with a dip to 174 in FY 2016. Growth beyond the five-year forecast was based on the five-year average.

- ◆ **Interest Earnings.** 0.50 percent in FY 2015 increasing to 1.00 percent by FY 2017 (based on discussion with City staff).

O&M Expenses

- ◆ **General Cost Inflation.** 3.00 percent per year (based on discussion with City staff).
- ◆ **Construction Cost Inflation.** 3.16 percent per year (based on five-year historical Engineering News Record Construction Cost Index data and discussion with City staff).
- ◆ **Labor Cost Inflation.** 3.00 percent per year (based on discussion with City staff).
- ◆ **Benefit Cost Inflation.** 3.00 percent per year (based on discussion with City staff).
- ◆ **Fuel Cost Inflation.** 4.75 percent per year (based on seven-year historical U.S. Energy Information Administration data and discussion with City staff).
- ◆ **Franchise in Lieu Payment.** Calculated based on 2.00 percent of annual rate revenue (based on discussion with City staff).
- ◆ **PILOT Assessments.** Calculated based on capital assets (with a two year lag to match the County Treasurer's calculation), Arizona Revised Statute (ARS) assessed valuation annual percentage adjusted for fiscal year calculation (decreasing from 18.75 percent in FY 2015 to 18.00 percent in FY 2017) and primary property tax rates (projected to match the FY 2014 value of 0.7783 percent, based on discussion with City staff).
- ◆ **Additional O&M Adjustments.** City staff provided planned future annual O&M adjustments for FY 2015 through FY 2019. Some of the more significant planned adjustments included:
 - 100 percent utilization of the Central Arizona Project (CAP) allocation (\$951,000 increase in FY 2015).
 - Increase in electricity (\$1.20 million in FY 2018 – FY 2019) and chemical supplies costs (\$960,000 in FY 2018 – FY 2019) associated with projects, such as treatment upgrades, from the capital improvement program.
 - Additional full time employees (FTEs) and associated supplies (\$309,000 in FY 2016).

Debt Service

- ◆ **Existing Debt.** There is currently no existing debt service associated with the water utility.
- ◆ **New Debt.** Two new debt issues totaling \$47.50 million are anticipated to fund the capital improvement program, including SPA specific projects. New debt assumed using revenue bonds with a 20 year term, 5.25 to 5.50 percent interest, and 1.50 percent issuance cost.
 - \$8.00 million revenue bond in FY 2016 for water capital asset replacement, SPA 1-16 inch water line, and site & security improvements.
 - \$39.50 million revenue bond in FY 2020 for land purchases for multiple Regional WSFs, final phase of SPA 2 Asante WSF, SPA 1 Rancho Gabriela WSF, multiple SPA 1 wells, multiple SPA 2 water lines, and various other projects; although this bond is outside of the five-year focus, it is necessary to consider the effect it will have on rate increases.

System Reinvestment

- ◆ System reinvestment funding policies aim to ensure system integrity through reinvestment in capital infrastructure. There are a variety of funding benchmarks – utilities most commonly use annual depreciation expense to establish an annual funding provision, which for the City's water utility is approximately \$2.95 to \$3.34 million per year during the five-year period.

- ♦ As the water utility is not currently funding system reinvestment, and to minimize customer impacts, the proposed strategy envisions introducing funding at \$700,000 per year in FY 2015 through FY 2019. Annual funding is intended to ultimately equal depreciation at a minimum in the future.

System Development Fees

All new customers connecting to the City's water system are required to pay an impact fee to "buy-in" to the capacity of the existing system. At the time of the completion of the study, the City was recalculating its impact fees to stay in compliance with the requirements of Arizona Revised Statutes 9-463.05, which go into effect August 1, 2014. The study assumed the use of the City's existing fees to estimate the annual level of revenues received.

At the time of the study, the City charged all new customers a SPA specific System Development Fee (SDF) and Replenishment SDF. Each SPA maintained a separate SDF Fund and Replenishment SDF Fund. The analysis assumes that revenues in these funds can only be used to:

- ♦ Pay for SPA specific growth related capital.
- ♦ Reimburse developers for large growth related capital assets constructed for general benefit.
- ♦ Reimburse the Operating Fund if SPA funds are insufficient to cover capital and the Operating Fund covers the deficiency for SPA specific capital projects.

In order to estimate the annual SPA specific impact fee revenues, SPA based growth in EDUs (provided by the City) are multiplied by the associated SDFs and Replenishment SDFs. Once the annual revenues are calculated, estimates for developer reimbursements (provided by the City) are deducted. The net impact fee revenues go into SPA specific funds.

B.2 Capital Funding Plan

The water utility is anticipating \$27.14 million in capital costs in FY 2015 through FY 2019, with costs inflated at 3.16 percent per year to date of construction. Some of the more significant capital projects include water capital asset replacement, combined distribution system for Ashton and Rancho, SPA 1 16-inch water lines, and Resources SPA 1 effluent storage reservoir covers. The proposed capital funding strategy envisions using a mix of cash balances (including interest) from the Operations Fund, impact fees from the SPA Funds, rate funded system reinvestment, and revenue bonds to pay for planned projects. **Exhibit 3.1** provides a summary of the planned capital funding sources for the capital funding expenditures. A detailed capital plan can be found in the Technical Appendix.

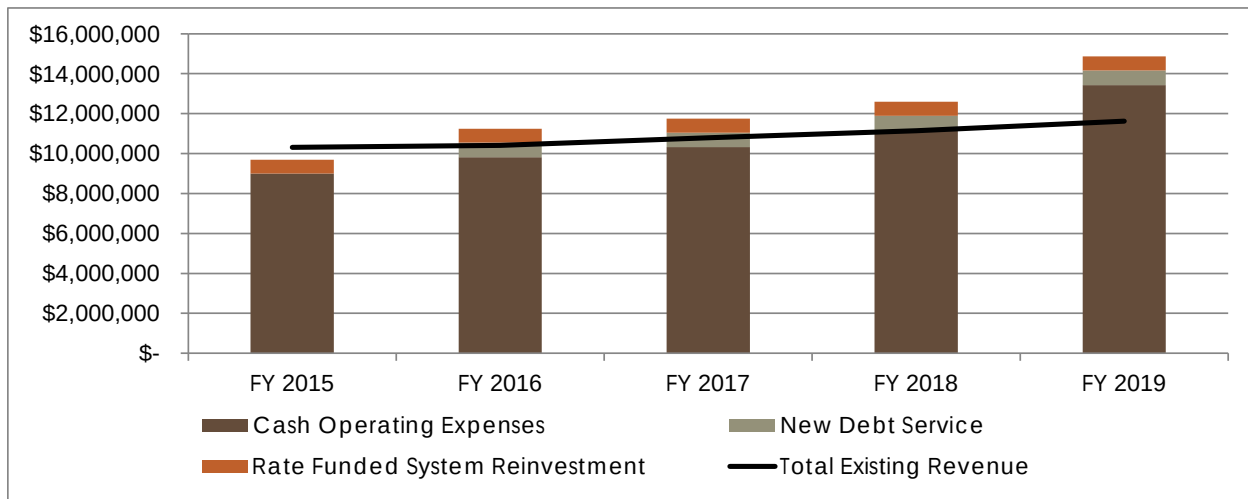
Exhibit 3.1: Water Capital Funding Summary

Funding Summary	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	Total
Capital Costs						
Operations Fund Capital	\$ 2,510,267	\$ 7,046,455	\$ 3,709,936	\$ 1,621,987	\$ 2,773,445	\$17,662,090
SPA Specific Capital	4,998,684	2,185,480	357,928	1,159,856	775,887	9,477,836
Total Capital Costs	\$ 7,508,951	\$ 9,231,935	\$ 4,067,864	\$ 2,781,843	\$ 3,549,332	\$27,139,926
Funding Sources						
Cap. Fund Cash Balances	\$ 1,926,326	\$ -	\$ 3,119,730	\$ 1,812,267	\$ 2,073,445	\$ 8,931,769
SPA Fund Cash Balances	4,882,625	549,164	248,134	269,576	775,887	6,725,386
Rate Funded Capital	700,000	682,771	700,000	700,000	700,000	3,482,771
Revenue Bonds	-	8,000,000	-	-	-	8,000,000
Total Capital Funding	\$ 7,508,951	\$ 9,231,935	\$ 4,067,864	\$ 2,781,843	\$ 3,549,332	\$27,139,926

B.3 Summary of Revenue Requirement

The operating forecast components of O&M expenses, debt service and rate funded system reinvestment come together to form the multi-year revenue requirement. The revenue requirement compares the overall revenue available to the water system to the expenses to evaluate the sufficiency of rates on an annual basis. **Exhibit 3.2** provides a summary of the water system revenue requirement findings. Details can be found in the Technical Appendix.

Exhibit 3.2: Water Utility Revenue Requirement Summary



Summary of water revenue requirement:

- ◆ Beginning in FY 2016, current rate revenue levels are not sufficient to meet existing water annual financial obligations; the annual existing rate deficiency is \$798,000 in FY 2016 increasing to \$3.12 million in FY 2019. Key drivers for the deficiency include:
 - New debt service of \$726,000 beginning in FY 2016.
 - Rate funded system reinvestment is incorporated starting in FY 2015 at \$700,000 and remains at that level through FY 2019.
 - Additional future O&M cost increases.
- ◆ To meet the total projected financial obligations of the water utility, rate increases are proposed at 8.25 percent per year in FY 2015 through FY 2019.
- ◆ With rate increase, water funds remain above or near target levels throughout the forecast period.

C. RATE DESIGN

The principal objective of the rate design stage is to implement water rate structures that collect the appropriate level of revenue. The recommended water rates were determined by applying the aggregate revenue adjustments across-the-board to the existing rate structure (equally to all rate components).

C.1 Existing Water Rates

The existing water rates are composed of a fixed monthly meter charge and a volume charge per 1,000 gallons of water usage. Meter charges are the same for all customer classes and vary by meter size. Volume charges are also the same for all customer classes, but vary based on potable or non-potable water usage.

Exhibit 3.3 provides a summary of the existing monthly water rates.

Exhibit 3.3: Existing Monthly Water Rates

Meter Charge	Existing FY 2014
5/8"	\$ 19.39
3/4"	19.39
1"	25.20
1.5"	63.98
2"	102.75
3"	207.45
4"	329.59
6"	639.87
8"	1,033.49
Volume Charge	FY 2014
Potable	
0 - 10,000 Gallons	\$ 1.60
10,001 - 20,000 Gallons	3.15
Over 20,000 Gallons	3.67
Non-Potable	
0 - 10,000 Gallons	1.28
10,001 - 20,000 Gallons	1.28
Over 20,000 Gallons	1.28

C.2 Proposed Water Rates

No rate structure changes were proposed for the water utility. Across the board adjustments – equal increases to the fixed meter charge and variable consumption charges – were implemented for all customer classes. **Exhibit 3.4** provides a summary of the proposed rates for the five-year rate period.

Exhibit 3.4: Proposed Monthly Water Rates

Meter Charge	Existing	Proposed Monthly Rates				
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
5/8"	\$ 19.39	\$ 20.99	\$ 22.72	\$ 24.59	\$ 26.62	\$ 28.82
3/4"	19.39	20.99	22.72	24.59	26.62	28.82
1"	25.20	27.28	29.53	31.97	34.61	37.47
1.5"	63.98	69.26	74.97	81.16	87.86	95.11
2"	102.75	111.23	120.41	130.34	141.09	152.73
3"	207.45	224.56	243.09	263.14	284.85	308.35
4"	329.59	356.78	386.21	418.07	452.56	489.90
6"	639.87	692.66	749.80	811.66	878.62	951.11
8"	1,033.49	1,118.75	1,211.05	1,310.96	1,419.12	1,536.19
Volume Charge	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
Potable						
0 - 10,000 Gallons	\$ 1.60	\$ 1.73	\$ 1.87	\$ 2.02	\$ 2.19	\$ 2.37
10,001 - 20,000 Gallons	3.15	3.41	3.69	3.99	4.32	4.68
Over 20,000 Gallons	3.67	3.97	4.30	4.65	5.03	5.44
Non-Potable						
0 - 10,000 Gallons	1.28	1.39	1.50	1.62	1.75	1.89
10,001 - 20,000 Gallons	1.28	1.39	1.50	1.62	1.75	1.89
Over 20,000 Gallons	1.28	1.39	1.50	1.62	1.75	1.89

D. SUMMARY

The analysis described above concludes the rate study for the water utility. After performing a rate revenue analysis it was identified that the revenues at current levels are not sufficient to fund ongoing water system obligations. The shortfall is due to the planned additional O&M adjustments, increased debt service to fund the planned capital project costs, and the new rate funded system reinvestment funding to balance capital funding from rates and debt. As a result, annual increases of 8.25 percent are proposed in FY 2015 through FY 2019.

We recommend that the City revisit the study findings during the annual budget cycle to check that the assumptions used are still appropriate and no significant changes have occurred that would alter the results of the study. One of the key assumptions the City needs to monitor is assumed new growth connections in EDUs. Since growth assumptions impact the level of ongoing revenues as well as impact fee revenue, if growth assumptions deviate significantly from the figures used in the study, the City should recalibrate the analysis.

The detailed technical exhibits developed as part of the water rate study can be found at the end of this report in the Technical Appendix.

SECTION IV: WASTEWATER UTILITY

A. INTRODUCTION

The City of Surprise is the majority wastewater service provider in the Surprise Planning Area. Currently there are approximately 45,900 wastewater customers and a total wastewater treatment capacity of 18.3 MGD from two water reclamation facilities. The City owns and operates the majority of the wastewater infrastructure within its incorporated boundaries.

B. REVENUE REQUIREMENT

Similar to the water utility, a revenue requirement was completed for the wastewater utility and forms the basis for the long-range financial plan and multi-year financial management strategy.

B.1 Operating Forecast

The purpose of the operating forecast is to determine whether the existing rates and charges are sufficient to recover the costs the City incurs to operate, maintain and provide wastewater service. The FY 2014 and FY 2015 budget revenues and expenses formed the baseline for the forecast. Similar to the water utility, the forecast was developed for the FY 2015 through FY 2024 time period, with the focus of this report on the five-year rate setting period of FY 2015 through FY 2019. The following list highlights some of the key assumptions used in the development of the wastewater utility operating forecast.

Reserves

- ◆ **Operating Reserves.** A minimum of 60 days of O&M expenses (\$1.86 million to \$2.13 million, based on existing City target and industry standards).
- ◆ **Capital Contingency Target.** 2.00 percent of plant in service (\$5.35 to \$5.82 million, per discussion with City staff and industry standards).

Operating Revenue

- ◆ **Retail Rate Revenue.** Based on actual detailed customer accounts and usage statistics from the City's billing system for FY 2013.
- ◆ **Non Rate Revenue.** Non rate revenue is not currently in the forecast (based on budget provided by City).
- ◆ **Customer Growth.** City staff provided a five-year forecast of new EDUs by SPA, ranging from 1,065 in FY 2015 to 2,592 in FY 2019. Growth beyond the five-year forecast was based on the five-year average.
- ◆ **Interest Earnings.** 0.50 percent in FY 2015 increasing to 1.00 percent by FY 2017 (based on discussion with City staff).

O&M Expenses

- ◆ **General Cost Inflation.** 3.00 percent per year (based on discussion with City staff).
- ◆ **Construction Cost Inflation.** 3.16 percent per year (based on five-year historical Engineering News Record Construction Cost Index data and discussion with City staff).
- ◆ **Labor Cost Inflation.** 3.00 percent per year (based on discussion with City staff).
- ◆ **Benefit Cost Inflation.** 3.00 percent per year (based on discussion with City staff).
- ◆ **Fuel Cost Inflation.** 4.75 percent per year (based on seven-year historical U.S. Energy Information Administration data and discussion with City staff).
- ◆ **Franchise in Lieu Payment.** Calculated based on 2.00 percent of annual rate revenue (based on discussion with City staff).
- ◆ **PILOT Assessments.** Calculated based on capital assets (with a two year lag to match the County Treasurer's calculation), ARS assessed valuation annual percentage adjusted for fiscal year calculation (decreasing from 18.75 percent in FY 2015 to 18.00 percent in FY 2017) and primary property tax rates (projected to match the FY 2014 value of 0.7783 percent, based on discussion with City staff).
- ◆ **Additional O&M Adjustments.** City staff provided planned future annual O&M adjustments for FY 2015 through FY 2019. Some of the more significant planned adjustments included:
 - Increase in chemical supplies costs (\$250,000 in FY 2015).
 - Additional full time employees (FTEs) and associated supplies (\$114,000 in FY 2017).

Debt Service

- ◆ **Existing Debt.** The wastewater utility currently has two Municipal Property Corporation (MPC) revenue bonds. The annual average debt service payment is \$3.48 million in FY 2015 through FY 2019, not including the balloon payment in FY 2017 of \$10.13 million due to the shape of the loan amortization schedule. The existing amortization schedule contains additional balloon payments of \$16.41 million in FY 2022 and \$26.23 million in FY 2032.
 - Both debt issues are associated with SPA 1 infrastructure and are being paid out of the SPA 1 fund. If the SPA 1 fund does not have sufficient revenue, the Operating Fund pays for the annual deficiency and gets repaid when revenues are available.
 - The MPC loans have a minimum reserve requirement of \$4.00 million to be maintained up to 2.00 percent of plant in service. The analysis tracks annual asset additions and increases the reserve balance as necessary through additional Operating Fund transfers.
 - MPC revenue bonds contain coverage requirements for senior debt of 1.20 and 1.00 for MPC 2007 issue.
- ◆ **New Debt.** No new debt is assumed in the forecast period.

System Reinvestment

- ◆ System reinvestment funding policies aim to ensure system integrity through reinvestment in capital infrastructure. There are a variety of funding benchmarks – utilities most commonly use annual depreciation expense to establish an annual funding provision, which for the City's wastewater utility is approximately \$7.26 to \$7.73 million per year during the five-year period.

- ◆ Since the wastewater utility is not currently funding system reinvestment, the proposed strategy envisions introducing funding at \$4.60 million in FY 2015 increasing up to \$6.30 million in FY 2019. Annual funding is intended to ultimately equal depreciation at a minimum in the future.

System Development Fees

As discussed in the water utility section, all new customers connecting to the City's wastewater system are required to pay an impact fee to "buy-in" to the capacity of the existing system. At the time of the completion of the study, the City was in the process of reassessing its impact fees to stay in compliance with the requirements of Arizona Revised Statutes 9-463.05, which go into effect August 1, 2014. The study assumed the use of the City's existing fees to estimate the annual level of revenues received.

At the time of the study, the City charged all new customers a SPA specific SDF. Each SPA maintained a separate SDF Fund. The analysis assumes that revenues in the funds can only be used to:

- ◆ Pay for SPA specific growth related capital.
- ◆ Reimburse developers for large growth related capital assets constructed for general benefit.
- ◆ Reimburse the Operating Fund if SPA funds are insufficient to cover capital and debt, and the Operating Fund covers the deficiency.

In order to estimate the annual SPA specific impact fee revenues, SPA based growth in EDUs (provided by the City) is multiplied by the associated SDF. Once the annual revenues are calculated, estimates for developer reimbursements (provided by the City) are deducted. The net impact fee revenues go into SPA specific funds.

It should be noted that the annual growth projections generated approximately three times more impact fee revenues than has been achieved in the last three years. If this growth trend does not materialize, the rate study assumptions should be revisited and recalibrated.

B.2 Capital Funding Plan

The wastewater utility is anticipating \$32.49 million in capital costs in FY 2015 through FY 2019, with costs inflated at 3.16 percent per year to date of construction. In addition to the capital costs, SPA 1 has to fund \$24.06 million in MPC debt. The total combined capital improvement program and MPC debt payment schedule coming out of the Operating and SPA Funds is anticipated to be \$56.55 million in FY 2015 through FY 2019. Some of the more significant capital projects include SPA 1 WRF north entrance gate and block wall, SPA 1 influent line hydraulic rehabilitation, Litchfield Road, and wastewater capital asset replacement. The proposed capital funding strategy envisions using a mix of cash balances (including interest) from the Operating Fund, impact fees from the SPA Funds and rate funded system reinvestment. **Exhibit 4.1** provides a summary of the planned capital funding sources for the capital funding expenditures. A detailed capital plan can be found in the Technical Appendix.

Exhibit 4.1: Wastewater Capital Funding Summary

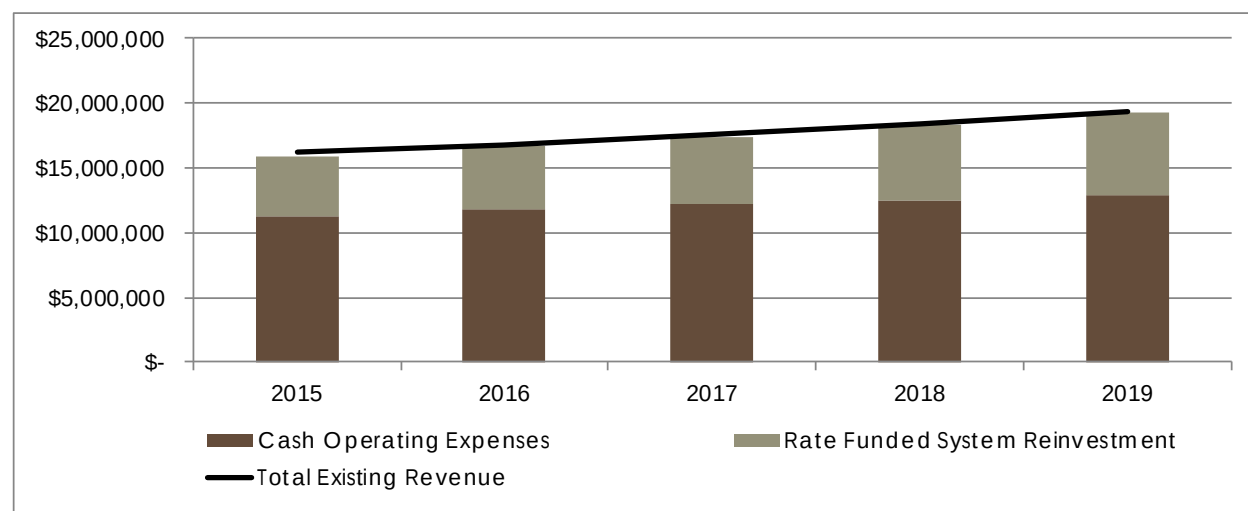
Funding Summary	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	Total
Capital Costs						
Operations Fund Capital	\$ 5,789,226	\$10,570,125	\$ 5,437,124	\$ 523,748	\$ 1,798,796	\$24,119,018
SPA Specific Capital	2,991,742	319,281	-	798,534	4,259,199	8,368,756
Subtotal	\$ 8,780,968	\$10,889,406	\$ 5,437,124	\$ 1,322,282	\$ 6,057,994	\$32,487,774
MPC Bond Payment	3,630,113	3,625,613	10,127,466	3,335,344	3,340,645	24,059,181
Total Capital Costs	\$12,411,080	\$14,515,019	\$15,564,590	\$ 4,657,626	\$ 9,398,640	\$56,546,954
Funding Sources						
Cap. Fund Cash Balances	\$ 4,083,987	\$ 3,837,959	\$ 2,877,925	\$ 3,335,344	\$ 3,340,645	\$17,475,860
SPA Fund Cash Balances	3,727,094	5,877,060	7,486,664	798,534	7,292,648	25,182,000
Rate Funded Capital	4,600,000	4,800,000	5,200,000	523,748	(1,234,653)	13,889,094
Total Capital Funding	\$12,411,080	\$14,515,019	\$15,564,590	\$ 4,657,626	\$ 9,398,640	\$56,546,954

Notes: SPA balances include repayments to the Operating Fund.

B.3 Summary of Revenue Requirement

The operating forecast components of O&M expenses, debt service and rate funded system reinvestment come together to form the multi-year revenue requirement. The revenue requirement compares the overall revenue available to the wastewater system to the expenses to evaluate the sufficiency of rates. **Exhibit 4.2** provides a summary of the wastewater system revenue requirement findings.

Exhibit 4.2: Wastewater Utility Revenue Requirement Summary



Notes: Existing debt is paid for by the SPA 1 Fund.

Summary of wastewater revenue requirement:

- ◆ Current revenue levels are sufficient to meet existing wastewater annual financial obligations throughout the forecast.
- ◆ The wastewater utility is expected to meet its minimum balance and bond coverage requirements in every year of the study period.

C. RATE DESIGN

As discussed in the water utility section, the principal objective of the rate design stage is to implement rate structures that collect the appropriate level of revenue as outlined by the revenue requirement.

C.1 Existing Wastewater Rates

The existing wastewater rates vary by class. All customers pay a monthly base service charge. Commercial customers also pay a volume charge per 1,000 gallons that varies by business type. **Exhibit 4.3** provides a summary of the existing monthly wastewater rates.

Exhibit 4.3: Existing Monthly Wastewater Rates

Class	Existing
	FY 2014
Single Family	\$ 24.78
Multi-Family	21.77
RV Resort	12.47
Commercial	2.63
Volume Charge	FY 2014
Commercial Business Type	
Bakeries	\$ 6.24
Bars w/ Dining	4.98
Bars w/o Dining	3.23
Car wash	2.68
Garage & Service Stations	3.39
Hospitals	3.12
Laundromats	2.90
Medical Offices	3.12
Professional Office	2.74
Restaurants	6.24
Schools	2.79
Other	3.01

Notes: Prepayment discount available.
Strength based rates available for Comm. customers exceeding 150 parts per million of BOD or TSS.

C.2 Proposed Wastewater Rates

No rate structure changes were proposed at this time for the wastewater system. **Exhibit 4.4** provides a summary of monthly wastewater rates for the five-year study period.

Exhibit 4.4: Proposed Monthly Wastewater Rates

Class	Existing	Proposed Monthly Rates				
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
Single Family	\$ 24.78	\$ 24.78	\$ 24.78	\$ 24.78	\$ 24.78	\$ 24.78
Multi-Family	21.77	21.77	21.77	21.77	21.77	21.77
RV Resort	12.47	12.47	12.47	12.47	12.47	12.47
Commercial	2.63	2.63	2.63	2.63	2.63	2.63
Volume Charge	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
Commercial Business Type						
Bakeries	\$ 6.24	\$ 6.24	\$ 6.24	\$ 6.24	\$ 6.24	\$ 6.24
Bars w/ Dining	4.98	4.98	4.98	4.98	4.98	4.98
Bars w/o Dining	3.23	3.23	3.23	3.23	3.23	3.23
Carwash	2.68	2.68	2.68	2.68	2.68	2.68
Garage & Service Stations	3.39	3.39	3.39	3.39	3.39	3.39
Hospitals	3.12	3.12	3.12	3.12	3.12	3.12
Laundromats	2.90	2.90	2.90	2.90	2.90	2.90
Medical Offices	3.12	3.12	3.12	3.12	3.12	3.12
Professional Office	2.74	2.74	2.74	2.74	2.74	2.74
Restaurants	6.24	6.24	6.24	6.24	6.24	6.24
Schools	2.79	2.79	2.79	2.79	2.79	2.79
Other	3.01	3.01	3.01	3.01	3.01	3.01

Notes: Prepayment discount available.

Strength based rates available for Commercial customers exceeding 150 parts per million of BOD and TSS.

D. SUMMARY

The analysis described above concludes the wastewater utility rate study. After performing a rate revenue analysis it appears that existing rate levels are sufficient to meet total wastewater obligations including operating and capital expenses. The City should monitor key assumptions such as growth in new connections, which impact the increase in existing rate revenues and impact fee revenues on an annual basis. In addition, the City needs to continue accumulating reserve balances to pay for existing debt balloon payments in FY 2022 and FY 2032.

We recommend that the City regularly monitor the financial status of the wastewater utility during the annual budget cycle, adjusting the rate strategy as needed to maintain rates that are adequate to meet the wastewater utility's financial needs. The City needs to complete a pretreatment update, review and incorporate standards for biochemical oxygen demand (BOD) and total suspended solids (TSS) in the next rate study.

The detailed exhibits developed as part of the wastewater rate study can be found in the Technical Appendix at the end of this report.

SECTION V: SANITATION UTILITY

A. INTRODUCTION

The City's sanitation department provides weekly residential curbside garbage and recycling services. Additional services available include household "bulk trash" collection for all residential customers, semi-annual household hazardous waste collection events for all City residents, Christmas tree disposal service, moving box pickup service, and illegal dumping cleanup. There are also four public recycling drop-off locations throughout the City. In 2013, the City won the Voice of the People Award for Excellence in Garbage Collection Services.

B. REVENUE REQUIREMENT

Similar to the other utilities, a revenue requirement was completed for the sanitation utility and forms the basis for the long-range financial plan and multi-year financial management strategy.

B.1 Operating Forecast

The purpose of the operating forecast is to determine whether the existing rates and charges are sufficient to recover the costs the City incurs to operate, maintain, and provide sanitation service. The FY 2014 and FY 2015 budget formed the baseline for this forecast. As discussed in the water and wastewater sections, the forecast was developed for the FY 2015 through FY 2024 time period, with the focus of this report on the five-year rate setting period FY 2015 through FY 2019. The following list highlights some of the key assumptions used in the development of the sanitation operating forecast.

Reserves

- ◆ **Operating Reserves.** A minimum of 60 days of O&M expenses (\$1.09 million to \$1.30 million, based on existing City target).

Operating Revenue

- ◆ **Retail Rate Revenue.** Consists of FY 2014 budgeted service/collection fee revenue, escalated with customer growth (based on budget provided by City).
- ◆ **Non Rate Revenue.** Consists of sanitation roll off fees and sale of recycled material (based on budget provided by City).
- ◆ **Customer Growth.** City staff provided a five-year forecast of new EDUs by SPA, ranging from 1,065 in FY 2015 to 2,592 in FY 2019. Growth beyond the five-year forecast was based on the five-year average.
- ◆ **Interest Earnings.** 0.50 percent in FY 2015 increasing to 1.00 percent by FY 2017 (based on discussion with City staff).

O&M Expenses

- ◆ **General Cost Inflation.** 3.00 percent per year (based on discussion with City staff).
- ◆ **Construction Cost Inflation.** 3.16 percent per year (based on five-year historical Engineering News Record Construction Cost Index data and discussion with City staff).
- ◆ **Labor Cost Inflation.** 3.00 percent per year (based on discussion with City staff).
- ◆ **Benefit Cost Inflation.** 3.00 percent per year (based on discussion with City staff).
- ◆ **Fuel Cost Inflation.** 4.75 percent per year (based on seven-year historical U.S. Energy Information Administration data and discussion with City staff).
- ◆ **Franchise in Lieu Payment.** \$1,000 increase per each new vehicle added (based on discussion with City staff).
- ◆ **PILOT Assessments.** Calculated based on capital assets (with a two year lag to match the County Treasurer's calculation), ARS assessed valuation annual percentage adjusted for fiscal year calculation (decreasing from 18.75 percent in FY 2015 to 18.00 percent in FY 2017) and primary property tax rates (projected to match the FY 2014 value of 0.7783 percent, based on discussion with City staff).
- ◆ **Additional O&M Adjustments.** City staff provided planned future annual O&M adjustments for FY 2015 through FY 2019. Some of the more significant planned adjustments included:
 - New collection and recycling container purchases (\$103,000 in FY 2017).
 - Gas, supplies and truck maintenance from additional truck purchases (\$183,000 in FY 2015 – FY 2019).
 - Additional full time employees (FTEs) and associated supplies (\$349,000 in FY 2015 – FY 2019).

Debt Service

- ◆ **Existing Debt.** The sanitation utility does not currently have any debt service.
- ◆ **New Debt.** No new debt is assumed in the forecast period.

Vehicle and Equipment Funding

- ◆ Similar to the system reinvestment funding concept discussed in the water and wastewater sections annual vehicle and equipment funding policies aim to ensure sufficiency of funds on an annual basis to replace aging vehicles and equipment stocks. By incorporating a level annual target amount to be funded through rates the City can smooth rate impacts during peak replacement years.
- ◆ After reviewing the City's current vehicle and equipment schedule, it appears that the average annual expense is \$2.00 million. The proposed strategy in this study incorporates annual vehicle and equipment replacement funding at \$400,000 in FY 2015 increasing to \$1.30 million by FY 2019. This is intended to ultimately increase to equal the average annual expense of \$2.00 million per year.

B.2 Capital Funding Plan

The sanitation utility is anticipating \$11.36 million in equipment and vehicle replacement as well as capital costs in FY 2015 through FY 2019, with costs inflated 3.16 percent per year to date of construction. Some of the more significant costs include operations vehicle purchases, compressed

natural gas (CNG) fueling station, and sanitation fund's share of the north public works yard. Funding for the capital identified is covered by cash balances (including interest) and capital/equipment reserve funding from rates. No additional funding appears to be necessary. **Exhibit 5.1** provides a summary of the funding sources for the capital/equipment expenditures. A detailed capital plan can be found in the Technical Appendix.

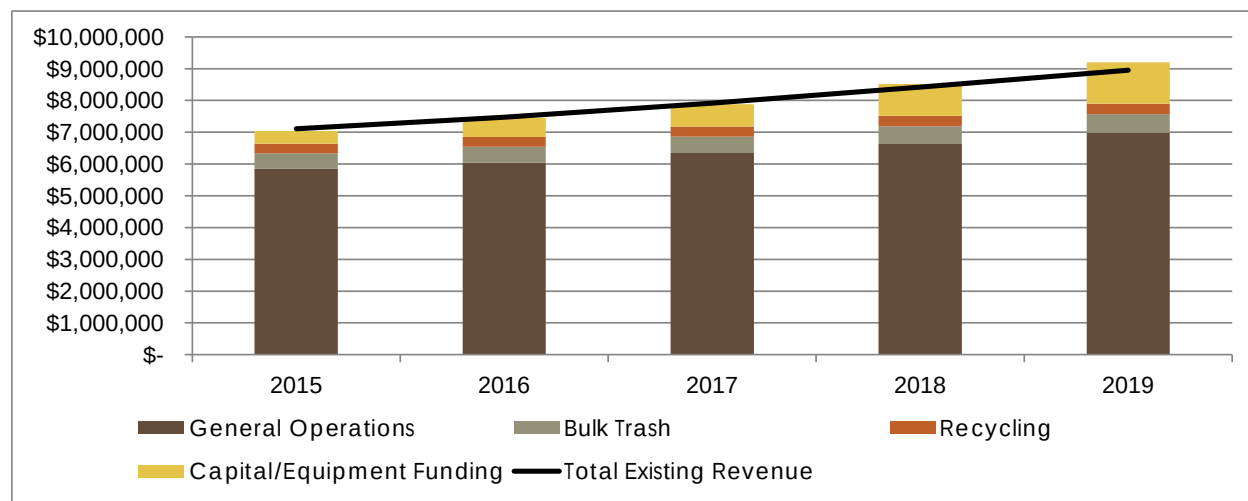
Exhibit 5.1: Sanitation Capital Funding Summary

Funding Summary	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	Total
Total Capital Costs	\$ 2,465,608	\$ 4,750,160	\$ 900,823	\$ 512,840	\$ 2,729,706	\$11,359,136
Funding Sources						
Cash Balances	\$ 2,065,608	\$ 4,150,160	\$ 200,823	\$ -	\$ 1,429,706	\$ 7,846,296
Vehicle/Equip. Funding	400,000	600,000	700,000	512,840	1,300,000	3,512,840
Total Capital Funding	\$ 2,465,608	\$ 4,750,160	\$ 900,823	\$ 512,840	\$ 2,729,706	\$11,359,136

B.3 Summary of Revenue Requirement

The operating forecast for the sanitation utility includes the costs associated with general operations, bulk trash, recycling, and vehicle/equipment funding. All expenses come together to form the multi-year revenue requirement. The revenue requirement compares the overall revenue available to the sanitation utility to the expenses to evaluate the sufficiency of rates on an annual basis. **Exhibit 5.2** provides a summary of the sanitation operation revenue requirement findings. Details can be found in the Technical Appendix.

Exhibit 5.2: Sanitation Revenue Requirement Summary



Summary of sanitation revenue requirement:

- ◆ Revenues at current levels are sufficient to meeting existing obligations through FY 2017.
- ◆ Beginning in FY 2018, current rate revenue levels are not sufficient to meet existing sanitation annual financial obligations; the annual rate deficiency begins at \$92,000 in FY 2018 increasing to \$249,000 in FY 2019. Key drivers for the deficiency include:
 - Vehicle and equipment funding from rates begins in FY 2015 at \$400,000 and increases to \$1.30 million in FY 2019.
 - Additional future O&M cost increases.

- ◆ To meet the total projected financial obligations of the sanitation utility, rate increases are proposed at 2.00 percent in FY 2019.
- ◆ The sanitation operations fund balance remains above or near the 60 day target level during the rate setting period.

C. RATE DESIGN

The sanitation rate design is fairly straightforward. Unlike other operations that charge a combination of fixed and variable charges, all sanitation charges are recovered per garbage can.

C.1 Existing Sanitation Rates

The existing sanitation rates are composed of a fixed monthly charge per garbage can. The City currently has only one customer class for sanitation rates. **Exhibit 5.3** shows the existing monthly sanitation rate.

Exhibit 5.3: Existing Monthly Sanitation Rates

Monthly Base Rate	Existing
	FY 2014
Per Garbage Can	\$ 16.63

Note: Recycling service is included in sanitation rate

C.2 Proposed Sanitation Rates

No rate structure changes were proposed for the sanitation utility. The rate increases are applied equally to each garbage can. **Exhibit 5.4** provides a summary of the proposed rates for the five-year rate period.

Exhibit 5.4: Proposed Monthly Sanitation Rates

Monthly Base Rate	Existing	Proposed Monthly Rates				
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
Per Garbage Can	\$ 16.63	\$ 16.63	\$ 16.63	\$ 16.63	\$ 16.63	\$ 16.96

Note: Recycling service is included in sanitation rate

D. SUMMARY

The analysis described above concludes the rate study for the sanitation utility. After performing a rate revenue analysis it was identified that the revenues at current levels are not sufficient to fund ongoing sanitation system obligations. As a result, a rate increase of 2.00 percent is proposed in FY 2019. The City should monitor key assumptions such as growth in new connections, which impacts the increase in existing rate revenues on an annual basis.

We recommend that the City revisit the study findings during the annual budget cycle to check that the assumptions used are still appropriate and no significant changes have occurred that would alter the results of the study. The City should use the study findings as a living document, continuously comparing the study outcomes to actual revenues and expenses. Any significant or unexpected changes will require adjustments to the rate strategy proposed.

The detailed technical exhibits developed as part of the sanitation study can be found in the Technical Appendix.

TECHNICAL APPENDIX: WATER FUND



City of Surprise

Water Utility Rate Study

Summary

Revenue Requirement	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Revenues											
Rate Revenues Under Existing Rates	\$ 10,020,500	\$ 10,262,991	\$ 10,358,476	\$ 10,715,787	\$ 11,068,330	\$ 11,546,697	\$ 11,903,077	\$ 12,259,456	\$ 12,615,835	\$ 12,972,214	\$ 13,328,594
Non-Rate Revenues	66,500	52,832	57,586	71,473	73,844	77,337	82,615	121,750	124,246	126,818	129,448
Total Revenues	\$ 10,087,000	\$ 10,315,823	\$ 10,416,062	\$ 10,787,260	\$ 11,142,175	\$ 11,624,034	\$ 11,985,692	\$ 12,381,206	\$ 12,740,081	\$ 13,099,032	\$ 13,458,042
Expenses											
Cash Operating Expenses	\$ 8,204,000	\$ 8,977,788	\$ 9,788,150	\$ 10,267,802	\$ 11,085,740	\$ 13,322,945	\$ 13,847,615	\$ 14,392,573	\$ 14,983,200	\$ 15,609,956	\$ 16,217,549
Existing Debt Service	-	-	-	-	-	-	-	-	-	-	-
New Debt Service	-	-	726,006	726,006	726,006	726,006	4,393,218	4,393,218	4,393,218	4,393,218	4,393,218
Rate Funded System Reinvestment	-	700,000	700,000	700,000	700,000	700,000	700,000	1,500,000	2,300,000	2,800,000	3,200,000
Total Expenses	\$ 8,204,000	\$ 9,677,788	\$ 11,214,156	\$ 11,693,808	\$ 12,511,746	\$ 14,748,951	\$ 18,940,833	\$ 20,285,791	\$ 21,676,418	\$ 22,803,174	\$ 23,810,767
Net Surplus (Deficiency)	\$ 1,883,000	\$ 638,035	\$ (798,094)	\$ (906,548)	\$ (1,369,571)	\$ (3,124,917)	\$ (6,955,142)	\$ (7,904,585)	\$ (8,936,336)	\$ (9,704,142)	\$ (10,352,725)
Additions to Meet Coverage	-	-	-	-	-	-	-	-	-	-	-
Total Surplus (Deficiency)	\$ 1,883,000	\$ 638,035	\$ (798,094)	\$ (906,548)	\$ (1,369,571)	\$ (3,124,917)	\$ (6,955,142)	\$ (7,904,585)	\$ (8,936,336)	\$ (9,704,142)	\$ (10,352,725)
% of Rate Revenue	0.00%	0.00%	7.70%	8.46%	12.37%	27.06%	58.43%	64.48%	70.83%	74.81%	77.67%
Annual Rate Adjustment	0.00%	8.25%	8.25%	8.25%	8.25%	8.25%	8.25%	4.00%	4.00%	2.00%	2.00%
Cumulative Annual Rate Adjustment	0.00%	8.25%	17.18%	26.85%	37.31%	48.64%	60.90%	67.34%	74.03%	77.51%	81.06%
Rate Revenues After Rate Increase	\$ 10,020,500	\$ 11,109,687	\$ 12,138,127	\$ 13,592,765	\$ 15,198,255	\$ 17,163,162	\$ 19,152,552	\$ 20,515,021	\$ 21,955,843	\$ 23,027,585	\$ 24,133,415
Additional Taxes from Rate Increase	\$ -	\$ 16,934	\$ 35,593	\$ 57,540	\$ 82,598	\$ 112,329	\$ 144,990	\$ 165,111	\$ 186,800	\$ 201,107	\$ 216,096
Net Cash Flow After Rate Increase	1,883,000	1,467,797	945,964	1,912,890	2,677,755	2,379,219	149,345	185,869	216,871	150,121	236,000
Coverage After Rate Increases	n/a	n/a	4.09	5.70	6.84	6.74	1.45	1.70	1.84	1.94	2.07
Sample Residential Monthly Bill*	\$ 33.79	\$ 36.58	\$ 39.60	\$ 42.87	\$ 46.41	\$ 50.24	\$ 54.38	\$ 56.56	\$ 58.82	\$ 60.00	\$ 61.20
Monthly Average Increase (\$)	\$ -	\$ 2.79	\$ 3.02	\$ 3.27	\$ 3.54	\$ 3.83	\$ 4.14	\$ 2.18	\$ 2.26	\$ 1.18	\$ 1.20

*3/4 Meter, 9,000 Gallons Potable



City of Surprise

Water Utility Rate Study

Summary

Fund Balance	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
WATER OPERATIONS FUND											
Beginning Balance	\$ 2,673,144	\$ 1,348,603	\$ 1,475,801	\$ 1,609,011	\$ 1,687,858	\$ 1,822,313	\$ 2,190,073	\$ 2,276,320	\$ 2,365,902	\$ 2,462,992	\$ 2,566,020
plus: Net Cash Flow after Rate Increase	1,883,000	1,467,797	945,964	1,912,890	2,677,755	2,379,219	149,345	185,869	216,871	150,121	236,000
less: Transfer of Surplus to Capital Fund	(3,207,542)	(1,340,599)	(812,753)	(1,834,043)	(2,543,300)	(2,011,459)	(63,097)	(96,287)	(119,782)	(47,093)	(136,122)
Ending Balance	\$ 1,348,603	\$ 1,475,801	\$ 1,609,011	\$ 1,687,858	\$ 1,822,313	\$ 2,190,073	\$ 2,276,320	\$ 2,365,902	\$ 2,462,992	\$ 2,566,020	\$ 2,665,899
Target Balance	\$ 1,348,603	\$ 1,475,801	\$ 1,609,011	\$ 1,687,858	\$ 1,822,313	\$ 2,190,073	\$ 2,276,320	\$ 2,365,902	\$ 2,462,992	\$ 2,566,020	\$ 2,665,899
Days	60	60	60	60	60	60	60	60	60	60	60
OPERATIONS FUND - CAPITAL PORTION											
Beginning Balance	\$ -	\$ 3,176,947	\$ 2,607,105	\$ 3,456,640	\$ 2,205,520	\$ 2,958,607	\$ 4,166,653	\$ 27,645,373	\$ 255,685	\$ 148,025	\$ 3,532,554
plus: Rate Funded System Reinvestment	-	700,000	700,000	700,000	700,000	700,000	700,000	1,500,000	2,300,000	2,800,000	3,200,000
plus: Transfers from Operating Fund	3,207,542	1,340,599	812,753	1,834,043	2,543,300	2,011,459	63,097	96,287	119,782	47,093	136,122
plus: Grants/ Donations/ CIAC	-	-	-	-	-	-	-	-	-	-	-
plus: Additional Proceeds (Costs)	-	-	-	-	-	-	-	-	-	-	-
plus: Transfers from SPA Funds for Deficit Repayment	-	-	-	-	-	1,240,446	61,276	-	56,266	880,074	880,074
plus: System Development Fee - Dual Water	-	-	-	-	-	-	-	-	-	-	-
plus: Net Debt Proceeds Available for Projects	-	-	8,000,000	-	-	-	39,500,000	-	-	-	-
plus: Interest Earnings	-	15,885	19,553	34,566	22,055	29,586	41,667	276,454	2,557	1,480	35,326
Total Funding Sources	\$ 3,207,542	\$ 5,233,431	\$ 12,139,411	\$ 6,025,250	\$ 5,470,875	\$ 6,940,099	\$ 44,532,693	\$ 29,518,113	\$ 2,734,289	\$ 3,876,672	\$ 7,784,075
less: Capital Expenditures	-	(2,510,267)	(7,046,455)	(3,709,936)	(1,621,987)	(2,773,445)	(6,849,843)	(2,173,822)	(608,117)	(344,118)	(3,939,869)
less: Transfers to SPA Funds	(30,595)	(116,059)	(1,636,316)	(109,794)	(890,280)	-	(10,037,477)	(27,088,606)	(1,978,147)	-	-
Ending Working Capital Balance	\$ 3,176,947	\$ 2,607,105	\$ 3,456,640	\$ 2,205,520	\$ 2,958,607	\$ 4,166,653	\$ 27,645,373	\$ 255,685	\$ 148,025	\$ 3,532,554	\$ 3,844,205
Minimum Target Balance	\$ 2,058,053	\$ 2,208,232	\$ 2,392,871	\$ 2,474,228	\$ 2,529,865	\$ 2,600,851	\$ 3,039,698	\$ 3,700,003	\$ 3,781,803	\$ 3,788,686	\$ 3,867,483
COMBINED BEGINNING BALANCE	\$ 2,673,144	\$ 4,525,549	\$ 4,082,906	\$ 5,065,651	\$ 3,893,378	\$ 4,780,921	\$ 6,356,726	\$ 29,921,693	\$ 2,621,587	\$ 2,611,017	\$ 6,098,574
COMBINED ENDING BALANCE	\$ 4,525,549	\$ 4,082,906	\$ 5,065,651	\$ 3,893,378	\$ 4,780,921	\$ 6,356,726	\$ 29,921,693	\$ 2,621,587	\$ 2,611,017	\$ 6,098,574	\$ 6,510,104



City of Surprise

Water Utility Rate Study

Summary

SPA Funds	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Water System Dev Fee Fund SPA 1											
Beginning Balance	\$ 3,670,905	\$ 3,307,105	\$ 2,623,605	\$ 2,141,751	\$ 2,820,751	\$ 3,288,179	\$ 3,755,405	\$ 2,946,493	\$ 1,679,758	\$ 2,593,122	\$ 4,195,582
Ending Balance	\$ 3,307,105	\$ 2,623,605	\$ 2,141,751	\$ 2,820,751	\$ 3,288,179	\$ 3,755,405	\$ 2,946,493	\$ 1,679,758	\$ 2,593,122	\$ 4,195,582	\$ 5,814,066
Amount Owed to Capital Fund	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Water System Dev Fee Fund SPA 2											
Beginning Balance	\$ 5	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Ending Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Amount Owed to Capital Fund	\$ 13,463	\$ 129,522	\$ 1,765,838	\$ 1,765,838	\$ 1,806,614	\$ 566,169	\$ 10,603,646	\$ 26,556,072	\$ 28,534,219	\$ 27,710,411	\$ 26,886,602
Water System Dev Fee Fund SPA 3											
Beginning Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Ending Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Amount Owed to Capital Fund	\$ 4,970	\$ 4,970	\$ 4,970	\$ 114,764	\$ 964,268	\$ 964,268	\$ 908,002	\$ 12,044,183	\$ 11,987,918	\$ 11,931,652	\$ 11,875,386
Water System Dev Fee Fund SPA 4											
Beginning Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Ending Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Amount Owed to Capital Fund	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970
Water System Dev Fee Fund SPA 5											
Beginning Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Ending Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Amount Owed to Capital Fund	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970
Water System Dev Fee Fund SPA 6											
Beginning Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Ending Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Amount Owed to Capital Fund	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970
Water Resources System Dev SPA 1											
Beginning Balance	\$ 3,673,893	\$ 4,002,493	\$ 1,309,087	\$ 1,370,268	\$ 2,360,610	\$ 3,148,515	\$ 4,076,758	\$ 3,159,310	\$ 4,040,893	\$ 4,931,291	\$ 5,830,594
Ending Balance	\$ 4,002,493	\$ 1,309,087	\$ 1,370,268	\$ 2,360,610	\$ 3,148,515	\$ 4,076,758	\$ 3,159,310	\$ 4,040,893	\$ 4,931,291	\$ 5,830,594	\$ 6,738,889
Amount Owed to Capital Fund	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Water Resources System Dev SPA 2											
Beginning Balance	\$ 350,469	\$ 347,069	\$ 401,474	\$ 519,529	\$ 657,888	\$ 871,399	\$ 1,214,090	\$ 1,394,588	\$ 1,576,891	\$ 1,761,017	\$ 1,946,984
Ending Balance	\$ 347,069	\$ 401,474	\$ 519,529	\$ 657,888	\$ 871,399	\$ 1,214,090	\$ 1,394,588	\$ 1,576,891	\$ 1,761,017	\$ 1,946,984	\$ 2,134,811
Amount Owed to Capital Fund	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Water Resources System Dev SPA 3											
Beginning Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,489	\$ 18,052	\$ 29,732	\$ 41,528
Ending Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,489	\$ 18,052	\$ 29,732	\$ 41,528	\$ 53,442
Amount Owed to Capital Fund	\$ 5,010	\$ 5,010	\$ 5,010	\$ 5,010	\$ 5,010	\$ 5,010	\$ 5,010	\$ -	\$ -	\$ -	\$ -
Water Resources System Dev SPA 4											
Beginning Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Ending Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Amount Owed to Capital Fund	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011



City of Surprise

Water Utility Rate Study

Summary

SPA Funds	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Water Resources System Dev SPA 5											
Beginning Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Ending Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Amount Owed to Capital Fund	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011
Water Resources System Dev SPA 6											
Beginning Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Ending Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Amount Owed to Capital Fund	\$ 4,763	\$ 4,763	\$ 4,763	\$ 4,763	\$ 4,763	\$ 4,763	\$ 4,763	\$ 4,763	\$ 4,763	\$ 4,763	\$ 4,763



City of Surprise

Water Utility Rate Study

Assumptions

July 2013 - June 2014

Economic & Financial Factors		FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
1	General Cost Inflation	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%
2	Construction Cost Inflation	3.16%	3.16%	3.16%	3.16%	3.16%	3.16%	3.16%	3.16%	3.16%	3.16%	3.16%
3	Labor Cost Inflation	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%
4	Benefit Cost Inflation	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%
5	Customer Growth	0.90%	2.42%	0.93%	3.45%	3.29%	4.32%	3.09%	2.99%	2.91%	2.82%	2.75%
6	General Inflation plus Growth	3.93%	5.49%	3.96%	6.55%	6.39%	7.45%	6.18%	6.08%	5.99%	5.91%	5.83%
7	No Escalation	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
8	PILOT	8.89%	-7.90%	-1.61%	5.83%	8.36%	3.40%	2.25%	2.81%	16.87%	21.72%	2.21%
9	Franchise In Lieu Payment	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%
10	Fuel Cost Escalation	4.75%	4.75%	4.75%	4.75%	4.75%	4.75%	4.75%	4.75%	4.75%	4.75%	4.75%
11	[Extra]	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
	Fund Earnings	0.25%	0.50%	0.75%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%
	Local / State Tax	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
	Other Tax	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

Accounting Assumptions		FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
FISCAL POLICY RESTRICTIONS												
	Min. Op. Fund Balance (days of O&M expense)	60	60	60	60	60	60	60	60	60	60	60
	Max. Op. Fund Balance (days of O&M expense)	60	60	60	60	60	60	60	60	60	60	60
	Minimum Capital Fund Balance Target											
	Select Minimum Capital Fund Balance Target	1	Defined as % of Plant									
	1 - Defined as % of Plant											
	Plant-in-Service in 2013	\$ 101,793,149										
	Minimum Capital Fund Balance - % of plant assets	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%
	2 - Amount at Right ==>	\$ - \$	\$ - \$	\$ - \$	\$ - \$	\$ - \$	\$ - \$	\$ - \$	\$ - \$	\$ - \$	\$ - \$	\$ - \$
RATE FUNDED SYSTEM REINVESTMENT												
	Select Reinvestment Funding Strategy	3	User Input									
	Amount of Annual Cash Funding from Rates											
	1 - Equal to Annual Depreciation Expense	\$ 2,800,529	\$ 2,950,708	\$ 3,134,878	\$ 3,214,150	\$ 3,269,787	\$ 3,338,717	\$ 3,771,753	\$ 4,432,057	\$ 4,513,858	\$ 4,520,740	\$ 4,599,538
	2 - Equal to Depreciation less Annual Debt Principal Payments	2,800,529	2,950,708	2,873,964	2,939,537	2,980,757	3,034,513	2,194,719	2,769,087	2,760,267	2,671,588	2,649,615
	3 - Equal to Amount at Right ==>	-	700,000	700,000	700,000	700,000	700,000	700,000	1,500,000	2,300,000	2,800,000	3,200,000
	4 - Do Not Fund Depreciation		1,467,797	945,964	1,912,890	2,677,755	2,379,219	149,345	185,869	216,871	150,121	236,000



City of Surprise

Water Utility Rate Study

Assumptions

July 2013 - June 2014

Capital Financing Assumptions		FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
System Development Fee (SDF)												
Drinking Water SDF in SPA 1	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895
Drinking Water SDF in SPA 2	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895
Drinking Water SDF in SPA 3	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895	\$ 3,895
Water Replenishment SDF in SPA 1	\$ 2,100	\$ 2,100	\$ 2,100	\$ 2,100	\$ 2,100	\$ 2,100	\$ 2,100	\$ 2,100	\$ 2,100	\$ 2,100	\$ 2,100	\$ 2,100
Water Replenishment SDF in SPA 2	\$ 796	\$ 796	\$ 796	\$ 796	\$ 796	\$ 796	\$ 796	\$ 796	\$ 796	\$ 796	\$ 796	\$ 796
Water Replenishment SDF in SPA 3	\$ 796	\$ 796	\$ 796	\$ 796	\$ 796	\$ 796	\$ 796	\$ 796	\$ 796	\$ 796	\$ 796	\$ 796
Dual Water SDF	\$ 3,923	\$ 3,923	\$ 3,923	\$ 3,923	\$ 3,923	\$ 3,923	\$ 3,923	\$ 3,923	\$ 3,923	\$ 3,923	\$ 3,923	\$ 3,923
Drinking Water SDF - SPA 1												
Total Equivalent Dwelling Units (Estimate)	16,493	16,856	16,880	17,345	17,709	18,136	18,541	18,946	19,350	19,755	20,160	
Additional Equivalent Dwelling Units Per Year	339	363	24	465	364	427	405	405	405	405	405	
Drinking Water SDF - SPA 1 Revenue	\$ 1,320,800	\$ 1,413,816	\$ 95,266	\$ 1,811,434	\$ 1,417,593	\$ 1,663,271	\$ 1,576,528	\$ 1,576,528	\$ 1,576,528	\$ 1,576,528	\$ 1,576,528	\$ 1,576,528
Drinking Water SDF - SPA 1 Revenue to City	\$ 660,400	\$ 706,908	\$ 47,633	\$ 905,717	\$ 708,796	\$ 831,636	\$ 1,576,528	\$ 1,576,528	\$ 1,576,528	\$ 1,576,528	\$ 1,576,528	\$ 1,576,528
Drinking Water SDF - SPA 2												
Total Equivalent Dwelling Units (Estimate)	898	964	1,108	1,276	1,536	1,955	2,167	2,378	2,590	2,801	3,013	
Additional Equivalent Dwelling Units Per Year	-	66	145	167	260	420	212	212	212	212	212	
Drinking Water SDF - SPA 2 Revenue	\$ -	\$ 257,727	\$ 562,934	\$ 651,595	\$ 1,012,566	\$ 1,634,219	\$ 823,808	\$ 823,808	\$ 823,808	\$ 823,808	\$ 823,808	\$ 823,808
Drinking Water SDF - SPA 2 Revenue to City	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,619,041	\$ 823,808	\$ 823,808	\$ 823,808	\$ 823,808	\$ 823,808	\$ 823,808
Drinking Water SDF - SPA 3												
Total Equivalent Dwelling Units (Estimate)	-	-	-	-	-	-	14	29	43	58	72	
Additional Equivalent Dwelling Units Per Year	-	-	-	-	-	-	14	14	14	14	14	
Drinking Water SDF - SPA 3 Revenue	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 56,266	\$ 56,266	\$ 56,266	\$ 56,266	\$ 56,266	\$ 56,266
Water Replenishment SDF - SPA 1												
Total Equivalent Dwelling Units (Estimate)	16,493	16,856	16,880	17,345	17,709	18,136	18,541	18,946	19,350	19,755	20,160	
Additional Equivalent Dwelling Units Per Year	138	363	24	465	364	427	405	405	405	405	405	
Water Replenishment SDF - SPA 1 Revenue	\$ 290,000	\$ 762,263	\$ 51,363	\$ 976,639	\$ 764,299	\$ 896,757	\$ 849,990	\$ 849,990	\$ 849,990	\$ 849,990	\$ 849,990	\$ 849,990
Water Replenishment SDF - SPA 2												
Total Equivalent Dwelling Units (Estimate)	898	964	1,108	1,276	1,536	1,955	2,167	2,378	2,590	2,801	3,013	
Additional Equivalent Dwelling Units Per Year	-	66	145	167	260	420	212	212	212	212	212	
Water Replenishment SDF - SPA 2 Revenue	\$ -	\$ 52,670	\$ 115,044	\$ 133,163	\$ 206,933	\$ 333,976	\$ 168,357	\$ 168,357	\$ 168,357	\$ 168,357	\$ 168,357	\$ 168,357
Water Replenishment SDF - SPA 3												
Total Equivalent Dwelling Units (Estimate)	-	-	-	-	-	-	14	29	43	58	72	
Additional Equivalent Dwelling Units Per Year	-	-	-	-	-	-	14	14	14	14	14	
Water Replenishment SDF - SPA 3 Revenue	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 11,499	\$ 11,499	\$ 11,499	\$ 11,499	\$ 11,499	\$ 11,499
Dual Water SDF												
Total Equivalent Dwelling Units (Estimate)	-	-	-	-	-	-	-	-	-	-	-	
Additional Equivalent Dwelling Units Per Year	-	-	-	-	-	-	-	-	-	-	-	
Dual Water SDF Revenue	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total SDF Revenue	\$ 950,400	\$ 1,521,841	\$ 214,040	\$ 2,015,519	\$ 1,680,028	\$ 3,681,411	\$ 3,486,448	\$ 3,486,448	\$ 3,486,448	\$ 3,486,448	\$ 3,486,448	\$ 3,486,448



City of Surprise

Water Utility Rate Study

Assumptions

July 2013 - June 2014

Capital Financing Assumptions (Cont'd)											
	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
FUNDING SOURCES											
Grants	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Additional Proceeds (Costs)											
[Extra line]	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
[Extra line]	-	-	-	-	-	-	-	-	-	-	-
[Extra line]	-	-	-	-	-	-	-	-	-	-	-
[Extra line]	-	-	-	-	-	-	-	-	-	-	-
[Extra line]	-	-	-	-	-	-	-	-	-	-	-
Total Additional Proceeds	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
REVENUE BONDS											
Term (years)	20	20	20	20	20	20	20	20	20	20	20
Interest Cost	5.25%	5.25%	5.25%	5.50%	5.50%	5.50%	5.50%	5.50%	5.50%	5.50%	5.50%
Issuance Cost	1.50%	1.50%	1.50%	1.50%	1.50%	1.50%	1.50%	1.50%	1.50%	1.50%	1.50%
Revenue Bond Coverage Requirement	1.25										
OTHER LOANS											
Term (years)	20	20	20	20	20	20	20	20	20	20	20
Interest Cost	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%
OTHER LOW INTEREST LOANS											
Term (years)	20	20	20	20	20	20	20	20	20	20	20
Interest Cost	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%
Issuance Cost	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

Other Assumptions			FY2011	FY2012	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024											
Customer Growth																											
Projected Rate Revenue			10,046,000	10,020,500	10,081,900	10,182,200	10,313,800	10,438,700																			
Projected New Equivalent Dwelling Units (EDUs)																											
SPA 1					158	363	24	465	364	427																	
SPA 2					-	66	145	167	260	420																	
SPA 3					-	7	5	6	47	7																	
Total New EDUs					158	436	174	638	671	854																	
Cumulative EDUs			17,576		17,734	18,170	18,344	18,982	19,653	20,507																	
EDU Growth Rate					0.90%	2.46%	0.96%	3.48%	3.53%	4.35%																	
Projected New Equivalent Dwelling Units (EDUs)			100.00%	of Original Growth Projections for SPA 1 & 2								Based on previous 4-5 year average growth projections															
SPA 1					158	363	24	465	364	427	405	405	405	405	405	405											
SPA 2					-	66	145	167	260	420	212	212	212	212	212	212											
SPA 3					-	-	-	-	-	-	14	14	14	14	14	14											
Total New EDUs					158	429	169	632	624	847	631	631	631	631	631	631											
Cumulative EDUs			17,576		17,734	18,163	18,332	18,964	19,588	20,435	21,066	21,696	22,327	22,958	23,588	23,588											
EDU Growth Rate					0.90%	2.42%	0.93%	3.45%	3.29%	4.32%	3.09%	2.99%	2.91%	2.82%	2.75%	2.75%											
PILOT Tax Components																											
Capital Assets			101,585,037	107,653,232	101,793,149	102,902,649	110,411,600	119,643,535	123,711,399	126,493,242	130,042,574	151,984,903	185,000,133	189,090,167	189,434,286	193,374,155											
ARS Assessed Valuation Annual Year Percentage			20.00%		19.50%	19.00%	18.50%	18.00%	18.00%	18.00%	18.00%	18.00%	18.00%	18.00%	18.00%	18.00%											
ARS Assessed Valuation Fiscal Year Percentage					19.75%	19.25%	18.75%	18.25%	18.00%	18.00%	18.00%	18.00%	18.00%	18.00%	18.00%	18.00%											
Primary Property Tax Rate					0.7383%	0.7783%	0.7783%	0.7783%	0.7783%	0.7783%	0.7783%	0.7783%	0.7783%	0.7783%	0.7783%	0.7783%											
Payment in Lieu of Taxes			\$		148,125	\$	161,289	\$	148,548	\$	146,163	\$	154,680	\$	167,613	\$	173,312	\$	177,209	\$	182,182	\$	212,922	\$	259,174	\$	264,904



City of Surprise

Water Utility Rate Study

Assumptions

July 2013 - June 2014

Meter	FY2013	Factor	2013 EDUs	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
5/8"	4,709	1.0	4,709	228	692	235	763	774	916					
3/4"	6,801	1.0	6,801											
1"	2,685	1.3	3,491	61	70	71	90	93	99					
1.5"	157	3.3	518											
2"	298	5.3	1,579	68	74	73	95	93	99					
3"	19	10.7	203	18	21	21	27	28	29					
4"	6	17.0	102											
6"	2	33.0	66											
8"	2	53.3	107											
Total	14,679		17,576	376	857	399	975	987	1,143	-	-	-	-	-
Blank Accounts	60		Weighted EDUs	865	1,400	938	1,672	1,682	1,883	-	-	-	-	-

Notes:

1. Assumes all Single Family are 5/8".
2. Adjusted 3/4" meter factor of 1.5 to 1.0 to keep all SF the same
3. Based on comment in growth projections, MF accounts are assumed to be at 2".
4. Based on comment in growth projections, of the Non Residential figures, 87% are between 1"-2" with 50% being 2". The remaining are assumed at 3".
5. Factor based on Capacity Ratio used in 2006 Drinking Water System Development Fee Study

Meter	FY2013	Factor	2013 EDUs	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
5/8"	4,709	1.0	4,709	4,937	5,629	5,864	6,627	7,401	8,317	8,317	8,317	8,317	8,317	8,317
3/4"	6,801	1.0	6,801	6,801	6,801	6,801	6,801	6,801	6,801	6,801	6,801	6,801	6,801	6,801
1"	2,685	1.3	3,491	3,570	3,661	3,753	3,870	3,991	4,119	4,119	4,119	4,119	4,119	4,119
1.5"	157	3.3	518	518	518	518	518	518	518	518	518	518	518	518
2"	298	5.3	1,579	1,941	2,334	2,719	3,223	3,714	4,237	4,237	4,237	4,237	4,237	4,237
3"	19	10.7	203	399	623	849	1,137	1,434	1,749	1,749	1,749	1,749	1,749	1,749
4"	6	17.0	102	102	102	102	102	102	102	102	102	102	102	102
6"	2	33.0	66	66	66	66	66	66	66	66	66	66	66	66
8"	2	53.3	107	107	107	107	107	107	107	107	107	107	107	107
Total	14,679		17,576	18,441	19,841	20,779	22,451	24,133	26,015	26,015	26,015	26,015	26,015	26,015
Growth				4.92%	7.59%	4.73%	8.05%	7.49%	7.80%	0.00%	0.00%	0.00%	0.00%	0.00%



City of Surprise

Water Utility Rate Study

Operating Revenue and Expenditure Forecast

				Actual	Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	
Revenues		FORECAST BASIS		FY2013	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Rate Revenues															
31434	Service/Collection Fees	5	Customer Growth	\$ 9,993,507	\$ 10,020,500	\$ 10,262,991	\$ 10,358,476	\$ 10,715,787	\$ 11,068,330	\$ 11,546,697	\$ 11,903,077	\$ 12,259,456	\$ 12,615,835	\$ 12,972,214	\$ 13,328,594
	[Extra]	7	No Escalation	-	-	-	-	-	-	-	-	-	-	-	-
Total Rate Revenue				\$ 9,993,507	\$ 10,020,500	\$ 10,262,991	\$ 10,358,476	\$ 10,715,787	\$ 11,068,330	\$ 11,546,697	\$ 11,903,077	\$ 12,259,456	\$ 12,615,835	\$ 12,972,214	\$ 13,328,594
Non-Rate Revenues															
31456	Water Meter Installation	5	Customer Growth	\$ 42,990	\$ 45,000	\$ 46,089	\$ 46,518	\$ 48,122	\$ 49,706	\$ 51,854	\$ 53,454	\$ 55,055	\$ 56,655	\$ 58,256	\$ 59,856
31461	Revenue Recovery-Utility Bill	7	No Escalation	866	-	-	-	-	-	-	-	-	-	-	-
31543	Federal Grant Revenue	7	No Escalation	38,029	-	-	-	-	-	-	-	-	-	-	-
31813	Interest Income	7	No Escalation	29,701	-	-	-	-	-	-	-	-	-	-	-
31816	Unrealized Gain/Loss	7	No Escalation	(24,304)	-	-	-	-	-	-	-	-	-	-	-
31991	Miscellaneous Revenue	7	No Escalation	(2,551)	-	-	-	-	-	-	-	-	-	-	-
31993	Recovery of Prior Year Exp.	7	No Escalation	38	-	-	-	-	-	-	-	-	-	-	-
31417	Eng. Plan Check Fees	7	No Escalation	7,600	-	-	-	-	-	-	-	-	-	-	-
	[Extra]	7	No Escalation	-	-	-	-	-	-	-	-	-	-	-	-
Total Non-Rate Revenues				\$ 92,369	\$ 45,000	\$ 46,089	\$ 46,518	\$ 48,122	\$ 49,706	\$ 51,854	\$ 53,454	\$ 55,055	\$ 56,655	\$ 58,256	\$ 59,856
TOTAL REVENUES				\$ 10,085,877	\$ 10,065,500	\$ 10,309,080	\$ 10,404,994	\$ 10,763,910	\$ 11,118,036	\$ 11,598,551	\$ 11,956,531	\$ 12,314,511	\$ 12,672,490	\$ 13,030,470	\$ 13,388,450

Expenses			FORECAST BASIS	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
242 Community Development															
213 Economic Development															
Contractual Services															
38994	Developer Reimbursement - Surprise Point	1	General Cost Inflation	25,161	30,000	30,000	30,900	31,827	32,782	33,765	34,778	35,822	36,896	38,003	39,143
641 Water															
111 Administration															
Transfers Out															
34011	Franchise in lieu payment		Calculated	215,771	200,400	200,400	207,170	214,316	221,367	230,934	238,062	245,189	252,317	259,444	266,572
34021	Indirect costs - general fund personnel	1	General Cost Inflation	1,453,900	1,244,100	1,244,100	1,281,423	1,319,866	1,359,462	1,400,246	1,442,253	1,485,520	1,530,086	1,575,989	1,623,268
34031	Payments in lieu of property tax (PILOT)		Calculated	139,895	153,900	153,900	146,163	154,680	167,613	173,312	177,209	182,182	212,922	259,174	264,904
Personnel/Salaries															
36111	Full Time Salaries	3	Labor Cost Inflation	250,817	-	-	-	-	-	-	-	-	-	-	-
36113	Overtime Compensation	3	Labor Cost Inflation	-	1,000	-	-	-	-	-	-	-	-	-	-
36115	Holidays	3	Labor Cost Inflation	3,095	-	-	-	-	-	-	-	-	-	-	-
36116	Paid Time Off	3	Labor Cost Inflation	46,193	-	-	-	-	-	-	-	-	-	-	-
36151	Stipend	3	Labor Cost Inflation	1,763	-	-	-	-	-	-	-	-	-	-	-
36211	FICA	3	Labor Cost Inflation	24,199	100	-	-	-	-	-	-	-	-	-	-
36231	Medical	4	Benefit Cost Inflation	22,708	-	-	-	-	-	-	-	-	-	-	-
36232	Dental	4	Benefit Cost Inflation	2,209	-	-	-	-	-	-	-	-	-	-	-
36233	Vision	4	Benefit Cost Inflation	361	-	-	-	-	-	-	-	-	-	-	-
36234	Life	4	Benefit Cost Inflation	1,382	-	-	-	-	-	-	-	-	-	-	-
36252	AZ State Retirement	4	Benefit Cost Inflation	35,537	100	-	-	-	-	-	-	-	-	-	-
36255	Post Emp. Health Plan	4	Benefit Cost Inflation	2,693	-	-	-	-	-	-	-	-	-	-	-
36278	Workers Compensation	4	Benefit Cost Inflation	2,906	-	-	-	-	-	-	-	-	-	-	-
Commodities/Supplies															
37111	Office Supplies	1	General Cost Inflation	327	1,500	-	-	-	-	-	-	-	-	-	-
37112	Computer Supplies	1	General Cost Inflation	518	1,800	-	-	-	-	-	-	-	-	-	-
37114	Books & Subscriptions	1	General Cost Inflation	395	-	-	-	-	-	-	-	-	-	-	-
37131	Clothing & Uniforms	1	General Cost Inflation	189	-	-	-	-	-	-	-	-	-	-	-
37162	Furn, Fixture, & Office Equip <\$10,000	1	General Cost Inflation	499	1,000	-	-	-	-	-	-	-	-	-	-
37192	Awards & Recognition	1	General Cost Inflation	205	-	-	-	-	-	-	-	-	-	-	-
Contractual Services															
38199	Other Prof/Tech Services	1	General Cost Inflation	10,368	11,600	11,600	11,948	12,306	12,676	13,056	13,448	13,851	14,267	14,695	15,135
38217	Postage & Mailings	1	General Cost Inflation	59	100	-	-	-	-	-	-	-	-	-	-
38411	Water Delivery Service Contracts	1	General Cost Inflation	1,338,408	1,330,000	1,330,000	1,369,900	1,410,997	1,453,327	1,496,927	1,541,835	1,588,090	1,635,732	1,684,804	1,735,348
38912	Risk Insurance Premium	1	General Cost Inflation	150,600	150,600	150,600	155,118	159,772	164,565	169,502	174,587	179,824	185,219	190,776	196,499
38922	Travel & Training	1	General Cost Inflation	415	3,500	-	-	-	-	-	-	-	-	-	-
38924	Dues & Memberships	1	General Cost Inflation	383	1,000	-	-	-	-	-	-	-	-	-	-
38999	Outside Services	1	General Cost Inflation	-	200	-	-	-	-	-	-	-	-	-	-
Capital															
39999	Contingency	1	General Cost Inflation	-	-	-	-	-	-	-	-	-	-	-	-



City of Surprise

Water Utility Rate Study

Operating Revenue and Expenditure Forecast

			Actual	Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	
Expenses			FORECAST BASIS	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
112	General Operations														
	Personnel/Salaries														
36111	Full Time Salaries	3	Labor Cost Inflation	471,563	723,600	728,507	936,750	964,852	993,798	1,023,612	1,054,320	1,085,950	1,118,528	1,152,084	1,186,647
36113	Overtime Compensation	3	Labor Cost Inflation	31,240	20,000	2,000	2,060	2,122	2,185	2,251	2,319	2,388	2,460	2,534	2,610
36115	Holidays	3	Labor Cost Inflation	24,802	-	-	-	-	-	-	-	-	-	-	-
36116	Paid Time Off	3	Labor Cost Inflation	88,399	-	-	-	-	-	-	-	-	-	-	-
36151	Stipend	3	Labor Cost Inflation	4,210	4,800	4,800	4,944	5,092	5,245	5,402	5,565	5,731	5,903	6,080	6,263
36211	FICA	3	Labor Cost Inflation	46,727	57,300	55,731	57,403	59,125	60,899	62,726	64,608	66,546	68,542	70,598	72,716
36231	Medical	4	Benefit Cost Inflation	71,614	134,100	90,667	93,387	96,189	99,074	102,047	105,108	108,261	111,509	114,854	118,300
36232	Dental	4	Benefit Cost Inflation	4,618	7,800	5,288	5,447	5,610	5,778	5,952	6,130	6,314	6,504	6,699	6,900
36233	Vision	4	Benefit Cost Inflation	840	1,300	942	970	999	1,029	1,060	1,092	1,125	1,159	1,193	1,229
36234	Life	4	Benefit Cost Inflation	2,759	3,200	3,200	3,296	3,395	3,497	3,602	3,710	3,821	3,936	4,054	4,175
36252	AZ State Retirement	4	Benefit Cost Inflation	64,213	86,400	84,070	86,592	89,190	91,866	94,622	97,460	100,384	103,395	106,497	109,692
36278	Workers Compensation	4	Benefit Cost Inflation	12,628	16,200	21,639	22,288	22,957	23,646	24,355	25,086	25,838	26,613	27,412	28,234
	Commodities/Supplies														
37111	Office Supplies	1	General Cost Inflation	983	900	500	727	749	771	795	818	843	868	894	921
37112	Computer Supplies	1	General Cost Inflation	1,615	500	2,000	2,166	2,231	2,298	2,367	2,438	2,511	2,586	2,664	2,744
37114	Books & Subscriptions	1	General Cost Inflation	1,335	1,500	1,500	1,757	1,810	1,864	1,920	1,978	2,037	2,098	2,161	2,226
37131	Clothing & Uniforms	1	General Cost Inflation	753	900	500	515	530	546	563	580	597	615	633	652
37142	Phone Supplies	1	General Cost Inflation	263	300	300	309	318	328	338	348	358	369	380	391
37161	Operational Supplies	1	General Cost Inflation	17,043	15,300	24,000	30,025	30,925	31,853	32,809	33,793	34,807	35,851	36,926	38,034
37162	Furn, Fixture, & Office Equip <\$10,000	1	General Cost Inflation	4,432	72,500	17,400	23,952	24,670	25,410	26,173	26,958	27,766	28,599	29,457	30,341
37164	Signs and Sign Supplies	1	General Cost Inflation	-	100	200	206	212	219	225	232	239	246	253	261
37165	Mach. & Equipment <\$10,000	1	General Cost Inflation	107,568	74,500	94,500	100,518	103,533	106,639	109,838	113,134	116,528	120,023	123,624	127,333
37192	Awards & Recognition	1	General Cost Inflation	92	500	400	412	424	437	450	464	478	492	507	522
37193	Safety & First Aid Supplies	1	General Cost Inflation	3,019	6,500	3,800	5,474	5,639	5,808	5,982	6,162	6,346	6,537	6,733	6,935
37196	Meeting Supplies	1	General Cost Inflation	234	200	200	206	212	219	225	232	239	246	253	261
37211	Gas & Fuel	10	Fuel Cost Escalation	35,575	28,800	28,800	37,970	39,773	41,662	43,640	45,712	47,883	50,157	52,538	55,033
37212	Auto Parts & Lubricants	1	General Cost Inflation	3,670	6,900	3,000	6,529	6,725	6,927	7,135	7,349	7,569	7,796	8,030	8,271
37221	Repair and Maintenance	1	General Cost Inflation	-	900	5,000	5,150	5,305	5,464	5,628	5,796	5,970	6,149	6,334	6,524
37222	Cleaning/Janitorial	1	General Cost Inflation	5	100	100	103	106	109	113	116	119	123	127	130
37223	Agricultural/Grounds Supplies	1	General Cost Inflation	9,311	1,400	3,500	3,605	3,713	3,825	3,939	4,057	4,179	4,305	4,434	4,567
37232	Chemical Supplies	1	General Cost Inflation	148,893	296,000	326,000	335,780	345,853	356,229	366,916	377,903	389,210	400,843	412,796	425,169
37997	Education & Training Supplies	1	General Cost Inflation	-	100	100	103	106	109	113	116	119	123	127	130
37998	Photography Supplies	1	General Cost Inflation	-	100	100	103	106	109	113	116	119	123	127	130
37999	Other Commodity Supplies	1	General Cost Inflation	(12)	-	-	-	-	-	-	-	-	-	-	-
	Contractual Services														
38126	Service Agreements	1	General Cost Inflation	18,114	50,000	50,000	51,500	53,045	54,636	56,275	57,964	59,703	61,494	63,339	65,239
38129	Software Licenses	1	General Cost Inflation	13,919	400	15,000	15,450	15,914	16,391	16,883	17,389	17,911	18,448	19,002	19,572
38129	Software Licenses - Hansen Version	1	General Cost Inflation	24,262	-	-	-	-	-	-	-	-	-	-	-
38162	Landscape Services	1	General Cost Inflation	14,070	20,000	20,000	20,600	21,218	21,855	22,510	23,185	23,881	24,597	25,335	26,095
38191	Pre Employment Services	1	General Cost Inflation	-	1,500	1,500	1,545	1,591	1,639	1,688	1,739	1,791	1,845	1,900	1,957
38192	Lab Services	1	General Cost Inflation	73,297	86,100	86,100	88,683	91,343	94,084	96,906	99,813	102,808	105,892	109,069	112,341
38199	Other Prof/Tech Services	1	General Cost Inflation	172,140	43,000	95,000	97,850	100,786	103,809	106,923	110,131	113,435	116,838	120,343	123,953
38199	Other Prof/Tech Services - SPA 2	1	General Cost Inflation	-	37,500	-	-	-	-	-	-	-	-	-	-
38211	Water	1	General Cost Inflation	55,439	61,100	61,100	62,933	64,821	66,766	68,769	70,832	72,957	75,145	77,400	79,722
38212	Electricity	1	General Cost Inflation	892,607	915,600	955,600	993,268	1,028,066	1,308,908	2,298,175	2,367,121	2,438,134	2,511,278	2,586,617	2,664,215
38214	Telephone	1	General Cost Inflation	15,564	16,700	18,000	18,540	19,096	19,669	20,259	20,867	21,493	22,138	22,802	23,486
38216	Sanitary/Landfill	1	General Cost Inflation	34,529	25,000	30,000	30,900	31,827	32,782	33,765	34,778	35,822	36,896	38,003	39,143
38217	Postage & Mailings	1	General Cost Inflation	353	500	500	515	530	546	563	580	597	615	633	652
38221	Cell Phone/Pagers	1	General Cost Inflation	4,775	4,200	5,000	5,150	5,305	5,464	5,628	5,796	5,970	6,149	6,334	6,524
38311	Building Maintenance	1	General Cost Inflation	228	2,500	2,500	2,575	2,652	2,732	2,814	2,898	2,985	3,075	3,167	3,262
38324	Machinery & Equip. Maint.	1	General Cost Inflation	562,046	112,800	104,000	157,120	161,834	216,689	413,189	425,585	438,352	451,503	465,048	479,000
38331	Vehicle Maintenance	1	General Cost Inflation	1,401	4,400	3,800	5,999	6,179	6,364	6,555	6,752	6,954	7,163	7,378	7,599
38351	Wells/Sewer/Lins/Etc.	1	General Cost Inflation	133,143	108,000	100,000	103,000	106,090	109,273	112,551	115,927	119,405	122,987	126,677	130,477
38372	Pest Control Services	1	General Cost Inflation	-	-	300	309	318	328	338	348	358	369	380	391
38411	Water Delivery Service Contracts	1	General Cost Inflation	556	5,000	-	-	-	-	-	-	-	-	-	-
38521	Equipment Rental	1	General Cost Inflation	1,843	1,300	2,000	2,060	2,122	2,185	2,251	2,319	2,388	2,460	2,534	2,610
38591	Other Rental/Leases	1	General Cost Inflation	-	4,000	1,300	1,339	1,379	1,421	1,463	1,507	1,552	1,599	1,647	1,696
38921	Tuition Reimbursement	1	General Cost Inflation	-	10,800	3,000	3,090	3,183	3,278	3,377	3,478	3,582	3,690	3,800	3,914
38922	Travel & Training	1	General Cost Inflation	9,394	1,000	7,000	8,801	9,065	9,337	9,617	9,906	10,203	10,509	10,825	11,149
38924	Dues & Memberships	1	General Cost Inflation	1,200	100	2,000	3,121	3,215	3,311	3,410	3,513	3,618	3,727	3,838	3,953
38925	Federal Mileage Allowance	1	General Cost Inflation	-	1,000	100	103	106	109	113	116	119	123	127	130
38927	EE Certification & Licensing	1	General Cost Inflation	87	-	1,000	1,030	1,061	1,093	1,126	1,159	1,194	1,230	1,267	1,305



City of Surprise

Water Utility Rate Study

Operating Revenue and Expenditure Forecast

			Actual	Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	
Expenses			FORECAST BASIS	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
38931	Advertising	1	General Cost Inflation	578	-	-	-	-	-	-	-	-	-	-	-
38951	Printing	1	General Cost Inflation	0	500	500	515	530	546	563	580	597	615	633	652
38991	Uniform/Laundry Services	1	General Cost Inflation	4,027	5,200	4,000	4,738	4,880	5,027	5,177	5,333	5,493	5,657	5,827	6,002
Capital															
39211	Building & Structure Purchases	1	General Cost Inflation	-	-	-	-	-	-	-	-	-	-	-	-
39223	Infrastructure Purchases	1	General Cost Inflation	8,026	-	-	-	-	-	-	-	-	-	-	-
39224	Infrastructure Improvements	1	General Cost Inflation	-	-	-	-	-	-	-	-	-	-	-	-
39311	Imp.'s Other Than Bldg. & Land	1	General Cost Inflation	285,772	-	-	-	-	-	-	-	-	-	-	-
39411	Furn., Fixtures & Ofc Equipment	1	General Cost Inflation	34,361	-	-	-	-	-	-	-	-	-	-	-
39872	Inv Adj	1	General Cost Inflation	3,762	-	-	-	-	-	-	-	-	-	-	-
39891	Prepds	1	General Cost Inflation	7,991	-	-	-	-	-	-	-	-	-	-	-
115 Project Management															
Personnel/Salaries															
36111	Full Time Salaries	3	Labor Cost Inflation	57,442	76,800	76,759	79,062	81,434	83,877	86,393	88,985	91,654	94,404	97,236	100,153
36115	Holidays	3	Labor Cost Inflation	354	-	-	-	-	-	-	-	-	-	-	-
36116	Paid Time Off	3	Labor Cost Inflation	6,904	-	-	-	-	-	-	-	-	-	-	-
36151	Stipend	3	Labor Cost Inflation	720	700	720	742	764	787	810	835	860	886	912	939
36211	FICA	3	Labor Cost Inflation	5,669	5,900	5,872	6,048	6,230	6,416	6,609	6,807	7,011	7,222	7,438	7,662
36231	Medical	4	Benefit Cost Inflation	1,200	1,200	1,200	1,236	1,273	1,311	1,351	1,391	1,433	1,476	1,520	1,566
36232	Dental	4	Benefit Cost Inflation	842	800	842	867	893	920	948	976	1,005	1,036	1,067	1,099
36233	Vision	4	Benefit Cost Inflation	121	100	120	124	127	131	135	139	143	148	152	157
36234	Life	4	Benefit Cost Inflation	337	300	300	309	318	328	338	348	358	369	380	391
36252	AZ State Retirement	4	Benefit Cost Inflation	8,219	8,900	8,858	9,124	9,397	9,679	9,970	10,269	10,577	10,894	11,221	11,558
36278	Workers Compensation	4	Benefit Cost Inflation	884	1,000	1,317	1,357	1,397	1,439	1,482	1,527	1,573	1,620	1,668	1,718
Commodities/Supplies															
37111	Office Supplies	1	General Cost Inflation	-	200	300	309	318	328	338	348	358	369	380	391
37112	Computer Supplies	1	General Cost Inflation	-	400	400	412	424	437	450	464	478	492	507	522
37114	Books & Subscriptions	1	General Cost Inflation	-	100	600	618	637	656	675	696	716	738	760	783
37131	Clothing & Uniforms	1	General Cost Inflation	166	400	500	515	530	546	563	580	597	615	633	652
37161	Operational Supplies	1	General Cost Inflation	-	200	100	103	106	109	113	116	119	123	127	130
37193	Safety & First Aid Supplies	1	General Cost Inflation	413	600	900	927	955	983	1,013	1,043	1,075	1,107	1,140	1,174
37211	Gas & Fuel	10	Fuel Cost Escalation	840	700	700	733	768	805	843	883	925	969	1,015	1,063
37212	Auto Parts & Lubricants	1	General Cost Inflation	137	-	-	-	-	-	-	-	-	-	-	-
Contractual Services															
38126	Service Agreements	1	General Cost Inflation	6,570	6,600	6,600	6,798	7,002	7,212	7,428	7,651	7,881	8,117	8,361	8,612
38199	Other Prof/Tech Services	1	General Cost Inflation	20,000	20,000	20,000	20,600	21,218	21,855	22,510	23,185	23,881	24,597	25,335	26,095
38217	Postage & Mailings	1	General Cost Inflation	46	100	100	103	106	109	113	116	119	123	127	130
38331	Vehicle Maintenacne	1	General Cost Inflation	184	-	-	-	-	-	-	-	-	-	-	-
38922	Travel & Training	1	General Cost Inflation	569	3,000	6,100	6,283	6,471	6,666	6,866	7,072	7,284	7,502	7,727	7,959
38924	Dues & Memberships	1	General Cost Inflation	200	600	3,200	3,296	3,395	3,497	3,602	3,710	3,821	3,936	4,054	4,175
38925	Federal Mileage Allowance	1	General Cost Inflation	5	-	-	-	-	-	-	-	-	-	-	-
38951	Printing	1	General Cost Inflation	51	-	-	-	-	-	-	-	-	-	-	-
38999	Outside Services	1	General Cost Inflation	-	100	-	-	-	-	-	-	-	-	-	-
Capital															
39891	Prepds	1	General Cost Inflation	75	-	-	-	-	-	-	-	-	-	-	-
117 Customer Service															
Personnel/Salaries															
36111	Full Time Salaries	3	Labor Cost Inflation	54,532	121,200	120,016	123,616	127,325	131,145	135,079	139,131	143,305	147,605	152,033	156,594
36113	Overtime Compensation	3	Labor Cost Inflation	4,471	2,000	3,000	3,090	3,183	3,278	3,377	3,478	3,582	3,690	3,800	3,914
36115	Holidays	3	Labor Cost Inflation	3,405	-	-	-	-	-	-	-	-	-	-	-
36116	Paid Time Off	3	Labor Cost Inflation	9,240	-	-	-	-	-	-	-	-	-	-	-
36151	Stipend	3	Labor Cost Inflation	1,127	700	720	742	764	787	810	835	860	886	912	939
36211	FICA	3	Labor Cost Inflation	7,242	9,500	9,181	9,456	9,740	10,032	10,333	10,643	10,963	11,291	11,630	11,979
36231	Medical	4	Benefit Cost Inflation	20,812	43,400	14,481	14,915	15,363	15,824	16,298	16,787	17,291	17,810	18,344	18,894
36232	Dental	4	Benefit Cost Inflation	1,277	2,500	842	867	893	920	948	976	1,005	1,036	1,067	1,099
36233	Vision	4	Benefit Cost Inflation	188	400	120	124	127	131	135	139	143	148	152	157
36234	Life	4	Benefit Cost Inflation	373	500	500	515	530	546	563	580	597	615	633	652
36252	AZ State Retirement	4	Benefit Cost Inflation	10,925	14,300	13,850	14,266	14,693	15,134	15,588	16,056	16,538	17,034	17,545	18,071
36278	Workers Compensation	4	Benefit Cost Inflation	2,184	3,100	4,129	4,253	4,380	4,512	4,647	4,787	4,930	5,078	5,230	5,387
Commodities/Supplies															
37111	Office Supplies	1	General Cost Inflation	337	200	200	206	212	219	225	232	239	246	253	261
37112	Computer Supplies	1	General Cost Inflation	17	-	-	-	-	-	-	-	-	-	-	-
37114	Books & Subscriptions	1	General Cost Inflation	-	300	300	309	318	328	338	348	358	369	380	391



City of Surprise

Water Utility Rate Study

Operating Revenue and Expenditure Forecast

				Actual	Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	
Expenses				FORECAST BASIS	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
37131	Clothing & Uniforms	1	General Cost Inflation	983	1,000	1,000	1,030	1,061	1,093	1,126	1,159	1,194	1,230	1,267	1,305	
37161	Operational Supplies	1	General Cost Inflation	454	800	800	824	849	874	900	927	955	984	1,013	1,044	
37164	Signs and Sign Supplies	1	General Cost Inflation	50	-	-	-	-	-	-	-	-	-	-	-	
37165	Mach. & Equipment <\$10,000	1	General Cost Inflation	48,081	76,700	76,700	254,001	436,621	449,720	463,211	477,108	491,421	506,163	521,348	536,989	
37193	Safety & First Aid Supplies	1	General Cost Inflation	346	800	800	824	849	874	900	927	955	984	1,013	1,044	
37211	Gas & Fuel	10	Fuel Cost Escalation	4,670	5,200	5,200	5,447	5,706	5,977	6,260	6,558	6,869	7,195	7,537	7,895	
37212	Auto Parts & Lubricants	1	General Cost Inflation	2,318	2,100	2,100	2,163	2,228	2,295	2,364	2,434	2,508	2,583	2,660	2,740	
37221	Repairment	1	General Cost Inflation	223	-	-	-	-	-	-	-	-	-	-	-	
Contractual Services																
38126	Service Agreements	1	General Cost Inflation	416	3,000	3,000	3,090	3,183	3,278	3,377	3,478	3,582	3,690	3,800	3,914	
38217	Postage & Mailings	1	General Cost Inflation	8	100	100	103	106	109	113	116	119	123	127	130	
38221	Cell Phone/Pagers	1	General Cost Inflation	1,440	1,300	1,300	1,339	1,379	1,421	1,463	1,507	1,552	1,599	1,647	1,696	
38324	Machinery & Equip. Maint.	1	General Cost Inflation	1,207	3,100	3,100	3,193	3,289	3,387	3,489	3,594	3,702	3,813	3,927	4,045	
38331	Vehicle Maintenance	1	General Cost Inflation	28	1,500	900	927	955	983	1,013	1,043	1,075	1,107	1,140	1,174	
38372	Pest Control Services	1	General Cost Inflation	762	600	600	618	637	656	675	696	716	738	760	783	
38922	Travel & Training	1	General Cost Inflation	1,276	4,500	4,500	4,635	4,774	4,917	5,065	5,217	5,373	5,534	5,700	5,871	
38924	Dues & Memberships	1	General Cost Inflation	360	300	300	309	318	328	338	348	358	369	380	391	
38931	Advertising	1	General Cost Inflation	916	1,000	1,000	1,030	1,061	1,093	1,126	1,159	1,194	1,230	1,267	1,305	
38951	Printing	1	General Cost Inflation	39	-	-	-	-	-	-	-	-	-	-	-	
Capital																
39891	Prepds	1	General Cost Inflation	150	-	-	-	-	-	-	-	-	-	-	-	
118	Sustainability															
Personnel/Salaries																
36111	Fulltime	3	Labor Cost Inflation	140,175	143,100	-	-	-	-	-	-	-	-	-	-	
36115	Holidays	3	Labor Cost Inflation	673	-	-	-	-	-	-	-	-	-	-	-	
36116	Paid Time Off	3	Labor Cost Inflation	10,958	-	-	-	-	-	-	-	-	-	-	-	
36151	Stipend	3	Labor Cost Inflation	1,220	1,200	-	-	-	-	-	-	-	-	-	-	
36211	FICA	3	Labor Cost Inflation	10,782	11,000	-	-	-	-	-	-	-	-	-	-	
36231	Medical	4	Benefit Cost Inflation	23,665	24,900	-	-	-	-	-	-	-	-	-	-	
36232	Dental	4	Benefit Cost Inflation	1,425	1,400	-	-	-	-	-	-	-	-	-	-	
36233	Vision	4	Benefit Cost Inflation	244	200	-	-	-	-	-	-	-	-	-	-	
36234	Life	4	Benefit Cost Inflation	656	600	-	-	-	-	-	-	-	-	-	-	
36252	AZ State Retirement	4	Benefit Cost Inflation	16,096	16,600	-	-	-	-	-	-	-	-	-	-	
36278	Workers Compensation	4	Benefit Cost Inflation	1,054	1,100	-	-	-	-	-	-	-	-	-	-	
Commodities/Supplies																
37111	Office Supplies	1	General Cost Inflation	91	200	-	-	-	-	-	-	-	-	-	-	
37112	Computer Supplies	1	General Cost Inflation	379	-	-	-	-	-	-	-	-	-	-	-	
37114	Books & Subscriptions	1	General Cost Inflation	-	1,000	-	-	-	-	-	-	-	-	-	-	
37131	Clothing & Uniforms	1	General Cost Inflation	309	400	-	-	-	-	-	-	-	-	-	-	
37164	Signs and Sign Supplies	1	General Cost Inflation	8	-	-	-	-	-	-	-	-	-	-	-	
37193	Safety & First Aid Supplies	1	General Cost Inflation	437	600	-	-	-	-	-	-	-	-	-	-	
37194	Community Relations Supplies	1	General Cost Inflation	971	3,000	-	-	-	-	-	-	-	-	-	-	
37212	Auto Parts & Lubricants	1	General Cost Inflation	11	-	-	-	-	-	-	-	-	-	-	-	
37997	Education & Training Supplies	1	General Cost Inflation	-	2,000	-	-	-	-	-	-	-	-	-	-	
Contractual Services																
38162	Landscape Services	1	General Cost Inflation	-	500	-	-	-	-	-	-	-	-	-	-	
38199	Other Prof/Tech Services	1	General Cost Inflation	4,800	2,000	-	-	-	-	-	-	-	-	-	-	
38217	Postage & Mailings	1	General Cost Inflation	44	100	-	-	-	-	-	-	-	-	-	-	
38922	Travel & Training	1	General Cost Inflation	2,437	6,200	-	-	-	-	-	-	-	-	-	-	
38924	Dues & Memberships	1	General Cost Inflation	5,548	5,600	-	-	-	-	-	-	-	-	-	-	
38925	Federal Mileage Allowance	1	General Cost Inflation	-	100	-	-	-	-	-	-	-	-	-	-	
38927	EE Certification & Licensing	1	General Cost Inflation	-	200	-	-	-	-	-	-	-	-	-	-	
38931	Advertising	1	General Cost Inflation	1,000	1,500	-	-	-	-	-	-	-	-	-	-	
38951	Printing	1	General Cost Inflation	1,614	2,700	-	-	-	-	-	-	-	-	-	-	
604	Utility Locating															
Personnel/Salaries																
36111	Full Time Salaries	3	Labor Cost Inflation	136,488	164,800	169,028	174,099	179,322	184,701	190,243	195,950	201,828	207,883	214,120	220,543	
36113	Overtime Compensation	3	Labor Cost Inflation	1,160	3,100	-	-	-	-	-	-	-	-	-	-	
36115	Holidays	3	Labor Cost Inflation	5,392	-	-	-	-	-	-	-	-	-	-	-	
36116	Paid Time Off	3	Labor Cost Inflation	13,830	-	-	-	-	-	-	-	-	-	-	-	
36151	Stipend	3	Labor Cost Inflation	720	700	720	742	764	787	810	835	860	886	912	939	
36211	FICA	3	Labor Cost Inflation	11,801	12,900	12,931	13,319	13,718	14,130	14,554	14,991	15,440	15,903	16,381	16,872	
36231	Medical	4	Benefit Cost Inflation	20,272	22,300	22,279	22,947	23,636	24,345	25,075	25,827	26,602	27,400	28,222	29,069	



City of Surprise

Water Utility Rate Study

Operating Revenue and Expenditure Forecast

			Actual	Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	
Expenses			FORECAST BASIS	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
36232	Dental	4	Benefit Cost Inflation	1,094	1,100	1,142	1,176	1,212	1,248	1,285	1,324	1,364	1,405	1,447	1,490
36233	Vision	4	Benefit Cost Inflation	231	200	240	247	255	262	270	278	287	295	304	313
36234	Life	4	Benefit Cost Inflation	717	700	700	721	743	765	788	811	836	861	887	913
36252	AZ State Retirement	4	Benefit Cost Inflation	17,481	19,500	19,506	20,091	20,694	21,315	21,954	22,613	23,291	23,990	24,710	25,451
36278	Workers Compensation	4	Benefit Cost Inflation	2,973	3,500	4,624	4,763	4,906	5,053	5,204	5,360	5,521	5,687	5,858	6,033
Commodities/Supplies															
37111	Office Supplies	1	General Cost Inflation	210	300	300	309	318	328	338	348	358	369	380	391
37112	Computer Supplies	1	General Cost Inflation	748	500	500	515	530	546	563	580	597	615	633	652
37114	Books & Subscriptions	1	General Cost Inflation	-	200	200	206	212	219	225	232	239	246	253	261
37131	Clothing & Uniforms	1	General Cost Inflation	819	1,500	1,500	1,545	1,591	1,639	1,688	1,739	1,791	1,845	1,900	1,957
37142	Phone Supplies	1	General Cost Inflation	-	300	150	155	159	164	169	174	179	184	190	196
37161	Operational Supplies	1	General Cost Inflation	1,204	1,600	1,600	1,648	1,697	1,748	1,801	1,855	1,910	1,968	2,027	2,088
37162	Furn, Fixture, & Office Equip <\$10,000	1	General Cost Inflation	-	1,000	1,000	1,030	1,061	1,093	1,126	1,159	1,194	1,230	1,267	1,305
37164	Signs and Sign Supplies	1	General Cost Inflation	17	-	-	-	-	-	-	-	-	-	-	-
37165	Mach. & Equipment <\$10,000	1	General Cost Inflation	999	1,600	1,300	1,339	1,379	1,421	1,463	1,507	1,552	1,599	1,647	1,696
37193	Safety & First Aid Supplies	1	General Cost Inflation	1,022	800	800	824	849	874	900	927	955	984	1,013	1,044
37211	Gas & Fuel	10	Fuel Cost Escalation	8,067	7,000	7,000	7,332	7,681	8,045	8,427	8,828	9,247	9,686	10,146	10,628
37212	Auto Parts & Lubricants	1	General Cost Inflation	870	1,300	1,300	1,339	1,379	1,421	1,463	1,507	1,552	1,599	1,647	1,696
37998	Photography Supplies	1	General Cost Inflation	-	400	200	206	212	219	225	232	239	246	253	261
Contractual Services															
38128	Recording, License & Permit Fees	1	General Cost Inflation	2,717	3,000	3,000	3,090	3,183	3,278	3,377	3,478	3,582	3,690	3,800	3,914
38129	Software Licenses	1	General Cost Inflation	-	600	600	618	637	656	675	696	716	738	760	783
38192	Lab Services	1	General Cost Inflation	120	500	500	515	530	546	563	580	597	615	633	652
38221	Cell Phone/Pagers	1	General Cost Inflation	1,352	1,500	1,500	1,545	1,591	1,639	1,688	1,739	1,791	1,845	1,900	1,957
38324	Machinery & Equip. Maint.	1	General Cost Inflation	-	300	300	309	318	328	338	348	358	369	380	391
38331	Vehicle Maintenance	1	General Cost Inflation	374	800	800	824	849	874	900	927	955	984	1,013	1,044
38922	Travel & Training	1	General Cost Inflation	3,139	6,600	6,600	6,798	7,002	7,212	7,428	7,651	7,881	8,117	8,361	8,612
38924	Dues & Memberships	1	General Cost Inflation	247	700	700	721	743	765	788	811	836	861	887	913
38927	EE Certification & Licensing	1	General Cost Inflation	645	-	-	-	-	-	-	-	-	-	-	-
38999	Outside Services	1	General Cost Inflation	-	100	-	-	-	-	-	-	-	-	-	-
Capital															
39891	Prepds	1	General Cost Inflation	0	-	-	-	-	-	-	-	-	-	-	-
605	Environmental														
Personnel/Salaries															
36111	Full Time Salaries	3	Labor Cost Inflation	92,613	127,100	127,047	223,043	229,735	236,627	243,726	251,037	258,568	266,325	274,315	282,545
36113	Overtime Compensation	3	Labor Cost Inflation	1,071	1,000	1,000	1,030	1,061	1,093	1,126	1,159	1,194	1,230	1,267	1,305
36115	Holidays	3	Labor Cost Inflation	3,156	-	-	-	-	-	-	-	-	-	-	-
36116	Paid Time Off	3	Labor Cost Inflation	13,786	-	-	-	-	-	-	-	-	-	-	-
36151	Stipend	3	Labor Cost Inflation	480	500	480	494	509	525	540	556	573	590	608	626
36211	FICA	3	Labor Cost Inflation	9,326	9,800	9,719	10,011	10,311	10,620	10,939	11,267	11,605	11,953	12,312	12,681
36231	Medical	4	Benefit Cost Inflation	13,588	15,700	15,681	16,151	16,636	17,135	17,649	18,179	18,724	19,286	19,864	20,460
36232	Dental	4	Benefit Cost Inflation	842	800	842	867	893	920	948	976	1,005	1,036	1,067	1,099
36233	Vision	4	Benefit Cost Inflation	121	100	120	124	127	131	135	139	143	148	152	157
36234	Life	4	Benefit Cost Inflation	551	600	600	618	637	656	675	696	716	738	760	783
36252	AZ State Retirement	4	Benefit Cost Inflation	14,017	14,800	14,661	15,101	15,554	16,020	16,501	16,996	17,506	18,031	18,572	19,129
36278	Workers Compensation	4	Benefit Cost Inflation	2,690	2,900	3,747	3,859	3,975	4,094	4,217	4,344	4,474	4,608	4,747	4,889
Commodities/Supplies															
37111	Office Supplies	1	General Cost Inflation	21	700	700	927	955	983	1,013	1,043	1,075	1,107	1,140	1,174
37112	Computer Supplies	1	General Cost Inflation	760	100	100	206	212	219	225	232	239	246	253	261
37113	Postage	1	General Cost Inflation	28	-	-	-	-	-	-	-	-	-	-	-
37114	Books & Subscriptions	1	General Cost Inflation	321	1,600	1,600	2,163	2,228	2,295	2,364	2,434	2,508	2,583	2,660	2,740
37131	Clothing & Uniforms	1	General Cost Inflation	361	400	400	618	637	656	675	696	716	738	760	783
37161	Operational Supplies	1	General Cost Inflation	786	2,500	2,500	3,605	3,713	3,825	3,939	4,057	4,179	4,305	4,434	4,567
37165	Mach. & Equipment <\$10,000	1	General Cost Inflation	190	2,000	2,000	2,060	2,122	2,185	2,251	2,319	2,388	2,460	2,534	2,610
37192	Awards & Recognition	1	General Cost Inflation	-	100	100	103	106	109	113	116	119	123	127	130
37193	Safety & First Aid Supplies	1	General Cost Inflation	234	800	800	1,133	1,167	1,202	1,238	1,275	1,313	1,353	1,393	1,435
37194	Community Relations Supplies	1	General Cost Inflation	126	2,500	5,500	5,665	5,835	6,010	6,190	6,376	6,567	6,764	6,967	7,176
37211	Gas & Fuel	10	Fuel Cost Escalation	629	2,400	2,400	2,514	2,633	2,758	2,889	3,027	3,170	3,321	3,479	3,644
37212	Auto Parts & Lubricants	1	General Cost Inflation	105	1,300	800	824	849	874	900	927	955	984	1,013	1,044
37232	Chemical Supplies	1	General Cost Inflation	-	600	-	-	-	-	-	-	-	-	-	-
37997	Education & Training Supplies	1	General Cost Inflation	1,389	3,000	5,000	5,150	5,305	5,464	5,628	5,796	5,970	6,149	6,334	6,524
Contractual Services															
38128	Recording, License & Permit Fees	1	General Cost Inflation	20,450	20,300	28,400	29,252	30,130	31,033	31,964	32,923	33,911	34,928	35,976	37,056



City of Surprise

Water Utility Rate Study

Operating Revenue and Expenditure Forecast

			Actual	Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	
Expenses			FORECAST BASIS	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
38129	Software Licenses	1	General Cost Inflation	2,483	3,000	3,000	3,090	3,183	3,278	3,377	3,478	3,582	3,690	3,800	3,914
38162	Landscape Services	1	General Cost Inflation	-	-	500	515	530	546	563	580	597	615	633	652
38199	Other Prof/Tech Services	1	General Cost Inflation	19,068	21,500	103,500	106,605	109,803	113,097	116,490	119,985	123,584	127,292	131,111	135,044
38211	Water	1	General Cost Inflation	679,900	750,000	1,701,400	1,752,442	1,805,015	1,859,166	1,914,941	1,972,389	2,031,561	2,092,508	2,155,283	2,219,941
38217	Postage & Mailings	1	General Cost Inflation	1,471	7,700	7,800	8,034	8,275	8,523	8,779	9,042	9,314	9,593	9,881	10,177
38221	Cell Phone/Pagers	1	General Cost Inflation	480	1,000	1,000	1,030	1,061	1,093	1,126	1,159	1,194	1,230	1,267	1,305
38324	Machinery & Equip. Maint.	1	General Cost Inflation	23,284	25,000	55,500	57,165	58,880	60,646	62,466	64,340	66,270	68,258	70,306	72,415
38331	Vehicle Maintenance	1	General Cost Inflation	70	800	400	412	424	437	450	464	478	492	507	522
38351	Wells/Sewer/Lins/Etc.	1	General Cost Inflation	-	45,000	-	-	-	-	-	-	-	-	-	-
38922	Travel & Training	1	General Cost Inflation	3,294	5,300	5,300	7,004	7,214	7,431	7,653	7,883	8,120	8,363	8,614	8,872
38924	Dues & Memberships	1	General Cost Inflation	4,632	6,500	6,500	8,755	9,018	9,288	9,567	9,854	10,149	10,454	10,768	11,091
38925	Federal Mileage Allowance	1	General Cost Inflation	-	100	100	103	106	109	113	116	119	123	127	130
38927	EE Certifications & Licensing	1	General Cost Inflation	-	-	200	206	212	219	225	232	239	246	253	261
38931	Advertising	1	General Cost Inflation	-	-	1,500	1,545	1,591	1,639	1,688	1,739	1,791	1,845	1,900	1,957
38951	Printing	1	General Cost Inflation	1,265	200	2,900	3,090	3,183	3,278	3,377	3,478	3,582	3,690	3,800	3,914
38999	Outside Services	1	General Cost Inflation	-	100	100	103	106	109	113	116	119	123	127	130
Capital															
39871	Wtrstorinv	1	General Cost Inflation	(474,737)	-	-	-	-	-	-	-	-	-	-	-
39891	Prepds	1	General Cost Inflation	(2,943)	-	-	-	-	-	-	-	-	-	-	-
Miscellaneous															
	Future Additional O&M Expenses	1	General Cost Inflation	-	-	-	-	-	-	-	125,000	257,500	397,838	546,364	703,443
Other															
	Add'l O&M from CIP		From CIP	-	-	-	-	-	-	-	-	-	-	-	-
Total Cash O&M Expenditures				\$ 8,449,855	\$ 8,204,000	\$ 8,977,788	\$ 9,788,150	\$ 10,267,802	\$ 11,085,740	\$ 13,322,945	\$ 13,847,615	\$ 14,392,573	\$ 14,983,200	\$ 15,609,956	\$ 16,217,549
Depreciation Expense in FY2013				\$ 2,778,339											
Depreciation Expense				Last year's plus annual add'l from CIP											
				debt principal payments											
				System Reinvestment Funding											
TOTAL EXPENSES				\$ 11,228,194	\$ 11,004,529	\$ 11,928,496	\$ 12,923,029	\$ 13,481,952	\$ 14,355,526	\$ 16,661,661	\$ 17,619,368	\$ 18,824,630	\$ 19,497,058	\$ 20,130,697	\$ 20,817,087
Capital Outlays				\$ 743,376	\$ 296,000	\$ 337,400	\$ 575,705	\$ 767,976	\$ 841,015	\$ 1,056,246	\$ 1,087,933	\$ 1,120,571	\$ 1,154,188	\$ 1,188,814	\$ 1,224,478



City of Surprise

Water Utility Rate Study

Additional O&M Adjustments

		Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection
Revenues		FY15 Budget	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Rate Revenues												
31434	Service/Collection Fees	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	[Extra]	-	-	-	-	-	-	-	-	-	-	-
Total Rate Revenue		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Non-Rate Revenues												
31456	Water Meter Installation	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
31461	Revenue Recovery-Utility Bill	-	-	-	-	-	-	-	-	-	-	-
31543	Federal Grant Revenue	-	-	-	-	-	-	-	-	-	-	-
31813	Interest Income	-	-	-	-	-	-	-	-	-	-	-
31816	Unrealized Gain/Loss	-	-	-	-	-	-	-	-	-	-	-
31991	Miscellaneous Revenue	-	-	-	-	-	-	-	-	-	-	-
31993	Recovery of Prior Year Exp.	-	-	-	-	-	-	-	-	-	-	-
31417	Eng. Plan Check Fees	-	-	-	-	-	-	-	-	-	-	-
	[Extra]	-	-	-	-	-	-	-	-	-	-	-
Total Non-Rate Revenues		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
TOTAL REVENUES		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Expenses		FY15 Budget	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
242	Community Development											
213	Economic Development											
	Contractual Services											
38994	Developer Reimbursement - Surprise Point	30,000	-	-	-	-	-	-	-	-	-	-
641	Water											
111	Administration											
	Transfers Out											
34011	Franchise in lieu payment	200,400	-	-	-	-	-	-	-	-	-	-
34021	Indirect costs - general fund personnel	1,244,100	-	-	-	-	-	-	-	-	-	-
34031	Payments in lieu of property tax (PILOT)	153,900	-	-	-	-	-	-	-	-	-	-
	Personnel/Salaries											
36111	Full Time Salaries	-	-	-	-	-	-	-	-	-	-	-
36113	Overtime Compensation	-	-	-	-	-	-	-	-	-	-	-
36115	Holidays	-	-	-	-	-	-	-	-	-	-	-
36116	Paid Time Off	-	-	-	-	-	-	-	-	-	-	-
36151	Stipend	-	-	-	-	-	-	-	-	-	-	-
36211	FICA	-	-	-	-	-	-	-	-	-	-	-
36231	Medical	-	-	-	-	-	-	-	-	-	-	-
36232	Dental	-	-	-	-	-	-	-	-	-	-	-
36233	Vision	-	-	-	-	-	-	-	-	-	-	-
36234	Life	-	-	-	-	-	-	-	-	-	-	-
36252	AZ State Retirement	-	-	-	-	-	-	-	-	-	-	-
36255	Post Emp. Health Plan	-	-	-	-	-	-	-	-	-	-	-
36278	Workers Compensation	-	-	-	-	-	-	-	-	-	-	-
	Commodities/Supplies											
37111	Office Supplies	-	-	-	-	-	-	-	-	-	-	-
37112	Computer Supplies	-	-	-	-	-	-	-	-	-	-	-
37114	Books & Subscriptions	-	-	-	-	-	-	-	-	-	-	-
37131	Clothing & Uniforms	-	-	-	-	-	-	-	-	-	-	-
37162	Furn, Fixture, & Office Equip <\$10,000	-	-	-	-	-	-	-	-	-	-	-
37192	Awards & Recognition	-	-	-	-	-	-	-	-	-	-	-
	Contractual Services											
38199	Other Prof/Tech Services	11,600	-	-	-	-	-	-	-	-	-	-
38217	Postage & Mailings	-	-	-	-	-	-	-	-	-	-	-
38411	Water Delivery Service Contracts	1,330,000	-	-	-	-	-	-	-	-	-	-
38912	Risk Insurance Premium	150,600	-	-	-	-	-	-	-	-	-	-
38922	Travel & Training	-	-	-	-	-	-	-	-	-	-	-
38924	Dues & Memberships	-	-	-	-	-	-	-	-	-	-	-



City of Surprise

Water Utility Rate Study

Additional O&M Adjustments

Expenses	Budget FY15 Budget	Projection FY2015	Projection FY2016	Projection FY2017	Projection FY2018	Projection FY2019	Projection FY2020	Projection FY2021	Projection FY2022	Projection FY2023	Projection FY2024
38999 Outside Services	-	-	-	-	-	-	-	-	-	-	-
Capital											
39999 Contingency	-	-	-	-	-	-	-	-	-	-	-
112 General Operations											
Personnel/Salaries											
36111 Full Time Salaries	728,507	-	186,388	-	-	-	-	-	-	-	-
36113 Overtime Compensation	2,000	-	-	-	-	-	-	-	-	-	-
36115 Holidays	-	-	-	-	-	-	-	-	-	-	-
36116 Paid Time Off	-	-	-	-	-	-	-	-	-	-	-
36151 Stipend	4,800	-	-	-	-	-	-	-	-	-	-
36211 FICA	55,731	-	-	-	-	-	-	-	-	-	-
36231 Medical	90,667	-	-	-	-	-	-	-	-	-	-
36232 Dental	5,288	-	-	-	-	-	-	-	-	-	-
36233 Vision	942	-	-	-	-	-	-	-	-	-	-
36234 Life	3,200	-	-	-	-	-	-	-	-	-	-
36252 AZ State Retirement	84,070	-	-	-	-	-	-	-	-	-	-
36278 Workers Compensation	21,639	-	-	-	-	-	-	-	-	-	-
Commodities/Supplies											
37111 Office Supplies	500	-	212	-	-	-	-	-	-	-	-
37112 Computer Supplies	2,000	-	106	-	-	-	-	-	-	-	-
37114 Books & Subscriptions	1,500	-	212	-	-	-	-	-	-	-	-
37131 Clothing & Uniforms	500	-	-	-	-	-	-	-	-	-	-
37142 Phone Supplies	300	-	-	-	-	-	-	-	-	-	-
37161 Operational Supplies	24,000	-	5,305	-	-	-	-	-	-	-	-
37162 Furn, Fixture, & Office Equip <\$10,000	17,400	-	6,030	-	-	-	-	-	-	-	-
37164 Signs and Sign Supplies	200	-	-	-	-	-	-	-	-	-	-
37165 Mach. & Equipment <\$10,000	94,500	-	3,183	-	-	-	-	-	-	-	-
37192 Awards & Recognition	400	-	-	-	-	-	-	-	-	-	-
37193 Safety & First Aid Supplies	3,800	-	1,560	-	-	-	-	-	-	-	-
37196 Meetsup	200	-	-	-	-	-	-	-	-	-	-
37211 Gas & Fuel	28,800	-	7,802	-	-	-	-	-	-	-	-
37212 Auto Parts & Lubricants	3,000	-	3,439	-	-	-	-	-	-	-	-
37221 Repair and Maintenance	5,000	-	-	-	-	-	-	-	-	-	-
37222 Cleaning/Janitorial	100	-	-	-	-	-	-	-	-	-	-
37223 Agricultural/Grounds Supplies	3,500	-	-	-	-	-	-	-	-	-	-
37232 Chemical Supplies	326,000	-	-	-	200,000	760,000	-	-	-	-	-
37997 Education & Training Supplies	100	-	-	-	-	-	-	-	-	-	-
37998 Photography Supplies	100	-	-	-	-	-	-	-	-	-	-
37999 Other Commodity Supplies	-	-	-	-	-	-	-	-	-	-	-
Contractual Services											
38126 Service Agreements	50,000	-	-	-	-	-	-	-	-	-	-
38129 Software Licenses	15,000	-	-	-	-	-	-	-	-	-	-
38129 Software Licenses - Hansen Version	-	-	-	-	-	-	-	-	-	-	-
38162 Landscape Services	20,000	-	-	-	-	-	-	-	-	-	-
38191 Pre Employment Services	1,500	-	-	-	-	-	-	-	-	-	-
38192 Lab Services	86,100	-	-	-	-	-	-	-	-	-	-
38199 Other Prof/Tech Services	30,000	65,000	-	-	-	-	-	-	-	-	-
38199 Other Prof/Tech Services - SPA 2	-	-	-	-	-	-	-	-	-	-	-
38211 Water	61,100	-	-	-	-	-	-	-	-	-	-
38212 Electricity	915,600	40,000	9,000	5,000	250,000	950,000	-	-	-	-	-
38214 Telephone	18,000	-	-	-	-	-	-	-	-	-	-
38216 Sanitary/Landfill	30,000	-	-	-	-	-	-	-	-	-	-
38217 Postage & Mailings	500	-	-	-	-	-	-	-	-	-	-
38221 Cell Phone/Pagers	5,000	-	-	-	-	-	-	-	-	-	-
38311 Building Maintenance	2,500	-	-	-	-	-	-	-	-	-	-
38324 Machinery & Equip. Maint.	104,000	-	50,000	-	50,000	190,000	-	-	-	-	-
38331 Vehicle Maintenance	3,800	-	2,085	-	-	-	-	-	-	-	-
38351 Wells/Sewer/Lins/Etc.	100,000	-	-	-	-	-	-	-	-	-	-
38372 Pest Control Services	300	-	-	-	-	-	-	-	-	-	-



City of Surprise

Water Utility Rate Study

Additional O&M Adjustments

		Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	
Expenses		FY15 Budget	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
38411	Water Delivery Service Contracts	-	-	-	-	-	-	-	-	-	-	-
38521	Equipment Rental	2,000	-	-	-	-	-	-	-	-	-	-
38591	Other Rental/Leases	1,300	-	-	-	-	-	-	-	-	-	-
38921	Tuition Reimbursement	3,000	-	-	-	-	-	-	-	-	-	-
38922	Travel & Training	7,000	-	1,591	-	-	-	-	-	-	-	-
38924	Dues & Memberships	2,000	-	1,061	-	-	-	-	-	-	-	-
38925	Federal Mileage Allowance	100	-	-	-	-	-	-	-	-	-	-
38927	EE Certification & Licensing	1,000	-	-	-	-	-	-	-	-	-	-
38931	Advertising	-	-	-	-	-	-	-	-	-	-	-
38951	Printing	500	-	-	-	-	-	-	-	-	-	-
38991	Uniform/Laundry Services	4,000	-	618	-	-	-	-	-	-	-	-
Capital												
39211	Building & Structure Purchases	-	-	-	-	-	-	-	-	-	-	-
39223	Infrastructure Purchases	-	-	-	-	-	-	-	-	-	-	-
39224	Infrastructure Improvements	-	-	-	-	-	-	-	-	-	-	-
39311	Imp.'s Other Than Bldg. & Land	-	-	-	-	-	-	-	-	-	-	-
39411	Furn., Fixtures & Ofc Equipment	-	-	-	-	-	-	-	-	-	-	-
39872	Inv Adj	-	-	-	-	-	-	-	-	-	-	-
39891	Prepds	-	-	-	-	-	-	-	-	-	-	-
115	Project Management											
Personnel/Salaries												
36111	Full Time Salaries	76,759	-	-	-	-	-	-	-	-	-	-
36115	Holidays	-	-	-	-	-	-	-	-	-	-	-
36116	Paid Time Off	-	-	-	-	-	-	-	-	-	-	-
36151	Stipend	720	-	-	-	-	-	-	-	-	-	-
36211	FICA	5,872	-	-	-	-	-	-	-	-	-	-
36231	Medical	1,200	-	-	-	-	-	-	-	-	-	-
36232	Dental	842	-	-	-	-	-	-	-	-	-	-
36233	Vision	120	-	-	-	-	-	-	-	-	-	-
36234	Life	300	-	-	-	-	-	-	-	-	-	-
36252	AZ State Retirement	8,858	-	-	-	-	-	-	-	-	-	-
36278	Workers Compensation	1,317	-	-	-	-	-	-	-	-	-	-
Commodities/Supplies												
37111	Office Supplies	300	-	-	-	-	-	-	-	-	-	-
37112	Computer Supplies	400	-	-	-	-	-	-	-	-	-	-
37114	Books & Subscriptions	600	-	-	-	-	-	-	-	-	-	-
37131	Clothing & Uniforms	500	-	-	-	-	-	-	-	-	-	-
37161	Operational Supplies	100	-	-	-	-	-	-	-	-	-	-
37193	Safety & First Aid Supplies	900	-	-	-	-	-	-	-	-	-	-
37211	Gas & Fuel	700	-	-	-	-	-	-	-	-	-	-
37212	Auto Parts & Lubricants	-	-	-	-	-	-	-	-	-	-	-
Contractual Services												
38126	Service Agreements	6,600	-	-	-	-	-	-	-	-	-	-
38199	Other Prof/Tech Services	20,000	-	-	-	-	-	-	-	-	-	-
38217	Postage & Mailings	100	-	-	-	-	-	-	-	-	-	-
38331	Vehicle Maintenacne	-	-	-	-	-	-	-	-	-	-	-
38922	Travel & Training	6,100	-	-	-	-	-	-	-	-	-	-
38924	Dues & Memberships	3,200	-	-	-	-	-	-	-	-	-	-
38925	Federal Mileage Allowance	-	-	-	-	-	-	-	-	-	-	-
38951	Printing	-	-	-	-	-	-	-	-	-	-	-
38999	Outside Services	-	-	-	-	-	-	-	-	-	-	-
Capital												
39891	Prepds	-	-	-	-	-	-	-	-	-	-	-
117	Customer Service											
Personnel/Salaries												
36111	Full Time Salaries	120,016	-	-	-	-	-	-	-	-	-	-
36113	Overtime Compensation	3,000	-	-	-	-	-	-	-	-	-	-
36115	Holidays	-	-	-	-	-	-	-	-	-	-	-



City of Surprise

Water Utility Rate Study

Additional O&M Adjustments

		Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	
Expenses		FY15 Budget	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
36116	Paid Time Off	-	-	-	-	-	-	-	-	-	-	-
36151	Stipend	720	-	-	-	-	-	-	-	-	-	-
36211	FICA	9,181	-	-	-	-	-	-	-	-	-	-
36231	Medical	14,481	-	-	-	-	-	-	-	-	-	-
36232	Dental	842	-	-	-	-	-	-	-	-	-	-
36233	Vision	120	-	-	-	-	-	-	-	-	-	-
36234	Life	500	-	-	-	-	-	-	-	-	-	-
36252	AZ State Retirement	13,850	-	-	-	-	-	-	-	-	-	-
36278	Workers Compensation	4,129	-	-	-	-	-	-	-	-	-	-
Commodities/Supplies												
37111	Office Supplies	200	-	-	-	-	-	-	-	-	-	-
37112	Computer Supplies	-	-	-	-	-	-	-	-	-	-	-
37114	Books & Subscriptions	300	-	-	-	-	-	-	-	-	-	-
37131	Clothing & Uniforms	1,000	-	-	-	-	-	-	-	-	-	-
37161	Operational Supplies	800	-	-	-	-	-	-	-	-	-	-
37164	Signs and Sign Supplies	-	-	-	-	-	-	-	-	-	-	-
37165	Mach. & Equipment <\$10,000	76,700	-	175,000	175,000	-	-	-	-	-	-	-
37193	Safety & First Aid Supplies	800	-	-	-	-	-	-	-	-	-	-
37211	Gas & Fuel	5,200	-	-	-	-	-	-	-	-	-	-
37212	Auto Parts & Lubricants	2,100	-	-	-	-	-	-	-	-	-	-
37221	Repairment	-	-	-	-	-	-	-	-	-	-	-
Contractual Services												
38126	Service Agreements	3,000	-	-	-	-	-	-	-	-	-	-
38217	Postage & Mailings	100	-	-	-	-	-	-	-	-	-	-
38221	Cell Phone/Pagers	1,300	-	-	-	-	-	-	-	-	-	-
38324	Machinery & Equip. Maint.	3,100	-	-	-	-	-	-	-	-	-	-
38331	Vehicle Maintenance	900	-	-	-	-	-	-	-	-	-	-
38372	Pest Control Services	600	-	-	-	-	-	-	-	-	-	-
38922	Travel & Training	4,500	-	-	-	-	-	-	-	-	-	-
38924	Dues & Memberships	300	-	-	-	-	-	-	-	-	-	-
38931	Advertising	1,000	-	-	-	-	-	-	-	-	-	-
38951	Printing	-	-	-	-	-	-	-	-	-	-	-
Capital												
39891	Prepds	-	-	-	-	-	-	-	-	-	-	-
118	Sustainability											
Personnel/Salaries												
36111	Fulltime	-	-	-	-	-	-	-	-	-	-	-
36115	Holidays	-	-	-	-	-	-	-	-	-	-	-
36116	Paid Time Off	-	-	-	-	-	-	-	-	-	-	-
36151	Stipend	-	-	-	-	-	-	-	-	-	-	-
36211	FICA	-	-	-	-	-	-	-	-	-	-	-
36231	Medical	-	-	-	-	-	-	-	-	-	-	-
36232	Dental	-	-	-	-	-	-	-	-	-	-	-
36233	Vision	-	-	-	-	-	-	-	-	-	-	-
36234	Life	-	-	-	-	-	-	-	-	-	-	-
36252	AZ State Retirement	-	-	-	-	-	-	-	-	-	-	-
36278	Workers Compensation	-	-	-	-	-	-	-	-	-	-	-
Commodities/Supplies												
37111	Office Supplies	-	-	-	-	-	-	-	-	-	-	-
37112	Computer Supplies	-	-	-	-	-	-	-	-	-	-	-
37114	Books & Subscriptions	-	-	-	-	-	-	-	-	-	-	-
37131	Clothing & Uniforms	-	-	-	-	-	-	-	-	-	-	-
37164	Signs and Sign Supplies	-	-	-	-	-	-	-	-	-	-	-
37193	Safety & First Aid Supplies	-	-	-	-	-	-	-	-	-	-	-
37194	Community Relations Supplies	-	-	-	-	-	-	-	-	-	-	-
37212	Auto Parts & Lubricants	-	-	-	-	-	-	-	-	-	-	-
37997	Education & Training Supplies	-	-	-	-	-	-	-	-	-	-	-
Contractual Services												
38162	Landscape Services	-	-	-	-	-	-	-	-	-	-	-



City of Surprise

Water Utility Rate Study

Additional O&M Adjustments

		Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	
Expenses		FY15 Budget	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
38199	Other Prof/Tech Services	-	-	-	-	-	-	-	-	-	-	-
38217	Postage & Mailings	-	-	-	-	-	-	-	-	-	-	-
38922	Travel & Training	-	-	-	-	-	-	-	-	-	-	-
38924	Dues & Memberships	-	-	-	-	-	-	-	-	-	-	-
38925	Federal Mileage Allowance	-	-	-	-	-	-	-	-	-	-	-
38927	EE Certification & Licensing	-	-	-	-	-	-	-	-	-	-	-
38931	Advertising	-	-	-	-	-	-	-	-	-	-	-
38951	Printing	-	-	-	-	-	-	-	-	-	-	-
604	Utility Locating											
	Personnel/Salaries											
36111	Full Time Salaries	169,028	-	-	-	-	-	-	-	-	-	-
36113	Overtime Compensation	-	-	-	-	-	-	-	-	-	-	-
36115	Holidays	-	-	-	-	-	-	-	-	-	-	-
36116	Paid Time Off	-	-	-	-	-	-	-	-	-	-	-
36151	Stipend	720	-	-	-	-	-	-	-	-	-	-
36211	FICA	12,931	-	-	-	-	-	-	-	-	-	-
36231	Medical	22,279	-	-	-	-	-	-	-	-	-	-
36232	Dental	1,142	-	-	-	-	-	-	-	-	-	-
36233	Vision	240	-	-	-	-	-	-	-	-	-	-
36234	Life	700	-	-	-	-	-	-	-	-	-	-
36252	AZ State Retirement	19,506	-	-	-	-	-	-	-	-	-	-
36278	Workers Compensation	4,624	-	-	-	-	-	-	-	-	-	-
	Commodities/Supplies											
37111	Office Supplies	300	-	-	-	-	-	-	-	-	-	-
37112	Computer Supplies	500	-	-	-	-	-	-	-	-	-	-
37114	Books & Subscriptions	200	-	-	-	-	-	-	-	-	-	-
37131	Clothing & Uniforms	1,500	-	-	-	-	-	-	-	-	-	-
37142	Phone Supplies	150	-	-	-	-	-	-	-	-	-	-
37161	Operational Supplies	1,600	-	-	-	-	-	-	-	-	-	-
37162	Furn, Fixture, & Office Equip <\$10,000	1,000	-	-	-	-	-	-	-	-	-	-
37164	Signs and Sign Supplies	-	-	-	-	-	-	-	-	-	-	-
37165	Mach. & Equipment <\$10,000	1,300	-	-	-	-	-	-	-	-	-	-
37193	Safety & First Aid Supplies	800	-	-	-	-	-	-	-	-	-	-
37211	Gas & Fuel	7,000	-	-	-	-	-	-	-	-	-	-
37212	Auto Parts & Lubricants	1,300	-	-	-	-	-	-	-	-	-	-
37998	Photography Supplies	200	-	-	-	-	-	-	-	-	-	-
	Contractual Services											
38128	Recording, License & Permit Fees	3,000	-	-	-	-	-	-	-	-	-	-
38129	Software Licenses	600	-	-	-	-	-	-	-	-	-	-
38192	Lab Services	500	-	-	-	-	-	-	-	-	-	-
38221	Cell Phone/Pagers	1,500	-	-	-	-	-	-	-	-	-	-
38324	Machinery & Equip. Maint.	300	-	-	-	-	-	-	-	-	-	-
38331	Vehicle Maintenance	800	-	-	-	-	-	-	-	-	-	-
38922	Travel & Training	6,600	-	-	-	-	-	-	-	-	-	-
38924	Dues & Memberships	700	-	-	-	-	-	-	-	-	-	-
38927	EE Certification & Licensing	-	-	-	-	-	-	-	-	-	-	-
38999	Outside Services	-	-	-	-	-	-	-	-	-	-	-
	Capital											
39891	Prepds	-	-	-	-	-	-	-	-	-	-	-
605	Environmental											
	Personnel/Salaries											
36111	Full Time Salaries	127,047	-	92,185	-	-	-	-	-	-	-	-
36113	Overtime Compensation	1,000	-	-	-	-	-	-	-	-	-	-
36115	Holidays	-	-	-	-	-	-	-	-	-	-	-
36116	Paid Time Off	-	-	-	-	-	-	-	-	-	-	-
36151	Stipend	480	-	-	-	-	-	-	-	-	-	-
36211	FICA	9,719	-	-	-	-	-	-	-	-	-	-
36231	Medical	15,681	-	-	-	-	-	-	-	-	-	-



City of Surprise

Water Utility Rate Study

Additional O&M Adjustments

		Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection
Expenses		FY15 Budget	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
36232	Dental	842	-	-	-	-	-	-	-	-	-	-
36233	Vision	120	-	-	-	-	-	-	-	-	-	-
36234	Life	600	-	-	-	-	-	-	-	-	-	-
36252	AZ State Retirement	14,661	-	-	-	-	-	-	-	-	-	-
36278	Workers Compensation	3,747	-	-	-	-	-	-	-	-	-	-
Commodities/Supplies												
37111	Office Supplies	700	-	206	-	-	-	-	-	-	-	-
37112	Computer Supplies	100	-	103	-	-	-	-	-	-	-	-
37113	Postage	-	-	-	-	-	-	-	-	-	-	-
37114	Books & Subscriptions	1,600	-	515	-	-	-	-	-	-	-	-
37131	Clothing & Uniforms	400	-	206	-	-	-	-	-	-	-	-
37161	Operational Supplies	2,500	-	1,030	-	-	-	-	-	-	-	-
37165	Mach. & Equipment <\$10,000	2,000	-	-	-	-	-	-	-	-	-	-
37192	Awards & Recognition	100	-	-	-	-	-	-	-	-	-	-
37193	Safety & First Aid Supplies	800	-	309	-	-	-	-	-	-	-	-
37194	Community Relations Supplies	5,500	-	-	-	-	-	-	-	-	-	-
37211	Gas & Fuel	2,400	-	-	-	-	-	-	-	-	-	-
37212	Auto Parts & Lubricants	800	-	-	-	-	-	-	-	-	-	-
37232	Chemical Supplies	-	-	-	-	-	-	-	-	-	-	-
37997	Education & Training Supplies	5,000	-	-	-	-	-	-	-	-	-	-
Contractual Services												
38128	Recording, License & Permit Fees	28,400	-	-	-	-	-	-	-	-	-	-
38129	Software Licenses	3,000	-	-	-	-	-	-	-	-	-	-
38162	Landscape Services	500	-	-	-	-	-	-	-	-	-	-
38199	Other Prof/Tech Services	28,500	75,000	-	-	-	-	-	-	-	-	-
38211	Water	750,000	1,701,400	1,752,442	1,805,015	1,859,166	1,914,941	-	-	-	-	-
38217	Postage & Mailings	7,800	-	-	-	-	-	-	-	-	-	-
38221	Cell Phone/Pagers	1,000	-	-	-	-	-	-	-	-	-	-
38324	Machinery & Equip. Maint.	55,500	-	-	-	-	-	-	-	-	-	-
38331	Vehicle Maintenance	400	-	-	-	-	-	-	-	-	-	-
38351	Wells/Sewer/Lins/Etc.	-	-	-	-	-	-	-	-	-	-	-
38922	Travel & Training	5,300	-	1,545	-	-	-	-	-	-	-	-
38924	Dues & Memberships	6,500	-	2,060	-	-	-	-	-	-	-	-
38925	Federal Mileage Allowance	100	-	-	-	-	-	-	-	-	-	-
38927	EE Certifications & Licensing	200	-	-	-	-	-	-	-	-	-	-
38931	Advertising	1,500	-	-	-	-	-	-	-	-	-	-
38951	Printing	2,900	-	103	-	-	-	-	-	-	-	-
38999	Outside Services	100	-	-	-	-	-	-	-	-	-	-
Capital												
39871	Wtrstorinv	-	-	-	-	-	-	-	-	-	-	-
39891	Prepds	-	-	-	-	-	-	-	-	-	-	-
Miscellaneous												
Future Additional O&M Expenses		-	-	-	-	-	-	125,000	128,750	132,613	136,591	140,689
Other												
Add'l O&M from CIP		-	-	-	-	-	-	-	-	-	-	-
Total Cash O&M Expenditures		\$ 7,846,388	\$ 1,881,400	\$ 2,304,296	\$ 1,985,015	\$ 2,359,166	\$ 3,814,941	\$ 125,000	\$ 128,750	\$ 132,613	\$ 136,591	\$ 140,689
TOTAL EXPENSES		\$ 7,846,388	\$ 1,881,400	\$ 2,304,296	\$ 1,985,015	\$ 2,359,166	\$ 3,814,941	\$ 125,000	\$ 128,750	\$ 132,613	\$ 136,591	\$ 140,689



City of Surprise
Water Utility Rate Study
Capital Improvement Program

Project Costs and O&M Impacts in Year: **2014**

2014

No	Description	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024	General Capital Fund
1	Budget												100%
2	Water CIP Project Management (Previously Sustainability)		109,840										100%
3	SPA 1 Impact Fee Studies	3,400											0%
4	SPA 2 Impact Fee Studies	3,400											0%
5	SPA 3 Impact Fee Studies	3,400											0%
6	SPA 4 Impact Fee Studies	3,400											0%
7	SPA 5 Impact Fee Studies	3,400											0%
8	SPA 6 Impact Fee Studies	3,400											0%
9	Resources SPA 1 Impact Fee Studies	3,400											0%
10	Resources SPA 2 Impact Fee Studies	3,400											0%
11	Resources SPA 3 Impact Fee Studies	3,400											0%
12	Resources SPA 4 Impact Fee Studies	3,400											0%
13	Resources SPA 5 Impact Fee Studies	3,400											0%
14	Resources SPA 6 Impact Fee Studies	3,400											0%
15													100%
16	Enterprise CIP 22511 - Water Operations Fund												100%
17	Cactus Road - South Side (Tracks to Litchfield)		70,000	210,000	90,000								100%
18	SPA 2 Desert Oasis WSF - Arsenic Treatment (non-growth)		37,500	512,500									100%
19	Site & Security Improvements - Water		295,000	475,000									100%
20	Ashton Ranch Water Storage Reservoir Coating		340,000										100%
21	Rancho Gabriela WSF Nitrate Treatment											2,100,000	100%
22	SPA1 Rancho Gabriela Nitrate Treatment							1,000,000					100%
23	Combined Distribution System for Ashton and Rancho			625,000	625,000	500,000	1,750,000	1,750,000					100%
24	SPA 1 Ashton Ranch WSF - Chlorine Upgrade							100,000	1,000,000				100%
25	SPA 1 16-in Reclaimed Line Civic Center Drive (Bell to Paradise)				600,000								100%
26	SPA 1 16-in Water Line (Civic Center Drive/Tierra Buena Lane)			1,500,000									100%
27	SPA 1 3 Distribution System Monitoring Stations				200,000	250,000							100%
28	SPA 1 Rancho Gabriela WSF - Arsenic Treatment						200,000	2,000,000					100%
29	Well Transmission Line Hydraulic Transient Protection			150,000	150,000	150,000							100%
30	Water Capital Asset Replacement			2,578,400	1,477,000	387,000	160,500	481,300	748,000	474,000	260,000	785,500	100%
31	Summit Well Modifications							110,000					100%
32	Reclaimed Water HOA Conversion			120,000			120,000						100%
33	City Hall Reclaim Connections		230,000										100%
34	SPA 1 VFD for Plant 4 & 5 Non-Potable Pumps		492,000										100%
35	Enterprise CIP 22512 - Water System Dev Fee Fund SPA 1												0%
36	SPA 1 Mountain Vista Well #2	914,700	1,158,800										0%
37	CAP Allocation (M&I Subcontract)	154,000	205,000	216,000	226,000	238,000	250,000						0%
38	SPA 1 Rancho Gabriela Ag Well Conversion							140,000	530,000	530,000			0%
39	SPA 1 Mountain Gate/Kenly Well							90,000	1,780,000				0%
40	SPA 1 Ashton Ranch Subdivision Well #2						90,000	1,780,000					0%
41	IWMP Existing Water System Deficiencies			300,000									0%
42	Enterprise CIP 22513 - Water System Dev Fee Fund SPA 2												0%
43	SPA 2 Desert Oasis WSF - Arsenic Treatment		112,500	1,537,500									0%
44	16-in Water line Happy Valley (N 163rd Ave to N 155th Ave)							180,000	1,620,000				0%
45	16-in Water line N 155th Ave (W Jomax Rd to W Pin. Peak)							180,000	1,620,000				0%
46	16-in Water line N 177th Ave (W Patton Rd to W Jomax Rd)							110,000	1,690,000				0%
47	16-in Water line N Cotton Ln (W Patton Rd to W Hedgehog)					36,000	324,000						0%
48	16-in Water line W Jomax Rd (N 163rd Ave to N 155th Ave)							180,000	1,620,000				0%
49	16-in Water line W Jomax Rd (N 177th Ave to N 175th Ln)							70,000	630,000				0%
50	16-in Water line W Patton Rd (N 177th Ave to N Cotton Ln)							110,000	1,690,000				0%
51	16-in Water line W Pinnacle Peak Rd (N 163rd to N 155th)							180,000	1,620,000				0%



City of Surprise
Water Utility Rate Study
Capital Improvement Program

Project Costs and O&M Impacts in Year: **2014**

2014

No	Description	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024	General Capital Fund
52	Final Phase of Asante WSF (Design and Construction)							1,000,000	2,000,000	2,184,000			0%
53	Asante Wells Equipment							1,000,000	1,000,000				0%
54	SPA 2 Regional WSF - (Land Purchase)							6,000,000					0%
55	Enterprise CIP 22514 - Water System Dev Fee Fund SPA 3												0%
56	SPA 3 Regional WSF - (Land Purchase)								9,000,000				0%
57	SPA 3 Initial Water System				100,000	750,000							0%
58	Enterprise CIP 22522 - Water Resources Sys Dev Fee SPA 1												0%
59	SPA 1 16-in Reclaimed Line - Parkview Pl and Greenway Rd							1,500,000					0%
60	Greenway Road Improvements - Reclaimed Line		769,100										0%
61	Resources SPA 1 Effluent Storage Reservoir Covers		2,600,000										0%
62													100%
63	Enterprise Vehicles												100%
64	Customer Service						25,000	60,000					100%
65	Environmental							50,000					100%
66	Operations			22,000	95,000		63,000	131,000					100%
67	Utility Locating				67,000		30,000						100%
68													100%
69	One-time Future O&M Costs												100%
70	Permanent Well Service Level Sensors		25,000	25,000	25,000								100%
71	Water System Asset Replacement		105,950										100%
72	Eval on Connecting Ashton and Rancho Production Wells			50,000									100%
73	Assess and partially abandon two agricultural wells		80,000										100%
74	Electronic Document Control/Retrieval System			25,000									100%
75	Develop Emergency Response Plan			150,000									100%
76	Water OSHA LOTO Follow Through			25,000	25,000								100%
77	Water System Asset Replacement		453,000										100%
78	Lead Water Mechanic (Senior M&O Tech) Light Vehicle			25,750									100%
79	Desert Oasis WSF Restroom Construction			50,000									100%
80	Water Sampler (M&O Tech) Light Vehicle			26,523									100%
81	Water Mechanic (M&O Tech) Light Vehicle			25,750									100%
82	Integrated Water Master Plan Update		150,000			120,000							100%
83	Permanent Drinking Water Sample Stations		45,000	25,000	25,000	25,000	25,000						100%
84													100%
Total Capital Projects		\$ 1,109,500	\$ 7,278,690	\$ 8,674,423	\$ 3,705,000	\$ 2,456,000	\$ 3,037,500	\$ 18,202,300	\$ 26,548,000	\$ 3,188,000	\$ 260,000	\$ 2,885,500	35%
Projects by Grants / Developer Donations													
Projects by Enterprise Fund													
General Capital Fund		-	2,433,290	6,620,923	3,379,000	1,432,000	2,373,500	5,682,300	1,748,000	474,000	260,000	2,885,500	
System Dev Fee SPA 1		1,072,100	1,363,800	516,000	226,000	238,000	340,000	2,010,000	2,310,000	530,000	-	-	
System Dev Fee SPA 2		3,400	112,500	1,537,500	-	36,000	324,000	9,010,000	13,490,000	2,184,000	-	-	
System Dev Fee SPA 3		3,400	-	-	100,000	750,000	-	-	9,000,000	-	-	-	
System Dev Fee SPA 4		3,400	-	-	-	-	-	-	-	-	-	-	
System Dev Fee SPA 5		3,400	-	-	-	-	-	-	-	-	-	-	
System Dev Fee SPA 6		3,400	-	-	-	-	-	-	-	-	-	-	
Resources System Dev SPA 1		3,400	3,369,100	-	-	-	-	1,500,000	-	-	-	-	
Resources System Dev SPA 2		3,400	-	-	-	-	-	-	-	-	-	-	
Resources System Dev SPA 3		3,400	-	-	-	-	-	-	-	-	-	-	
Resources System Dev SPA 4		3,400	-	-	-	-	-	-	-	-	-	-	
Resources System Dev SPA 5		3,400	-	-	-	-	-	-	-	-	-	-	
Resources System Dev SPA 6		3,400	-	-	-	-	-	-	-	-	-	-	



City of Surprise
Water Utility Rate Study
Capital Improvement Program

Project Costs and O&M Impacts in Year:

No	Description	System Dev SPA 1	System Dev SPA 2	System Dev SPA 3	System Dev SPA 4	System Dev SPA 5	System Dev SPA 6	Resources System Dev SPA 1	Resources System Dev SPA 2	Resources System Dev SPA 3	Resources System Dev SPA 4	Resources System Dev SPA 5	Resources System Dev SPA 6	Specific Funding Source 1-Balances, 2-CIAC
1	Budget													1 Balances
2	Water CIP Project Management (Previously Sustainability)													1 Balances
3	SPA 1 Impact Fee Studies	100%												1 Balances
4	SPA 2 Impact Fee Studies		100%											1 Balances
5	SPA 3 Impact Fee Studies			100%										1 Balances
6	SPA 4 Impact Fee Studies				100%									1 Balances
7	SPA 5 Impact Fee Studies					100%								1 Balances
8	SPA 6 Impact Fee Studies						100%							1 Balances
9	Resources SPA 1 Impact Fee Studies							100%						1 Balances
10	Resources SPA 2 Impact Fee Studies								100%					1 Balances
11	Resources SPA 3 Impact Fee Studies									100%				1 Balances
12	Resources SPA 4 Impact Fee Studies										100%			1 Balances
13	Resources SPA 5 Impact Fee Studies											100%		1 Balances
14	Resources SPA 6 Impact Fee Studies												100%	1 Balances
15														1 Balances
16	Enterprise CIP 22511 - Water Operations Fund													1 Balances
17	Cactus Road - South Side (Tracks to Litchfield)													1 Balances
18	SPA 2 Desert Oasis WSF - Arsenic Treatment (non-growth)													1 Balances
19	Site & Security Improvements - Water													1 Balances
20	Ashton Ranch Water Storage Reservoir Coating													1 Balances
21	Rancho Gabriela WSF Nitrate Treatment													1 Balances
22	SPA1 Rancho Gabriela Nitrate Treatment													1 Balances
23	Combined Distribution System for Ashton and Rancho													1 Balances
24	SPA 1 Ashton Ranch WSF - Chlorine Upgrade													1 Balances
25	SPA 1 16-in Reclaimed Line Civic Center Drive (Bell to Paradise)													1 Balances
26	SPA 1 16-in Water Line (Civic Center Drive/Tierra Buena Lane)													1 Balances
27	SPA 1 3 Distribution System Monitoring Stations													1 Balances
28	SPA 1 Rancho Gabriela WSF - Arsenic Treatment													1 Balances
29	Well Transmission Line Hydraulic Transient Protection													1 Balances
30	Water Capital Asset Replacement													1 Balances
31	Summit Well Modifications													1 Balances
32	Reclaimed Water HOA Conversion													1 Balances
33	City Hall Reclaim Connections													1 Balances
34	SPA 1 VFD for Plant 4 & 5 Non-Potable Pumps													1 Balances
35	Enterprise CIP 22512 - Water System Dev Fee Fund SPA 1	100%												1 Balances
36	SPA 1 Mountain Vista Well #2	100%												1 Balances
37	CAP Allocation (M&I Subcontract)	100%												1 Balances
38	SPA 1 Rancho Gabriela Ag Well Conversion	100%												1 Balances
39	SPA 1 Mountain Gate/Kenly Well	100%												1 Balances
40	SPA 1 Ashton Ranch Subdivision Well #2	100%												1 Balances
41	IWMP Existing Water System Deficiencies	100%												1 Balances
42	Enterprise CIP 22513 - Water System Dev Fee Fund SPA 2		100%											1 Balances
43	SPA 2 Desert Oasis WSF - Arsenic Treatment		100%											1 Balances
44	16-in Water line Happy Valley (N 163rd Ave to N 155th Ave)		100%											1 Balances
45	16-in Water line N 155th Ave (W Jomax Rd to W Pin. Peak)		100%											1 Balances
46	16-in Water line N 177th Ave (W Patton Rd to W Jomax Rd)		100%											1 Balances
47	16-in Water line N Cotton Ln (W Patton Rd to W Hedgehog)		100%											1 Balances
48	16-in Water line W Jomax Rd (N 163rd Ave to N 155th Ave)		100%											1 Balances
49	16-in Water line W Jomax Rd (N 177th Ave to N 175th Ln)		100%											1 Balances
50	16-in Water line W Patton Rd (N 177th Ave to N Cotton Ln)		100%											1 Balances
51	16-in Water line W Pinnacle Peak Rd (N 163rd to N 155th)		100%											1 Balances



City of Surprise
Water Utility Rate Study
Capital Improvement Program

Project Costs and O&M Impacts in Year:

No	Description	System Dev SPA 1	System Dev SPA 2	System Dev SPA 3	System Dev SPA 4	System Dev SPA 5	System Dev SPA 6	Resources System Dev SPA 1	Resources System Dev SPA 2	Resources System Dev SPA 3	Resources System Dev SPA 4	Resources System Dev SPA 5	Resources System Dev SPA 6	Specific Funding Source 1-Balances, 2-CIAC	
52	Final Phase of Asante WSF (Design and Construction)		100%											1	Balances
53	Asante Wells Equipment		100%											1	Balances
54	SPA 2 Regional WSF - (Land Purchase)		100%											1	Balances
55	Enterprise CIP 22514 - Water System Dev Fee Fund SPA 3			100%										1	Balances
56	SPA 3 Regional WSF - (Land Purchase)			100%										1	Balances
57	SPA 3 Initial Water System			100%										1	Balances
58	Enterprise CIP 22522 - Water Resources Sys Dev Fee SPA 1							100%						1	Balances
59	SPA 1 16-in Reclaimed Line - Parkview Pl and Greenway Rd							100%						1	Balances
60	Greenway Road Improvements - Reclaimed Line							100%						1	Balances
61	Resources SPA 1 Effluent Storage Reservoir Covers							100%						1	Balances
62														1	Balances
63	Enterprise Vehicles													1	Balances
64	Customer Service													1	Balances
65	Environmental													1	Balances
66	Operations													1	Balances
67	Utility Locating													1	Balances
68														1	Balances
69	One-time Future O&M Costs													1	Balances
70	Permanent Well Service Level Sensors													1	Balances
71	Water System Asset Replacement													1	Balances
72	Eval on Connecting Ashton and Rancho Production Wells													1	Balances
73	Assess and partially abandon two agricultural wells													1	Balances
74	Electronic Document Control/Retrieval System													1	Balances
75	Develop Emergency Response Plan													1	Balances
76	Water OSHA LOTO Follow Through													1	Balances
77	Water System Asset Replacement													1	Balances
78	Lead Water Mechanic (Senior M&O Tech) Light Vehicle													1	Balances
79	Desert Oasis WSF Restroom Construction													1	Balances
80	Water Sampler (M&O Tech) Light Vehicle													1	Balances
81	Water Mechanic (M&O Tech) Light Vehicle													1	Balances
82	Integrated Water Master Plan Update													1	Balances
83	Permanent Drinking Water Sample Stations													1	Balances
84														1	Balances
Total Capital Projects		11%	35%	13%	0%	0%	0%	6%	0%	0%	0%	0%	0%		
Projects by Grants / Developer Donations															
Projects by Enterprise Fund															
General Capital Fund															
System Dev Fee SPA 1															
System Dev Fee SPA 2															
System Dev Fee SPA 3															
System Dev Fee SPA 4															
System Dev Fee SPA 5															
System Dev Fee SPA 6															
Resources System Dev SPA 1															
Resources System Dev SPA 2															
Resources System Dev SPA 3															
Resources System Dev SPA 4															
Resources System Dev SPA 5															
Resources System Dev SPA 6															



City of Surprise
Water Utility Rate Study
Capital Improvement Program

Project Costs and O&M Impacts in Year: **2014**

TOTAL FORECASTED PROJECT COSTS

No	Description	TOTAL ESCALATED COSTS	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
1	Budget	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2	Water CIP Project Management (Previously Sustainability)	113,315	-	113,315	-	-	-	-	-	-	-	-	-
3	SPA 1 Impact Fee Studies	3,400	3,400	-	-	-	-	-	-	-	-	-	-
4	SPA 2 Impact Fee Studies	3,400	3,400	-	-	-	-	-	-	-	-	-	-
5	SPA 3 Impact Fee Studies	3,400	3,400	-	-	-	-	-	-	-	-	-	-
6	SPA 4 Impact Fee Studies	3,400	3,400	-	-	-	-	-	-	-	-	-	-
7	SPA 5 Impact Fee Studies	3,400	3,400	-	-	-	-	-	-	-	-	-	-
8	SPA 6 Impact Fee Studies	3,400	3,400	-	-	-	-	-	-	-	-	-	-
9	Resources SPA 1 Impact Fee Studies	3,400	3,400	-	-	-	-	-	-	-	-	-	-
10	Resources SPA 2 Impact Fee Studies	3,400	3,400	-	-	-	-	-	-	-	-	-	-
11	Resources SPA 3 Impact Fee Studies	3,400	3,400	-	-	-	-	-	-	-	-	-	-
12	Resources SPA 4 Impact Fee Studies	3,400	3,400	-	-	-	-	-	-	-	-	-	-
13	Resources SPA 5 Impact Fee Studies	3,400	3,400	-	-	-	-	-	-	-	-	-	-
14	Resources SPA 6 Impact Fee Studies	3,400	3,400	-	-	-	-	-	-	-	-	-	-
15	-	-	-	-	-	-	-	-	-	-	-	-	-
16	Enterprise CIP 22511 - Water Operations Fund	-	-	-	-	-	-	-	-	-	-	-	-
17	Cactus Road - South Side (Tracks to Litchfield)	394,526	-	72,214	223,497	98,815	-	-	-	-	-	-	-
18	SPA 2 Desert Oasis WSF - Arsenic Treatment (non-growth)	584,125	-	38,686	545,439	-	-	-	-	-	-	-	-
19	Site & Security Improvements - Water	809,861	-	304,332	505,529	-	-	-	-	-	-	-	-
20	Ashton Ranch Water Storage Reservoir Coating	350,756	-	350,756	-	-	-	-	-	-	-	-	-
21	Rancho Gabriela WSF Nitrate Treatment	2,867,346	-	-	-	-	-	-	-	-	-	-	2,867,346
22	SPA1 Rancho Gabriela Nitrate Treatment	1,205,470	-	-	-	-	-	-	1,205,470	-	-	-	-
23	Combined Distribution System for Ashton and Rancho	6,072,173	-	-	665,169	686,212	566,336	2,044,883	2,109,573	-	-	-	-
24	SPA 1 Ashton Ranch WSF - Chlorine Upgrade	1,364,152	-	-	-	-	-	-	120,547	1,243,605	-	-	-
25	SPA 1 16-in Reclaimed Line Civic Center Drive (Bell to Paradise)	658,763	-	-	-	658,763	-	-	-	-	658,763	-	-
26	SPA 1 16-in Water Line (Civic Center Drive/Tierra Buena Lane)	1,596,406	-	-	1,596,406	-	-	-	-	-	-	-	-
27	SPA 1 3 Distribution System Monitoring Stations	502,756	-	-	-	219,588	283,168	-	-	-	-	-	-
28	SPA 1 Rancho Gabriela WSF - Arsenic Treatment	2,644,641	-	-	-	-	-	233,701	2,410,940	-	-	-	-
29	Well Transmission Line Hydraulic Transient Protection	494,232	-	-	159,641	164,691	169,901	-	-	-	-	-	-
30	Water Capital Asset Replacement	8,526,829	-	-	2,744,116	1,621,656	438,344	187,545	580,193	930,217	608,117	344,118	1,072,524
31	Summit Well Modifications	132,602	-	-	-	-	-	-	132,602	-	-	-	-
32	Reclaimed Water HOA Conversion	267,933	-	-	127,712	-	-	140,221	-	-	-	-	-
33	City Hall Reclaim Connections	237,276	-	237,276	-	-	-	-	-	-	-	-	-
34	SPA 1 VFD for Plant 4 & 5 Non-Potable Pumps	507,564	-	507,564	-	-	-	-	-	-	-	-	-
35	Enterprise CIP 22512 - Water System Dev Fee Fund SPA 1	-	-	-	-	-	-	-	-	-	-	-	-
36	SPA 1 Mountain Vista Well #2	2,110,159	914,700	1,195,459	-	-	-	-	-	-	-	-	-
37	CAP Allocation (M&I Subcontract)	1,405,204	154,000	211,485	229,882	248,134	269,576	292,126	-	-	-	-	-
38	SPA 1 Rancho Gabriela Ag Well Conversion	1,507,838	-	-	-	-	-	-	168,766	659,111	679,962	-	-
39	SPA 1 Mountain Gate/Kenly Well	2,322,110	-	-	-	-	-	-	108,492	2,213,617	-	-	-
40	SPA 1 Ashton Ranch Subdivision Well #2	2,250,902	-	-	-	-	-	105,165	2,145,737	-	-	-	-
41	IWMP Existing Water System Deficiencies	319,281	-	-	319,281	-	-	-	-	-	-	-	-
42	Enterprise CIP 22513 - Water System Dev Fee Fund SPA 2	-	-	-	-	-	-	-	-	-	-	-	-
43	SPA 2 Desert Oasis WSF - Arsenic Treatment	1,752,375	-	116,059	1,636,316	-	-	-	-	-	-	-	-
44	16-in Water line Happy Valley (N 163rd Ave to N 155th Ave)	2,231,625	-	-	-	-	-	-	216,985	2,014,640	-	-	-
45	16-in Water line N 155th Ave (W Jomax Rd to W Pin. Peak)	2,231,625	-	-	-	-	-	-	216,985	2,014,640	-	-	-
46	16-in Water line N 177th Ave (W Patton Rd to W Jomax Rd)	2,234,294	-	-	-	-	-	-	132,602	2,101,693	-	-	-
47	16-in Water line N Cotton Ln (W Patton Rd to W Hedgehog)	419,372	-	-	-	-	40,776	378,595	-	-	-	-	-
48	16-in Water line W Jomax Rd (N 163rd Ave to N 155th Ave)	2,231,625	-	-	-	-	-	-	216,985	2,014,640	-	-	-
49	16-in Water line W Jomax Rd (N 177th Ave to N 175th Ln)	867,854	-	-	-	-	-	-	84,383	783,471	-	-	-
50	16-in Water line W Patton Rd (N 177th Ave to N Cotton Ln)	2,234,294	-	-	-	-	-	-	132,602	2,101,693	-	-	-
51	16-in Water line W Pinnacle Peak Rd (N 163rd to N 155th)	2,231,625	-	-	-	-	-	-	216,985	2,014,640	-	-	-



City of Surprise
Water Utility Rate Study
Capital Improvement Program

Project Costs and O&M Impacts in Year: **2014**

TOTAL FORECASTED PROJECT COSTS

No	Description	TOTAL ESCALATED COSTS	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
52	Final Phase of Asante WSF (Design and Construction)	6,494,636	-	-	-	-	-	-	1,205,470	2,487,210	2,801,955	-	-
53	Asante Wells Equipment	2,449,075	-	-	-	-	-	-	1,205,470	1,243,605	-	-	-
54	SPA 2 Regional WSF - (Land Purchase)	7,232,821	-	-	-	-	-	-	7,232,821	-	-	-	-
55	Enterprise CIP 22514 - Water System Dev Fee Fund SPA 3	-	-	-	-	-	-	-	-	-	-	-	-
56	SPA 3 Regional WSF - (Land Purchase)	11,192,447	-	-	-	-	-	-	-	11,192,447	-	-	-
57	SPA 3 Initial Water System	959,298	-	-	-	109,794	849,504	-	-	-	-	-	-
58	Enterprise CIP 22522 - Water Resources Sys Dev Fee SPA 1	-	-	-	-	-	-	-	-	-	-	-	-
59	SPA 1 16-in Reclaimed Line - Parkview Pl and Greenway Rd	1,808,205	-	-	-	-	-	-	1,808,205	-	-	-	-
60	Greenway Road Improvements - Reclaimed Line	793,430	-	793,430	-	-	-	-	-	-	-	-	-
61	Resources SPA 1 Effluent Storage Reservoir Covers	2,682,251	-	2,682,251	-	-	-	-	-	-	-	-	-
62		-	-	-	-	-	-	-	-	-	-	-	-
63	Enterprise Vehicles	-	-	-	-	-	-	-	-	-	-	-	-
64	Customer Service	101,541	-	-	-	-	-	29,213	72,328	-	-	-	-
65	Environmental	60,274	-	-	-	-	-	-	60,274	-	-	-	-
66	Operations	359,251	-	-	23,414	104,304	-	73,616	157,917	-	-	-	-
67	Utility Locating	108,617	-	-	-	73,562	-	35,055	-	-	-	-	-
68		-	-	-	-	-	-	-	-	-	-	-	-
69	One-time Future O&M Costs	-	-	-	-	-	-	-	-	-	-	-	-
70	Permanent Well Service Level Sensors	79,846	-	25,791	26,607	27,448	-	-	-	-	-	-	-
71	Water System Asset Replacement	109,302	-	109,302	-	-	-	-	-	-	-	-	-
72	Eval on Connecting Ashton and Rancho Production Wells	53,214	-	-	53,214	-	-	-	-	-	-	-	-
73	Assess and partially abandon two agricultural wells	82,531	-	82,531	-	-	-	-	-	-	-	-	-
74	Electronic Document Control/Retrieval System	26,607	-	-	26,607	-	-	-	-	-	-	-	-
75	Develop Emergency Response Plan	159,641	-	-	159,641	-	-	-	-	-	-	-	-
76	Water OSHA LOTO Follow Through	54,055	-	-	26,607	27,448	-	-	-	-	-	-	-
77	Water System Asset Replacement	467,331	-	467,331	-	-	-	-	-	-	-	-	-
78	Lead Water Mechanic (Senior M&O Tech) Light Vehicle	27,405	-	-	27,405	-	-	-	-	-	-	-	-
79	Desert Oasis WSF Restroom Construction	53,214	-	-	53,214	-	-	-	-	-	-	-	-
80	Water Sampler (M&O Tech) Light Vehicle	28,227	-	-	28,227	-	-	-	-	-	-	-	-
81	Water Mechanic (M&O Tech) Light Vehicle	27,405	-	-	27,405	-	-	-	-	-	-	-	-
82	Integrated Water Master Plan Update	290,666	-	154,745	-	-	135,921	-	-	-	-	-	-
83	Permanent Drinking Water Sample Stations	158,008	-	46,424	26,607	27,448	28,317	29,213	-	-	-	-	-
84		-	-	-	-	-	-	-	-	-	-	-	-
Total Capital Projects		\$ 91,581,006	\$ 1,109,500	\$ 7,508,951	\$ 9,231,935	\$ 4,067,864	\$ 2,781,843	\$ 3,549,332	\$ 21,942,329	\$ 33,015,230	\$ 4,090,034	\$ 344,118	\$ 3,939,869
Projects by Grants / Developer Donations		-	-	-	-	-	-	-	-	-	-	-	-
Projects by Enterprise Fund		91,581,006	1,109,500	7,508,951	9,231,935	4,067,864	2,781,843	3,549,332	21,942,329	33,015,230	4,090,034	344,118	3,939,869
General Capital Fund		31,577,859	-	2,510,267	7,046,455	3,709,936	1,621,987	2,773,445	6,849,843	2,173,822	608,117	344,118	3,939,869
System Dev Fee SPA 1		9,918,894	1,072,100	1,406,944	549,164	248,134	269,576	397,292	2,422,995	2,872,728	679,962	-	-
System Dev Fee SPA 2		32,614,622	3,400	116,059	1,636,316	-	40,776	378,595	10,861,286	16,776,234	2,801,955	-	-
System Dev Fee SPA 3		12,155,145	3,400	-	-	109,794	849,504	-	-	11,192,447	-	-	-
System Dev Fee SPA 4		3,400	3,400	-	-	-	-	-	-	-	-	-	-
System Dev Fee SPA 5		3,400	3,400	-	-	-	-	-	-	-	-	-	-
System Dev Fee SPA 6		3,400	3,400	-	-	-	-	-	-	-	-	-	-
Resources System Dev SPA 1		5,287,287	3,400	3,475,682	-	-	-	-	1,808,205	-	-	-	-
Resources System Dev SPA 2		3,400	3,400	-	-	-	-	-	-	-	-	-	-
Resources System Dev SPA 3		3,400	3,400	-	-	-	-	-	-	-	-	-	-
Resources System Dev SPA 4		3,400	3,400	-	-	-	-	-	-	-	-	-	-
Resources System Dev SPA 5		3,400	3,400	-	-	-	-	-	-	-	-	-	-
Resources System Dev SPA 6		3,400	3,400	-	-	-	-	-	-	-	-	-	-



City of Surprise

Water Utility Rate Study

Revenue Requirements Analysis

Cash Flow Sufficiency Test	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
EXPENSES											
Cash Operating Expenses	\$ 8,204,000	\$ 8,977,788	\$ 9,788,150	\$ 10,267,802	\$ 11,085,740	\$ 13,322,945	\$ 13,847,615	\$ 14,392,573	\$ 14,983,200	\$ 15,609,956	\$ 16,217,549
Existing Debt Service	-	-	-	-	-	-	-	-	-	-	-
New Debt Service	-	-	726,006	726,006	726,006	726,006	4,393,218	4,393,218	4,393,218	4,393,218	4,393,218
Rate Funded System Reinvestment	-	700,000	700,000	700,000	700,000	700,000	700,000	1,500,000	2,300,000	2,800,000	3,200,000
Total Expenses	\$ 8,204,000	\$ 9,677,788	\$ 11,214,156	\$ 11,693,808	\$ 12,511,746	\$ 14,748,951	\$ 18,940,833	\$ 20,285,791	\$ 21,676,418	\$ 22,803,174	\$ 23,810,767
REVENUES											
Rate Revenue	\$ 10,020,500	\$ 10,262,991	\$ 10,358,476	\$ 10,715,787	\$ 11,068,330	\$ 11,546,697	\$ 11,903,077	\$ 12,259,456	\$ 12,615,835	\$ 12,972,214	\$ 13,328,594
Non Rate Revenue	45,000	46,089	46,518	48,122	49,706	51,854	53,454	55,055	56,655	58,256	59,856
Operating Fund & Debt Reserve Fund Interest Earnings	21,500	6,743	11,069	23,350	24,139	25,483	29,161	66,695	67,591	68,562	69,592
Total Revenue	\$ 10,087,000	\$ 10,315,823	\$ 10,416,062	\$ 10,787,260	\$ 11,142,175	\$ 11,624,034	\$ 11,985,692	\$ 12,381,206	\$ 12,740,081	\$ 13,099,032	\$ 13,458,042
NET CASH FLOW (DEFICIENCY)	\$ 1,883,000	\$ 638,035	\$ (798,094)	\$ (906,548)	\$ (1,369,571)	\$ (3,124,917)	\$ (6,955,142)	\$ (7,904,585)	\$ (8,936,336)	\$ (9,704,142)	\$ (10,352,725)
% of Rate Revenue	-18.79%	-6.22%	7.70%	8.46%	12.37%	27.06%	58.43%	64.48%	70.83%	74.81%	77.67%

Coverage Sufficiency Test	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
EXPENSES											
Total Cash Operating Expenses (less Capital Outlays)	\$ 7,908,000	\$ 8,640,388	\$ 9,212,445	\$ 9,499,826	\$ 10,244,724	\$ 12,266,699	\$ 12,759,682	\$ 13,272,002	\$ 13,829,012	\$ 14,421,143	\$ 14,993,071
Revenue Bond Debt Service	-	-	726,006	726,006	726,006	726,006	4,393,218	4,393,218	4,393,218	4,393,218	4,393,218
Revenue Bond Coverage Requirement at 1.25	-	-	181,502	181,502	181,502	181,502	1,098,304	1,098,304	1,098,304	1,098,304	1,098,304
Total Expenses	\$ 7,908,000	\$ 8,640,388	\$ 10,119,953	\$ 10,407,334	\$ 11,152,232	\$ 13,174,207	\$ 18,251,205	\$ 18,763,524	\$ 19,320,534	\$ 19,912,665	\$ 20,484,593
ALLOWABLE REVENUES											
Rate Revenue	\$ 10,020,500	\$ 10,262,991	\$ 10,358,476	\$ 10,715,787	\$ 11,068,330	\$ 11,546,697	\$ 11,903,077	\$ 12,259,456	\$ 12,615,835	\$ 12,972,214	\$ 13,328,594
Other Revenue	45,000	46,089	46,518	48,122	49,706	51,854	53,454	55,055	56,655	58,256	59,856
Interest Earnings - All Funds	21,500	22,628	30,622	57,917	46,194	55,069	70,827	343,149	70,148	70,042	104,918
Total Revenue	\$ 10,087,000	\$ 10,331,707	\$ 10,435,615	\$ 10,821,826	\$ 11,164,230	\$ 11,653,620	\$ 12,027,358	\$ 12,657,660	\$ 12,742,638	\$ 13,100,512	\$ 13,493,368
Coverage Realized	n/a	n/a	1.68	1.82	1.27	-0.84	-0.17	-0.14	-0.25	-0.30	-0.34
COVERAGE SURPLUS (DEFICIENCY)	\$ 2,179,000	\$ 1,691,319	\$ 315,662	\$ 414,492	\$ 11,998	\$ (1,520,586)	\$ (6,223,847)	\$ (6,105,865)	\$ (6,577,896)	\$ (6,812,153)	\$ (6,991,226)

Maximum Revenue Deficiency	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Sufficiency Test Driving the Deficiency	None	None	Cash	Cash	Cash	Cash	Cash	Cash	Cash	Cash	Cash
Maximum Deficiency From Tests	\$ -	\$ -	\$ 798,094	\$ 906,548	\$ 1,369,571	\$ 3,124,917	\$ 6,955,142	\$ 7,904,585	\$ 8,936,336	\$ 9,704,142	\$ 10,352,725
less: Net Revenue From Prior Rate Increases	-	-	(837,483)	(1,804,218)	(2,912,196)	(4,222,250)	(5,674,016)	(7,317,195)	(8,325,641)	(9,411,773)	(10,124,985)
Revenue Deficiency	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,281,125	\$ 587,390	\$ 610,695	\$ 292,370	\$ 227,740
Plus: Adjustment for State Excise Tax	-	-	-	-	-	-	26,145	11,988	12,463	5,967	4,648
Total Revenue Deficiency	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,307,271	\$ 599,377	\$ 623,158	\$ 298,336	\$ 232,388



City of Surprise

Water Utility Rate Study

Revenue Requirements Analysis

Rate Increases	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Rate Revenue with no Increase	\$ 10,020,500	\$ 10,262,991	\$ 10,358,476	\$ 10,715,787	\$ 11,068,330	\$ 11,546,697	\$ 11,903,077	\$ 12,259,456	\$ 12,615,835	\$ 12,972,214	\$ 13,328,594
Revenues from Prior Rate Increases	-	-	854,574	1,841,039	2,971,628	4,308,418	5,789,812	7,466,526	8,495,552	9,603,850	10,331,617
Rate Revenue Before Rate Increase (Incl. previous increases)	10,020,500	10,262,991	11,213,050	12,556,826	14,039,959	15,855,115	17,692,889	19,725,982	21,111,387	22,576,064	23,660,211
Required Annual Rate Increase	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	7.39%	3.04%	2.95%	1.32%	0.98%
Number of Months New Rates Will Be In Effect	12	12	12	12	12	12	12	12	12	12	12
Info: Percentage Increase to Generate Required Revenue	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	7.39%	3.04%	2.95%	1.32%	0.98%
Policy Induced Rate Increases	0.00%	8.25%	8.25%	8.25%	8.25%	8.25%	8.25%	4.00%	4.00%	2.00%	2.00%
ANNUAL RATE INCREASE	0.00%	8.25%	8.25%	8.25%	8.25%	8.25%	8.25%	4.00%	4.00%	2.00%	2.00%
CUMULATIVE RATE INCREASE	0.00%	8.25%	17.18%	26.85%	37.31%	48.64%	60.90%	67.34%	74.03%	77.51%	81.06%

Impacts of Rate Increases	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Rate Revenues After Rate Increase	\$ 10,020,500	\$ 11,109,687	\$ 12,138,127	\$ 13,592,765	\$ 15,198,255	\$ 17,163,162	\$ 19,152,552	\$ 20,515,021	\$ 21,955,843	\$ 23,027,585	\$ 24,133,415
Full Year Rate Revenues After Rate Increase	10,020,500	11,109,687	12,138,127	13,592,765	15,198,255	17,163,162	19,152,552	20,515,021	21,955,843	23,027,585	24,133,415
Additional Taxes Due to Rate Increases	-	16,934	35,593	57,540	82,598	112,329	144,990	165,111	186,800	201,107	216,096
Net Cash Flow After Rate Increase	1,883,000	1,467,797	945,964	1,912,890	2,677,755	2,379,219	149,345	185,869	216,871	150,121	236,000
Coverage After Rate Increase	n/a	n/a	4.09	5.70	6.84	6.74	1.45	1.70	1.84	1.94	2.07



City of Surprise

Water Utility Rate Study

Fund Activity

Funds	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
WATER OPERATIONS FUND (22511)											
Beginning Balance	\$ 2,673,144	\$ 1,348,603	\$ 1,475,801	\$ 1,609,011	\$ 1,687,858	\$ 1,822,313	\$ 2,190,073	\$ 2,276,320	\$ 2,365,902	\$ 2,462,992	\$ 2,566,020
plus: Net Cash Flow After Rate Increase	1,883,000	1,467,797	945,964	1,912,890	2,677,755	2,379,219	149,345	185,869	216,871	150,121	236,000
less: Transfer of Surplus to Capital Fund	(3,207,542)	(1,340,599)	(812,753)	(1,834,043)	(2,543,300)	(2,011,459)	(63,097)	(96,287)	(119,782)	(47,093)	(136,122)
Ending Balance	\$ 1,348,603	\$ 1,475,801	\$ 1,609,011	\$ 1,687,858	\$ 1,822,313	\$ 2,190,073	\$ 2,276,320	\$ 2,365,902	\$ 2,462,992	\$ 2,566,020	\$ 2,665,899
Minimum Target Balance	\$ 1,348,603	\$ 1,475,801	\$ 1,609,011	\$ 1,687,858	\$ 1,822,313	\$ 2,190,073	\$ 2,276,320	\$ 2,365,902	\$ 2,462,992	\$ 2,566,020	\$ 2,665,899
Maximum Funds to be Kept as Operating Reserves	\$ 1,348,603	\$ 1,475,801	\$ 1,609,011	\$ 1,687,858	\$ 1,822,313	\$ 2,190,073	\$ 2,276,320	\$ 2,365,902	\$ 2,462,992	\$ 2,566,020	\$ 2,665,899
Info: No of Days of Cash Operating Expenses	60	60	60	60	60	60	60	60	60	60	60
OPERATIONS FUND - CAPITAL PORTION											
Beginning Balance	\$ -	\$ 3,176,947	\$ 2,607,105	\$ 3,456,640	\$ 2,205,520	\$ 2,958,607	\$ 4,166,653	\$ 27,645,373	\$ 255,685	\$ 148,025	\$ 3,532,554
plus: Rate Funded System Reinvestment	-	700,000	700,000	700,000	700,000	700,000	700,000	1,500,000	2,300,000	2,800,000	3,200,000
plus: Transfers from Operating Fund	3,207,542	1,340,599	812,753	1,834,043	2,543,300	2,011,459	63,097	96,287	119,782	47,093	136,122
plus: Grants/ Donations/ CIAC	-	-	-	-	-	-	-	-	-	-	-
plus: Additional Proceeds (Costs)	-	-	-	-	-	-	-	-	-	-	-
plus: Transfers from SPA Funds for Deficit Repayment	-	-	-	-	-	1,240,446	61,276	-	56,266	880,074	880,074
plus: System Development Fee - Dual Water	-	-	-	-	-	-	-	-	-	-	-
plus: Revenue Bond Proceeds	-	-	8,000,000	-	-	-	39,500,000	-	-	-	-
plus: Other Loan Proceeds	-	-	-	-	-	-	-	-	-	-	-
plus: Other Low Interest Loan Proceeds	-	-	-	-	-	-	-	-	-	-	-
plus: Interest Earnings	-	15,885	19,553	34,566	22,055	29,586	41,667	276,454	2,557	1,480	35,326
Total Funding Sources	\$ 3,207,542	\$ 5,233,431	\$ 12,139,411	\$ 6,025,250	\$ 5,470,875	\$ 6,940,099	\$ 44,532,693	\$ 29,518,113	\$ 2,734,289	\$ 3,876,672	\$ 7,784,075
less: Capital Expenditures	-	(2,510,267)	(7,046,455)	(3,709,936)	(1,621,987)	(2,773,445)	(6,849,843)	(2,173,822)	(608,117)	(344,118)	(3,939,869)
less: Transfers to SPA Funds	(30,595)	(116,059)	(1,636,316)	(109,794)	(890,280)	-	(10,037,477)	(27,088,606)	(1,978,147)	-	-
Ending Capital Fund Balance (Red = Below Target)	\$ 3,176,947	\$ 2,607,105	\$ 3,456,640	\$ 2,205,520	\$ 2,958,607	\$ 4,166,653	\$ 27,645,373	\$ 255,685	\$ 148,025	\$ 3,532,554	\$ 3,844,205
Minimum Target Balance	\$ 2,058,053	\$ 2,208,232	\$ 2,392,871	\$ 2,474,228	\$ 2,529,865	\$ 2,600,851	\$ 3,039,698	\$ 3,700,003	\$ 3,781,803	\$ 3,788,686	\$ 3,867,483
DEBT RESERVE											
Beginning Balance	\$ -	\$ -	\$ -	\$ 726,006	\$ 726,006	\$ 726,006	\$ 726,006	\$ 4,393,218	\$ 4,393,218	\$ 4,393,218	\$ 4,393,218
plus: Reserve Funding from New Debt	-	-	726,006	-	-	-	3,667,212	-	-	-	-
less: Use of Reserves for Debt Service	-	-	-	-	-	-	-	-	-	-	-
Ending Balance	\$ -	\$ -	\$ 726,006	\$ 726,006	\$ 726,006	\$ 726,006	\$ 4,393,218	\$ 4,393,218	\$ 4,393,218	\$ 4,393,218	\$ 4,393,218
Minimum Target Balance	\$ -	\$ -	\$ 726,006	\$ 726,006	\$ 726,006	\$ 726,006	\$ 4,393,218	\$ 4,393,218	\$ 4,393,218	\$ 4,393,218	\$ 4,393,218



City of Surprise

Water Utility Rate Study

Fund Activity

SPA Funds	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Water System Dev Fee Fund SPA 1 (22512)											
Beginning Balance	\$ 3,670,905	\$ 3,307,105	\$ 2,623,605	\$ 2,141,751	\$ 2,820,751	\$ 3,288,179	\$ 3,755,405	\$ 2,946,493	\$ 1,679,758	\$ 2,593,122	\$ 4,195,582
plus: System Development Fee	660,400	706,908	47,633	905,717	708,796	831,636	1,576,528	1,576,528	1,576,528	1,576,528	1,576,528
plus: Interest Earnings	47,900	16,536	19,677	21,418	28,208	32,882	37,554	29,465	16,798	25,931	41,956
Total Funding Sources	\$ 4,379,205	\$ 4,030,549	\$ 2,690,915	\$ 3,068,885	\$ 3,557,755	\$ 4,152,697	\$ 5,369,488	\$ 4,552,486	\$ 3,273,084	\$ 4,195,582	\$ 5,814,066
less: Capital Expenditures	(1,072,100)	(1,406,944)	(549,164)	(248,134)	(269,576)	(397,292)	(2,422,995)	(2,872,728)	(679,962)	-	-
Remaining Funding Sources (Deficit)	\$ 3,307,105	\$ 2,623,605	\$ 2,141,751	\$ 2,820,751	\$ 3,288,179	\$ 3,755,405	\$ 2,946,493	\$ 1,679,758	\$ 2,593,122	\$ 4,195,582	\$ 5,814,066
plus: Transfer from Capital Fund	-	-	-	-	-	-	-	-	-	-	-
less: Repayment to Capital Fund	-	-	-	-	-	-	-	-	-	-	-
Ending Balance	\$ 3,307,105	\$ 2,623,605	\$ 2,141,751	\$ 2,820,751	\$ 3,288,179	\$ 3,755,405	\$ 2,946,493	\$ 1,679,758	\$ 2,593,122	\$ 4,195,582	\$ 5,814,066
Running Balance To Op./Cap. Fund											
Beginning Fund Deficit (Owed to Capital Fund)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Change in Deficit	-	-	-	-	-	-	-	-	-	-	-
Ending Fund Deficit	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Water System Dev Fee Fund SPA 2 (22513)											
Beginning Balance	\$ 5	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
plus: System Development Fee	-	-	-	-	-	1,619,041	823,808	823,808	823,808	823,808	823,808
plus: Interest Earnings	-	-	-	-	-	-	-	-	-	-	-
Total Funding Sources	\$ 5	\$ -	\$ -	\$ -	\$ -	\$ 1,619,041	\$ 823,808	\$ 823,808	\$ 823,808	\$ 823,808	\$ 823,808
less: Capital Expenditures	(3,400)	(116,059)	(1,636,316)	-	(40,776)	(378,595)	(10,861,286)	(16,776,234)	(2,801,955)	-	-
Remaining Funding Sources (Deficit)	\$ (3,395)	\$ (116,059)	\$ (1,636,316)	\$ -	\$ (40,776)	\$ 1,240,446	\$ (10,037,477)	\$ (15,952,426)	\$ (1,978,147)	\$ 823,808	\$ 823,808
plus: Transfer from Capital Fund	3,395	116,059	1,636,316	-	40,776	-	10,037,477	15,952,426	1,978,147	-	-
less: Repayment to Capital Fund	-	-	-	-	-	(1,240,446)	-	-	-	(823,808)	(823,808)
Ending Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Running Balance To Op./Cap. Fund											
Beginning Fund Deficit (Owed to Capital Fund)	\$ 10,068	\$ 13,463	\$ 129,522	\$ 1,765,838	\$ 1,765,838	\$ 1,806,614	\$ 566,169	\$ 10,603,646	\$ 26,556,072	\$ 28,534,219	\$ 27,710,411
Change in Deficit	3,395	116,059	1,636,316	-	40,776	(1,240,446)	10,037,477	15,952,426	1,978,147	(823,808)	(823,808)
Ending Fund Deficit	\$ 13,463	\$ 129,522	\$ 1,765,838	\$ 1,765,838	\$ 1,806,614	\$ 566,169	\$ 10,603,646	\$ 26,556,072	\$ 28,534,219	\$ 27,710,411	\$ 26,886,602
Water System Dev Fee Fund SPA 3 (22514)											
Beginning Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
plus: System Development Fee	-	-	-	-	-	-	56,266	56,266	56,266	56,266	56,266
plus: Interest Earnings	-	-	-	-	-	-	-	-	-	-	-
Total Funding Sources	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 56,266	\$ 56,266	\$ 56,266	\$ 56,266	\$ 56,266
less: Capital Expenditures	(3,400)	-	-	(109,794)	(849,504)	-	-	(11,192,447)	-	-	-
Remaining Funding Sources (Deficit)	\$ (3,400)	\$ -	\$ -	\$ (109,794)	\$ (849,504)	\$ -	\$ 56,266	\$ (11,136,181)	\$ 56,266	\$ 56,266	\$ 56,266
plus: Transfer from Capital Fund	3,400	-	-	109,794	849,504	-	-	11,136,181	-	-	-
less: Repayment to Capital Fund	-	-	-	-	-	-	(56,266)	-	(56,266)	(56,266)	(56,266)
Ending Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Running Balance To Op./Cap. Fund											
Beginning Fund Deficit (Owed to Capital Fund)	\$ 1,570	\$ 4,970	\$ 4,970	\$ 4,970	\$ 114,764	\$ 964,268	\$ 964,268	\$ 908,002	\$ 12,044,183	\$ 11,987,918	\$ 11,931,652
Change in Deficit	3,400	-	-	109,794	849,504	-	(56,266)	11,136,181	(56,266)	(56,266)	(56,266)
Ending Fund Deficit	\$ 4,970	\$ 4,970	\$ 4,970	\$ 114,764	\$ 964,268	\$ 964,268	\$ 908,002	\$ 12,044,183	\$ 11,987,918	\$ 11,931,652	\$ 11,875,386



City of Surprise
Water Utility Rate Study
Fund Activity

SPA Funds	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Water System Dev Fee Fund SPA 4 (22515)											
Running Balance To Op./Cap. Fund											
Beginning Fund Deficit (Owed to Capital Fund)	\$ 1,570	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970
Change in Deficit	3,400	-	-	-	-	-	-	-	-	-	-
Ending Fund Deficit	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970
Water System Dev Fee Fund SPA 5 (22516)											
Running Balance To Op./Cap. Fund											
Beginning Fund Deficit (Owed to Capital Fund)	\$ 1,570	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970
Change in Deficit	3,400	-	-	-	-	-	-	-	-	-	-
Ending Fund Deficit	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970
Water System Dev Fee Fund SPA 6 (22517)											
Running Balance To Op./Cap. Fund											
Beginning Fund Deficit (Owed to Capital Fund)	\$ 1,570	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970
Change in Deficit	3,400	-	-	-	-	-	-	-	-	-	-
Ending Fund Deficit	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970



City of Surprise
Water Utility Rate Study
Fund Activity

SPA Funds	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Water Resources System Dev SPA 1 (22522)											
Beginning Balance	\$ 3,673,893	\$ 4,002,493	\$ 1,309,087	\$ 1,370,268	\$ 2,360,610	\$ 3,148,515	\$ 4,076,758	\$ 3,159,310	\$ 4,040,893	\$ 4,931,291	\$ 5,830,594
plus: System Development Fee	290,000	762,263	51,363	976,639	764,299	896,757	849,990	849,990	849,990	849,990	849,990
plus: Interest Earnings	42,000	20,012	9,818	13,703	23,606	31,485	40,768	31,593	40,409	49,313	58,306
Total Funding Sources	\$ 4,005,893	\$ 4,784,769	\$ 1,370,268	\$ 2,360,610	\$ 3,148,515	\$ 4,076,758	\$ 4,967,515	\$ 4,040,893	\$ 4,931,291	\$ 5,830,594	\$ 6,738,889
less: Capital Expenditures	(3,400)	(3,475,682)	-	-	-	-	(1,808,205)	-	-	-	-
Remaining Funding Sources (Deficit)	\$ 4,002,493	\$ 1,309,087	\$ 1,370,268	\$ 2,360,610	\$ 3,148,515	\$ 4,076,758	\$ 3,159,310	\$ 4,040,893	\$ 4,931,291	\$ 5,830,594	\$ 6,738,889
plus: Transfer from Capital Fund	-	-	-	-	-	-	-	-	-	-	-
less: Repayment to Capital Fund	-	-	-	-	-	-	-	-	-	-	-
Ending Balance	\$ 4,002,493	\$ 1,309,087	\$ 1,370,268	\$ 2,360,610	\$ 3,148,515	\$ 4,076,758	\$ 3,159,310	\$ 4,040,893	\$ 4,931,291	\$ 5,830,594	\$ 6,738,889
Running Balance To Op./Cap. Fund											
Beginning Fund Deficit (Owed to Capital Fund)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Change in Deficit	-	-	-	-	-	-	-	-	-	-	-
Ending Fund Deficit	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Water Resources System Dev SPA 2 (22523)											
Beginning Balance	\$ 350,469	\$ 347,069	\$ 401,474	\$ 519,529	\$ 657,888	\$ 871,399	\$ 1,214,090	\$ 1,394,588	\$ 1,576,891	\$ 1,761,017	\$ 1,946,984
plus: System Development Fee	-	52,670	115,044	133,163	206,933	333,976	168,357	168,357	168,357	168,357	168,357
plus: Interest Earnings	-	1,735	3,011	5,195	6,579	8,714	12,141	13,946	15,769	17,610	19,470
Total Funding Sources	\$ 350,469	\$ 401,474	\$ 519,529	\$ 657,888	\$ 871,399	\$ 1,214,090	\$ 1,394,588	\$ 1,576,891	\$ 1,761,017	\$ 1,946,984	\$ 2,134,811
less: Capital Expenditures	(3,400)	-	-	-	-	-	-	-	-	-	-
Remaining Funding Sources (Deficit)	\$ 347,069	\$ 401,474	\$ 519,529	\$ 657,888	\$ 871,399	\$ 1,214,090	\$ 1,394,588	\$ 1,576,891	\$ 1,761,017	\$ 1,946,984	\$ 2,134,811
plus: Transfer from Capital Fund	-	-	-	-	-	-	-	-	-	-	-
less: Repayment to Capital Fund	-	-	-	-	-	-	-	-	-	-	-
Ending Balance	\$ 347,069	\$ 401,474	\$ 519,529	\$ 657,888	\$ 871,399	\$ 1,214,090	\$ 1,394,588	\$ 1,576,891	\$ 1,761,017	\$ 1,946,984	\$ 2,134,811
Running Balance To Op./Cap. Fund											
Beginning Fund Deficit (Owed to Capital Fund)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Change in Deficit	-	-	-	-	-	-	-	-	-	-	-
Ending Fund Deficit	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Water Resources System Dev SPA 3 (22524)											
Beginning Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,489	\$ 18,052	\$ 29,732	\$ 41,528
plus: System Development Fee	-	-	-	-	-	-	11,499	11,499	11,499	11,499	11,499
plus: Interest Earnings	-	-	-	-	-	-	-	65	181	297	415
Total Funding Sources	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 11,499	\$ 18,052	\$ 29,732	\$ 41,528	\$ 53,442
less: Capital Expenditures	(3,400)	-	-	-	-	-	-	-	-	-	-
Remaining Funding Sources (Deficit)	\$ (3,400)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 11,499	\$ 18,052	\$ 29,732	\$ 41,528	\$ 53,442
plus: Transfer from Capital Fund	3,400	-	-	-	-	-	-	-	-	-	-
less: Repayment to Capital Fund	-	-	-	-	-	-	(5,010)	-	-	-	-
Ending Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,489	\$ 18,052	\$ 29,732	\$ 41,528	\$ 53,442
Running Balance To Op./Cap. Fund											
Beginning Fund Deficit (Owed to Capital Fund)	\$ 1,610	\$ 5,010	\$ 5,010	\$ 5,010	\$ 5,010	\$ 5,010	\$ 5,010	\$ -	\$ -	\$ -	\$ -
Change in Deficit	3,400	-	-	-	-	-	(5,010)	-	-	-	-
Ending Fund Deficit	\$ 5,010	\$ 5,010	\$ 5,010	\$ 5,010	\$ 5,010	\$ 5,010	\$ -	\$ -	\$ -	\$ -	\$ -



City of Surprise
Water Utility Rate Study
Fund Activity

SPA Funds	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Water Resources System Dev SPA 4 (22525)											
Running Balance To Op./Cap. Fund											
Beginning Fund Deficit (Owed to Capital Fund)	\$ 1,611	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011
Change in Deficit	3,400	-	-	-	-	-	-	-	-	-	-
Ending Fund Deficit	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011
Water Resources System Dev SPA 5 (22526)											
Running Balance To Op./Cap. Fund											
Beginning Fund Deficit (Owed to Capital Fund)	\$ 1,611	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011
Change in Deficit	3,400	-	-	-	-	-	-	-	-	-	-
Ending Fund Deficit	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011	\$ 5,011
Water Resources System Dev SPA 6 (22527)											
Running Balance To Op./Cap. Fund											
Beginning Fund Deficit (Owed to Capital Fund)	\$ 1,363	\$ 4,763	\$ 4,763	\$ 4,763	\$ 4,763	\$ 4,763	\$ 4,763	\$ 4,763	\$ 4,763	\$ 4,763	\$ 4,763
Change in Deficit	3,400	-	-	-	-	-	-	-	-	-	-
Ending Fund Deficit	\$ 4,763	\$ 4,763	\$ 4,763	\$ 4,763	\$ 4,763	\$ 4,763	\$ 4,763	\$ 4,763	\$ 4,763	\$ 4,763	\$ 4,763

TECHNICAL APPENDIX: WASTEWATER FUND



City of Surprise

Wastewater Utility Rate Study

Summary

Revenue Requirement	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Revenues											
Rate Revenues Under Existing Rates	\$ 15,785,300	\$ 16,156,517	\$ 16,763,361	\$ 17,558,429	\$ 18,438,893	\$ 19,342,363	\$ 20,053,775	\$ 20,765,188	\$ 21,476,600	\$ 22,188,013	\$ 22,899,425
Non-Rate Revenues	261,600	8,581	13,944	19,502	20,090	20,622	21,313	22,173	23,222	24,413	25,158
Total Revenues	\$ 16,046,900	\$ 16,165,098	\$ 16,777,305	\$ 17,577,930	\$ 18,458,983	\$ 19,362,985	\$ 20,075,088	\$ 20,787,360	\$ 21,499,822	\$ 22,212,425	\$ 22,924,583
Expenses											
Cash Operating Expenses	\$ 10,440,300	\$ 11,309,905	\$ 11,863,534	\$ 12,221,461	\$ 12,545,294	\$ 12,965,508	\$ 13,488,322	\$ 14,126,542	\$ 14,851,001	\$ 15,304,700	\$ 15,966,778
Existing Debt Service	-	-	-	-	-	-	-	-	-	-	-
New Debt Service	-	-	-	-	-	-	-	-	-	-	-
Rate Funded System Reinvestment	4,600,000	4,600,000	4,800,000	5,200,000	5,800,000	6,300,000	6,500,000	6,500,000	6,500,000	6,800,000	6,800,000
Total Expenses	\$ 15,040,300	\$ 15,909,905	\$ 16,663,534	\$ 17,421,461	\$ 18,345,294	\$ 19,265,508	\$ 19,988,322	\$ 20,626,542	\$ 21,351,001	\$ 22,104,700	\$ 22,766,778
Net Surplus (Deficiency)	\$ 1,006,600	\$ 255,193	\$ 113,771	\$ 156,469	\$ 113,689	\$ 97,476	\$ 86,766	\$ 160,818	\$ 148,820	\$ 107,726	\$ 157,806
Additions to Meet Coverage	-	-	-	-	-	-	-	-	-	-	-
Total Surplus (Deficiency)	\$ 1,006,600	\$ 255,193	\$ 113,771	\$ 156,469	\$ 113,689	\$ 97,476	\$ 86,766	\$ 160,818	\$ 148,820	\$ 107,726	\$ 157,806
% of Rate Revenue	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Annual Rate Adjustment	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Cumulative Annual Rate Adjustment	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Rate Revenues After Rate Increase	\$ 15,785,300	\$ 16,156,517	\$ 16,763,361	\$ 17,558,429	\$ 18,438,893	\$ 19,342,363	\$ 20,053,775	\$ 20,765,188	\$ 21,476,600	\$ 22,188,013	\$ 22,899,425
Additional Taxes from Rate Increase	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Net Cash Flow After Rate Increase	1,006,600	255,193	113,771	156,469	113,689	97,476	86,766	160,818	148,820	107,726	157,806
Coverage After Rate Increases	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Sample Residential Monthly Bill	\$ 24.78	\$ 24.78	\$ 24.78	\$ 24.78	\$ 24.78	\$ 24.78	\$ 24.78	\$ 24.78	\$ 24.78	\$ 24.78	\$ 24.78
Monthly Average Increase (\$)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -



City of Surprise

Wastewater Utility Rate Study

Summary

Fund Balance	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
SEWER OPERATIONS FUND											
Beginning Balance	\$ 19,097,517	\$ 1,716,214	\$ 1,859,162	\$ 1,950,170	\$ 2,009,007	\$ 2,062,240	\$ 2,131,316	\$ 2,217,258	\$ 2,322,171	\$ 2,441,260	\$ 2,515,841
plus: Net Cash Flow after Rate Increase	1,006,600	255,193	113,771	156,469	113,689	97,476	86,766	160,818	148,820	107,726	157,806
less: Transfer of Surplus to Capital Fund	(18,387,903)	(112,244)	(22,764)	(97,632)	(60,456)	(28,400)	(824)	(55,905)	(29,731)	(33,145)	(48,971)
Ending Balance	\$ 1,716,214	\$ 1,859,162	\$ 1,950,170	\$ 2,009,007	\$ 2,062,240	\$ 2,131,316	\$ 2,217,258	\$ 2,322,171	\$ 2,441,260	\$ 2,515,841	\$ 2,624,676
Target Balance	\$ 1,716,214	\$ 1,859,162	\$ 1,950,170	\$ 2,009,007	\$ 2,062,240	\$ 2,131,316	\$ 2,217,258	\$ 2,322,171	\$ 2,441,260	\$ 2,515,841	\$ 2,624,676
Days	60	60	60	60	60	60	60	60	60	60	60
OPERATIONS FUND - CAPITAL PORTION											
Beginning Balance	\$ -	\$ 16,409,159	\$ 12,519,463	\$ 8,798,164	\$ 6,105,852	\$ 15,593,405	\$ 19,971,747	\$ 27,472,369	\$ 31,201,632	\$ 16,271,382	\$ 27,979,109
plus: Rate Funded System Reinvestment	4,600,000	4,600,000	4,800,000	5,200,000	5,800,000	6,300,000	6,500,000	6,500,000	6,500,000	6,800,000	6,800,000
plus: Transfers from Operating Fund	18,387,903	112,244	22,764	97,632	60,456	28,400	824	55,905	29,731	33,145	48,971
plus: Grants/ Donations/ CIAC	-	-	-	-	-	-	-	-	-	-	-
plus: Additional Proceeds (Costs)	-	-	-	-	-	-	-	-	-	-	-
plus: Transfers from SPA Funds for Deficit Repayment	-	-	1,932,166	-	4,102,247	-	2,752,942	1,251,501	-	5,230,958	5,230,958
plus: Net Debt Proceeds Available for Projects	-	-	-	-	-	-	-	-	-	-	-
plus: Interest Earnings	-	82,046	93,896	87,982	61,059	155,934	199,717	274,724	312,016	162,714	279,791
Total Funding Sources	\$ 22,987,903	\$ 21,203,450	\$ 19,368,288	\$ 14,183,777	\$ 16,129,614	\$ 22,077,739	\$ 29,425,231	\$ 35,554,499	\$ 38,043,380	\$ 28,498,199	\$ 40,338,829
less: Capital Expenditures	(397,800)	(5,789,226)	(10,570,125)	(5,437,124)	(523,748)	(1,798,796)	(1,952,862)	(4,352,867)	(5,919,901)	(519,090)	(235,122)
less: Transfers to SPA Funds	(6,180,943)	(2,894,761)	-	(2,640,801)	(12,461)	(307,196)	-	-	(15,852,097)	-	-
Ending Working Capital Balance	\$ 16,409,159	\$ 12,519,463	\$ 8,798,164	\$ 6,105,852	\$ 15,593,405	\$ 19,971,747	\$ 27,472,369	\$ 31,201,632	\$ 16,271,382	\$ 27,979,109	\$ 40,103,707
Minimum Target Balance	\$ 5,170,183	\$ 5,345,802	\$ 5,563,590	\$ 5,672,333	\$ 5,698,778	\$ 5,819,938	\$ 5,866,228	\$ 5,990,594	\$ 6,227,023	\$ 6,237,405	\$ 6,242,107
COMBINED BEGINNING BALANCE	\$ 19,097,517	\$ 18,125,373	\$ 14,378,625	\$ 10,748,334	\$ 8,114,859	\$ 17,655,645	\$ 22,103,064	\$ 29,689,628	\$ 33,523,803	\$ 18,712,643	\$ 30,494,951
COMBINED ENDING BALANCE	\$ 18,125,373	\$ 14,378,625	\$ 10,748,334	\$ 8,114,859	\$ 17,655,645	\$ 22,103,064	\$ 29,689,628	\$ 33,523,803	\$ 18,712,643	\$ 30,494,951	\$ 42,728,383
DEBT RESERVE											
New Debt											
Beginning Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Ending Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2003 MPC Reserve											
Beginning Balance	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230
Ending Balance	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230
2007 MPC Reserve											
Beginning Balance	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810
Ending Balance	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810
REPAIR AND REPLACEMENT FUND (2007 MPC)											
Beginning Balance	\$ 5,317,196	\$ 5,330,489	\$ 5,357,141	\$ 5,563,590	\$ 5,672,333	\$ 5,729,056	\$ 5,819,938	\$ 5,878,138	\$ 5,990,594	\$ 6,227,023	\$ 6,289,293
Ending Balance	\$ 5,330,489	\$ 5,357,141	\$ 5,563,590	\$ 5,672,333	\$ 5,729,056	\$ 5,819,938	\$ 5,878,138	\$ 5,990,594	\$ 6,227,023	\$ 6,289,293	\$ 6,352,186



City of Surprise

Wastewater Utility Rate Study

Summary

SPA Funds	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Sewer System Dev Fee SPA 1											
Beginning Balance	\$ 7,816	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Ending Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Amount Owed to Capital Fund	\$ 25,100,783	\$ 27,995,544	\$ 26,063,378	\$ 28,704,179	\$ 24,601,932	\$ 24,909,128	\$ 22,156,186	\$ 20,904,685	\$ 30,855,227	\$ 25,624,269	\$ 20,393,311
Sewer System Dev Fee SPA 2											
Beginning Balance	\$ 317,517	\$ 316,917	\$ 318,502	\$ 320,890	\$ 324,099	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Ending Balance	\$ 316,917	\$ 318,502	\$ 320,890	\$ 324,099	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Amount Owed to Capital Fund	\$ -	\$ -	\$ -	\$ -	\$ 12,461	\$ 12,461	\$ 12,461	\$ 12,461	\$ 5,914,016	\$ 5,914,016	\$ 5,914,016
Sewer System Dev Fee SPA 3											
Beginning Balance	\$ 49,407	\$ 46,607	\$ 46,840	\$ 47,192	\$ 47,664	\$ 48,140	\$ 48,622	\$ 49,108	\$ 49,599	\$ 50,095	\$ 50,596
Ending Balance	\$ 46,607	\$ 46,840	\$ 47,192	\$ 47,664	\$ 48,140	\$ 48,622	\$ 49,108	\$ 49,599	\$ 50,095	\$ 50,596	\$ 51,102
Amount Owed to Capital Fund	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Sewer System Dev Fee SPA 4											
Beginning Balance	\$ 1,393	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Ending Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Amount Owed to Capital Fund	\$ 2,007	\$ 2,007	\$ 2,007	\$ 2,007	\$ 2,007	\$ 2,007	\$ 2,007	\$ 2,007	\$ 2,007	\$ 2,007	\$ 2,007
Sewer System Dev Fee SPA 5											
Beginning Balance	\$ 1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Ending Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Amount Owed to Capital Fund	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970
Sewer System Dev Fee SPA 6											
Beginning Balance	\$ 1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Ending Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Amount Owed to Capital Fund	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970



City of Surprise Wastewater Utility Rate Study Assumptions

July 2013 - June 2014

Economic & Financial Factors		FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
1	General Cost Inflation	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%
2	Construction Cost Inflation	3.16%	3.16%	3.16%	3.16%	3.16%	3.16%	3.16%	3.16%	3.16%	3.16%	3.16%
3	Labor Cost Inflation	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%
4	Benefit Cost Inflation	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%
5	Customer Growth	1.37%	2.35%	3.76%	4.74%	5.01%	4.90%	3.68%	3.55%	3.43%	3.31%	3.21%
6	General Inflation plus Growth	4.42%	5.42%	6.87%	7.89%	8.16%	8.05%	6.79%	6.65%	6.53%	6.41%	6.30%
7	No Escalation	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
8	PILOT	5.30%	-4.24%	-2.50%	1.98%	4.07%	1.95%	0.47%	2.13%	0.80%	2.12%	3.95%
9	Franchise In Lieu Payment	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%
10	Fuel Cost Escalation	4.75%	4.75%	4.75%	4.75%	4.75%	4.75%	4.75%	4.75%	4.75%	4.75%	4.75%
11	[Extra]	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
	Fund Earnings	0.25%	0.50%	0.75%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%

Accounting Assumptions		FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
FISCAL POLICY RESTRICTIONS												
Min. Op. Fund Balance (days of O&M expense)		60	60	60	60	60	60	60	60	60	60	60
Max. Op. Fund Balance (days of O&M expense)		60	60	60	60	60	60	60	60	60	60	60
Minimum Capital Fund Balance Target												
Select Minimum Capital Fund Balance Target	1	Defined as % of Plant										
1 - Defined as % of Plant												
Plant-in-Service in 2013	\$ 258,065,943											
Minimum Capital Fund Balance - % of plant assets		2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%
2 - Amount at Right ==>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
RATE FUNDED SYSTEM REINVESTMENT												
Select Reinvestment Funding Strategy	3	User Input										
Amount of Annual Cash Funding from Rates												
1 - Equal to Annual Depreciation Expense		\$ 7,096,453	\$ 7,262,086	\$ 7,479,874	\$ 7,588,617	\$ 7,615,062	\$ 7,732,717	\$ 7,779,007	\$ 7,903,372	\$ 8,139,801	\$ 8,150,183	\$ 8,154,886
2 - Equal to Depreciation less Annual Debt Principal Payments		7,096,453	7,262,086	7,479,874	7,588,617	7,615,062	7,732,717	7,779,007	7,903,372	8,139,801	8,150,183	8,154,886
3 - Equal to Amount at Right ==>		4,600,000	4,600,000	4,800,000	5,200,000	5,800,000	6,300,000	6,500,000	6,500,000	6,500,000	6,800,000	6,800,000
4 - Do Not Fund Depreciation		1,006,600	255,193	113,771	156,469	113,689	97,476	86,766	160,818	148,820	107,726	157,806



City of Surprise Wastewater Utility Rate Study Assumptions

July 2013 - June 2014

Capital Financing Assumptions		FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
System Development Fee (SDF)												
SDF in SPA 1	\$ 3,853	\$ 3,853	\$ 3,853	\$ 3,853	\$ 3,853	\$ 3,853	\$ 3,853	\$ 3,853	\$ 3,853	\$ 3,853	\$ 3,853	\$ 3,853
SDF in SPA 2	3,039	3,039	3,039	3,039	3,039	3,039	3,039	3,039	3,039	3,039	3,039	3,039
SDF in SPA 3	3,039	3,039	3,039	3,039	3,039	3,039	3,039	3,039	3,039	3,039	3,039	3,039
SDF in SPA 4	3,039	3,039	3,039	3,039	3,039	3,039	3,039	3,039	3,039	3,039	3,039	3,039
SDF in SPA 5	3,039	3,039	3,039	3,039	3,039	3,039	3,039	3,039	3,039	3,039	3,039	3,039
SDF in SPA 6	3,039	3,039	3,039	3,039	3,039	3,039	3,039	3,039	3,039	3,039	3,039	3,039
Special Planning Area (SPA) 1												
Total Residential Customer Equivalents (Estimate)	43,894	44,861	46,386	48,329	50,379	52,271	53,947	55,623	57,298	58,974	60,649	
Additional Equivalent Units Per Year	611	967	1,525	1,943	2,049	1,893	1,676	1,676	1,676	1,676	1,676	
SPA 1 SDF Revenue	\$ 2,352,700	\$ 3,727,094	\$ 5,877,060	\$ 7,486,664	\$ 7,896,324	\$ 7,292,648	\$ 6,455,958	\$ 6,455,958	\$ 6,455,958	\$ 6,455,958	\$ 6,455,958	
Special Planning Area (SPA) 2												
Total Residential Customer Equivalents (Estimate)	780	846	990	1,157	1,417	1,837	2,049	2,260	2,472	2,683	2,895	
Additional Equivalent Units Per Year	11	66	145	167	260	420	212	212	212	212	212	
SPA 2 SDF Revenue	\$ -	\$ 201,086	\$ 439,219	\$ 508,394	\$ 790,036	\$ 1,275,068	\$ 642,761	\$ 642,761	\$ 642,761	\$ 642,761	\$ 642,761	
SPA 2 SDF Revenue to City	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Special Planning Area (SPA) 3												
Total Residential Customer Equivalents (Estimate)	-	32	103	273	490	770	924	1,078	1,231	1,385	1,539	
Additional Equivalent Units Per Year	-	32	71	171	217	280	154	154	154	154	154	
SPA 3 SDF Revenue	\$ -	\$ 95,755	\$ 216,231	\$ 518,562	\$ 658,363	\$ 850,045	\$ 467,791	\$ 467,791	\$ 467,791	\$ 467,791	\$ 467,791	
SPA 3 SDF Revenue to City	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Special Planning Area (SPA) 4												
Total Residential Customer Equivalents (Estimate)	-	-	-	-	-	-	-	-	-	-	-	
Additional Equivalent Units Per Year	-	-	-	-	-	-	-	-	-	-	-	
SPA 4 SDF Revenue	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Special Planning Area (SPA) 5												
Total Residential Customer Equivalents (Estimate)	-	-	-	-	-	-	-	-	-	-	-	
Additional Equivalent Units Per Year	-	-	-	-	-	-	-	-	-	-	-	
SPA 5 SDF Revenue	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Special Planning Area (SPA) 6												
Total Residential Customer Equivalents (Estimate)	-	-	-	-	-	-	-	-	-	-	-	
Additional Equivalent Units Per Year	-	-	-	-	-	-	-	-	-	-	-	
SPA 6 SDF Revenue	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Total System Development Fee Revenue to City	\$ 2,352,700	\$ 3,727,094	\$ 5,877,060	\$ 7,486,664	\$ 7,896,324	\$ 7,292,648	\$ 6,455,958	\$ 6,455,958	\$ 6,455,958	\$ 6,455,958	\$ 6,455,958	



City of Surprise Wastewater Utility Rate Study Assumptions

July 2013 - June 2014

Capital Financing Assumptions (Cont'd)			FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
FUNDING SOURCES													
Grants			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Additional Proceeds (Costs)													
[Extra line]			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
[Extra line]			-	-	-	-	-	-	-	-	-	-	-
[Extra line]			-	-	-	-	-	-	-	-	-	-	-
[Extra line]			-	-	-	-	-	-	-	-	-	-	-
[Extra line]			-	-	-	-	-	-	-	-	-	-	-
Total Additional Proceeds			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
REVENUE BONDS													
Term (years)			20	20	20	20	20	20	20	20	20	20	20
Interest Cost			5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%
Issuance Cost			1.50%	1.50%	1.50%	1.50%	1.50%	1.50%	1.50%	1.50%	1.50%	1.50%	1.50%
Revenue Bond Coverage Requirement			1.20										
MPC 2007 Bond Coverage Requirement			1.00										
OTHER LOANS													
Term (years)			20	20	20	20	20	20	20	20	20	20	20
Interest Cost			1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%
OTHER LOW COST LOANS													
Term (years)			20	20	20	20	20	20	20	20	20	20	20
Interest Cost			0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%
Issuance Cost			0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

Other Assumptions												
	FY2011	FY2012	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022
Customer Growth												
Projected Rate Revenue	15,613,000 15,713,500 15,887,600 16,172,400 16,545,400 16,958,500											
Projected New Equivalent Dwelling Units (EDUs)												
SPA 1			614	967	1,525	1,943	2,049	1,893				
SPA 2			-	66	145	167	260	420				
SPA 3			-	32	71	171	217	280				
Total New EDUs			614	1,065	1,741	2,281	2,526	2,592				
Cumulative EDUs	44,673		45,287	46,352	48,093	50,374	52,900	55,492				
EDU Growth Rate			1.37%	2.35%	3.76%	4.74%	5.01%	4.90%				
Projected New Equivalent Dwelling Units (EDUs)	100.00%	of Original Growth Projections							Based on previous 5 year average growth projections			
SPA 1			614	967	1,525	1,943	2,049	1,893	1,676	1,676	1,676	1,676
SPA 2			-	66	145	167	260	420	212	212	212	212
SPA 3			-	32	71	171	217	280	154	154	154	154
Total New EDUs			614	1,065	1,741	2,281	2,526	2,592	2,041	2,041	2,041	2,041
Cumulative EDUs	44,673		45,287	46,352	48,093	50,374	52,900	55,492	57,533	59,574	61,615	63,656
EDU Growth Rate			1.37%	2.35%	3.76%	4.74%	5.01%	4.90%	3.68%	3.55%	3.43%	3.31%
PILOT Tax Components												
Capital Assets	256,121,873	262,493,122	258,065,943	258,509,143	267,290,111	278,179,517	283,616,641	284,938,923	290,996,917	293,311,420	299,529,694	311,351,150
ARS Assessed Valuation Annual Year Percentage	20.00%		19.50%	19.00%	18.50%	18.00%	18.00%	18.00%	18.00%	18.00%	18.00%	18.00%
ARS Assessed Valuation Fiscal Year Percentage			19.75%	19.25%	18.75%	18.25%	18.00%	18.00%	18.00%	18.00%	18.00%	18.00%
Primary Property Tax Rate			0.7383%	0.7783%	0.7783%	0.7783%	0.7783%	0.7783%	0.7783%	0.7783%	0.7783%	0.7783%
Payment in Lieu of Taxes			\$ 373,462	\$ 393,274	\$ 376,599	\$ 367,186	\$ 374,457	\$ 389,713	\$ 397,330	\$ 399,182	\$ 407,669	\$ 410,912
												\$ 419,623
												\$ 436,184



City of Surprise

Wastewater Utility Rate Study

Operating Revenue and Expenditure Forecast

			Actual	Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	
Revenues			FORECAST BASIS	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024	
Rate Revenues																
31434	Service/Collection Fees	5	Customer Growth	\$ 15,677,893	\$ 15,713,500	\$ 16,083,029	\$ 16,687,113	\$ 17,478,563	\$ 18,355,023	\$ 19,254,383	\$ 19,962,560	\$ 20,670,736	\$ 21,378,913	\$ 22,087,090	\$ 22,795,266	
31434	Service/Collection Fees - Onsite Improvements	5	Customer Growth	76,289	71,800	73,488	76,249	79,865	83,870	87,979	91,215	94,451	97,687	100,923	104,159	
31434	Service/Collection Fees - Hydro-Vac Truck Reimb. [Extra]	5 7	Customer Growth No Escalation	45,850 -	- -	- -	- -	- -	- -	- -	- -	- -	- -	- -	- -	
Total Rate Revenue				\$ 15,800,032	\$ 15,785,300	\$ 16,156,517	\$ 16,763,361	\$ 17,558,429	\$ 18,438,893	\$ 19,342,363	\$ 20,053,775	\$ 20,765,188	\$ 21,476,600	\$ 22,188,013	\$ 22,899,425	
Non-Rate Revenues																
31813	Interest Income	7	No Escalation	\$ 287,519	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
31543	Federal Grant Revenue - SPA 1	7	No Escalation	157,979	-	-	-	-	-	-	-	-	-	-	-	
31432	Line Extension Fees	7	No Escalation	52,312	-	-	-	-	-	-	-	-	-	-	-	
31461	Revenue Recovery-Utility Bill	7	No Escalation	1,066	-	-	-	-	-	-	-	-	-	-	-	
31816	Unrealized Gain/Loss	7	No Escalation	(207,965)	-	-	-	-	-	-	-	-	-	-	-	
	[Extra]	7	No Escalation	-	-	-	-	-	-	-	-	-	-	-	-	
Total Non-Rate Revenues				\$ 290,911	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
TOTAL REVENUES				\$ 16,090,943	\$ 15,785,300	\$ 16,156,517	\$ 16,763,361	\$ 17,558,429	\$ 18,438,893	\$ 19,342,363	\$ 20,053,775	\$ 20,765,188	\$ 21,476,600	\$ 22,188,013	\$ 22,899,425	

Expenses			FORECAST BASIS	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
242	Community Development														
213	Economic Development														
Contractual Services															
38994	Developer Reimbursement - Surprise Point	1	General Cost Inflation	6,523	10,000	10,000	10,300	10,609	10,927	11,255	11,593	11,941	12,299	12,668	13,048
38994	Developer Reimbursement - Prasada	1	General Cost Inflation	228,296	241,000	241,000	248,230	255,677	263,347	271,248	279,385	287,767	296,400	305,292	314,450
643	Sewer														
111	Administration														
Personnel/Salaries															
36111	Full Time Salaries	3	Labor Cost Inflation	150,130	172,500	172,529	177,705	183,036	188,527	194,183	200,008	206,009	212,189	218,555	225,111
36115	Holidays	4	Benefit Cost Inflation	5,137	-	-	-	-	-	-	-	-	-	-	-
36116	Paid Time Off	4	Benefit Cost Inflation	43,604	-	-	-	-	-	-	-	-	-	-	-
36151	Stipend	4	Benefit Cost Inflation	980	1,300	1,260	1,298	1,337	1,377	1,418	1,461	1,505	1,550	1,596	1,644
36211	FICA	4	Benefit Cost Inflation	16,790	12,200	12,228	12,595	12,973	13,362	13,763	14,176	14,601	15,039	15,490	15,955
36231	Medical	4	Benefit Cost Inflation	27,946	16,400	16,442	16,935	17,443	17,967	18,506	19,061	19,633	20,222	20,828	21,453
36232	Dental	4	Benefit Cost Inflation	1,884	1,100	1,142	1,176	1,212	1,248	1,285	1,324	1,364	1,405	1,447	1,490
36233	Vision	4	Benefit Cost Inflation	334	200	240	247	255	262	270	278	287	295	304	313
36234	Life	4	Benefit Cost Inflation	995	800	800	824	849	874	900	927	955	984	1,013	1,044
36252	AZ State Retirement	4	Benefit Cost Inflation	24,914	20,100	19,910	20,507	21,123	21,756	22,409	23,081	23,774	24,487	25,221	25,978
36255	Post Emp. Health Plan	4	Benefit Cost Inflation	3,301	-	-	-	-	-	-	-	-	-	-	-
36278	Workers Compensation	4	Benefit Cost Inflation	1,663	300	400	412	424	437	450	464	478	492	507	522
Commodities/Supplies															
37111	Office Supplies	1	General Cost Inflation	1,187	2,200	2,200	2,266	2,334	2,404	2,476	2,550	2,627	2,706	2,787	2,871
37112	Computer Supplies	1	General Cost Inflation	548	1,800	1,800	1,854	1,910	1,967	2,026	2,087	2,149	2,214	2,280	2,349
37114	Books & Subscriptions	1	General Cost Inflation	368	100	100	103	106	109	113	116	119	123	127	130
37131	Clothing & Uniforms	1	General Cost Inflation	89	-	-	-	-	-	-	-	-	-	-	-
37162	Furn, Fixture, & Office Equip <\$10,000	1	General Cost Inflation	-	200	200	206	212	219	225	232	239	246	253	261
37165	Mach. & Equipment <\$10,000	1	General Cost Inflation	18,453	-	-	-	-	-	-	-	-	-	-	-
37192	Awards & Recognition	1	General Cost Inflation	284	-	-	-	-	-	-	-	-	-	-	-
37193	Safety & First Aid Supplies	1	General Cost Inflation	-	100	100	103	106	109	113	116	119	123	127	130
37196	Meeting Supplies	1	General Cost Inflation	228	100	100	103	106	109	113	116	119	123	127	130
37211	Gas & Fuel	1	General Cost Inflation	340	400	400	412	424	437	450	464	478	492	507	522
37212	Auto Parts & Lubricants	1	General Cost Inflation	42	700	700	721	743	765	788	811	836	861	887	913
Contractual Services															
38131	Collection Fees Delinq. Accounts	1	General Cost Inflation	10	500	500	515	530	546	563	580	597	615	633	652
38199	Other Prof/Tech Services	1	General Cost Inflation	61,642	115,000	40,000	41,200	42,436	43,709	45,020	46,371	47,762	49,195	50,671	52,191
38217	Postage & Mailings	1	General Cost Inflation	80	100	100	103	106	109	113	116	119	123	127	130
38331	Vehicle Maintenance	1	General Cost Inflation	14	400	400	412	424	437	450	464	478	492	507	522
38412	Utility Billing Service Contract	1	General Cost Inflation	530,560	520,000	520,000	535,600	551,668	568,218	585,265	602,823	620,907	639,534	658,720	678,482
38521	Equipment Rental	1	General Cost Inflation	2,129	4,500	4,500	4,635	4,774	4,917	5,065	5,217	5,373	5,534	5,700	5,871
38912	Risk Insurance Premium	1	General Cost Inflation	419,800	419,800	419,800	432,394	445,366	458,727	472,489	486,663	501,263	516,301	531,790	547,744
38922	Travel & Training	1	General Cost Inflation	616	3,000	3,000	3,090	3,183	3,278	3,377	3,478	3,582	3,690	3,800	3,914
38924	Dues & Memberships	1	General Cost Inflation	360	600	600	618	637	656	675	696	716	738	760	783
38931	Advertising	1	General Cost Inflation	200	-	-	-	-	-	-	-	-	-	-	-
Capital															
39999	Contingency	1	General Cost Inflation	-	-	-	-	-	-	-	-	-	-	-	-
112	General Operation														
Transfers Out															
34012	Franchise in lieu payment		Calculated	315,472	314,300	314,300	333,742	349,571	367,100	385,088	399,251	413,415	427,578	441,742	455,905



City of Surprise

Wastewater Utility Rate Study

Operating Revenue and Expenditure Forecast

			Actual	Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection
Expenses		FORECAST BASIS	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024	
34022	Indirect costs - general fund personnel	1	General Cost Inflation	1,349,000	1,552,400	1,552,400	1,598,972	1,646,941	1,696,349	1,747,240	1,799,657	1,853,647	1,909,256	1,966,534	2,025,530
34032	Payments in lieu of property tax (PILOT)	Calculated	364,654	388,200	388,200	367,186	374,457	389,713	397,330	399,182	407,669	410,912	419,623	436,184	
Personnel/Salaries															
36111	Full Time Salaries	3	Labor Cost Inflation	1,072,056	1,286,400	1,293,844	1,332,659	1,372,639	1,413,818	1,456,233	1,499,920	1,544,917	1,591,265	1,639,003	1,688,173
36112	Part Time Employees	3	Labor Cost Inflation	4,414	-	-	-	-	-	-	-	-	-	-	-
36113	Overtime Compensation	3	Labor Cost Inflation	38,473	26,000	6,000	6,180	6,365	6,556	6,753	6,956	7,164	7,379	7,601	7,829
36115	Holidays	4	Benefit Cost Inflation	48,881	-	-	-	-	-	-	-	-	-	-	-
36116	Paid Time Off	4	Benefit Cost Inflation	114,765	-	-	-	-	-	-	-	-	-	-	-
36151	Stipend	4	Benefit Cost Inflation	8,249	7,700	8,880	9,146	9,421	9,703	9,995	10,294	10,603	10,921	11,249	11,586
36211	FICA	4	Benefit Cost Inflation	92,862	100,700	98,671	101,631	104,680	107,820	111,055	114,387	117,818	121,353	124,993	128,743
36231	Medical	4	Benefit Cost Inflation	156,695	216,900	159,053	163,825	168,739	173,802	179,016	184,386	189,918	195,615	201,484	207,528
36232	Dental	4	Benefit Cost Inflation	10,264	13,300	9,904	10,201	10,507	10,822	11,147	11,481	11,826	12,181	12,546	12,922
36233	Vision	4	Benefit Cost Inflation	1,643	2,000	1,536	1,582	1,630	1,678	1,729	1,781	1,834	1,889	1,946	2,004
36234	Life	4	Benefit Cost Inflation	4,953	5,700	5,700	5,871	6,047	6,229	6,415	6,608	6,806	7,010	7,221	7,437
36252	AZ State Retirement	4	Benefit Cost Inflation	136,912	152,400	149,310	153,789	158,403	163,155	168,050	173,091	178,284	183,632	189,141	194,816
36278	Workers Compensation	4	Benefit Cost Inflation	28,588	33,100	43,945	45,263	46,621	48,020	49,460	50,944	52,473	54,047	55,668	57,338
Commodities/Supplies															
37111	Office Supplies	1	General Cost Inflation	2,468	2,300	2,300	2,369	2,440	2,513	2,589	2,666	2,746	2,829	2,914	3,001
37112	Computer Supplies	1	General Cost Inflation	1,587	1,500	3,000	3,090	3,183	3,278	3,377	3,478	3,582	3,690	3,800	3,914
37114	Books & Subscriptions	1	General Cost Inflation	1,800	1,800	1,800	1,854	1,910	1,967	2,026	2,087	2,149	2,214	2,280	2,349
37131	Clothing & Uniforms	1	General Cost Inflation	1,315	1,700	1,700	1,751	1,804	1,858	1,913	1,971	2,030	2,091	2,154	2,218
37142	Phone Supplies	1	General Cost Inflation	245	300	300	309	318	328	338	348	358	369	380	391
37161	Operational Supplies	1	General Cost Inflation	114,664	163,200	181,800	187,254	192,872	198,658	204,618	210,756	217,079	223,591	230,299	237,208
37162	Furn, Fixture, & Office Equip <\$10,000	1	General Cost Inflation	19,960	13,200	15,700	16,171	16,656	17,156	17,670	18,201	18,747	19,309	19,888	20,485
37164	Signs and Sign Supplies	1	General Cost Inflation	1,800	1,100	1,100	1,133	1,167	1,202	1,238	1,275	1,313	1,353	1,393	1,435
37165	Mach. & Equipment <\$10,000	1	General Cost Inflation	293,367	376,700	447,500	460,925	474,753	488,995	503,665	518,775	534,338	550,369	566,880	583,886
37192	Awards & Recognition	1	General Cost Inflation	85	600	600	618	637	656	675	696	716	738	760	783
37193	Safety & First Aid Supplies	1	General Cost Inflation	6,948	13,800	18,820	19,385	19,966	20,565	21,182	21,818	22,472	23,146	23,841	24,556
37196	Meeting Supplies	1	General Cost Inflation	137	-	-	-	-	-	-	-	-	-	-	-
37211	Gas & Fuel	10	Fuel Cost Escalation	18,954	21,900	21,900	22,940	24,029	25,170	26,366	27,618	28,929	30,303	31,742	33,249
37212	Auto Parts & Lubricants	1	General Cost Inflation	5,471	12,100	11,400	11,742	12,094	12,457	12,831	13,216	13,612	14,021	14,441	14,874
37221	Repair and Maintenance	1	General Cost Inflation	361	2,600	2,600	2,678	2,758	2,841	2,926	3,014	3,105	3,198	3,294	3,392
37222	Cleaning/Janitorial	1	General Cost Inflation	133	1,600	1,600	1,648	1,697	1,748	1,801	1,855	1,910	1,968	2,027	2,088
37223	Agricultural/ Grounds Supplies	1	General Cost Inflation	12,158	44,000	44,000	45,320	46,680	48,080	49,522	51,008	52,538	54,114	55,738	57,410
37232	Chemical Supplies	1	General Cost Inflation	605,919	551,000	835,900	888,977	915,646	943,116	971,409	1,000,551	1,030,568	1,061,485	1,093,330	1,126,129
37233	Street Repair Supplies	1	General Cost Inflation	2,436	2,400	2,400	2,472	2,546	2,623	2,701	2,782	2,866	2,952	3,040	3,131
Contractual Services															
38126	Service Agreements	1	General Cost Inflation	22,801	43,900	43,900	45,217	46,574	47,971	49,410	50,892	52,419	53,991	55,611	57,280
38128	Recording, License & Permit Fees	1	General Cost Inflation	9,108	-	-	-	-	-	-	-	-	-	-	-
38129	Software Licenses	1	General Cost Inflation	4,068	1,000	1,000	1,030	1,061	1,093	1,126	1,159	1,194	1,230	1,267	1,305
38129	SOFTWARE - Hansen Version	1	General Cost Inflation	20,469	-	-	-	-	-	-	-	-	-	-	-
38191	Pre-Employment Services	1	General Cost Inflation	-	500	500	515	530	546	563	580	597	615	633	652
38192	Lab Services - SPA 1	1	General Cost Inflation	24	-	-	-	-	-	-	-	-	-	-	-
38192	Lab Services	1	General Cost Inflation	46,884	63,200	63,200	65,096	67,049	69,060	71,132	73,266	75,464	77,728	80,060	82,462
38199	Other Prof/Tech Services - SPA 1	1	General Cost Inflation	379,926	-	-	-	-	-	-	-	-	-	-	-
38199	Other Prof/Tech Services	1	General Cost Inflation	135,007	76,500	106,500	109,695	112,986	116,375	119,867	123,463	127,167	130,982	134,911	138,958
38199	Other Prof/Tech Services - Well abandonmt	1	General Cost Inflation	-	9,500	-	-	-	-	-	-	-	-	-	-
38211	Water	1	General Cost Inflation	122,299	103,900	103,900	107,017	110,228	113,534	116,940	120,449	124,062	127,784	131,617	135,566
38212	Electricity	1	General Cost Inflation	923,771	969,200	1,004,200	1,069,326	1,101,406	1,134,448	1,174,981	1,210,231	1,246,538	1,283,934	1,322,452	1,362,125
38213	Propane/Natural Gas	1	General Cost Inflation	-	600	600	618	637	656	675	696	716	738	760	783
38214	Telephone	1	General Cost Inflation	2,492	3,900	3,900	4,017	4,138	4,262	4,389	4,521	4,657	4,797	4,940	5,089
38216	Sanitary/Landfill	1	General Cost Inflation	261,669	234,000	234,000	241,020	248,251	255,698	263,369	271,270	279,408	287,790	296,424	305,317
38217	Postage & Mailings	1	General Cost Inflation	583	1,100	1,100	1,133	1,167	1,202	1,238	1,275	1,313	1,353	1,393	1,435
38221	Cell Phone/Pagers	1	General Cost Inflation	3,613	3,400	4,000	4,120	4,244	4,371	4,502	4,637	4,776	4,919	5,067	5,219
38311	Building Maintenance	1	General Cost Inflation	5,988	3,000	9,500	9,785	10,079	10,381	10,692	11,013	11,343	11,684	12,034	12,395
38312	Streets Maintenance	1	General Cost Inflation	24,209	45,000	25,000	25,750	26,523	27,318	28,138	28,982	29,851	30,747	31,669	32,619
38321	Radio Equip. Maint.	1	General Cost Inflation	3,658	2,500	2,500	2,575	2,652	2,732	2,814	2,898	2,985	3,075	3,167	3,262
38324	Machinery & Equip. Maint.	1	General Cost Inflation	447,767	353,000	665,800	692,774	713,557	734,964	757,013	779,723	803,115	827,208	852,025	877,585
38324	Machinery & Equip. Maint. - W. wet well	1	General Cost Inflation	-	72,800	-	-	-	-	-	-	-	-	-	-
38331	Vehicle Maintenance	1	General Cost Inflation	4,384	4,900	4,900	5,047	5,198	5,354	5,515	5,680	5,851	6,026	6,207	6,393
38351	Wells/Sewer/Lins/Etc.	1	General Cost Inflation	231,006	348,000	388,000	399,640	411,629	423,978	436,697	449,798	463,292	477,191	491,507	506,252
38351	Wells/Sewer/Lins/Etc. - drainage reservoir	1	General Cost Inflation	-	10,000	-	-	-	-	-	-	-	-	-	-
38371	Janitorial Services	1	General Cost Inflation	17,600	19,700	26,700	27,501	28,326	29,176	30,051	30,953	31,881	32,838	33,823	34,837
38372	Pest Control Services	1	General Cost Inflation	1,475	2,100	2,100	2,163	2,228	2,295	2,364	2,434	2,508	2,583	2,660	2,740
38381	Grounds Maintenance	1	General Cost Inflation	26,268	28,000	28,000	28,840	29,705	30,596	31,514	32,460	33,433	34,436	35,470	36,534
38521	Equipment Rental	1	General Cost Inflation	43,773	42,200	42,200	43,466	44,770	46,113	47,496	48,921	50,389	51,901	53,458	55,061
38591	Other Rental/Leases	1	General Cost Inflation	(237)	-	-	-	-	-	-	-	-	-	-	-
38921	Tuition Reimbursement	1	General Cost Inflation	-	5,000	5,000	5,150	5,305	5,464	5,628	5,796	5,970	6,149	6,334	6,524



City of Surprise

Wastewater Utility Rate Study

Operating Revenue and Expenditure Forecast

				Actual	Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection
Expenses			FORECAST BASIS	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
38922	Travel & Training	1	General Cost Inflation	16,904	47,400	47,400	48,822	50,287	51,795	53,349	54,950	56,598	58,296	60,045	61,846
38924	Dues & Memberships	1	General Cost Inflation	1,194	1,300	1,300	1,339	1,379	1,421	1,463	1,507	1,552	1,599	1,647	1,696
38927	EE Certification & Licensing	1	General Cost Inflation	327	1,000	1,000	1,030	1,061	1,093	1,126	1,159	1,194	1,230	1,267	1,305
38931	Advertising	1	General Cost Inflation	799	-	-	-	-	-	-	-	-	-	-	-
38951	Printing	1	General Cost Inflation	-	1,200	1,200	1,236	1,273	1,311	1,351	1,391	1,433	1,476	1,520	1,566
38991	Uniform/Laundry Services	1	General Cost Inflation	10,683	11,600	11,600	11,948	12,306	12,676	13,056	13,448	13,851	14,267	14,695	15,135
38999	Other Professional Services	1	General Cost Inflation	-	100	100	103	106	109	113	116	119	123	127	130
Capital															
39211	Building & Structure Purchases	1	General Cost Inflation	650,448	-	-	-	-	-	-	-	-	-	-	-
39212	Improvements to Buildings	1	General Cost Inflation	31,625	-	-	-	-	-	-	-	-	-	-	-
39223	Infrastructure Purchases	1	General Cost Inflation	23,373	-	-	-	-	-	-	-	-	-	-	-
39224	Infrastructure Improvements	1	General Cost Inflation	-	-	-	-	-	-	-	-	-	-	-	-
39411	Furn., Fixtures & Ofc Equipment	1	General Cost Inflation	31,156	-	-	-	-	-	-	-	-	-	-	-
39421	Vehicles	1	General Cost Inflation	44,324	-	-	-	-	-	-	-	-	-	-	-
39872	Invdj	1	General Cost Inflation	(96,996)	-	-	-	-	-	-	-	-	-	-	-
39891	Prepds	1	General Cost Inflation	(2,847)	-	-	-	-	-	-	-	-	-	-	-
115 Project Management															
Personnel/Salaries															
36111	Full Time Salaries	3	Labor Cost Inflation	157,621	156,100	156,104	160,787	165,611	170,579	175,696	180,967	186,396	191,988	197,748	203,680
36115	Holidays	4	Benefit Cost Inflation	1,006	-	-	-	-	-	-	-	-	-	-	-
36116	Paid Time Off	4	Benefit Cost Inflation	14,319	-	-	-	-	-	-	-	-	-	-	-
36151	Stipend	4	Benefit Cost Inflation	1,452	1,200	1,200	1,236	1,273	1,311	1,351	1,391	1,433	1,476	1,520	1,566
36211	FICA	4	Benefit Cost Inflation	14,756	12,000	11,942	12,300	12,669	13,049	13,441	13,844	14,259	14,687	15,128	15,582
36231	Medical	4	Benefit Cost Inflation	25,335	24,900	10,383	10,694	11,015	11,346	11,686	12,037	12,398	12,770	13,153	13,547
36232	Dental	4	Benefit Cost Inflation	1,521	1,400	571	588	606	624	643	662	682	702	723	745
36233	Vision	4	Benefit Cost Inflation	197	200	57	59	60	62	64	66	68	70	72	74
36234	Life	4	Benefit Cost Inflation	812	700	700	721	743	765	788	811	836	861	887	913
36252	AZ State Retirement	4	Benefit Cost Inflation	22,115	18,200	18,014	18,554	19,111	19,684	20,275	20,883	21,510	22,155	22,820	23,504
36278	Workers Compensation	4	Benefit Cost Inflation	1,464	2,000	2,732	2,814	2,898	2,985	3,075	3,167	3,262	3,360	3,461	3,565
Commodities/Supplies															
37111	Office Supplies	1	General Cost Inflation	-	300	300	309	318	328	338	348	358	369	380	391
37112	Computer Supplies	1	General Cost Inflation	1,395	300	300	309	318	328	338	348	358	369	380	391
37114	Books & Subscriptions	1	General Cost Inflation	-	300	300	309	318	328	338	348	358	369	380	391
37131	Clothing & Uniforms	1	General Cost Inflation	484	600	600	618	637	656	675	696	716	738	760	783
37161	Operational Supplies	1	General Cost Inflation	-	300	300	309	318	328	338	348	358	369	380	391
37164	Signs and Sign Supplies	1	General Cost Inflation	22	-	-	-	-	-	-	-	-	-	-	-
37193	Safety & First Aid Supplies	1	General Cost Inflation	284	900	900	927	955	983	1,013	1,043	1,075	1,107	1,140	1,174
37211	Gas & Fuel	10	Fuel Cost Escalation	676	1,000	1,000	1,047	1,097	1,149	1,204	1,261	1,321	1,384	1,449	1,518
37212	Auto Parts & Lubricants	1	General Cost Inflation	111	1,300	1,300	1,339	1,379	1,421	1,463	1,507	1,552	1,599	1,647	1,696
Contractual Services															
38126	Service Agreements	1	General Cost Inflation	6,570	-	-	-	-	-	-	-	-	-	-	-
38129	Software Licenses	1	General Cost Inflation	-	6,600	6,600	6,798	7,002	7,212	7,428	7,651	7,881	8,117	8,361	8,612
38199	Other Prof/Tech Services	1	General Cost Inflation	-	17,000	17,000	17,510	18,035	18,576	19,134	19,708	20,299	20,908	21,535	22,181
38331	Vehicle Maintenance	1	General Cost Inflation	36	800	800	824	849	874	900	927	955	984	1,013	1,044
38922	Travel & Training	1	General Cost Inflation	2,187	9,300	9,300	9,579	9,866	10,162	10,467	10,781	11,105	11,438	11,781	12,134
38924	Dues & Memberships	1	General Cost Inflation	573	800	1,000	1,030	1,061	1,093	1,126	1,159	1,194	1,230	1,267	1,305
Capital															
39891	Prepds	1	General Cost Inflation	0	-	-	-	-	-	-	-	-	-	-	-
117 Customer Service															
Personnel/Salaries															
36111	Full Time Salaries	3	Labor Cost Inflation	35,343	40,200	40,971	42,200	43,466	44,770	46,113	47,497	48,922	50,389	51,901	53,458
36113	Overtime Compensation	3	Labor Cost Inflation	285	700	-	-	-	-	-	-	-	-	-	-
36115	Holidays	4	Benefit Cost Inflation	2,040	-	-	-	-	-	-	-	-	-	-	-
36116	Paid Time Off	4	Benefit Cost Inflation	2,947	-	-	-	-	-	-	-	-	-	-	-
36211	FICA	4	Benefit Cost Inflation	2,677	3,100	3,134	3,228	3,325	3,425	3,527	3,633	3,742	3,854	3,970	4,089
36231	Medical	4	Benefit Cost Inflation	13,663	14,500	14,481	14,915	15,363	15,824	16,298	16,787	17,291	17,810	18,344	18,894
36232	Dental	4	Benefit Cost Inflation	842	800	842	867	893	920	948	976	1,005	1,036	1,067	1,099
36233	Vision	4	Benefit Cost Inflation	121	100	120	124	127	131	135	139	143	148	152	157
36234	Life	4	Benefit Cost Inflation	178	200	200	206	212	219	225	232	239	246	253	261
36252	AZ State Retirement	4	Benefit Cost Inflation	4,374	4,700	4,728	4,870	5,016	5,166	5,321	5,481	5,645	5,815	5,989	6,169
36278	Workers Compensation	4	Benefit Cost Inflation	64	100	95	98	101	104	107	110	113	117	120	124
Commodities/Supplies															
37111	Office Supplies	1	General Cost Inflation	7	-	200	206	212	219	225	232	239	246	253	261
37131	Clothing & Uniforms	1	General Cost Inflation	22	100	100	103	106	109	113	116	119	123	127	130
37142	Phone Supplies	1	General Cost Inflation	260	100	100	103	106	109	113	116	119	123	127	130
37161	Operational Supplies	1	General Cost Inflation	35	-	-	-	-	-	-	-	-	-	-	-
Contractual Services															



City of Surprise

Wastewater Utility Rate Study

Operating Revenue and Expenditure Forecast

				Actual	Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	
Expenses				FORECAST BASIS	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
38126	Service Agreements	1	General Cost Inflation	-	4,400	4,400	4,532	4,668	4,808	4,952	5,101	5,254	5,411	5,574	5,741	
38372	Pest Control Services	1	General Cost Inflation	75	-	-	-	-	-	-	-	-	-	-	-	
38922	Travel & Training	1	General Cost Inflation	-	400	400	412	424	437	450	464	478	492	507	522	
601	Collections WW															
Personnel/Salaries																
36111	Full Time Salaries	3	Labor Cost Inflation	118,887	151,500	219,530	226,116	232,899	239,886	247,083	254,495	262,130	269,994	278,094	286,436	
36113	Overtime Compensation	3	Labor Cost Inflation	4,257	2,400	2,400	2,472	2,546	2,623	2,701	2,782	2,866	2,952	3,040	3,131	
36115	Holidays	4	Benefit Cost Inflation	4,321	-	-	-	-	-	-	-	-	-	-	-	
36116	Paid Time Off	4	Benefit Cost Inflation	15,374	-	-	-	-	-	-	-	-	-	-	-	
36151	Stipend	4	Benefit Cost Inflation	1,100	1,000	1,512	1,558	1,604	1,653	1,702	1,753	1,806	1,860	1,916	1,973	
36211	FICA	4	Benefit Cost Inflation	10,416	11,800	16,794	17,298	17,817	18,352	18,902	19,469	20,053	20,655	21,275	21,913	
36231	Medical	4	Benefit Cost Inflation	30,220	43,400	40,407	41,619	42,868	44,154	45,479	46,843	48,248	49,696	51,187	52,722	
36232	Dental	4	Benefit Cost Inflation	1,944	2,500	2,334	2,404	2,477	2,551	2,627	2,706	2,787	2,871	2,957	3,046	
36233	Vision	4	Benefit Cost Inflation	282	400	350	361	372	383	394	406	418	431	444	457	
36234	Life	4	Benefit Cost Inflation	560	700	976	1,005	1,036	1,067	1,099	1,132	1,166	1,201	1,237	1,274	
36252	AZ State Retirement	4	Benefit Cost Inflation	15,505	17,900	25,334	26,094	26,877	27,683	28,514	29,369	30,250	31,158	32,092	33,055	
36278	Workers Compensation	4	Benefit Cost Inflation	3,401	3,900	5,863	6,039	6,220	6,407	6,599	6,797	7,001	7,211	7,428	7,650	
Commodities/Supplies																
37111	Office Supplies	1	General Cost Inflation	178	300	400	412	424	437	450	464	478	492	507	522	
37112	Computer Supplies	1	General Cost Inflation	615	300	300	309	318	328	338	348	358	369	380	391	
37114	Books & Subscriptions	1	General Cost Inflation	-	-	1,775	1,828	1,883	1,940	1,998	2,058	2,119	2,183	2,249	2,316	
37131	Clothing & Uniforms	1	General Cost Inflation	41	500	700	721	743	765	788	811	836	861	887	913	
37142	Phone Supplies	1	General Cost Inflation	91	100	100	103	106	109	113	116	119	123	127	130	
37161	Operational Supplies	1	General Cost Inflation	2,002	8,300	8,300	8,549	8,805	9,070	9,342	9,622	9,911	10,208	10,514	10,830	
37162	Furn, Fixture, & Office Equip <\$10,000	1	General Cost Inflation	-	2,000	3,000	3,090	3,183	3,278	3,377	3,478	3,582	3,690	3,800	3,914	
37165	Mach. & Equipment <\$10,000	1	General Cost Inflation	15,467	14,600	14,600	15,038	15,489	15,954	16,432	16,925	17,433	17,956	18,495	19,050	
37192	Awards & Recognition	1	General Cost Inflation	35	100	100	103	106	109	113	116	119	123	127	130	
37193	Safety & First Aid Supplies	1	General Cost Inflation	3,981	3,800	4,100	4,223	4,350	4,480	4,615	4,753	4,896	5,042	5,194	5,350	
37211	Gas & Fuel	10	Fuel Cost Escalation	15,066	15,300	15,300	16,027	16,788	17,585	18,420	19,294	20,211	21,170	22,176	23,229	
37212	Auto Parts & Lubricants	1	General Cost Inflation	4,314	12,900	13,800	14,214	14,640	15,080	15,532	15,998	16,478	16,972	17,481	18,006	
37221	Repair and Maintenance	1	General Cost Inflation	28	1,000	1,000	1,030	1,061	1,093	1,126	1,159	1,194	1,230	1,267	1,305	
37232	Chemical Supplies	1	General Cost Inflation	2,276	3,400	3,400	3,502	3,607	3,715	3,827	3,942	4,060	4,182	4,307	4,436	
37233	Street Repair Supplies	1	General Cost Inflation	125	-	-	-	-	-	-	-	-	-	-	-	
37998	Photography Supplies	1	General Cost Inflation	-	100	100	103	106	109	113	116	119	123	127	130	
Contractual Services																
38126	Service Agreements	1	General Cost Inflation	110	200	200	206	212	219	225	232	239	246	253	261	
38162	Landscape Services	1	General Cost Inflation	750	1,900	1,900	1,957	2,016	2,076	2,138	2,203	2,269	2,337	2,407	2,479	
38191	Pre Employment Services	1	General Cost Inflation	-	500	500	515	530	546	563	580	597	615	633	652	
38199	Other Prof/Tech Services	1	General Cost Inflation	-	1,500	1,500	1,545	1,591	1,639	1,688	1,739	1,791	1,845	1,900	1,957	
38211	Water	1	General Cost Inflation	2,818	2,200	2,200	2,266	2,334	2,404	2,476	2,550	2,627	2,706	2,787	2,871	
38212	Electricity	1	General Cost Inflation	15,539	18,600	18,600	19,158	19,733	20,325	20,934	21,562	22,209	22,876	23,562	24,269	
38213	Propane/Natural Gas	1	General Cost Inflation	623	800	800	824	849	874	900	927	955	984	1,013	1,044	
38214	Telephone	1	General Cost Inflation	-	1,600	1,600	1,648	1,697	1,748	1,801	1,855	1,910	1,968	2,027	2,088	
38216	Sanitary/Landfill	1	General Cost Inflation	944	6,000	6,000	6,180	6,365	6,556	6,753	6,956	7,164	7,379	7,601	7,829	
38217	Postage & Mailings	1	General Cost Inflation	-	100	100	103	106	109	113	116	119	123	127	130	
38221	Cell Phone/Pagers	1	General Cost Inflation	497	500	500	515	530	546	563	580	597	615	633	652	
38324	Machinery & Equip. Maint.	1	General Cost Inflation	3,357	8,000	8,000	8,240	8,487	8,742	9,004	9,274	9,552	9,839	10,134	10,438	
38331	Vehicle Maintenance	1	General Cost Inflation	15,790	3,600	3,600	3,708	3,819	3,934	4,052	4,173	4,299	4,428	4,560	4,697	
38351	Wells/Sewer/Lins/Etc.	1	General Cost Inflation	42,909	26,100	48,100	49,543	51,029	52,560	54,137	55,761	57,434	59,157	60,932	62,760	
38351	Wells/Sewer/Lins/Etc. - Citrus & Peoria	1	General Cost Inflation	-	22,000	-	-	-	-	-	-	-	-	-	-	
38372	Pest Control Services	1	General Cost Inflation	69,772	75,100	75,100	77,353	79,674	82,064	84,526	87,061	89,673	92,364	95,134	97,988	
38521	Equipment Rental	1	General Cost Inflation	52	2,000	2,000	2,060	2,122	2,185	2,251	2,319	2,388	2,460	2,534	2,610	
38922	Travel & Training	1	General Cost Inflation	2,448	4,400	7,500	7,725	7,957	8,195	8,441	8,695	8,955	9,224	9,501	9,786	
38924	Dues & Memberships	1	General Cost Inflation	45	-	2,800	2,884	2,971	3,060	3,151	3,246	3,343	3,444	3,547	3,653	
38925	Federal Mileage Allowance	1	General Cost Inflation	-	-	100	103	106	109	113	116	119	123	127	130	
38927	EE Certification & Licensing	1	General Cost Inflation	174	600	600	618	637	656	675	696	716	738	760	783	
38931	Advertising	1	General Cost Inflation	599	-	-	-	-	-	-	-	-	-	-	-	
38951	Printing	1	General Cost Inflation	119	300	300	309	318	328	338	348	358	369	380	391	
38991	Uniform/Laundry Services	1	General Cost Inflation	914	3,300	3,300	3,399	3,501	3,606	3,714	3,826	3,940	4,059	4,180	4,306	
Capital																
39891	Prepds	1	General Cost Inflation	0	-	-	-	-	-	-	-	-	-	-	-	
604	Utility Locating															
Personnel/Salaries																
36111	Full Time Salaries	3	Labor Cost Inflation	55,495	58,900	58,947	60,715	62,537	64,413	66,345	68,336	70,386	72,497	74,672	76,912	
36113	Overtime Compensation	3	Labor Cost Inflation	173	200	-	-	-	-	-	-	-	-	-	-	
36115	Holidays	4	Benefit Cost Inflation	2,916	-	-	-	-	-	-	-	-	-	-	-	
36116	Paid Time Off	4	Benefit Cost Inflation	5,439	-	-	-	-	-	-	-	-	-	-	-	



City of Surprise

Wastewater Utility Rate Study

Operating Revenue and Expenditure Forecast

			Actual	Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	
Expenses			FORECAST BASIS	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
36151	Stipend	4	Benefit Cost Inflation	720	700	720	742	764	787	810	835	860	886	912	939
36211	FICA	4	Benefit Cost Inflation	4,607	4,600	4,509	4,644	4,784	4,927	5,075	5,227	5,384	5,546	5,712	5,883
36231	Medical	4	Benefit Cost Inflation	10,092	10,700	10,696	11,017	11,347	11,688	12,038	12,400	12,772	13,155	13,549	13,956
36232	Dental	4	Benefit Cost Inflation	571	600	571	588	606	624	643	662	682	702	723	745
36233	Vision	4	Benefit Cost Inflation	121	100	120	124	127	131	135	139	143	148	152	157
36234	Life	4	Benefit Cost Inflation	246	300	300	309	318	328	338	348	358	369	380	391
36252	AZ State Retirement	4	Benefit Cost Inflation	6,996	6,900	6,803	7,007	7,217	7,434	7,657	7,887	8,123	8,367	8,618	8,876
36278	Workers Compensation	4	Benefit Cost Inflation	1,467	1,500	1,950	2,009	2,069	2,131	2,195	2,261	2,328	2,398	2,470	2,544
Commodities/Supplies															
37111	Office Supplies	1	General Cost Inflation	-	300	300	309	318	328	338	348	358	369	380	391
37112	Computer Supplies	1	General Cost Inflation	675	500	500	515	530	546	563	580	597	615	633	652
37131	Clothing & Uniforms	1	General Cost Inflation	330	500	500	515	530	546	563	580	597	615	633	652
37161	Operational Supplies	1	General Cost Inflation	1,587	1,700	1,700	1,751	1,804	1,858	1,913	1,971	2,030	2,091	2,154	2,218
37162	Furn, Fixture, & Office Equip <\$10,000	1	General Cost Inflation	-	300	300	309	318	328	338	348	358	369	380	391
37165	Mach. & Equipment <\$10,000	1	General Cost Inflation	1,534	200	200	206	212	219	225	232	239	246	253	261
37193	Safety & First Aid Supplies	1	General Cost Inflation	397	300	300	309	318	328	338	348	358	369	380	391
37211	Gas & Fuel	10	Fuel Cost Escalation	3,644	2,300	2,300	2,409	2,524	2,643	2,769	2,900	3,038	3,182	3,334	3,492
37212	Auto Parts & Lubricants	1	General Cost Inflation	1,433	700	700	721	743	765	788	811	836	861	887	913
Contractual Services															
38128	Recording, License & Permit Fees	1	General Cost Inflation	5,443	6,000	6,000	6,180	6,365	6,556	6,753	6,956	7,164	7,379	7,601	7,829
38217	Postage & Mailings	1	General Cost Inflation	12	100	100	103	106	109	113	116	119	123	127	130
38221	Cell Phone/Pagers	1	General Cost Inflation	1,362	1,400	1,400	1,442	1,485	1,530	1,576	1,623	1,672	1,722	1,773	1,827
38324	Machinery & Equip. Maint.	1	General Cost Inflation	-	200	200	206	212	219	225	232	239	246	253	261
38331	Vehicle Maintenance	1	General Cost Inflation	510	400	400	412	424	437	450	464	478	492	507	522
38922	Travel & Training	1	General Cost Inflation	457	1,900	1,900	1,957	2,016	2,076	2,138	2,203	2,269	2,337	2,407	2,479
38924	Dues & Memberships	1	General Cost Inflation	-	300	300	309	318	328	338	348	358	369	380	391
38927	EE Certification & Licensing	1	General Cost Inflation	645	-	-	-	-	-	-	-	-	-	-	-
Capital															
39891	Prepds	1	General Cost Inflation	0	-	-	-	-	-	-	-	-	-	-	-
605 Environmental															
Personnel/Salaries															
36111	Full Time Salaries	3	Labor Cost Inflation	101,203	70,500	170,269	175,377	278,437	286,791	295,394	304,256	313,384	322,785	332,469	342,443
36115	Holidays	4	Benefit Cost Inflation	444	-	-	-	-	-	-	-	-	-	-	-
36116	Paid Time Off	4	Benefit Cost Inflation	7,448	-	-	-	-	-	-	-	-	-	-	-
36151	Stipend	4	Benefit Cost Inflation	180	-	720	742	764	787	810	835	860	886	912	939
36211	FICA	4	Benefit Cost Inflation	6,958	5,400	13,026	13,417	13,819	14,234	14,661	15,101	15,554	16,020	16,501	16,996
36231	Medical	4	Benefit Cost Inflation	1,575	1,200	2,400	2,472	2,546	2,623	2,701	2,782	2,866	2,952	3,040	3,131
36232	Dental	4	Benefit Cost Inflation	535	300	1,166	1,201	1,237	1,274	1,312	1,352	1,392	1,434	1,477	1,521
36233	Vision	4	Benefit Cost Inflation	151	100	240	247	255	262	270	278	287	295	304	313
36234	Life	4	Benefit Cost Inflation	423	300	300	309	318	328	338	348	358	369	380	391
36252	AZ State Retirement	4	Benefit Cost Inflation	10,301	8,100	19,649	20,238	20,846	21,471	22,115	22,779	23,462	24,166	24,891	25,637
36278	Workers Compensation	4	Benefit Cost Inflation	1,669	1,800	2,654	2,734	2,816	2,900	2,987	3,077	3,169	3,264	3,362	3,463
Commodities/Supplies															
37111	Office Supplies	1	General Cost Inflation	159	-	400	412	643	662	682	703	724	745	768	791
37112	Computer Supplies	1	General Cost Inflation	-	-	900	927	1,064	1,096	1,129	1,163	1,198	1,234	1,271	1,309
37114	Books & Subscriptions	1	General Cost Inflation	177	-	-	-	219	225	232	239	246	253	261	269
37161	Operational Supplies	1	General Cost Inflation	36	3,000	3,000	3,090	8,646	8,906	9,173	9,448	9,732	10,023	10,324	10,634
37162	Furn, Fixture, & Office Equip <\$10,000	1	General Cost Inflation	695	-	-	-	1,967	2,026	2,087	2,149	2,214	2,280	2,349	2,419
37165	Mach. & Equipment <\$10,000	1	General Cost Inflation	979	-	-	-	3,278	3,377	3,478	3,582	3,690	3,800	3,914	4,032
37192	Awards & Recognition	1	General Cost Inflation	-	100	100	103	106	109	113	116	119	123	127	130
37193	Safety & First Aid Supplies	1	General Cost Inflation	73	500	500	515	1,077	1,109	1,142	1,177	1,212	1,248	1,286	1,324
37211	Gas & Fuel	10	Fuel Cost Escalation	-	-	-	-	2,732	2,862	2,997	3,140	3,289	3,445	3,609	3,780
37212	Auto Parts & Lubricants	1	General Cost Inflation	-	-	-	-	1,421	1,463	1,507	1,552	1,599	1,647	1,696	1,747
37997	Education & Training Supplies	1	General Cost Inflation	156	300	300	309	318	328	338	348	358	369	380	391
Contractual Services															
38128	Recording, License & Permit Fees	1	General Cost Inflation	30,744	50,000	50,000	51,500	53,045	54,636	56,275	57,964	59,703	61,494	63,339	65,239
38129	Software Licenses	1	General Cost Inflation	2,483	3,000	3,000	3,090	3,183	3,278	3,377	3,478	3,582	3,690	3,800	3,914
38199	Other Prof/Tech Services	1	General Cost Inflation	11,458	17,700	125,200	128,956	132,825	136,809	140,914	145,141	149,495	153,980	158,600	163,358
38217	Postage & Mailings	1	General Cost Inflation	250	1,200	1,200	1,236	1,273	1,311	1,351	1,391	1,433	1,476	1,520	1,566
38331	Vehicle Maintenance	1	General Cost Inflation	-	-	1,000	1,030	1,935	1,993	2,053	2,115	2,178	2,243	2,311	2,380
38922	Travel & Training	1	General Cost Inflation	4,936	5,500	6,500	6,695	8,535	8,791	9,055	9,326	9,606	9,894	10,191	10,497
38924	Dues & Memberships	1	General Cost Inflation	265	600	600	618	1,729	1,781	1,835	1,890	1,946	2,005	2,065	2,127
38925	Federal Mileage Allowance	1	General Cost Inflation	67	100	100	103	106	109	113	116	119	123	127	130
38927	EE Certification & Licensing	1	General Cost Inflation	87	-	200	206	212	219	225	232	239	246	253	261
38951	Printing	1	General Cost Inflation	-	100	100	103	106	109	113	116	119	123	127	130
Capital															
39891	Prepds	1	General Cost Inflation	(5,274)	-	-	-	-	-	-	-	-	-	-	-



City of Surprise

Wastewater Utility Rate Study

Operating Revenue and Expenditure Forecast

		Actual	Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection
Expenses		FORECAST BASIS	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Miscellaneous														
Future Additional O&M Expenses	3	Labor Cost Inflation	-	-	-	-	-	-	-	175,000	360,500	556,973	764,909	984,820
Other														
Add'l O&M from CIP		From CIP	-	-	-	-	-	-	-	-	-	-	-	-
Debt Reserve Transfer		From Funds	-	-	-	166,270	53,107	-	33,592	-	53,675	176,523	-	-
Total Cash O&M Expenditures			\$ 10,845,597	\$ 10,440,300	\$ 11,309,905	\$ 11,863,534	\$ 12,221,461	\$ 12,545,294	\$ 12,965,508	\$ 13,488,322	\$ 14,126,542	\$ 14,851,001	\$ 15,304,700	\$ 15,966,778
Depreciation Expense in FY2013		\$ 7,087,589												
Depreciation Expense		Last year's plus annual add'l from CIP	\$ 7,087,589	\$ 7,096,453	\$ 7,262,086	\$ 7,479,874	\$ 7,588,617	\$ 7,615,062	\$ 7,732,717	\$ 7,779,007	\$ 7,903,372	\$ 8,139,801	\$ 8,150,183	\$ 8,154,886
		debt principal payments	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		System Reinvestment Funding	\$ 7,087,589	\$ 7,096,453	\$ 7,262,086	\$ 7,479,874	\$ 7,588,617	\$ 7,615,062	\$ 7,732,717	\$ 7,779,007	\$ 7,903,372	\$ 8,139,801	\$ 8,150,183	\$ 8,154,886
TOTAL EXPENSES			\$ 17,933,186	\$ 17,536,753	\$ 18,571,991	\$ 19,343,408	\$ 19,810,078	\$ 20,160,357	\$ 20,698,225	\$ 21,267,329	\$ 22,029,915	\$ 22,990,803	\$ 23,454,883	\$ 24,121,663
		Capital Outlays	\$ 780,924	\$ 825,500	\$ 1,136,300	\$ 1,177,389	\$ 1,215,989	\$ 1,252,469	\$ 1,290,043	\$ 1,328,744	\$ 1,368,606	\$ 1,409,664	\$ 1,451,954	\$ 1,495,513



City of Surprise

Wastewater Utility Rate Study

Additional O&M Adjustments

		Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection
Revenues		FY15 Budget	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Rate Revenues												
31434	Service/Collection Fees	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
31434	Service/Collection Fees - Onsite Improvements	-	-	-	-	-	-	-	-	-	-	-
31434	Service/Collection Fees - Hydro-Vac Truck Reimb.	-	-	-	-	-	-	-	-	-	-	-
	[Extra]	-	-	-	-	-	-	-	-	-	-	-
Total Rate Revenue		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Non-Rate Revenues												
31813	Interest Income	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
31543	Federal Grant Revenue - SPA 1	-	-	-	-	-	-	-	-	-	-	-
31432	Line Extension Fees	-	-	-	-	-	-	-	-	-	-	-
31461	Revenue Recovery-Utility Bill	-	-	-	-	-	-	-	-	-	-	-
31816	Unrealized Gain/Loss	-	-	-	-	-	-	-	-	-	-	-
	[Extra]	-	-	-	-	-	-	-	-	-	-	-
Total Non-Rate Revenues		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
TOTAL REVENUES		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

		FY15 Budget	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Expenses												
242 Community Development												
213 Economic Development												
Contractual Services												
38994	Developer Reimbursement - Surprise Point	10,000	-	-	-	-	-	-	-	-	-	-
38994	Developer Reimbursement - Prasad a	241,000	-	-	-	-	-	-	-	-	-	-
643 Sewer												
111 Administration												
Personnel/Salaries												
36111	Full Time Salaries	172,529	-	-	-	-	-	-	-	-	-	-
36115	Holidays	-	-	-	-	-	-	-	-	-	-	-
36116	Paid Time Off	-	-	-	-	-	-	-	-	-	-	-
36151	Stipend	1,260	-	-	-	-	-	-	-	-	-	-
36211	FICA	12,228	-	-	-	-	-	-	-	-	-	-
36231	Medical	16,442	-	-	-	-	-	-	-	-	-	-
36232	Dental	1,142	-	-	-	-	-	-	-	-	-	-
36233	Vision	240	-	-	-	-	-	-	-	-	-	-
36234	Life	800	-	-	-	-	-	-	-	-	-	-
36252	AZ State Retirement	19,910	-	-	-	-	-	-	-	-	-	-
36255	Post Emp. Health Plan	-	-	-	-	-	-	-	-	-	-	-
36278	Workers Compensation	400	-	-	-	-	-	-	-	-	-	-
Commodities/Supplies												
37111	Office Supplies	2,200	-	-	-	-	-	-	-	-	-	-
37112	Computer Supplies	1,800	-	-	-	-	-	-	-	-	-	-
37114	Books & Subscriptions	100	-	-	-	-	-	-	-	-	-	-
37131	Clothing & Uniforms	-	-	-	-	-	-	-	-	-	-	-
37162	Furn, Fixture, & Office Equip <\$10,000	200	-	-	-	-	-	-	-	-	-	-
37165	Mach. & Equipment <\$10,000	-	-	-	-	-	-	-	-	-	-	-
37192	Awards & Recognition	-	-	-	-	-	-	-	-	-	-	-
37193	Safety & First Aid Supplies	100	-	-	-	-	-	-	-	-	-	-
37196	Meetsup	100	-	-	-	-	-	-	-	-	-	-
37211	Gas & Fuel	400	-	-	-	-	-	-	-	-	-	-
37212	Auto Parts & Lubricants	700	-	-	-	-	-	-	-	-	-	-
Contractual Services												
38131	Collection Fees Delinq. Accounts	500	-	-	-	-	-	-	-	-	-	-
38199	Other Prof/Tech Services	40,000	-	-	-	-	-	-	-	-	-	-
38217	Postage & Mailings	100	-	-	-	-	-	-	-	-	-	-
38331	Vehicle Maintenance	400	-	-	-	-	-	-	-	-	-	-
38412	Utility Billing Service Contract	520,000	-	-	-	-	-	-	-	-	-	-
38521	Equipment Rental	4,500	-	-	-	-	-	-	-	-	-	-



City of Surprise

Wastewater Utility Rate Study

Additional O&M Adjustments

		Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection
Expenses		FY15 Budget	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
38912	Risk Insurance Premium	419,800	-	-	-	-	-	-	-	-	-	-
38922	Travel & Training	3,000	-	-	-	-	-	-	-	-	-	-
38924	Dues & Memberships	600	-	-	-	-	-	-	-	-	-	-
38931	Advertising	-	-	-	-	-	-	-	-	-	-	-
	Capital											
39999	Contingency	-	-	-	-	-	-	-	-	-	-	-
112	General Operation											
	Transfers Out											
34022	Indirect costs - general fund personnel	1,552,400	-	-	-	-	-	-	-	-	-	-
34032	Payments in lieu of property tax (PILOT)	388,200	-	-	-	-	-	-	-	-	-	-
	Personnel/Salaries											
36111	Full Time Salaries	1,293,844	-	-	-	-	-	-	-	-	-	-
36112	Part Time Employees	-	-	-	-	-	-	-	-	-	-	-
36113	Overtime Compensation	6,000	-	-	-	-	-	-	-	-	-	-
36115	Holidays	-	-	-	-	-	-	-	-	-	-	-
36116	Paid Time Off	-	-	-	-	-	-	-	-	-	-	-
36151	Stipend	8,880	-	-	-	-	-	-	-	-	-	-
36211	FICA	98,671	-	-	-	-	-	-	-	-	-	-
36231	Medical	159,053	-	-	-	-	-	-	-	-	-	-
36232	Dental	9,904	-	-	-	-	-	-	-	-	-	-
36233	Vision	1,536	-	-	-	-	-	-	-	-	-	-
36234	Life	5,700	-	-	-	-	-	-	-	-	-	-
36252	AZ State Retirement	149,310	-	-	-	-	-	-	-	-	-	-
36278	Workers Compensation	43,945	-	-	-	-	-	-	-	-	-	-
	Commodities/Supplies											
37111	Office Supplies	2,300	-	-	-	-	-	-	-	-	-	-
37112	Computer Supplies	3,000	-	-	-	-	-	-	-	-	-	-
37114	Books & Subscriptions	1,800	-	-	-	-	-	-	-	-	-	-
37131	Clothing & Uniforms	1,700	-	-	-	-	-	-	-	-	-	-
37142	Phone Supplies	300	-	-	-	-	-	-	-	-	-	-
37161	Operational Supplies	181,800	-	-	-	-	-	-	-	-	-	-
37162	Furn, Fixture, & Office Equip <\$10,000	15,700	-	-	-	-	-	-	-	-	-	-
37164	Signs and Sign Supplies	1,100	-	-	-	-	-	-	-	-	-	-
37165	Mach. & Equipment <\$10,000	447,500	-	-	-	-	-	-	-	-	-	-
37192	Awards & Recognition	600	-	-	-	-	-	-	-	-	-	-
37193	Safety & First Aid Supplies	18,820	-	-	-	-	-	-	-	-	-	-
37196	Meetsup	-	-	-	-	-	-	-	-	-	-	-
37211	Gas & Fuel	21,900	-	-	-	-	-	-	-	-	-	-
37212	Auto Parts & Lubricants	11,400	-	-	-	-	-	-	-	-	-	-
37221	Repair and Maintenance	2,600	-	-	-	-	-	-	-	-	-	-
37222	Cleaning/Janitorial	1,600	-	-	-	-	-	-	-	-	-	-
37223	Agricultural/Grounds Supplies	44,000	-	-	-	-	-	-	-	-	-	-
37232	Chemical Supplies	585,900	250,000	28,000	-	-	-	-	-	-	-	-
37233	Street Repair Supplies	2,400	-	-	-	-	-	-	-	-	-	-
	Contractual Services											
38126	Service Agreements	43,900	-	-	-	-	-	-	-	-	-	-
38128	Recording, License & Permit Fees	-	-	-	-	-	-	-	-	-	-	-
38129	Software Licenses	1,000	-	-	-	-	-	-	-	-	-	-
38129	SOFTWARE - Hansen Version	-	-	-	-	-	-	-	-	-	-	-
38191	Pre-Employment Services	500	-	-	-	-	-	-	-	-	-	-
38192	Lab Services - SPA 1	-	-	-	-	-	-	-	-	-	-	-
38192	Lab Services	63,200	-	-	-	-	-	-	-	-	-	-
38199	Other Prof/Tech Services - SPA 1	-	-	-	-	-	-	-	-	-	-	-
38199	Other Prof/Tech Services	66,500	40,000	-	-	-	-	-	-	-	-	-
38199	Other Prof/Tech Services - Well abandonment	-	-	-	-	-	-	-	-	-	-	-
38211	Water	103,900	-	-	-	-	-	-	-	-	-	-
38212	Electricity	969,200	35,000	35,000	-	-	6,500	-	-	-	-	-
38213	Propane/Natural Gas	600	-	-	-	-	-	-	-	-	-	-
38214	Telephone	3,900	-	-	-	-	-	-	-	-	-	-



City of Surprise

Wastewater Utility Rate Study

Additional O&M Adjustments

		Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection
Expenses		FY15 Budget	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
38216	Sanitary/Landfill	234,000	-	-	-	-	-	-	-	-	-	-
38217	Postage & Mailings	1,100	-	-	-	-	-	-	-	-	-	-
38221	Cell Phone/Pagers	4,000	-	-	-	-	-	-	-	-	-	-
38311	Building Maintenance	9,500	-	-	-	-	-	-	-	-	-	-
38312	Streets Maintenance	25,000	-	-	-	-	-	-	-	-	-	-
38321	Radio Equip. Maint.	2,500	-	-	-	-	-	-	-	-	-	-
38324	Machinery & Equip. Maint.	665,800	-	7,000	-	-	-	-	-	-	-	-
38324	Machinery & Equip. Maint. - W. wet well	-	-	-	-	-	-	-	-	-	-	-
38331	Vehicle Maintenance	4,900	-	-	-	-	-	-	-	-	-	-
38351	Wells/Sewer/Lins/Etc.	388,000	-	-	-	-	-	-	-	-	-	-
38351	Wells/Sewer/Lins/Etc. - drainage reservoir	-	-	-	-	-	-	-	-	-	-	-
38371	Janitorial Services	19,700	7,000	-	-	-	-	-	-	-	-	-
38372	Pest Control Services	2,100	-	-	-	-	-	-	-	-	-	-
38381	Grounds Maintenance	28,000	-	-	-	-	-	-	-	-	-	-
38521	Equipment Rental	42,200	-	-	-	-	-	-	-	-	-	-
38591	Other Rental/Leases	-	-	-	-	-	-	-	-	-	-	-
38921	Tuition Reimbursement	5,000	-	-	-	-	-	-	-	-	-	-
38922	Travel & Training	47,400	-	-	-	-	-	-	-	-	-	-
38924	Dues & Memberships	1,300	-	-	-	-	-	-	-	-	-	-
38927	EE Certification & Licensing	1,000	-	-	-	-	-	-	-	-	-	-
38931	Advertising	-	-	-	-	-	-	-	-	-	-	-
38951	Printing	1,200	-	-	-	-	-	-	-	-	-	-
38991	Uniform/Laundry Services	11,600	-	-	-	-	-	-	-	-	-	-
38999	Other Professional Services	100	-	-	-	-	-	-	-	-	-	-
Capital												
39211	Building & Structure Purchases	-	-	-	-	-	-	-	-	-	-	-
39212	Improvements to Buildings	-	-	-	-	-	-	-	-	-	-	-
39223	Infrastructure Purchases	-	-	-	-	-	-	-	-	-	-	-
39224	Infrastructure Improvements	-	-	-	-	-	-	-	-	-	-	-
39411	Furn., Fixtures & Ofc Equipment	-	-	-	-	-	-	-	-	-	-	-
39421	Vehicles	-	-	-	-	-	-	-	-	-	-	-
39872	Invadj	-	-	-	-	-	-	-	-	-	-	-
39891	Prepds	-	-	-	-	-	-	-	-	-	-	-
115	Project Management											
Personnel/Salaries												
36111	Full Time Salaries	156,104	-	-	-	-	-	-	-	-	-	-
36115	Holidays	-	-	-	-	-	-	-	-	-	-	-
36116	Paid Time Off	-	-	-	-	-	-	-	-	-	-	-
36151	Stipend	1,200	-	-	-	-	-	-	-	-	-	-
36211	FICA	11,942	-	-	-	-	-	-	-	-	-	-
36231	Medical	10,383	-	-	-	-	-	-	-	-	-	-
36232	Dental	571	-	-	-	-	-	-	-	-	-	-
36233	Vision	57	-	-	-	-	-	-	-	-	-	-
36234	Life	700	-	-	-	-	-	-	-	-	-	-
36252	AZ State Retirement	18,014	-	-	-	-	-	-	-	-	-	-
36278	Workers Compensation	2,732	-	-	-	-	-	-	-	-	-	-
Commodities/Supplies												
37111	Office Supplies	300	-	-	-	-	-	-	-	-	-	-
37112	Computer Supplies	300	-	-	-	-	-	-	-	-	-	-
37114	Books & Subscriptions	300	-	-	-	-	-	-	-	-	-	-
37131	Clothing & Uniforms	600	-	-	-	-	-	-	-	-	-	-
37161	Operational Supplies	300	-	-	-	-	-	-	-	-	-	-
37164	Signs and Sign Supplies	-	-	-	-	-	-	-	-	-	-	-
37193	Safety & First Aid Supplies	900	-	-	-	-	-	-	-	-	-	-
37211	Gas & Fuel	1,000	-	-	-	-	-	-	-	-	-	-
37212	Auto Parts & Lubricants	1,300	-	-	-	-	-	-	-	-	-	-
Contractual Services												
38126	Service Agreements	-	-	-	-	-	-	-	-	-	-	-
38129	Software Licenses	6,600	-	-	-	-	-	-	-	-	-	-



City of Surprise

Wastewater Utility Rate Study

Additional O&M Adjustments

		Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection
Expenses		FY15 Budget	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
38199	Other Prof/Tech Services	17,000	-	-	-	-	-	-	-	-	-	-
38331	Vehicle Maintenance	800	-	-	-	-	-	-	-	-	-	-
38922	Travel & Training	9,300	-	-	-	-	-	-	-	-	-	-
38924	Dues & Memberships	1,000	-	-	-	-	-	-	-	-	-	-
	Capital											
39891	Prepds	-	-	-	-	-	-	-	-	-	-	-
117	Customer Service											
	Personnel/Salaries											
36111	Full Time Salaries	40,971	-	-	-	-	-	-	-	-	-	-
36113	Overtime Compensation	-	-	-	-	-	-	-	-	-	-	-
36115	Holidays	-	-	-	-	-	-	-	-	-	-	-
36116	Paid Time Off	-	-	-	-	-	-	-	-	-	-	-
36211	FICA	3,134	-	-	-	-	-	-	-	-	-	-
36231	Medical	14,481	-	-	-	-	-	-	-	-	-	-
36232	Dental	842	-	-	-	-	-	-	-	-	-	-
36233	Vision	120	-	-	-	-	-	-	-	-	-	-
36234	Life	200	-	-	-	-	-	-	-	-	-	-
36252	AZ State Retirement	4,728	-	-	-	-	-	-	-	-	-	-
36278	Workers Compensation	95	-	-	-	-	-	-	-	-	-	-
	Commodities/Supplies											
37111	Office Supplies	200	-	-	-	-	-	-	-	-	-	-
37131	Clothing & Uniforms	100	-	-	-	-	-	-	-	-	-	-
37142	Phone Supplies	100	-	-	-	-	-	-	-	-	-	-
37161	Operational Supplies	-	-	-	-	-	-	-	-	-	-	-
	Contractual Services											
38126	Service Agreements	4,400	-	-	-	-	-	-	-	-	-	-
38372	Pest Control Services	-	-	-	-	-	-	-	-	-	-	-
38922	Travel & Training	400	-	-	-	-	-	-	-	-	-	-
601	Collections WW											
	Personnel/Salaries											
36111	Full Time Salaries	219,530	-	-	-	-	-	-	-	-	-	-
36113	Overtime Compensation	2,400	-	-	-	-	-	-	-	-	-	-
36115	Holidays	-	-	-	-	-	-	-	-	-	-	-
36116	Paid Time Off	-	-	-	-	-	-	-	-	-	-	-
36151	Stipend	1,512	-	-	-	-	-	-	-	-	-	-
36211	FICA	16,794	-	-	-	-	-	-	-	-	-	-
36231	Medical	40,407	-	-	-	-	-	-	-	-	-	-
36232	Dental	2,334	-	-	-	-	-	-	-	-	-	-
36233	Vision	350	-	-	-	-	-	-	-	-	-	-
36234	Life	976	-	-	-	-	-	-	-	-	-	-
36252	AZ State Retirement	25,334	-	-	-	-	-	-	-	-	-	-
36278	Workers Compensation	5,863	-	-	-	-	-	-	-	-	-	-
	Commodities/Supplies											
37111	Office Supplies	400	-	-	-	-	-	-	-	-	-	-
37112	Computer Supplies	300	-	-	-	-	-	-	-	-	-	-
37114	Books & Subscriptions	1,775	-	-	-	-	-	-	-	-	-	-
37131	Clothing & Uniforms	700	-	-	-	-	-	-	-	-	-	-
37142	Phone Supplies	100	-	-	-	-	-	-	-	-	-	-
37161	Operational Supplies	8,300	-	-	-	-	-	-	-	-	-	-
37162	Furn, Fixture, & Office Equip <\$10,000	3,000	-	-	-	-	-	-	-	-	-	-
37165	Mach. & Equipment <\$10,000	14,600	-	-	-	-	-	-	-	-	-	-
37192	Awards & Recognition	100	-	-	-	-	-	-	-	-	-	-
37193	Safety & First Aid Supplies	4,100	-	-	-	-	-	-	-	-	-	-
37211	Gas & Fuel	15,300	-	-	-	-	-	-	-	-	-	-
37212	Auto Parts & Lubricants	13,800	-	-	-	-	-	-	-	-	-	-
37221	Repair and Maintenance	1,000	-	-	-	-	-	-	-	-	-	-
37232	Chemical Supplies	3,400	-	-	-	-	-	-	-	-	-	-
37233	Street Repair Supplies	-	-	-	-	-	-	-	-	-	-	-



City of Surprise

Wastewater Utility Rate Study

Additional O&M Adjustments

		Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection
Expenses		FY15 Budget	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
37998	Photography Supplies	100	-	-	-	-	-	-	-	-	-	-
	Contractual Services											
38126	Service Agreements	200	-	-	-	-	-	-	-	-	-	-
38162	Landscape Services	1,900	-	-	-	-	-	-	-	-	-	-
38191	Pre Employment Services	500	-	-	-	-	-	-	-	-	-	-
38199	Other Prof/Tech Services	1,500	-	-	-	-	-	-	-	-	-	-
38211	Water	2,200	-	-	-	-	-	-	-	-	-	-
38212	Electricity	18,600	-	-	-	-	-	-	-	-	-	-
38213	Propane/Natural Gas	800	-	-	-	-	-	-	-	-	-	-
38214	Telephone	1,600	-	-	-	-	-	-	-	-	-	-
38216	Sanitary/Landfill	6,000	-	-	-	-	-	-	-	-	-	-
38217	Postage & Mailings	100	-	-	-	-	-	-	-	-	-	-
38221	Cell Phone/Pagers	500	-	-	-	-	-	-	-	-	-	-
38324	Machinery & Equip. Maint.	8,000	-	-	-	-	-	-	-	-	-	-
38331	Vehicle Maintenance	3,600	-	-	-	-	-	-	-	-	-	-
38351	Wells/Sewer/Lins/Etc.	48,100	-	-	-	-	-	-	-	-	-	-
38351	Wells/Sewer/Lins/Etc. - Citrus & Peoria	-	-	-	-	-	-	-	-	-	-	-
38372	Pest Control Services	75,100	-	-	-	-	-	-	-	-	-	-
38521	Equipment Rental	2,000	-	-	-	-	-	-	-	-	-	-
38922	Travel & Training	7,500	-	-	-	-	-	-	-	-	-	-
38924	Dues & Memberships	2,800	-	-	-	-	-	-	-	-	-	-
38925	Federal Mileage Allowance	100	-	-	-	-	-	-	-	-	-	-
38927	EE Certification & Licensing	600	-	-	-	-	-	-	-	-	-	-
38931	Advertising	-	-	-	-	-	-	-	-	-	-	-
38951	Printing	300	-	-	-	-	-	-	-	-	-	-
38991	Uniform/Laundry Services	3,300	-	-	-	-	-	-	-	-	-	-
	Capital											
39891	Prepds	-	-	-	-	-	-	-	-	-	-	-
604	Utility Locating											
	Personnel/Salaries											
36111	Full Time Salaries	58,947	-	-	-	-	-	-	-	-	-	-
36113	Overtime Compensation	-	-	-	-	-	-	-	-	-	-	-
36115	Holidays	-	-	-	-	-	-	-	-	-	-	-
36116	Paid Time Off	-	-	-	-	-	-	-	-	-	-	-
36151	Stipend	720	-	-	-	-	-	-	-	-	-	-
36211	FICA	4,509	-	-	-	-	-	-	-	-	-	-
36231	Medical	10,696	-	-	-	-	-	-	-	-	-	-
36232	Dental	571	-	-	-	-	-	-	-	-	-	-
36233	Vision	120	-	-	-	-	-	-	-	-	-	-
36234	Life	300	-	-	-	-	-	-	-	-	-	-
36252	AZ State Retirement	6,803	-	-	-	-	-	-	-	-	-	-
36278	Workers Compensation	1,950	-	-	-	-	-	-	-	-	-	-
	Commodities/Supplies											
37111	Office Supplies	300	-	-	-	-	-	-	-	-	-	-
37112	Computer Supplies	500	-	-	-	-	-	-	-	-	-	-
37131	Clothing & Uniforms	500	-	-	-	-	-	-	-	-	-	-
37161	Operational Supplies	1,700	-	-	-	-	-	-	-	-	-	-
37162	Furn, Fixture, & Office Equip <\$10,000	300	-	-	-	-	-	-	-	-	-	-
37165	Mach. & Equipment <\$10,000	200	-	-	-	-	-	-	-	-	-	-
37193	Safety & First Aid Supplies	300	-	-	-	-	-	-	-	-	-	-
37211	Gas & Fuel	2,300	-	-	-	-	-	-	-	-	-	-
37212	Auto Parts & Lubricants	700	-	-	-	-	-	-	-	-	-	-
	Contractual Services											
38128	Recording, License & Permit Fees	6,000	-	-	-	-	-	-	-	-	-	-
38217	Postage & Mailings	100	-	-	-	-	-	-	-	-	-	-
38221	Cell Phone/Pagers	1,400	-	-	-	-	-	-	-	-	-	-
38324	Machinery & Equip. Maint.	200	-	-	-	-	-	-	-	-	-	-
38331	Vehicle Maintenance	400	-	-	-	-	-	-	-	-	-	-
38922	Travel & Training	1,900	-	-	-	-	-	-	-	-	-	-



City of Surprise

Wastewater Utility Rate Study

Additional O&M Adjustments

		Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection
Expenses		FY15 Budget	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
38924	Dues & Memberships	300	-	-	-	-	-	-	-	-	-	-
38927	EE Certification & Licensing	-	-	-	-	-	-	-	-	-	-	-
Capital												
39891	Prepds	-	-	-	-	-	-	-	-	-	-	-
605 Environmental												
Personnel/Salaries												
36111	Full Time Salaries	170,269	-	-	97,799	-	-	-	-	-	-	-
36115	Holidays	-	-	-	-	-	-	-	-	-	-	-
36116	Paid Time Off	-	-	-	-	-	-	-	-	-	-	-
36151	Stipend	720	-	-	-	-	-	-	-	-	-	-
36211	FICA	13,026	-	-	-	-	-	-	-	-	-	-
36231	Medical	2,400	-	-	-	-	-	-	-	-	-	-
36232	Dental	1,166	-	-	-	-	-	-	-	-	-	-
36233	Vision	240	-	-	-	-	-	-	-	-	-	-
36234	Life	300	-	-	-	-	-	-	-	-	-	-
36252	AZ State Retirement	19,649	-	-	-	-	-	-	-	-	-	-
36278	Workers Compensation	2,654	-	-	-	-	-	-	-	-	-	-
Commodities/Supplies												
37111	Office Supplies	400	-	-	219	-	-	-	-	-	-	-
37112	Computer Supplies	900	-	-	109	-	-	-	-	-	-	-
37114	Books & Subscriptions	-	-	-	219	-	-	-	-	-	-	-
37161	Operational Supplies	3,000	-	-	5,464	-	-	-	-	-	-	-
37162	Furn, Fixture, & Office Equip <\$10,000	-	-	-	1,967	-	-	-	-	-	-	-
37165	Mach. & Equipment <\$10,000	-	-	-	3,278	-	-	-	-	-	-	-
37192	Awards & Recognition	100	-	-	-	-	-	-	-	-	-	-
37193	Safety & First Aid Supplies	500	-	-	546	-	-	-	-	-	-	-
37211	Gas & Fuel	-	-	-	2,732	-	-	-	-	-	-	-
37212	Auto Parts & Lubricants	-	-	-	1,421	-	-	-	-	-	-	-
37997	Education & Training Supplies	300	-	-	-	-	-	-	-	-	-	-
Contractual Services												
38128	Recording, License & Permit Fees	50,000	-	-	-	-	-	-	-	-	-	-
38129	Software Licenses	3,000	-	-	-	-	-	-	-	-	-	-
38199	Other Prof/Tech Services	100,200	25,000	-	-	-	-	-	-	-	-	-
38217	Postage & Mailings	1,200	-	-	-	-	-	-	-	-	-	-
38331	Vehicle Maintenance	1,000	-	-	874	-	-	-	-	-	-	-
38922	Travel & Training	6,500	-	-	1,639	-	-	-	-	-	-	-
38924	Dues & Memberships	600	-	-	1,093	-	-	-	-	-	-	-
38925	Federal Mileage Allowance	100	-	-	-	-	-	-	-	-	-	-
38927	EE Certification & Licensing	200	-	-	-	-	-	-	-	-	-	-
38951	Printing	100	-	-	-	-	-	-	-	-	-	-
Capital												
39891	Prepds	-	-	-	-	-	-	-	-	-	-	-
Miscellaneous												
Future Additional O&M Expenses		-	-	-	-	-	-	175,000	180,250	185,658	191,227	196,964
Total Cash O&M Expenditures		\$ 10,952,905	\$ 357,000	\$ 70,000	\$ 117,359	\$ -	\$ 6,500	\$ 175,000	\$ 180,250	\$ 185,658	\$ 191,227	\$ 196,964
TOTAL EXPENSES		\$ 10,952,905	\$ 357,000	\$ 70,000	\$ 117,359	\$ -	\$ 6,500	\$ 175,000	\$ 180,250	\$ 185,658	\$ 191,227	\$ 196,964



City of Surprise
Wastewater Utility Rate Study
Capital Improvement Program

Project Costs and O&M Impacts in Year:

2014

No	Description	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
1	Budget											
2	Vehicles		\$ 388,000									
3	SPA 1 Cortessa/Citrus and Northern - Devlpr Reimbursement	25,000										
4	SPA 1 Impact fee studies	3,400										
5	SPA 2 Impact fee studies	3,400										
6	SPA 3 Impact fee studies	3,400										
7	SPA 4 Impact fee studies	3,400										
8	SPA 5 Impact fee studies	3,400										
9	SPA 6 Impact fee studies	3,400										
10												
11	Enterprise CIP 22531 - Sewer Operations Fund											
12	Cactus Road - South Side (Tracks to Litchfield)		245,000	735,000	315,000							
13	Litchfield Road - East Side (Cactus Rd to Desert Cove Rd)		300,000	937,500								
14	Litchfield Road (Sweetwater Road to Cactus Road)		189,000	2,000,000								
15	Site & Security Improvements - Sewer		157,700	415,500								
16	SPA 2 WRF Overhead Crane for Membrane Removal	35,800	4,200									
17	SPA 2 WRF Plant Water System	93,100	94,600									
18	Disinfection Byproduct Reduction	41,100	865,700									
19	SPA1 WRF Sludge Gate/ Wet Well Repairs		325,000									
20	SPA1 WRF North Entrance Gate and Block Wall		150,000	1,500,000	1,500,000							
21	SPA 1 Recharge Basin Expansion @ South Plant							360,000	3,240,000			
22	Cover Disk Filter Area				792,000							
23	Cortessa Wet Well Re-Coating					100,000						
24	SPA1 Influent Line Hydraulic Rehabilitation		300,000	2,200,000	500,000							
25	Wastewater SCADA Upgrade		250,000									
26	Greenway Road Lift Station Rehabilitation		325,000									
27	SPA2 WRF Block Wall						1,000,000					
28	Line Installation at the SPA1 WRF		400,000									
29	Wastewater Capital Asset Replacement			578,800	413,800	352,400	287,400	1,078,000	245,200	4,609,300	392,200	172,200
30	Install Influent Pipeline between SPA2 SBR and MBR Plants							100,000				
31	Solar BioSolids Dryer		200,000	1,000,000								
32	SPA 1 16-in Sewer Line Civic Center Drive (Bell to Paradise)				600,000							
33	SPA 1 South WRF Stabilization, Dust Control & Landscape	25,000	219,900									
34	Additional Chlorine Generation Unit	202,800	647,200									
35	Enterprise CIP 22532 - Sewer System Development Fee											
36	18-in Sewer Line N Citrus Rd (Waddell Rd to Cactus Rd)					60,000	540,000					
37	18-in Sewer Line Sarival Rd (W Cactus Rd to W Peoria Ave)					120,000	1,080,000					
38	21-in Sewer Line Cotton Rd (W Cactus Rd to W Peoria Ave)					140,000	1,260,000					
39	Cactus Rd Sewer Line – Sarival to Reems					85,000	765,000					
40	Greenway Road Improvements - Sewer Line		1,000,000									



City of Surprise
Wastewater Utility Rate Study
Capital Improvement Program

Project Costs and O&M Impacts in Year: **2014**

No	Description	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
41	IWMP Existing Sewer System Deficiencies			300,000								
42	Mountain View Boulevard (West of Grand) - Sewer Line		300,000									
43	SPA 1 Recharge Wells @ South Plant							300,000	1,500,000			
44	SPA 1 Installation of 2nd Centrifuge		1,600,000									
45	Enterprise CIP 22533 - Sewer System Dev Fee SPA 2											
46	SPA 2 WRF - (Land Purchase)					300,000						
47	SPA 2 WRF Expansion - 4 MGD									4,600,000		
48												
49	Sewer Vehicles											
50	Administration											
51	Collections				445,000							
52	Operations			294,000	81,000			32,000				
53	Project Management				53,000							
54	Sewer Equipment											
55	Collections						20,000					
56	Operations			46,000		10,000	82,000	50,000	15,000	5,000		
57												
58	One-time Future O&M Costs											
59	Sewer System Asset Replacement		66,400									
60	Sludge Drying Pilot			75,000								
61	Wastewater OSHA LOTO Follow Through			25,000	25,000							
62	Develop SCADA Design and Programming Standards				50,000							
63	Prep Plant #2 for Wastewater Operations						150,000					
64	SCADA Programming Evaluation		25,000									
65	Sewer System Asset Replacement		446,000									
66	Install Covered Parking at SPA2 WRF		13,000									
67	New Fork Truck to assist in solids handling			125,000								
68	Wastewater Master Plan Updates				150,000							
69	Pretreatment Coordinator (Coordinator) Light Vehicle				27,318							
70												
Total Capital Projects		\$ 443,200	\$ 8,511,700	\$ 10,231,800	\$ 4,952,118	\$ 1,167,400	\$ 5,184,400	\$ 1,920,000	\$ 5,000,200	\$ 9,214,300	\$ 392,200	\$ 172,200
General Capital Fund		397,800	5,611,700	9,931,800	4,952,118	462,400	1,539,400	1,620,000	3,500,200	4,614,300	392,200	172,200
SPA 1		28,400	2,900,000	300,000	-	405,000	3,645,000	300,000	1,500,000	-	-	-
SPA 2		3,400	-	-	-	300,000	-	-	-	4,600,000	-	-
SPA 3		3,400	-	-	-	-	-	-	-	-	-	-
SPA 4		3,400	-	-	-	-	-	-	-	-	-	-
SPA 5		3,400	-	-	-	-	-	-	-	-	-	-
SPA 6		3,400	-	-	-	-	-	-	-	-	-	-



City of Surprise
Wastewater Utility Rate Study
Capital Improvement Program

Project Costs and O&M Impacts in Year: **2014**

No	Description	General Capital Fund	SPA 1	SPA 2	SPA 3	SPA 4	SPA 5	SPA 6	Specific Funding Source 1-Balances, 2-CIAC	
1	Budget	100%							1	Balances
2	Vehicles	100%							1	Balances
3	SPA 1 Cortessa/Citrus and Northern - Devlpr Reimbursement	0%	100%						1	Balances
4	SPA 1 Impact fee studies	0%	100%						1	Balances
5	SPA 2 Impact fee studies	0%		100%					1	Balances
6	SPA 3 Impact fee studies	0%			100%				1	Balances
7	SPA 4 Impact fee studies	0%				100%			1	Balances
8	SPA 5 Impact fee studies	0%					100%		1	Balances
9	SPA 6 Impact fee studies	0%						100%	1	Balances
10		100%							1	Balances
11	Enterprise CIP 22531 - Sewer Operations Fund	100%							1	Balances
12	Cactus Road - South Side (Tracks to Litchfield)	100%							1	Balances
13	Litchfield Road - East Side (Cactus Rd to Desert Cove Rd)	100%							1	Balances
14	Litchfield Road (Sweetwater Road to Cactus Road)	100%							1	Balances
15	Site & Security Improvements - Sewer	100%							1	Balances
16	SPA 2 WRF Overhead Crane for Membrane Removal	100%							1	Balances
17	SPA 2 WRF Plant Water System	100%							1	Balances
18	Disinfection Byproduct Reduction	100%							1	Balances
19	SPA1 WRF Sludge Gate/ Wet Well Repairs	100%							1	Balances
20	SPA1 WRF North Entrance Gate and Block Wall	100%							1	Balances
21	SPA 1 Recharge Basin Expansion @ South Plant	100%							1	Balances
22	Cover Disk Filter Area	100%							1	Balances
23	Cortessa Wet Well Re-Coating	100%							1	Balances
24	SPA1 Influent Line Hydraulic Rehabilitation	100%							1	Balances
25	Wastewater SCADA Upgrade	100%							1	Balances
26	Greenway Road Lift Station Rehabilitation	100%							1	Balances
27	SPA2 WRF Block Wall	100%							1	Balances
28	Line Installation at the SPA1 WRF	100%							1	Balances
29	Wastewater Capital Asset Replacement	100%							1	Balances
30	Install Influent Pipeline between SPA2 SBR and MBR Plants	100%							1	Balances
31	Solar BioSolids Dryer	100%							1	Balances
32	SPA 1 16-in Sewer Line Civic Center Drive (Bell to Paradise)	100%							1	Balances
33	SPA 1 South WRF Stabilization, Dust Control & Landscape	100%							1	Balances
34	Additional Chlorine Generation Unit	100%							1	Balances
35	Enterprise CIP 22532 - Sewer System Development Fee	0%	100%						1	Balances
36	18-in Sewer Line N Citrus Rd (Waddell Rd to Cactus Rd)	0%	100%						1	Balances
37	18-in Sewer Line Sarival Rd (W Cactus Rd to W Peoria Ave)	0%	100%						1	Balances
38	21-in Sewer Line Cotton Rd (W Cactus Rd to W Peoria Ave)	0%	100%						1	Balances
39	Cactus Rd Sewer Line – Sarival to Reems	0%	100%						1	Balances
40	Greenway Road Improvements - Sewer Line	0%	100%						1	Balances



City of Surprise
Wastewater Utility Rate Study
Capital Improvement Program

Project Costs and O&M Impacts in Year: **2014**

No	Description	General Capital Fund	SPA 1	SPA 2	SPA 3	SPA 4	SPA 5	SPA 6	Specific Funding Source 1-Balances, 2-CIAC	
41	IWMP Existing Sewer System Deficiencies	0%	100%						1	Balances
42	Mountain View Boulevard (West of Grand) - Sewer Line	0%	100%						1	Balances
43	SPA 1 Recharge Wells @ South Plant	0%	100%						1	Balances
44	SPA 1 Installation of 2nd Centrifuge	0%	100%						1	Balances
45	Enterprise CIP 22533 - Sewer System Dev Fee SPA 2	0%		100%					1	Balances
46	SPA 2 WRF - (Land Purchase)	0%		100%					1	Balances
47	SPA 2 WRF Expansion - 4 MGD	0%		100%					1	Balances
48		100%							1	Balances
49	Sewer Vehicles	100%							1	Balances
50	Administration	100%							1	Balances
51	Collections	100%							1	Balances
52	Operations	100%							1	Balances
53	Project Management	100%							1	Balances
54	Sewer Equipment	100%							1	Balances
55	Collections	100%							1	Balances
56	Operations	100%							1	Balances
57		100%							1	Balances
58	One-time Future O&M Costs	100%							1	Balances
59	Sewer System Asset Replacement	100%							1	Balances
60	Sludge Drying Pilot	100%							1	Balances
61	Wastewater OSHA LOTO Follow Through	100%							1	Balances
62	Develop SCADA Design and Programming Standards	100%							1	Balances
63	Prep Plant #2 for Wastewater Operations	100%							1	Balances
64	SCADA Programming Evaluation	100%							1	Balances
65	Sewer System Asset Replacement	100%							1	Balances
66	Install Covered Parking at SPA2 WRF	100%							1	Balances
67	New Fork Truck to assist in solids handling	100%							1	Balances
68	Wastewater Master Plan Updates	100%							1	Balances
69	Pretreatment Coordinator (Coordinator) Light Vehicle	100%							1	Balances
70		100%							1	Balances
Total Capital Projects		70%	19%	10%	0%	0%	0%	0%		
General Capital Fund										
SPA 1										
SPA 2										
SPA 3										
SPA 4										
SPA 5										
SPA 6										



City of Surprise

Wastewater Utility Rate Study

Capital Improvement Program

Project Costs and O&M Impacts in Year:

2014

TOTAL FORECASTED PROJECT COSTS

No	Description	TOTAL ESCALATED COSTS	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
1	Budget	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2	Vehicles	400,274	-	400,274	-	-	-	-	-	-	-	-	-
3	SPA 1 Cortessa/Citrus and Northern - Devlpr Reimbursement	25,000	25,000	-	-	-	-	-	-	-	-	-	-
4	SPA 1 Impact fee studies	3,400	3,400	-	-	-	-	-	-	-	-	-	-
5	SPA 2 Impact fee studies	3,400	3,400	-	-	-	-	-	-	-	-	-	-
6	SPA 3 Impact fee studies	3,400	3,400	-	-	-	-	-	-	-	-	-	-
7	SPA 4 Impact fee studies	3,400	3,400	-	-	-	-	-	-	-	-	-	-
8	SPA 5 Impact fee studies	3,400	3,400	-	-	-	-	-	-	-	-	-	-
9	SPA 6 Impact fee studies	3,400	3,400	-	-	-	-	-	-	-	-	-	-
10		-	-	-	-	-	-	-	-	-	-	-	-
11	Enterprise CIP 22531 - Sewer Operations Fund	-	-	-	-	-	-	-	-	-	-	-	-
12	Cactus Road - South Side (Tracks to Litchfield)	1,380,840	-	252,751	782,239	345,851	-	-	-	-	-	-	-
13	Litchfield Road - East Side (Cactus Rd to Desert Cove Rd)	1,307,244	-	309,491	997,754	-	-	-	-	-	-	-	-
14	Litchfield Road (Sweetwater Road to Cactus Road)	2,323,521	-	194,979	2,128,542	-	-	-	-	-	-	-	-
15	Site & Security Improvements - Sewer	604,893	-	162,689	442,205	-	-	-	-	-	-	-	-
16	SPA 2 WRF Overhead Crane for Membrane Removal	40,133	35,800	4,333	-	-	-	-	-	-	-	-	-
17	SPA 2 WRF Plant Water System	190,693	93,100	97,593	-	-	-	-	-	-	-	-	-
18	Disinfection Byproduct Reduction	934,186	41,100	893,086	-	-	-	-	-	-	-	-	-
19	SPA1 WRF Sludge Gate/ Wet Well Repairs	335,281	-	335,281	-	-	-	-	-	-	-	-	-
20	SPA1 WRF North Entrance Gate and Block Wall	3,398,060	-	154,745	1,596,406	1,646,909	-	-	-	-	-	-	-
21	SPA 1 Recharge Basin Expansion @ South Plant	4,463,250	-	-	-	-	-	-	433,969	4,029,281	-	-	-
22	Cover Disk Filter Area	869,568	-	-	-	869,568	-	-	-	-	-	-	-
23	Cortessa Wet Well Re-Coating	113,267	-	-	-	-	113,267	-	-	-	-	-	-
24	SPA1 Influent Line Hydraulic Rehabilitation	3,199,856	-	309,491	2,341,396	548,970	-	-	-	-	-	-	-
25	Wastewater SCADA Upgrade	257,909	-	257,909	-	-	-	-	-	-	-	-	-
26	Greenway Road Lift Station Rehabilitation	335,281	-	335,281	-	-	-	-	-	-	-	-	-
27	SPA2 WRF Block Wall	1,168,504	-	-	-	-	-	1,168,504	-	-	-	-	-
28	Line Installation at the SPA1 WRF	412,654	-	412,654	-	-	-	-	-	-	-	-	-
29	Wastewater Capital Asset Replacement	10,077,436	-	-	616,000	454,327	399,154	335,828	1,299,497	304,932	5,913,486	519,090	235,122
30	Install Influent Pipeline between SPA2 SBR and MBR Plants	120,547	-	-	-	-	-	-	120,547	-	-	-	-
31	Solar BioSolids Dryer	1,270,598	-	206,327	1,064,271	-	-	-	-	-	-	-	-
32	SPA 1 16-in Sewer Line Civic Center Drive (Bell to Paradise)	658,763	-	-	-	658,763	-	-	-	-	-	-	-
33	SPA 1 South WRF Stabilization, Dust Control & Landscape	251,857	25,000	226,857	-	-	-	-	-	-	-	-	-
34	Additional Chlorine Generation Unit	870,474	202,800	667,674	-	-	-	-	-	-	-	-	-
35	Enterprise CIP 22532 - Sewer System Development Fee	-	-	-	-	-	-	-	-	-	-	-	-
36	18-in Sewer Line N Citrus Rd (Waddell Rd to Cactus Rd)	698,953	-	-	-	-	67,960	630,992	-	-	-	-	-
37	18-in Sewer Line Sarival Rd (W Cactus Rd to W Peoria Ave)	1,397,905	-	-	-	-	135,921	1,261,985	-	-	-	-	-
38	21-in Sewer Line Cotton Rd (W Cactus Rd to W Peoria Ave)	1,630,890	-	-	-	-	158,574	1,472,316	-	-	-	-	-
39	Cactus Rd Sewer Line – Sarival to Reems	990,183	-	-	-	-	96,277	893,906	-	-	-	-	-
40	Greenway Road Improvements - Sewer Line	1,031,635	-	1,031,635	-	-	-	-	-	-	-	-	-



City of Surprise
Wastewater Utility Rate Study
Capital Improvement Program

Project Costs and O&M Impacts in Year: **2014**

TOTAL FORECASTED PROJECT COSTS

No	Description	TOTAL ESCALATED COSTS	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
41	IWMP Existing Sewer System Deficiencies	319,281	-	-	319,281	-	-	-	-	-	-	-	-
42	Mountain View Boulevard (West of Grand) - Sewer Line	309,491	-	309,491	-	-	-	-	-	-	-	-	-
43	SPA 1 Recharge Wells @ South Plant	2,227,049	-	-	-	-	-	-	361,641	1,865,408	-	-	-
44	SPA 1 Installation of 2nd Centrifuge	1,650,616	-	1,650,616	-	-	-	-	-	-	-	-	-
45	Enterprise CIP 22533 - Sewer System Dev Fee SPA 2	-	-	-	-	-	-	-	-	-	-	-	-
46	SPA 2 WRF - (Land Purchase)	339,802	-	-	-	-	339,802	-	-	-	-	-	-
47	SPA 2 WRF Expansion - 4 MGD	5,901,555	-	-	-	-	-	-	-	-	5,901,555	-	-
48		-	-	-	-	-	-	-	-	-	-	-	-
49	Sewer Vehicles	-	-	-	-	-	-	-	-	-	-	-	-
50	Administration	-	-	-	-	-	-	-	-	-	-	-	-
51	Collections	527,158	-	-	-	488,583	-	-	38,575	-	-	-	-
52	Operations	401,829	-	-	312,896	88,933	-	-	-	-	-	-	-
53	Project Management	58,191	-	-	-	58,191	-	-	-	-	-	-	-
54	Sewer Equipment	-	-	-	-	-	-	-	-	-	-	-	-
55	Collections	23,370	-	-	-	-	-	23,370	-	-	-	-	-
56	Operations	241,443	-	-	48,956	-	11,327	95,817	60,274	18,654	6,415	-	-
57		-	-	-	-	-	-	-	-	-	-	-	-
58	One-time Future O&M Costs	-	-	-	-	-	-	-	-	-	-	-	-
59	Sewer System Asset Replacement	68,501	-	68,501	-	-	-	-	-	-	-	-	-
60	Sludge Drying Pilot	79,820	-	-	79,820	-	-	-	-	-	-	-	-
61	Wastewater OSHA LOTO Follow Through	54,055	-	-	26,607	27,448	-	-	-	-	-	-	-
62	Develop SCADA Design and Programming Standards	54,897	-	-	-	54,897	-	-	-	-	-	-	-
63	Prep Plant #2 for Wastewater Operations	175,276	-	-	-	-	-	175,276	-	-	-	-	-
64	SCADA Programming Evaluation	25,791	-	25,791	-	-	-	-	-	-	-	-	-
65	Sewer System Asset Replacement	460,109	-	460,109	-	-	-	-	-	-	-	-	-
66	Install Covered Parking at SPA2 WRF	13,411	-	13,411	-	-	-	-	-	-	-	-	-
67	New Fork Truck to assist in solids handling	133,034	-	-	133,034	-	-	-	-	-	-	-	-
68	Wastewater Master Plan Updates	164,691	-	-	-	164,691	-	-	-	-	-	-	-
69	Pretreatment Coordinator (Coordinator) Light Vehicle	29,994	-	-	-	29,994	-	-	-	-	-	-	-
70		-	-	-	-	-	-	-	-	-	-	-	-
Total Capital Projects		\$ 54,039,418	\$ 443,200	\$ 8,780,968	\$ 10,889,406	\$ 5,437,124	\$ 1,322,282	\$ 6,057,994	\$ 2,314,503	\$ 6,218,275	\$ 11,821,455	\$ 519,090	\$ 235,122
General Capital Fund		37,496,659	397,800	5,789,226	10,570,125	5,437,124	523,748	1,798,796	1,952,862	4,352,867	5,919,901	519,090	235,122
SPA 1		10,284,403	28,400	2,991,742	319,281	-	458,732	4,259,199	361,641	1,865,408	-	-	-
SPA 2		6,244,756	3,400	-	-	-	339,802	-	-	-	5,901,555	-	-
SPA 3		3,400	3,400	-	-	-	-	-	-	-	-	-	-
SPA 4		3,400	3,400	-	-	-	-	-	-	-	-	-	-
SPA 5		3,400	3,400	-	-	-	-	-	-	-	-	-	-
SPA 6		3,400	3,400	-	-	-	-	-	-	-	-	-	-



City of Surprise

Wastewater Utility Rate Study

Revenue Requirements Analysis

Cash Flow Sufficiency Test	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
EXPENSES											
Cash Operating Expenses	\$ 10,440,300	\$ 11,309,905	\$ 11,863,534	\$ 12,221,461	\$ 12,545,294	\$ 12,965,508	\$ 13,488,322	\$ 14,126,542	\$ 14,851,001	\$ 15,304,700	\$ 15,966,778
Existing Debt Service	-	-	-	-	-	-	-	-	-	-	-
New Debt Service	-	-	-	-	-	-	-	-	-	-	-
Rate Funded System Reinvestment	4,600,000	4,600,000	4,800,000	5,200,000	5,800,000	6,300,000	6,500,000	6,500,000	6,500,000	6,800,000	6,800,000
Total Expenses	\$ 15,040,300	\$ 15,909,905	\$ 16,663,534	\$ 17,421,461	\$ 18,345,294	\$ 19,265,508	\$ 19,988,322	\$ 20,626,542	\$ 21,351,001	\$ 22,104,700	\$ 22,766,778
REVENUES											
Rate Revenue	\$ 15,785,300	\$ 16,156,517	\$ 16,763,361	\$ 17,558,429	\$ 18,438,893	\$ 19,342,363	\$ 20,053,775	\$ 20,765,188	\$ 21,476,600	\$ 22,188,013	\$ 22,899,425
Non Rate Revenue	-	-	-	-	-	-	-	-	-	-	-
System Development Fee Revenue Towards Debt	-	-	-	-	-	-	-	-	-	-	-
Operating Fund & Debt Reserve Fund Interest Earnings	261,600	8,581	13,944	19,502	20,090	20,622	21,313	22,173	23,222	24,413	25,158
Total Revenue	\$ 16,046,900	\$ 16,165,098	\$ 16,777,305	\$ 17,577,930	\$ 18,458,983	\$ 19,362,985	\$ 20,075,088	\$ 20,787,360	\$ 21,499,822	\$ 22,212,425	\$ 22,924,583
NET CASH FLOW (DEFICIENCY)	\$ 1,006,600	\$ 255,193	\$ 113,771	\$ 156,469	\$ 113,689	\$ 97,476	\$ 86,766	\$ 160,818	\$ 148,820	\$ 107,726	\$ 157,806
% of Rate Revenue	-6.38%	-1.58%	-0.68%	-0.89%	-0.62%	-0.50%	-0.43%	-0.77%	-0.69%	-0.49%	-0.69%

Coverage Sufficiency Test - Revenue Bonds	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
EXPENSES											
Total Cash Operating Expenses (less Capital Outlays)	\$ 9,614,800	\$ 10,173,605	\$ 10,686,145	\$ 11,005,472	\$ 11,292,826	\$ 11,675,466	\$ 12,159,578	\$ 12,757,936	\$ 13,441,337	\$ 13,852,745	\$ 14,471,265
Revenue Bond Debt Service	-	-	-	-	-	-	-	-	-	-	-
Revenue Bond Coverage Requirement at 1.2	-	-	-	-	-	-	-	-	-	-	-
Total Expenses	\$ 9,614,800	\$ 10,173,605	\$ 10,686,145	\$ 11,005,472	\$ 11,292,826	\$ 11,675,466	\$ 12,159,578	\$ 12,757,936	\$ 13,441,337	\$ 13,852,745	\$ 14,471,265
ALLOWABLE REVENUES											
Rate Revenue	\$ 15,785,300	\$ 16,156,517	\$ 16,763,361	\$ 17,558,429	\$ 18,438,893	\$ 19,342,363	\$ 20,053,775	\$ 20,765,188	\$ 21,476,600	\$ 22,188,013	\$ 22,899,425
Other Revenue	-	-	-	-	-	-	-	-	-	-	-
Interest Earnings - All Funds	261,600	90,627	107,840	107,483	81,149	176,556	221,031	296,896	335,238	187,126	304,950
Total Revenue	\$ 16,046,900	\$ 16,247,144	\$ 16,871,201	\$ 17,665,912	\$ 18,520,042	\$ 19,518,919	\$ 20,274,806	\$ 21,062,084	\$ 21,811,838	\$ 22,375,139	\$ 23,204,375
Coverage Realized	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
COVERAGE SURPLUS (DEFICIENCY)	\$ 6,432,100	\$ 6,073,539	\$ 6,185,056	\$ 6,660,440	\$ 7,227,216	\$ 7,843,453	\$ 8,115,227	\$ 8,304,148	\$ 8,370,501	\$ 8,522,394	\$ 8,733,110

Coverage Sufficiency Test - 2007 MPC Bond	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
EXPENSES											
Total Cash Operating Expenses (less Capital Outlays)	\$ 9,614,800	\$ 10,173,605	\$ 10,686,145	\$ 11,005,472	\$ 11,292,826	\$ 11,675,466	\$ 12,159,578	\$ 12,757,936	\$ 13,441,337	\$ 13,852,745	\$ 14,471,265
MPC 2007 Bond Debt Service	7,072,688	2,199,000	2,199,000	8,699,000	1,906,500	1,906,500	1,906,500	1,906,500	16,406,500	1,225,000	1,225,000
MPC 2007 Bond Coverage Requirement at 1	-	-	-	-	-	-	-	-	-	-	-
Total Expenses	\$ 9,614,800	\$ 10,173,605	\$ 10,686,145	\$ 11,005,472	\$ 11,292,826	\$ 11,675,466	\$ 12,159,578	\$ 12,757,936	\$ 13,441,337	\$ 13,852,745	\$ 14,471,265
ALLOWABLE REVENUES											
Rate Revenue	\$ 15,785,300	\$ 16,156,517	\$ 16,763,361	\$ 17,558,429	\$ 18,438,893	\$ 19,342,363	\$ 20,053,775	\$ 20,765,188	\$ 21,476,600	\$ 22,188,013	\$ 22,899,425
Other Revenue	-	-	-	-	-	-	-	-	-	-	-
SPA 1 System Development Fee Revenue	2,352,700	3,727,094	5,877,060	7,486,664	7,896,324	7,292,648	6,455,958	6,455,958	6,455,958	6,455,958	6,455,958
Interest Earnings - All Funds	261,600	90,627	107,840	107,483	81,149	176,556	221,031	296,896	335,238	187,126	304,950
Total Revenue	\$ 18,399,600	\$ 19,974,238	\$ 22,748,261	\$ 25,152,576	\$ 26,416,365	\$ 26,811,567	\$ 26,730,764	\$ 27,518,042	\$ 28,267,796	\$ 28,831,097	\$ 29,660,332
Coverage Realized	1.24	4.46	5.49	1.63	7.93	7.94	7.64	7.74	0.90	12.23	12.40
COVERAGE SURPLUS (DEFICIENCY)	\$ 8,784,800	\$ 9,800,633	\$ 12,062,116	\$ 14,147,104	\$ 15,123,540	\$ 15,136,101	\$ 14,571,185	\$ 14,760,106	\$ 14,826,459	\$ 14,978,352	\$ 15,189,068



City of Surprise

Wastewater Utility Rate Study

Revenue Requirements Analysis

Maximum Revenue Deficiency	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Sufficiency Test Driving the Deficiency	None	None	None	None	None	None	None	None	None	None	None
Maximum Deficiency From Tests	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
less: Net Revenue From Prior Rate Increases	-	-	-	-	-	-	-	-	-	-	-
Revenue Deficiency	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Plus: Adjustment for State Excise Tax	-	-	-	-	-	-	-	-	-	-	-
Total Revenue Deficiency	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Rate Increases	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Rate Revenue with no Increase	\$ 15,785,300	\$ 16,156,517	\$ 16,763,361	\$ 17,558,429	\$ 18,438,893	\$ 19,342,363	\$ 20,053,775	\$ 20,765,188	\$ 21,476,600	\$ 22,188,013	\$ 22,899,425
Revenues from Prior Rate Increases	-	-	-	-	-	-	-	-	-	-	-
Rate Revenue Before Rate Increase (Incl. previous increases)	15,785,300	16,156,517	16,763,361	17,558,429	18,438,893	19,342,363	20,053,775	20,765,188	21,476,600	22,188,013	22,899,425
Required Annual Rate Increase	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Number of Months New Rates Will Be In Effect	12	12	12	12	12	12	12	12	12	12	12
Info: Percentage Increase to Generate Required Revenue	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Policy Induced Rate Increases	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
ANNUAL RATE INCREASE	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
CUMULATIVE RATE INCREASE	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

Impacts of Rate Increases	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Rate Revenues After Rate Increase	\$ 15,785,300	\$ 16,156,517	\$ 16,763,361	\$ 17,558,429	\$ 18,438,893	\$ 19,342,363	\$ 20,053,775	\$ 20,765,188	\$ 21,476,600	\$ 22,188,013	\$ 22,899,425
Full Year Rate Revenues After Rate Increase	15,785,300	16,156,517	16,763,361	17,558,429	18,438,893	19,342,363	20,053,775	20,765,188	21,476,600	22,188,013	22,899,425
Additional State Taxes Due to Rate Increases	-	-	-	-	-	-	-	-	-	-	-
Net Cash Flow After Rate Increase	1,006,600	255,193	113,771	156,469	113,689	97,476	86,766	160,818	148,820	107,726	157,806
Coverage After Rate Increase	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a



City of Surprise

Wastewater Utility Rate Study

Fund Activity

Funds	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
SEWER OPERATIONS FUND (22531)											
Beginning Balance	\$ 19,097,517	\$ 1,716,214	\$ 1,859,162	\$ 1,950,170	\$ 2,009,007	\$ 2,062,240	\$ 2,131,316	\$ 2,217,258	\$ 2,322,171	\$ 2,441,260	\$ 2,515,841
plus: Net Cash Flow after Rate Increase	1,006,600	255,193	113,771	156,469	113,689	97,476	86,766	160,818	148,820	107,726	157,806
less: Transfer of Surplus to Capital Fund	(18,387,903)	(112,244)	(22,764)	(97,632)	(60,456)	(28,400)	(824)	(55,905)	(29,731)	(33,145)	(48,971)
Ending Balance	\$ 1,716,214	\$ 1,859,162	\$ 1,950,170	\$ 2,009,007	\$ 2,062,240	\$ 2,131,316	\$ 2,217,258	\$ 2,322,171	\$ 2,441,260	\$ 2,515,841	\$ 2,624,676
Minimum Target Balance	\$ 1,716,214	\$ 1,859,162	\$ 1,950,170	\$ 2,009,007	\$ 2,062,240	\$ 2,131,316	\$ 2,217,258	\$ 2,322,171	\$ 2,441,260	\$ 2,515,841	\$ 2,624,676
Maximum Funds to be Kept as Operating Reserves	\$ 1,716,214	\$ 1,859,162	\$ 1,950,170	\$ 2,009,007	\$ 2,062,240	\$ 2,131,316	\$ 2,217,258	\$ 2,322,171	\$ 2,441,260	\$ 2,515,841	\$ 2,624,676
Info: No of Days of Cash Operating Expenses	60	60	60	60	60	60	60	60	60	60	60
OPERATIONS FUND - CAPITAL PORTION											
Beginning Balance	\$ -	\$ 16,409,159	\$ 12,519,463	\$ 8,798,164	\$ 6,105,852	\$ 15,593,405	\$ 19,971,747	\$ 27,472,369	\$ 31,201,632	\$ 16,271,382	\$ 27,979,109
plus: Rate Funded System Reinvestment	4,600,000	4,600,000	4,800,000	5,200,000	5,800,000	6,300,000	6,500,000	6,500,000	6,500,000	6,800,000	6,800,000
plus: Transfers from Operating Fund	18,387,903	112,244	22,764	97,632	60,456	28,400	824	55,905	29,731	33,145	48,971
plus: Grants/ Donations/ CIAC	-	-	-	-	-	-	-	-	-	-	-
plus: Additional Proceeds (Costs)	-	-	-	-	-	-	-	-	-	-	-
plus: Transfers from SPA Funds for Deficit Repayment	-	-	1,932,166	-	4,102,247	-	2,752,942	1,251,501	-	5,230,958	5,230,958
plus: Revenue Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-
plus: Other Loans	-	-	-	-	-	-	-	-	-	-	-
plus: Other Low Interest Loan Proceeds	-	-	-	-	-	-	-	-	-	-	-
plus: Interest Earnings	-	82,046	93,896	87,982	61,059	155,934	199,717	274,724	312,016	162,714	279,791
Total Funding Sources	\$ 22,987,903	\$ 21,203,450	\$ 19,368,288	\$ 14,183,777	\$ 16,129,614	\$ 22,077,739	\$ 29,425,231	\$ 35,554,499	\$ 38,043,380	\$ 28,498,199	\$ 40,338,829
less: Capital Expenditures	(397,800)	(5,789,226)	(10,570,125)	(5,437,124)	(523,748)	(1,798,796)	(1,952,862)	(4,352,867)	(5,919,901)	(519,090)	(235,122)
less: Transfers to SPA Funds	(6,180,943)	(2,894,761)	-	(2,640,801)	(12,461)	(307,196)	-	-	(15,852,097)	-	-
Ending Capital Fund Balance	\$ 16,409,159	\$ 12,519,463	\$ 8,798,164	\$ 6,105,852	\$ 15,593,405	\$ 19,971,747	\$ 27,472,369	\$ 31,201,632	\$ 16,271,382	\$ 27,979,109	\$ 40,103,707
Minimum Target Balance	\$ 5,170,183	\$ 5,345,802	\$ 5,563,590	\$ 5,672,333	\$ 5,698,778	\$ 5,819,938	\$ 5,866,228	\$ 5,990,594	\$ 6,227,023	\$ 6,237,405	\$ 6,242,107
DEBT RESERVE											
New Debt											
Beginning Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
plus: Reserve Funding from New Debt	-	-	-	-	-	-	-	-	-	-	-
less: Use of Reserves for Debt Service	-	-	-	-	-	-	-	-	-	-	-
Ending Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Minimum Target Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2003 MPC Reserve (31404)											
Beginning Balance	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230
less: Use of Reserves for Debt Service	-	-	-	-	-	-	-	-	-	-	-
Ending Balance	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230	\$ 1,550,230
2007 MPC Reserve (31304)											
Beginning Balance	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810
less: Use of Reserves for Debt Service	-	-	-	-	-	-	-	-	-	-	-
Ending Balance	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810	\$ 5,068,810



City of Surprise
Wastewater Utility Rate Study
Fund Activity

Funds	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
REPAIR AND REPLACEMENT FUND (2007 MPC REQUIREMENT)											
Beginning Balance	\$ 5,317,196	\$ 5,330,489	\$ 5,357,141	\$ 5,563,590	\$ 5,672,333	\$ 5,729,056	\$ 5,819,938	\$ 5,878,138	\$ 5,990,594	\$ 6,227,023	\$ 6,289,293
plus: Interest Earnings	13,293	26,652	40,179	55,636	56,723	57,291	58,199	58,781	59,906	62,270	62,893
Total Funding Sources	\$ 5,330,489	\$ 5,357,141	\$ 5,397,320	\$ 5,619,226	\$ 5,729,056	\$ 5,786,347	\$ 5,878,138	\$ 5,936,919	\$ 6,050,500	\$ 6,289,293	\$ 6,352,186
plus: Transfers In	-	-	166,270	53,107	-	33,592	-	53,675	176,523	-	-
Total Funding Sources	\$ 5,330,489	\$ 5,357,141	\$ 5,563,590	\$ 5,672,333	\$ 5,729,056	\$ 5,819,938	\$ 5,878,138	\$ 5,990,594	\$ 6,227,023	\$ 6,289,293	\$ 6,352,186
less: Use of Funds	-	-	-	-	-	-	-	-	-	-	-
Ending Balance	\$ 5,330,489	\$ 5,357,141	\$ 5,563,590	\$ 5,672,333	\$ 5,729,056	\$ 5,819,938	\$ 5,878,138	\$ 5,990,594	\$ 6,227,023	\$ 6,289,293	\$ 6,352,186
<i>Max. Annual Fund Deposit (2% of Preceeding FY Rev.)</i>	\$ 321,819	\$ 315,706	\$ 323,130	\$ 335,267	\$ 351,169	\$ 368,778	\$ 386,847	\$ 401,076	\$ 415,304	\$ 429,532	\$ 443,760
<i>Minimum Fund Balance</i>	\$ 4,000,000	\$ 4,000,000	\$ 4,000,000	\$ 4,000,000	\$ 4,000,000	\$ 4,000,000	\$ 4,000,000	\$ 4,000,000	\$ 4,000,000	\$ 4,000,000	\$ 4,000,000
Estimated Capital Assets	\$ 258,509,143	\$ 267,290,111	\$ 278,179,517	\$ 283,616,641	\$ 284,938,923	\$ 290,996,917	\$ 293,311,420	\$ 299,529,694	\$ 311,351,150	\$ 311,870,239	\$ 312,105,361
<i>Maximum Fund Balance (2% of Capital Assets)</i>	\$ 5,170,183	\$ 5,345,802	\$ 5,563,590	\$ 5,672,333	\$ 5,698,778	\$ 5,819,938	\$ 5,866,228	\$ 5,990,594	\$ 6,227,023	\$ 6,237,405	\$ 6,242,107



City of Surprise

Wastewater Utility Rate Study

Fund Activity

SPA Funds	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Sewer System Dev Fee SPA 1 (22532)											
Beginning Balance	\$ 7,816	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
plus: System Development Fee	2,352,700	3,727,094	5,877,060	7,486,664	7,896,324	7,292,648	6,455,958	6,455,958	6,455,958	6,455,958	6,455,958
plus: Interest Earnings	-	-	-	-	-	-	-	-	-	-	-
Total Funding Sources	\$ 2,360,516	\$ 3,727,094	\$ 5,877,060	\$ 7,486,664	\$ 7,896,324	\$ 7,292,648	\$ 6,455,958	\$ 6,455,958	\$ 6,455,958	\$ 6,455,958	\$ 6,455,958
less: Debt Service Payment	(8,504,254)	(3,630,113)	(3,625,613)	(10,127,466)	(3,335,344)	(3,340,645)	(3,341,375)	(3,339,049)	(16,406,500)	(1,225,000)	(1,225,000)
less: Capital Expenditures	(28,400)	(2,991,742)	(319,281)	-	(458,732)	(4,259,199)	(361,641)	(1,865,408)	-	-	-
Remaining Funding Sources (Deficit)	\$ (6,172,138)	\$ (2,894,761)	\$ 1,932,166	\$ (2,640,801)	\$ 4,102,247	\$ (307,196)	\$ 2,752,942	\$ 1,251,501	\$ (9,950,542)	\$ 5,230,958	\$ 5,230,958
plus: Transfer from Capital Fund	6,172,138	2,894,761	-	2,640,801	-	307,196	-	-	9,950,542	-	-
less: Repayment to Capital Fund	-	-	(1,932,166)	-	(4,102,247)	-	(2,752,942)	(1,251,501)	-	(5,230,958)	(5,230,958)
Ending Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Running Balance To Op./Cap. Fund											
Beginning Fund Deficit (Owed to Capital Fund)	\$ 18,928,645	\$ 25,100,783	\$ 27,995,544	\$ 26,063,378	\$ 28,704,179	\$ 24,601,932	\$ 24,909,128	\$ 22,156,186	\$ 20,904,685	\$ 30,855,227	\$ 25,624,269
Change in Deficit	6,172,138	2,894,761	(1,932,166)	2,640,801	(4,102,247)	307,196	(2,752,942)	(1,251,501)	9,950,542	(5,230,958)	(5,230,958)
Ending Fund Deficit	\$ 25,100,783	\$ 27,995,544	\$ 26,063,378	\$ 28,704,179	\$ 24,601,932	\$ 24,909,128	\$ 22,156,186	\$ 20,904,685	\$ 30,855,227	\$ 25,624,269	\$ 20,393,311
Sewer System Dev Fee SPA 2 (22533)											
Beginning Balance	\$ 317,517	\$ 316,917	\$ 318,502	\$ 320,890	\$ 324,099	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
plus: System Development Fee	-	-	-	-	-	-	-	-	-	-	-
plus: Interest Earnings	2,800	1,585	2,389	3,209	3,241	-	-	-	-	-	-
Total Funding Sources	\$ 320,317	\$ 318,502	\$ 320,890	\$ 324,099	\$ 327,340	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
less: Capital Expenditures	(3,400)	-	-	-	(339,802)	-	-	-	(5,901,555)	-	-
Remaining Funding Sources (Deficit)	\$ 316,917	\$ 318,502	\$ 320,890	\$ 324,099	\$ (12,461)	\$ -	\$ -	\$ -	\$ (5,901,555)	\$ -	\$ -
plus: Transfer from Capital Fund	-	-	-	-	12,461	-	-	-	5,901,555	-	-
less: Repayment to Capital Fund	-	-	-	-	-	-	-	-	-	-	-
Ending Balance	\$ 316,917	\$ 318,502	\$ 320,890	\$ 324,099	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Running Balance To Op./Cap. Fund											
Beginning Fund Deficit (Owed to Capital Fund)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 12,461	\$ 12,461	\$ 12,461	\$ 12,461	\$ 5,914,016	\$ 5,914,016
Change in Deficit	-	-	-	-	12,461	-	-	-	5,901,555	-	-
Ending Fund Deficit	\$ -	\$ -	\$ -	\$ -	\$ 12,461	\$ 12,461	\$ 12,461	\$ 12,461	\$ 5,914,016	\$ 5,914,016	\$ 5,914,016
Sewer System Dev Fee SPA 3 (22534)											
Beginning Balance	\$ 49,407	\$ 46,607	\$ 46,840	\$ 47,192	\$ 47,664	\$ 48,140	\$ 48,622	\$ 49,108	\$ 49,599	\$ 50,095	\$ 50,596
plus: System Development Fee	-	-	-	-	-	-	-	-	-	-	-
plus: Interest Earnings	600	233	351	472	477	481	486	491	496	501	506
Total Funding Sources	\$ 50,007	\$ 46,840	\$ 47,192	\$ 47,664	\$ 48,140	\$ 48,622	\$ 49,108	\$ 49,599	\$ 50,095	\$ 50,596	\$ 51,102
less: Capital Expenditures	(3,400)	-	-	-	-	-	-	-	-	-	-
Remaining Funding Sources (Deficit)	\$ 46,607	\$ 46,840	\$ 47,192	\$ 47,664	\$ 48,140	\$ 48,622	\$ 49,108	\$ 49,599	\$ 50,095	\$ 50,596	\$ 51,102
plus: Transfer from Capital Fund	-	-	-	-	-	-	-	-	-	-	-
less: Repayment to Capital Fund	-	-	-	-	-	-	-	-	-	-	-
Ending Balance	\$ 46,607	\$ 46,840	\$ 47,192	\$ 47,664	\$ 48,140	\$ 48,622	\$ 49,108	\$ 49,599	\$ 50,095	\$ 50,596	\$ 51,102
Running Balance To Op./Cap. Fund											
Beginning Fund Deficit (Owed to Capital Fund)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Change in Deficit	-	-	-	-	-	-	-	-	-	-	-
Ending Fund Deficit	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -



City of Surprise
Wastewater Utility Rate Study
Fund Activity

SPA Funds	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Sewer System Dev Fee SPA 4 (22535)											
Beginning Balance	\$ 1,393	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
plus: System Development Fee	-	-	-	-	-	-	-	-	-	-	-
plus: Interest Earnings	-	-	-	-	-	-	-	-	-	-	-
Total Funding Sources	\$ 1,393	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
less: Capital Expenditures	(3,400)	-	-	-	-	-	-	-	-	-	-
Remaining Funding Sources (Deficit)	\$ (2,007)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
plus: Transfer from Capital Fund	2,007	-	-	-	-	-	-	-	-	-	-
less: Repayment to Capital Fund	-	-	-	-	-	-	-	-	-	-	-
Ending Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Running Balance To Op./Cap. Fund											
Beginning Fund Deficit (Owed to Capital Fund)	\$ -	\$ 2,007	\$ 2,007	\$ 2,007	\$ 2,007	\$ 2,007	\$ 2,007	\$ 2,007	\$ 2,007	\$ 2,007	\$ 2,007
Change in Deficit	2,007	-	-	-	-	-	-	-	-	-	-
Ending Fund Deficit	\$ 2,007	\$ 2,007	\$ 2,007	\$ 2,007	\$ 2,007	\$ 2,007	\$ 2,007	\$ 2,007	\$ 2,007	\$ 2,007	\$ 2,007
Sewer System Dev Fee SPA 5 (22536)											
Beginning Balance	\$ 1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
plus: System Development Fee	-	-	-	-	-	-	-	-	-	-	-
plus: Interest Earnings	-	-	-	-	-	-	-	-	-	-	-
Total Funding Sources	\$ 1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
less: Capital Expenditures	(3,400)	-	-	-	-	-	-	-	-	-	-
Remaining Funding Sources (Deficit)	\$ (3,399)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
plus: Transfer from Capital Fund	3,399	-	-	-	-	-	-	-	-	-	-
less: Repayment to Capital Fund	-	-	-	-	-	-	-	-	-	-	-
Ending Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Running Balance To Op./Cap. Fund											
Beginning Fund Deficit (Owed to Capital Fund)	\$ 1,571	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970
Change in Deficit	3,399	-	-	-	-	-	-	-	-	-	-
Ending Fund Deficit	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970
Sewer System Dev Fee SPA 6 (22537)											
Beginning Balance	\$ 1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
plus: System Development Fee	-	-	-	-	-	-	-	-	-	-	-
plus: Interest Earnings	-	-	-	-	-	-	-	-	-	-	-
Total Funding Sources	\$ 1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
less: Capital Expenditures	(3,400)	-	-	-	-	-	-	-	-	-	-
Remaining Funding Sources (Deficit)	\$ (3,399)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
plus: Transfer from Capital Fund	3,399	-	-	-	-	-	-	-	-	-	-
less: Repayment to Capital Fund	-	-	-	-	-	-	-	-	-	-	-
Ending Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Running Balance To Op./Cap. Fund											
Beginning Fund Deficit (Owed to Capital Fund)	\$ 1,571	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970
Change in Deficit	3,399	-	-	-	-	-	-	-	-	-	-
Ending Fund Deficit	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970	\$ 4,970



City of Surprise

Wastewater Utility Rate Study

Fund Activity

Existing Debt Service - MPC Revenue Bonds	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
MPC 2003 - Sewer Portion 30.25%											
Annual Interest Payment	\$ 419,704	\$ 367,825	\$ 313,413	\$ 256,278	\$ 196,156	\$ 139,445	\$ 85,725	\$ 28,949	\$ -	\$ -	\$ -
Annual Principal Payment	<u>1,011,863</u>	<u>1,063,288</u>	<u>1,113,200</u>	<u>1,172,188</u>	<u>1,232,688</u>	<u>1,294,700</u>	<u>1,349,150</u>	<u>1,403,600</u>	<u>-</u>	<u>-</u>	<u>-</u>
Total Annual Payment	\$ 1,431,566	\$ 1,431,113	\$ 1,426,613	\$ 1,428,466	\$ 1,428,844	\$ 1,434,145	\$ 1,434,875	\$ 1,432,549	\$ -	\$ -	\$ -
Use of Debt Reserve for Debt Service	-	-	-	-	-	-	-	-	-	-	-
MPC 2007											
Annual Interest Payment	\$ 2,397,688	\$ 2,199,000	\$ 2,199,000	\$ 2,199,000	\$ 1,906,500	\$ 1,906,500	\$ 1,906,500	\$ 1,906,500	\$ 1,906,500	\$ 1,225,000	\$ 1,225,000
Annual Principal Payment	<u>4,675,000</u>	<u>-</u>	<u>-</u>	<u>6,500,000</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>14,500,000</u>	<u>-</u>	<u>-</u>
Total Annual Payment	\$ 7,072,688	\$ 2,199,000	\$ 2,199,000	\$ 8,699,000	\$ 1,906,500	\$ 1,906,500	\$ 1,906,500	\$ 1,906,500	\$ 16,406,500	\$ 1,225,000	\$ 1,225,000
Use of Debt Reserve for Debt Service	-	-	-	-	-	-	-	-	-	-	-
TOTAL MPC REVENUE BONDS											
Annual Interest Payment	\$ 2,817,392	\$ 2,566,825	\$ 2,512,413	\$ 2,455,278	\$ 2,102,656	\$ 2,045,945	\$ 1,992,225	\$ 1,935,449	\$ 1,906,500	\$ 1,225,000	\$ 1,225,000
Annual Principal Payment	<u>5,686,863</u>	<u>1,063,288</u>	<u>1,113,200</u>	<u>7,672,188</u>	<u>1,232,688</u>	<u>1,294,700</u>	<u>1,349,150</u>	<u>1,403,600</u>	<u>14,500,000</u>	<u>-</u>	<u>-</u>
Total Annual Payment	\$ 8,504,254	\$ 3,630,113	\$ 3,625,613	\$ 10,127,466	\$ 3,335,344	\$ 3,340,645	\$ 3,341,375	\$ 3,339,049	\$ 16,406,500	\$ 1,225,000	\$ 1,225,000
Use of Debt Reserve for Debt Service	-	-	-	-	-	-	-	-	-	-	-
Annual Debt Reserve Target on Existing Revenue Bonds	26,225,000	26,225,000	26,225,000	26,225,000	26,225,000	26,225,000	26,225,000	26,225,000	26,225,000	26,225,000	26,225,000

TECHNICAL APPENDIX: SANITATION FUND



City of Surprise

Sanitation Utility Rate Study

Summary

Revenue Requirement	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Revenues											
Rate Revenues Under Existing Rates	\$ 6,482,000	\$ 6,689,944	\$ 7,029,878	\$ 7,475,248	\$ 7,968,455	\$ 8,474,549	\$ 8,873,059	\$ 9,271,569	\$ 9,670,079	\$ 10,068,588	\$ 10,467,098
Non-Rate Revenues	476,100	419,547	441,720	436,037	455,137	475,539	483,472	500,400	516,931	534,289	547,498
Total Revenues	\$ 6,958,100	\$ 7,109,490	\$ 7,471,598	\$ 7,911,286	\$ 8,423,592	\$ 8,950,088	\$ 9,356,531	\$ 9,771,969	\$ 10,187,009	\$ 10,602,878	\$ 11,014,596
Expenses											
General Operations	\$ 5,686,400	\$ 5,862,661	\$ 6,048,367	\$ 6,349,532	\$ 6,629,387	\$ 6,985,611	\$ 7,306,511	\$ 7,757,665	\$ 8,105,938	\$ 8,473,766	\$ 8,854,532
Bulk Trash	434,700	479,490	495,170	511,383	561,123	579,840	599,207	619,250	639,991	661,458	683,676
Recycling	315,600	297,602	306,617	315,908	325,481	335,346	345,511	355,987	366,782	377,906	389,370
Vehicle/Equipment Funding	-	400,000	600,000	700,000	1,000,000	1,300,000	1,600,000	1,800,000	2,000,000	2,000,000	2,000,000
Total Expenses	\$ 6,436,700	\$ 7,039,753	\$ 7,450,154	\$ 7,876,822	\$ 8,515,991	\$ 9,200,797	\$ 9,851,230	\$ 10,532,902	\$ 11,112,711	\$ 11,513,130	\$ 11,927,578
Net Surplus (Deficiency)	\$ 521,400	\$ 69,737	\$ 21,443	\$ 34,463	\$ (92,399)	\$ (250,708)	\$ (494,699)	\$ (760,933)	\$ (925,701)	\$ (910,252)	\$ (912,982)
Additions to Meet Coverage	-	-	-	-	-	-	-	-	-	-	-
Total Surplus (Deficiency)	\$ 521,400	\$ 69,737	\$ 21,443	\$ 34,463	\$ (92,399)	\$ (250,708)	\$ (494,699)	\$ (760,933)	\$ (925,701)	\$ (910,252)	\$ (912,982)
% of Rate Revenue	0.00%	0.00%	0.00%	0.00%	1.16%	2.96%	5.58%	8.21%	9.57%	9.04%	8.72%
Annual Rate Adjustment	0.00%	0.00%	0.00%	0.00%	0.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%
Cumulative Annual Rate Adjustment	0.00%	0.00%	0.00%	0.00%	0.00%	2.00%	4.04%	6.12%	8.24%	10.41%	12.62%
Rate Revenues After Rate Increase	\$ 6,482,000	\$ 6,689,944	\$ 7,029,878	\$ 7,475,248	\$ 7,968,455	\$ 8,644,040	\$ 9,231,530	\$ 9,839,063	\$ 10,467,204	\$ 11,116,535	\$ 11,787,653
Additional Taxes from Rate Increase	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Net Cash Flow After Rate Increase	521,400	69,737	21,443	34,463	(92,399)	(81,217)	(136,227)	(193,439)	(128,576)	137,695	407,572
Coverage After Rate Increases	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Sample Residential Monthly Bill*	\$ 16.63	\$ 16.63	\$ 16.63	\$ 16.63	\$ 16.63	\$ 16.96	\$ 17.30	\$ 17.65	\$ 18.00	\$ 18.36	\$ 18.73
Monthly Average Increase (\$)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 0.33	\$ 0.34	\$ 0.35	\$ 0.35	\$ 0.36	\$ 0.37

*Per Customer



City of Surprise

Sanitation Utility Rate Study

Summary

Fund Balance	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
OPERATIONS FUND											
Beginning Balance	\$ 9,712,295	\$ 8,593,695	\$ 6,597,825	\$ 2,469,108	\$ 2,302,749	\$ 2,210,350	\$ 1,191,458	\$ 1,055,231	\$ 861,792	\$ 733,216	\$ 171,101
plus: Net Cash Flow after Rate Increase	521,400	69,737	21,443	34,463	(92,399)	(81,217)	(136,227)	(193,439)	(128,576)	137,695	407,572
less: Transfer of Surplus to Capital Fund	(1,640,000)	(2,065,608)	(4,150,160)	(200,823)	-	(937,674)	-	-	-	(699,810)	-
Ending Balance	\$ 8,593,695	\$ 6,597,825	\$ 2,469,108	\$ 2,302,749	\$ 2,210,350	\$ 1,191,458	\$ 1,055,231	\$ 861,792	\$ 733,216	\$ 171,101	\$ 578,674
Target Balance	\$ 1,058,088	\$ 1,091,466	\$ 1,126,053	\$ 1,179,752	\$ 1,235,505	\$ 1,298,761	\$ 1,356,367	\$ 1,435,546	\$ 1,497,980	\$ 1,563,802	\$ 1,631,931
Days	487	363	132	117	107	55	47	36	29	7	21
OPERATIONS FUND - CAPITAL/EQUIPMENT RESERVE											
Beginning Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 487,160	\$ -	\$ 1,561,425	\$ 910,597	\$ 283,248	\$ -
plus: Capital/Equipment Reserve Funding	-	400,000	600,000	700,000	1,000,000	1,300,000	1,600,000	1,800,000	2,000,000	2,000,000	2,000,000
plus: Transfers from Operating Fund	1,640,000	2,065,608	4,150,160	200,823	-	937,674	-	-	-	699,810	-
plus: Grants/ Donations/ CIAC	-	-	-	-	-	-	-	-	-	-	-
plus: Additional Proceeds (Costs)	-	-	-	-	-	-	-	-	-	-	-
plus: Net Debt Proceeds Available for Projects	-	-	-	-	-	-	-	-	-	-	-
plus: Interest Earnings	-	-	-	-	-	4,872	-	15,614	9,106	2,832	-
Total Funding Sources	\$ 1,640,000	\$ 2,465,608	\$ 4,750,160	\$ 900,823	\$ 1,000,000	\$ 2,729,706	\$ 1,600,000	\$ 3,377,039	\$ 2,919,703	\$ 2,985,890	\$ 2,000,000
less: Capital Expenditures	(1,640,000)	(2,465,608)	(4,750,160)	(900,823)	(512,840)	(2,729,706)	(38,575)	(2,466,442)	(2,636,455)	(2,985,890)	(1,161,230)
Ending Working Capital Balance	\$ -	\$ -	\$ -	\$ -	\$ 487,160	\$ -	\$ 1,561,425	\$ 910,597	\$ 283,248	\$ -	\$ 838,770
COMBINED BEGINNING BALANCE	\$ 9,712,295	\$ 8,593,695	\$ 6,597,825	\$ 2,469,108	\$ 2,302,749	\$ 2,697,510	\$ 1,191,458	\$ 2,616,656	\$ 1,772,389	\$ 1,016,464	\$ 171,101
COMBINED ENDING BALANCE	\$ 8,593,695	\$ 6,597,825	\$ 2,469,108	\$ 2,302,749	\$ 2,697,510	\$ 1,191,458	\$ 2,616,656	\$ 1,772,389	\$ 1,016,464	\$ 171,101	\$ 1,417,444

Notes:

Additional Proceeds (Costs) Consist of the following:	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024
Transfer from PW Development Fee Fund	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -



City of Surprise Sanitation Utility Rate Study Assumptions

July 2013 - June 2014

Economic & Financial Factors		FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
1	General Cost Inflation	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%
2	Construction Cost Inflation	3.16%	3.16%	3.16%	3.16%	3.16%	3.16%	3.16%	3.16%	3.16%	3.16%	3.16%
3	Labor Cost Inflation	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%
4	Benefit Cost Inflation	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%
5	Customer Growth	1.88%	3.21%	5.08%	6.34%	6.60%	6.35%	4.70%	4.49%	4.30%	4.12%	3.96%
6	General Inflation plus Growth	4.94%	6.30%	8.23%	9.53%	9.80%	9.54%	7.84%	7.63%	7.43%	7.24%	7.08%
7	No Escalation	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
8	PILOT	33.74%	-9.25%	26.86%	33.15%	49.95%	6.32%	3.38%	17.42%	0.21%	13.37%	12.61%
9	Franchise In Lieu Payment	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
10	Fuel Cost Escalation	4.75%	4.75%	4.75%	4.75%	4.75%	4.75%	4.75%	4.75%	4.75%	4.75%	4.75%
11	[Extra]	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Fund Earnings		0.25%	0.50%	0.75%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%

Accounting Assumptions		FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
FISCAL POLICY RESTRICTIONS												
Min. Op. Fund Balance (days of O&M expense)		60	60	60	60	60	60	60	60	60	60	60
Max. Op. Fund Balance (days of O&M expense)		60	60	60	60	60	60	60	60	60	60	60
Minimum Capital Fund Balance Target												
Select Minimum Capital Fund Balance Target	1	Defined as % of Plant										
1 - Defined as % of Plant												
Plant-in-Service in 2013		\$ 5,405,329										
Minimum Capital Fund Balance - % of plant assets		2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%
2 - Amount at Right ==>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CAPITAL/EQUIPMENT RESERVE FUNDING												
Select Reinvestment Funding Strategy	3	User Input										
Amount of Annual Cash Funding from Rates												
1 - Equal to Annual Depreciation Expense		\$ 565,335	\$ 614,647	\$ 709,650	\$ 727,667	\$ 737,924	\$ 792,518	\$ 793,289	\$ 842,618	\$ 895,347	\$ 955,065	\$ 978,290
2 - Equal to Depreciation less Annual Debt Principal Payments		565,335	614,647	709,650	727,667	737,924	792,518	793,289	842,618	895,347	955,065	978,290
3 - Equal to Amount at Right ==>		-	400,000	600,000	700,000	1,000,000	1,300,000	1,600,000	1,800,000	2,000,000	2,000,000	2,000,000
4 - Do Not Fund Depreciation			69,737	21,443	34,463	(92,399)	(81,217)	(136,227)	(193,439)	(128,576)	137,695	407,572



City of Surprise Sanitation Utility Rate Study Assumptions

July 2013 - June 2014

Capital Financing Assumptions		FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024	
FUNDING SOURCES													
Grants		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Additional Proceeds (Costs)													
Transfer from PW Development Fee Fund		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
[Extra line]		-	-	-	-	-	-	-	-	-	-	-	
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[Extra line]		-	-	-	-	-	-	-	-	-	-	-	
Total Additional Proceeds		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
REVENUE BONDS													
Term (years)		20	20	20	20	20	20	20	20	20	20	20	
Interest Cost		5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	
Issuance Cost		1.50%	1.50%	1.50%	1.50%	1.50%	1.50%	1.50%	1.50%	1.50%	1.50%	1.50%	
Revenue Bond Coverage Requirement		1.20											
OTHER LOANS													
Term (years)		20	20	20	20	20	20	20	20	20	20	20	
Interest Cost		1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	
OTHER LOW COST LOANS													
Term (years)		20	20	20	20	20	20	20	20	20	20	20	
Interest Cost		0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	0.50%	
Issuance Cost		0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	

Other Assumptions			FY2011	FY2012	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Customer Growth																
Projected Rate Revenue			6,420,000	6,482,000	6,649,000	6,928,000	7,323,000	7,304,700								
Projected New Equivalent Dwelling Units (EDUs)																
SPA 1				614	967	1,525	1,943	2,049	1,893							
SPA 2				-	66	145	167	260	420							
SPA 3				-	32	71	171	217	280							
Total New EDUs				614	1,065	1,741	2,281	2,526	2,592							
Projected New Equivalent Dwelling Units (EDUs)			32,584	33,198	34,263	36,004	38,285	40,811	43,403							
EDU Growth Rate				1.88%	3.21%	5.08%	6.34%	6.60%	6.35%							
Projected New Equivalent Dwelling Units (EDUs)			100.00%	of Original Growth Projections						Based on previous 5 year average growth projections						
SPA 1				614	967	1,525	1,943	2,049	1,893	1,676	1,676	1,676	1,676	1,676	1,676	1,676
SPA 2				-	66	145	167	260	420	212	212	212	212	212	212	212
SPA 3				-	32	71	171	217	280	154	154	154	154	154	154	154
Total New EDUs				614	1,065	1,741	2,281	2,526	2,592	2,041	2,041	2,041	2,041	2,041	2,041	2,041
Projected New Equivalent Dwelling Units (EDUs)			32,584	33,198	34,263	36,004	38,285	40,811	43,403	45,444	47,485	49,526	51,567	53,608		
EDU Growth Rate				1.88%	3.21%	5.08%	6.34%	6.60%	6.35%	4.70%	4.49%	4.30%	4.12%	3.96%		
New Trucks																
						-	2	-	1	1	2	-	-	2	-	1
PILOT Tax Components																
Capital Assets	4,457,030	5,801,676	5,405,329	7,045,329	9,510,937	14,261,097	15,161,919	15,674,760	18,404,465	18,443,040	20,909,483	23,545,938	26,531,828	27,693,058		
ARS Assessed Valuation Annual Year Percentage	20.00%		19.50%	19.00%	18.50%	18.00%	18.00%	18.00%	18.00%	18.00%	18.00%	18.00%	18.00%	18.00%	18.00%	18.00%
ARS Assessed Valuation Fiscal Year Percentage			19.75%	19.25%	18.75%	18.25%	18.00%	18.00%	18.00%	18.00%	18.00%	18.00%	18.00%	18.00%	18.00%	18.00%
Primary Property Tax Rate			0.7383%	0.7783%	0.7783%	0.7783%	0.7783%	0.7783%	0.7783%	0.7783%	0.7783%	0.7783%	0.7783%	0.7783%	0.7783%	0.7783%
Payment in Lieu of Taxes			\$ 6,499	\$ 8,692	\$ 7,888	\$ 10,007	\$ 13,324	\$ 19,979	\$ 21,241	\$ 21,959	\$ 25,784	\$ 25,838	\$ 29,293	\$ 32,986		



City of Surprise

Sanitation Utility Rate Study

Operating Revenue and Expenditure Forecast

			Actual	Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	
Revenues			FORECAST BASIS	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Rate Revenues															
31434	Service/Collection Fees	5	Customer Growth	\$ 6,444,247	\$ 6,482,000	\$ 6,689,944	\$ 7,029,878	\$ 7,475,248	\$ 7,968,455	\$ 8,474,549	\$ 8,873,059	\$ 9,271,569	\$ 9,670,079	\$ 10,068,588	\$ 10,467,098
	[Extra]	7	No Escalation	-	-	-	-	-	-	-	-	-	-	-	-
	[Extra]	7	No Escalation	-	-	-	-	-	-	-	-	-	-	-	-
Total Rate Revenue				\$ 6,444,247	\$ 6,482,000	\$ 6,689,944	\$ 7,029,878	\$ 7,475,248	\$ 7,968,455	\$ 8,474,549	\$ 8,873,059	\$ 9,271,569	\$ 9,670,079	\$ 10,068,588	\$ 10,467,098
Non-Rate Revenues															
31961	Sale of Recycled Material	1	General Cost Inflation	\$ 160,556	\$ 162,200	\$ 167,066	\$ 172,078	\$ 177,240	\$ 182,558	\$ 188,034	\$ 193,675	\$ 199,486	\$ 205,470	\$ 211,634	\$ 217,983
31461	Revenue Recovery-Utility Bill	7	No Escalation	954	-	-	-	-	-	-	-	-	-	-	-
31813	Interest Income	7	No Escalation	117,229	-	-	-	-	-	-	-	-	-	-	-
31816	Unrealized Gain/Loss	7	No Escalation	(83,838)	-	-	-	-	-	-	-	-	-	-	-
	Transfer from PW Development Fee Fund	1	General Cost Inflation	-	-	-	-	-	-	-	-	-	-	-	-
31458	Sanitation Roll Off Fees	5	Customer Growth	205,466	203,000	209,512	220,158	234,106	249,552	265,402	277,882	290,362	302,843	315,323	327,803
Total Non-Rate Revenues				\$ 400,368	\$ 365,200	\$ 376,578	\$ 392,236	\$ 411,346	\$ 432,110	\$ 453,436	\$ 471,557	\$ 489,848	\$ 508,313	\$ 526,957	\$ 545,787
TOTAL REVENUES				\$ 6,844,615	\$ 6,847,200	\$ 7,066,522	\$ 7,422,114	\$ 7,886,594	\$ 8,400,565	\$ 8,927,985	\$ 9,344,616	\$ 9,761,417	\$ 10,178,391	\$ 10,595,545	\$ 11,012,885

Expenses			FORECAST BASIS	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
542	Vehicle Maintenance														
112	General Operations														
	Capital														
39421	Vehicles	1	General Cost Inflation	-	-	-	-	-	-	-	-	-	-	-	-
644	Sanitation														
112	General Operations														
	Transfers Out														
34013	Franchise in lieu payment	9	Franchise In Lieu Payment	24,000	24,000	24,000	26,000	26,000	27,000	28,000	30,000	30,000	30,000	32,000	32,000
34023	Indirect costs - general fund personnel	1	General Cost Inflation	857,800	1,886,400	1,886,400	1,942,992	2,001,282	2,061,320	2,123,160	2,186,855	2,252,460	2,320,034	2,389,635	2,461,324
34033	Payments in lieu of property tax (PILOT)		Calculated	6,581	8,600	8,600	10,007	13,324	19,979	21,241	21,959	25,784	25,838	29,293	32,986
	Personnel/Salaries														
36111	Full Time Salaries	3	Labor Cost Inflation	1,036,272	1,071,200	1,212,637	1,249,016	1,356,967	1,470,272	1,589,153	1,636,828	1,685,933	1,736,511	1,788,606	1,842,264
36113	Overtime Compensation	3	Labor Cost Inflation	18,271	25,300	25,300	26,059	26,841	27,646	28,475	29,330	30,210	31,116	32,049	33,011
36115	Holidays	4	Benefit Cost Inflation	46,968	-	-	-	-	-	-	-	-	-	-	-
36116	Paid Time Off	4	Benefit Cost Inflation	146,898	-	-	-	-	-	-	-	-	-	-	-
36151	Stipend	4	Benefit Cost Inflation	1,428	-	720	742	764	787	810	835	860	886	912	939
36211	FICA	4	Benefit Cost Inflation	89,461	83,900	82,883	85,369	87,931	90,568	93,286	96,084	98,967	101,936	104,994	108,144
36231	Medical	4	Benefit Cost Inflation	238,633	247,600	217,441	223,964	230,683	237,604	244,732	252,074	259,636	267,425	275,448	283,711
36232	Dental	4	Benefit Cost Inflation	13,893	14,100	11,535	11,881	12,237	12,605	12,983	13,372	13,773	14,187	14,612	15,051
36233	Vision	4	Benefit Cost Inflation	2,243	2,200	1,833	1,888	1,945	2,003	2,063	2,125	2,189	2,254	2,322	2,392
36234	Life	4	Benefit Cost Inflation	5,353	4,700	4,700	4,841	4,986	5,136	5,290	5,449	5,612	5,780	5,954	6,132
36252	AZ State Retirement	4	Benefit Cost Inflation	138,130	126,500	125,029	128,780	132,643	136,623	140,721	144,943	149,291	153,770	158,383	163,134
36255	Post Emp. Health Plan	4	Benefit Cost Inflation	2,693	-	-	-	-	-	-	-	-	-	-	-
36278	Workers Compensation	4	Benefit Cost Inflation	43,928	46,400	60,483	62,297	64,166	66,091	68,074	70,116	72,220	74,386	76,618	78,917
	Commodities/Supplies														
37111	Office Supplies	1	General Cost Inflation	1,611	1,500	2,300	2,369	2,440	2,513	2,589	2,666	2,746	2,829	2,914	3,001
37112	Computer Supplies	1	General Cost Inflation	2,873	1,200	1,700	1,751	1,804	1,858	1,913	1,971	2,030	2,091	2,154	2,218
37114	Books & Subscriptions	1	General Cost Inflation	-	100	100	103	106	109	113	116	119	123	127	130
37131	Clothing & Uniforms	1	General Cost Inflation	2,955	2,700	5,600	5,768	6,050	6,344	6,651	6,850	7,056	7,267	7,485	7,710
37141	Radio Supplies	1	General Cost Inflation	-	300	8,000	8,240	8,487	8,742	9,004	9,274	9,552	9,839	10,134	10,438
37142	Phone Supplies	1	General Cost Inflation	292	-	-	-	-	-	-	-	-	-	-	-
37161	Operational Supplies	1	General Cost Inflation	4,723	1,500	8,800	9,064	9,336	9,616	9,904	10,202	10,508	10,823	11,148	11,482
37162	Furn, Fixture, & Office Equip <\$10,000	1	General Cost Inflation	61,546	39,400	39,400	40,582	41,799	43,053	44,345	45,675	47,046	48,457	49,911	51,408
37164	Signs and Sign Supplies	1	General Cost Inflation	641	-	-	-	-	-	-	-	-	-	-	-
37165	Mach. & Equipment <\$10,000	1	General Cost Inflation	9,094	1,000	7,700	7,931	8,169	8,414	8,666	8,926	9,194	9,470	9,754	10,047
37191	Food	1	General Cost Inflation	-	800	800	824	849	874	900	927	955	984	1,013	1,044
37192	Awards & Recognition	1	General Cost Inflation	161	-	-	-	-	-	-	-	-	-	-	-
37193	Safety & First Aid Supplies	1	General Cost Inflation	4,166	6,800	8,200	8,446	9,027	9,636	10,273	10,581	10,898	11,225	11,562	11,909
37196	Meeting Supplies	1	General Cost Inflation	44	800	800	824	849	874	900	927	955	984	1,013	1,044
37211	Gas & Fuel	10	Fuel Cost Escalation	398,813	385,600	423,000	443,087	485,982	509,059	577,053	604,455	633,159	663,225	694,719	727,709
37212	Auto Parts & Lubricants	1	General Cost Inflation	266,975	303,700	281,000	289,430	310,652	319,971	349,537	360,023	370,824	381,948	393,407	405,209
37222	Cleaning/Janitorial	1	General Cost Inflation	351	-	-	-	-	-	-	-	-	-	-	-
37232	Chemical Supplies	1	General Cost Inflation	-	13,200	13,200	13,596	14,004	14,424	14,857	15,302	15,761	16,234	16,721	17,223
37997	Education & Training Supplies	1	General Cost Inflation	221	-	-	-	-	-	-	-	-	-	-	-
37999	Other Commodity Supplies	1	General Cost Inflation	503	-	-	-	-	-	-	-	-	-	-	-
	Contractual Services														
38126	Service Agreements	1	General Cost Inflation	5,171	9,100	10,500	10,815	11,139	11,474	11,818	12,172	12,538	12,914	13,301	13,700



City of Surprise

Sanitation Utility Rate Study

Operating Revenue and Expenditure Forecast

			Actual	Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	
Expenses			FORECAST BASIS	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
38128	Recording, License & Permit Fees	1	General Cost Inflation	7,430	6,600	7,100	7,313	7,664	7,893	8,408	8,661	8,921	9,188	9,464	9,748
38129	Software - Hansen Version	1	General Cost Inflation	10,613	-	-	-	-	-	-	-	-	-	-	-
38191	Pre Employment Services	1	General Cost Inflation	-	400	400	412	424	437	450	464	478	492	507	522
38199	Other Prof/Tech Services	1	General Cost Inflation	26,093	-	-	-	-	-	-	-	-	-	-	-
38212	Electricity	1	General Cost Inflation	3,191	6,600	6,600	6,798	7,002	7,212	7,428	7,651	7,881	8,117	8,361	8,612
38216	Sanitary/Landfill	1	General Cost Inflation	720,335	750,000	765,400	788,362	812,013	836,373	861,464	887,308	913,928	941,345	969,586	998,673
38217	Postage & Mailings	1	General Cost Inflation	7	200	200	206	212	219	225	232	239	246	253	261
38221	Cell Phone/Pagers	1	General Cost Inflation	2,307	1,500	1,500	1,545	1,591	1,639	1,688	1,739	1,791	1,845	1,900	1,957
38321	Radio Equip. Maint.	1	General Cost Inflation	12,357	13,000	13,000	13,390	14,447	15,556	16,718	17,220	17,736	18,269	18,817	19,381
38323	Office Equip. Maint.	1	General Cost Inflation	-	1,000	1,000	1,030	1,061	1,093	1,126	1,159	1,194	1,230	1,267	1,305
38324	Machinery & Equip. Maint.	1	General Cost Inflation	2,395	5,000	13,800	14,214	14,640	15,080	15,532	15,998	16,478	16,972	17,481	18,006
38331	Vehicle Maintenance	1	General Cost Inflation	114,415	122,500	107,900	111,137	117,447	120,970	131,494	135,439	139,502	143,687	147,998	152,438
38413	Trash Collection Contracts	1	General Cost Inflation	418,662	410,000	415,000	427,450	440,274	453,482	467,086	481,099	495,532	510,398	525,710	541,481
38912	Risk Insurance Premium	1	General Cost Inflation	42,200	42,200	42,200	43,466	44,770	46,113	47,496	48,921	50,389	51,901	53,458	55,061
38922	Travel & Training	1	General Cost Inflation	3,478	8,300	8,300	8,549	9,243	9,970	10,733	11,055	11,386	11,728	12,080	12,442
38924	Dues & Memberships	1	General Cost Inflation	239	1,000	1,000	1,030	1,061	1,093	1,126	1,159	1,194	1,230	1,267	1,305
38931	Advertising	1	General Cost Inflation	1,891	-	-	-	-	-	-	-	-	-	-	-
38951	Printing	1	General Cost Inflation	433	-	-	-	-	-	-	-	-	-	-	-
38991	Uniform/Laundry Services	1	General Cost Inflation	5,910	9,500	6,600	6,798	7,220	7,662	8,124	8,368	8,619	8,877	9,144	9,418
Capital															
39431	Mach. & Equipment	1	General Cost Inflation	-	-	-	-	-	-	-	-	-	-	-	-
39872	Invadj	1	General Cost Inflation	(98,004)	-	-	-	-	-	-	-	-	-	-	-
39891	Prepds - Hansen Version	1	General Cost Inflation	(574)	-	-	-	-	-	-	-	-	-	-	-
39891	Prepds	1	General Cost Inflation	197	-	-	-	-	-	-	-	-	-	-	-
39999	Contingency	1	General Cost Inflation	-	-	-	-	-	-	-	-	-	-	-	-
602	Bulk Trash														
Personnel/Salaries															
36111	Full Time Salaries	3	Labor Cost Inflation	156,573	182,500	182,649	188,128	193,772	199,585	205,573	211,740	218,092	224,635	231,374	238,316
36113	Overtime Compensation	3	Labor Cost Inflation	6,935	11,300	-	-	-	-	-	-	-	-	-	-
36115	Holidays	4	Benefit Cost Inflation	6,448	-	-	-	-	-	-	-	-	-	-	-
36116	Paid Time Off	4	Benefit Cost Inflation	24,168	-	-	-	-	-	-	-	-	-	-	-
36151	Stipend	4	Benefit Cost Inflation	104	-	600	618	637	656	675	696	716	738	760	783
36211	FICA	4	Benefit Cost Inflation	13,959	14,800	13,973	14,392	14,824	15,269	15,727	16,199	16,684	17,185	17,701	18,232
36231	Medical	4	Benefit Cost Inflation	26,057	27,600	27,611	28,439	29,293	30,171	31,076	32,009	32,969	33,958	34,977	36,026
36232	Dental	4	Benefit Cost Inflation	1,561	1,500	1,490	1,535	1,581	1,628	1,677	1,727	1,779	1,833	1,887	1,944
36233	Vision	4	Benefit Cost Inflation	309	300	297	306	315	325	334	344	355	365	376	388
36234	Life	4	Benefit Cost Inflation	817	800	800	824	849	874	900	927	955	984	1,013	1,044
36252	AZ State Retirement	4	Benefit Cost Inflation	21,311	22,400	21,078	21,710	22,362	23,032	23,723	24,435	25,168	25,923	26,701	27,502
36278	Workers Compensation	4	Benefit Cost Inflation	6,598	9,000	11,192	11,528	11,874	12,230	12,597	12,975	13,364	13,765	14,178	14,603
Commodities/Supplies															
37111	Office Supplies	1	General Cost Inflation	-	700	900	927	955	983	1,013	1,043	1,075	1,107	1,140	1,174
37112	Computer Supplies	1	General Cost Inflation	22	100	100	103	106	109	113	116	119	123	127	130
37131	Clothing & Uniforms	1	General Cost Inflation	716	600	600	618	637	656	675	696	716	738	760	783
37142	Phone Supplies	1	General Cost Inflation	247	200	200	206	212	219	225	232	239	246	253	261
37161	Operational Supplies	1	General Cost Inflation	14,832	11,100	13,600	14,008	14,428	14,861	15,307	15,766	16,239	16,726	17,228	17,745
37162	Furn, Fixture, & Office Equip <\$10,000	1	General Cost Inflation	11,290	200	200	206	212	219	225	232	239	246	253	261
37165	Mach. & Equipment <\$10,000	1	General Cost Inflation	1,289	1,000	1,000	1,030	1,061	1,093	1,126	1,159	1,194	1,230	1,267	1,305
37193	Safety & First Aid Supplies	1	General Cost Inflation	1,345	2,200	2,100	2,163	2,228	2,295	2,364	2,434	2,508	2,583	2,660	2,740
37196	Meeting Supplies	1	General Cost Inflation	49	300	-	-	-	-	-	-	-	-	-	-
37211	Gas & Fuel	10	Fuel Cost Escalation	57,126	74,100	74,100	77,619	81,305	107,676	112,789	118,145	123,755	129,632	135,787	142,235
37212	Auto Parts & Lubricants	1	General Cost Inflation	18,549	28,600	40,100	41,303	42,542	51,697	53,248	54,845	56,491	58,185	59,931	61,729
Contractual Services															
38126	Service Agreements	1	General Cost Inflation	1,032	5,400	41,000	42,230	43,497	44,802	46,146	47,530	48,956	50,425	51,938	53,496
38128	Recording, License & Permit Fees	1	General Cost Inflation	-	400	400	412	424	437	450	464	478	492	507	522
38191	Pre Employment Services	1	General Cost Inflation	-	400	400	412	424	437	450	464	478	492	507	522
38216	Sanitary/Landfill	1	General Cost Inflation	20,222	22,200	27,500	28,325	29,175	30,050	30,951	31,880	32,836	33,822	34,836	35,881
38217	Postage & Mailings	1	General Cost Inflation	68	100	100	103	106	109	113	116	119	123	127	130
38221	Cell Phone/Pagers	1	General Cost Inflation	528	800	800	824	849	874	900	927	955	984	1,013	1,044
38331	Vehicle Maintenance	1	General Cost Inflation	22,942	10,600	11,000	11,330	11,670	14,496	14,931	15,379	15,840	16,316	16,805	17,309
38922	Travel & Training	1	General Cost Inflation	241	3,800	3,800	3,914	4,031	4,152	4,277	4,405	4,537	4,674	4,814	4,958
38924	Dues & Memberships	1	General Cost Inflation	-	600	600	618	637	656	675	696	716	738	760	783
38931	Advertising	1	General Cost Inflation	200	-	-	-	-	-	-	-	-	-	-	-
38991	Uniform/Laundry Services	1	General Cost Inflation	1,045	1,100	1,300	1,339	1,379	1,421	1,463	1,507	1,552	1,599	1,647	1,696
Capital															
39891	Prepds	1	General Cost Inflation	0	-	-	-	-	-	-	-	-	-	-	-



City of Surprise

Sanitation Utility Rate Study

Operating Revenue and Expenditure Forecast

			Actual	Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection		
Expenses			FORECAST BASIS	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024	
603	Recycling															
	Personnel/Salaries															
36111	Full Time Salaries	3	Labor Cost Inflation	89,405	127,100	123,913	127,630	131,459	135,403	139,465	143,649	147,959	152,397	156,969	161,678	
36113	Overtime Compensation	3	Labor Cost Inflation	1,235	2,800	2,800	2,884	2,971	3,060	3,151	3,246	3,343	3,444	3,547	3,653	
36115	Holidays	4	Benefit Cost Inflation	5,488	-	-	-	-	-	-	-	-	-	-	-	
36116	Paid Time Off	4	Benefit Cost Inflation	13,914	-	-	-	-	-	-	-	-	-	-	-	
36151	Stipend	4	Benefit Cost Inflation	-	-	600	618	637	656	675	696	716	738	760	783	
36211	FICA	4	Benefit Cost Inflation	9,055	9,900	9,479	9,763	10,056	10,358	10,669	10,989	11,318	11,658	12,008	12,368	
36231	Medical	4	Benefit Cost Inflation	17,613	43,400	28,962	29,831	30,726	31,648	32,597	33,575	34,582	35,620	36,688	37,789	
36232	Dental	4	Benefit Cost Inflation	1,276	2,500	1,684	1,735	1,787	1,840	1,895	1,952	2,011	2,071	2,133	2,197	
36233	Vision	4	Benefit Cost Inflation	183	400	240	247	255	262	270	278	287	295	304	313	
36234	Life	4	Benefit Cost Inflation	467	600	600	618	637	656	675	696	716	738	760	783	
36252	AZ State Retirement	4	Benefit Cost Inflation	12,326	15,000	14,300	14,729	15,171	15,626	16,095	16,578	17,075	17,587	18,115	18,658	
36278	Workers Compensation	4	Benefit Cost Inflation	4,349	6,000	7,524	7,750	7,982	8,222	8,468	8,722	8,984	9,254	9,531	9,817	
36999	Labor Dist	3	Labor Cost Inflation	672	-	-	-	-	-	-	-	-	-	-	-	
	Commodities/Supplies															
37111	Office Supplies	1	General Cost Inflation	352	700	700	721	743	765	788	811	836	861	887	913	
37131	Clothing & Uniforms	1	General Cost Inflation	229	400	400	412	424	437	450	464	478	492	507	522	
37142	Phone Supplies	1	General Cost Inflation	22	-	-	-	-	-	-	-	-	-	-	-	
37161	Operational Supplies	1	General Cost Inflation	2,650	5,900	5,900	6,077	6,259	6,447	6,641	6,840	7,045	7,256	7,474	7,698	
37162	Furn, Fixture, & Office Equip <\$10,000	1	General Cost Inflation	437	-	-	-	-	-	-	-	-	-	-	-	
37164	Signs and Sign Supplies	1	General Cost Inflation	49	-	-	-	-	-	-	-	-	-	-	-	
37165	Mach. & Equipment <\$10,000	1	General Cost Inflation	2,361	200	200	206	212	219	225	232	239	246	253	261	
37193	Safety & First Aid Supplies	1	General Cost Inflation	1,461	1,400	1,400	1,442	1,485	1,530	1,576	1,623	1,672	1,722	1,773	1,827	
37211	Gas & Fuel	10	Fuel Cost Escalation	3,363	5,000	5,000	5,237	5,486	5,747	6,020	6,305	6,605	6,918	7,247	7,591	
37212	Auto Parts & Lubricants	1	General Cost Inflation	560	2,600	1,700	1,751	1,804	1,858	1,913	1,971	2,030	2,091	2,154	2,218	
	Contractual Services															
38126	Service Agreements	1	General Cost Inflation	75,696	61,400	61,400	63,242	65,139	67,093	69,106	71,179	73,315	75,514	77,780	80,113	
38216	Sanitary/Landfill	1	General Cost Inflation	-	1,100	1,100	1,133	1,167	1,202	1,238	1,275	1,313	1,353	1,393	1,435	
38217	Postage & Mailings	1	General Cost Inflation	8	400	700	721	743	765	788	811	836	861	887	913	
38221	Cell Phone/Pagers	1	General Cost Inflation	921	1,700	200	206	212	219	225	232	239	246	253	261	
38331	Vehicle Maintenance	1	General Cost Inflation	2,400	1,500	1,200	1,236	1,273	1,311	1,351	1,391	1,433	1,476	1,520	1,566	
38521	Equipment Rental	1	General Cost Inflation	131	-	-	-	-	-	-	-	-	-	-	-	
38922	Travel & Training	1	General Cost Inflation	1,000	600	600	618	637	656	675	696	716	738	760	783	
38924	Dues & Memberships	1	General Cost Inflation	239	1,600	1,600	1,648	1,697	1,748	1,801	1,855	1,910	1,968	2,027	2,088	
38931	Advertising	1	General Cost Inflation	17,410	13,000	15,000	15,450	15,914	16,391	16,883	17,389	17,911	18,448	19,002	19,572	
38951	Printing	1	General Cost Inflation	3,435	10,000	10,000	10,300	10,609	10,927	11,255	11,593	11,941	12,299	12,668	13,048	
38991	Uniform/Laundry Services	1	General Cost Inflation	832	400	400	412	424	437	450	464	478	492	507	522	
	Capital															
39891	Prepds	1	General Cost Inflation	0	-	-	-	-	-	-	-	-	-	-	-	
644	Sanitation															
112	General Operations															
	Commodities/Supplies															
37165	New Collection & Recycle Containers	1	General Cost Inflation	-	-	-	-	-	-	-	-	116,123	119,607	123,195	126,891	
	Miscellaneous															
	Future Additional O&M Expenses	1	General Cost Inflation	-	-	-	-	-	-	-	100,000	206,000	318,270	437,091	562,754	
	Other															
	Add'l O&M from CIP		From CIP	-	-	-	-	-	-	-	-	-	-	-	-	
Total Cash O&M Expenditures				\$ 5,392,386	\$ 6,436,700	\$ 6,639,753	\$ 6,850,154	\$ 7,176,822	\$ 7,515,991	\$ 7,900,797	\$ 8,251,230	\$ 8,732,902	\$ 9,112,711	\$ 9,513,130	\$ 9,927,578	
Depreciation Expense in FY2013				\$ 532,535												
Depreciation Expense				Last year's plus annual add'l from CIP	\$ 532,535	\$ 565,335	\$ 614,647	\$ 709,650	\$ 727,667	\$ 737,924	\$ 792,518	\$ 793,289	\$ 842,618	\$ 895,347	\$ 955,065	\$ 978,290
				debt principal payments	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
				System Reinvestment Funding	\$ 532,535	\$ 565,335	\$ 614,647	\$ 709,650	\$ 727,667	\$ 737,924	\$ 792,518	\$ 793,289	\$ 842,618	\$ 895,347	\$ 955,065	\$ 978,290
TOTAL EXPENSES				\$ 5,924,921	\$ 7,002,035	\$ 7,254,400	\$ 7,559,805	\$ 7,904,489	\$ 8,253,915	\$ 8,693,315	\$ 9,044,519	\$ 9,575,520	\$ 10,008,058	\$ 10,468,195	\$ 10,905,867	
Capital Outlays				\$ 15,139	\$ 7,200	\$ 22,700	\$ 23,381	\$ 24,082	\$ 24,805	\$ 25,549	\$ 26,316	\$ 143,228	\$ 147,525	\$ 151,951	\$ 156,510	



City of Surprise

Sanitation Utility Rate Study

Additional O&M Adjustments

	Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection
Revenues	FY15 Budget	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Rate Revenues											
31434 Service/Collection Fees	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
31458 Sanitation Roll Off Fees	-	-	-	-	-	-	-	-	-	-	-
[Extra]	-	-	-	-	-	-	-	-	-	-	-
Total Rate Revenue	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Non-Rate Revenues											
31961 Sale of Recycled Material	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
31461 Revenue Recovery-Utility Bill	-	-	-	-	-	-	-	-	-	-	-
31813 Interest Income	-	-	-	-	-	-	-	-	-	-	-
31816 Unrealized Gain/Loss	-	-	-	-	-	-	-	-	-	-	-
[Extra]	-	-	-	-	-	-	-	-	-	-	-
Total Non-Rate Revenues	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
TOTAL REVENUES	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

	FY15 Budget	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Expenses											
542 Vehicle Maintenance											
112 General Operations											
Capital											
39421 Vehicles	-	-	-	-	-	-	-	-	-	-	-
644 Sanitation											
112 General Operations											
Transfers Out											
34013 Franchise in lieu payment	24,000	-	2,000	-	1,000	1,000	2,000	-	-	2,000	-
34023 Indirect costs - general fund personnel	1,886,400	-	-	-	-	-	-	-	-	-	-
34033 Payments in lieu of property tax (PILOT)	8,600	-	-	-	-	-	-	-	-	-	-
Personnel/Salaries											
36111 Full Time Salaries	1,083,437	129,200	-	70,481	72,595	74,773	-	-	-	-	-
36113 Overtime Compensation	25,300	-	-	-	-	-	-	-	-	-	-
36115 Holidays	-	-	-	-	-	-	-	-	-	-	-
36116 Paid Time Off	-	-	-	-	-	-	-	-	-	-	-
36151 Stipend	720	-	-	-	-	-	-	-	-	-	-
36211 FICA	82,883	-	-	-	-	-	-	-	-	-	-
36231 Medical	217,441	-	-	-	-	-	-	-	-	-	-
36232 Dental	11,535	-	-	-	-	-	-	-	-	-	-
36233 Vision	1,833	-	-	-	-	-	-	-	-	-	-
36234 Life	4,700	-	-	-	-	-	-	-	-	-	-
36252 AZ State Retirement	125,029	-	-	-	-	-	-	-	-	-	-
36255 Post Emp. Health Plan	-	-	-	-	-	-	-	-	-	-	-
36278 Workers Compensation	60,483	-	-	-	-	-	-	-	-	-	-
Commodities/Supplies											
37111 OFC SUPS	2,300	-	-	-	-	-	-	-	-	-	-
37112 CPTR SUPP	1,700	-	-	-	-	-	-	-	-	-	-
37114 BOOKS & SUBSCRIPTIONS	100	-	-	-	-	-	-	-	-	-	-
37131 UNIFORMS	5,400	200	-	109	113	116	-	-	-	-	-
37141 Workers Compensation	8,000	-	-	-	-	-	-	-	-	-	-
37142 Office Supplies	-	-	-	-	-	-	-	-	-	-	-
37161 Computer Supplies	8,800	-	-	-	-	-	-	-	-	-	-
37162 Books & Subscriptions	39,400	-	-	-	-	-	-	-	-	-	-
37164 Clothing & Uniforms	-	-	-	-	-	-	-	-	-	-	-
37165 Radio Supplies	7,700	-	-	-	-	-	-	-	-	-	-



City of Surprise

Sanitation Utility Rate Study

Additional O&M Adjustments

		Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	
Expenses		FY15 Budget	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
37191	Food	800	-	-	-	-	-	-	-	-	-	-
37192	Awards & Recognition	-	-	-	-	-	-	-	-	-	-	-
37193	Safety & First Aid Supplies	7,600	600	-	328	338	348	-	-	-	-	-
37196	Meetsup	800	-	-	-	-	-	-	-	-	-	-
37211	Gas & Fuel	385,600	37,400	-	21,855	-	43,821	-	-	-	-	-
37212	Auto Parts & Lubricants	264,000	17,000	-	12,539	-	19,967	-	-	-	-	-
37222	Cleaning/Janitorial	-	-	-	-	-	-	-	-	-	-	-
37232	Chemical Supplies	13,200	-	-	-	-	-	-	-	-	-	-
37997	Education & Training Supplies	-	-	-	-	-	-	-	-	-	-	-
37999	Other Commodity Supplies	-	-	-	-	-	-	-	-	-	-	-
Contractual Services												
38126	Service Agreements	10,500	-	-	-	-	-	-	-	-	-	-
38128	Recording, License & Permit Fees	6,700	400	-	131	-	278	-	-	-	-	-
38129	Software - Hansen Version	-	-	-	-	-	-	-	-	-	-	-
38191	Pre Employment Services	400	-	-	-	-	-	-	-	-	-	-
38199	Other Prof/Tech Services	-	-	-	-	-	-	-	-	-	-	-
38212	Electricity	6,600	-	-	-	-	-	-	-	-	-	-
38216	Sanitary/Landfill	765,400	-	-	-	-	-	-	-	-	-	-
38217	Postage & Mailings	200	-	-	-	-	-	-	-	-	-	-
38221	Cell Phone/Pagers	1,500	-	-	-	-	-	-	-	-	-	-
38321	Radio Equip. Maint.	13,000	-	-	656	675	696	-	-	-	-	-
38323	Office Equip. Maint.	1,000	-	-	-	-	-	-	-	-	-	-
38324	Machinery & Equip. Maint.	13,800	-	-	-	-	-	-	-	-	-	-
38331	Vehicle Maintenance	102,500	5,400	-	2,976	-	6,895	-	-	-	-	-
38413	Trash Collection Contracts	415,000	-	-	-	-	-	-	-	-	-	-
38912	Risk Insurance Premium	42,200	-	-	-	-	-	-	-	-	-	-
38922	Travel & Training	8,300	-	-	437	450	464	-	-	-	-	-
38924	Dues & Memberships	1,000	-	-	-	-	-	-	-	-	-	-
38931	Advertising	-	-	-	-	-	-	-	-	-	-	-
38951	Printing	-	-	-	-	-	-	-	-	-	-	-
38991	Uniform/Laundry Services	6,000	600	-	219	225	232	-	-	-	-	-
Capital												
39431	Mach. & Equipment	-	-	-	-	-	-	-	-	-	-	-
39872	Invadj	-	-	-	-	-	-	-	-	-	-	-
39891	Prepds - Hansen Version	-	-	-	-	-	-	-	-	-	-	-
39891	Prepds	-	-	-	-	-	-	-	-	-	-	-
39999	Contingency	-	-	-	-	-	-	-	-	-	-	-
602	Bulk Trash											
Personnel/Salaries												
36111	Full Time Salaries	182,649	-	-	-	-	-	-	-	-	-	-
36113	Overtime Compensation	-	-	-	-	-	-	-	-	-	-	-
36115	Holidays	-	-	-	-	-	-	-	-	-	-	-
36116	Paid Time Off	-	-	-	-	-	-	-	-	-	-	-
36151	Stipend	600	-	-	-	-	-	-	-	-	-	-
36211	FICA	13,973	-	-	-	-	-	-	-	-	-	-
36231	Medical	27,611	-	-	-	-	-	-	-	-	-	-
36232	Dental	1,490	-	-	-	-	-	-	-	-	-	-
36233	Vision	297	-	-	-	-	-	-	-	-	-	-
36234	Life	800	-	-	-	-	-	-	-	-	-	-
36252	AZ State Retirement	21,078	-	-	-	-	-	-	-	-	-	-
36278	Workers Compensation	11,192	-	-	-	-	-	-	-	-	-	-
Commodities/Supplies												
37111	Office Supplies	900	-	-	-	-	-	-	-	-	-	-



City of Surprise

Sanitation Utility Rate Study

Additional O&M Adjustments

		Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	
Expenses		FY15 Budget	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
37112	Computer Supplies	100	-	-	-	-	-	-	-	-	-	-
37131	Clothing & Uniforms	600	-	-	-	-	-	-	-	-	-	-
37142	Phone Supplies	200	-	-	-	-	-	-	-	-	-	-
37161	Operational Supplies	13,600	-	-	-	-	-	-	-	-	-	-
37162	Furn, Fixture, & Office Equip <\$10,000	200	-	-	-	-	-	-	-	-	-	-
37165	Mach. & Equipment <\$10,000	1,000	-	-	-	-	-	-	-	-	-	-
37193	Safety & First Aid Supplies	2,100	-	-	-	-	-	-	-	-	-	-
37196	Meetsup	-	-	-	-	-	-	-	-	-	-	-
37211	Gas & Fuel	74,100	-	-	-	22,510	-	-	-	-	-	-
37212	Auto Parts & Lubricants	40,100	-	-	-	7,879	-	-	-	-	-	-
Contractual Services												
38126	Service Agreements	41,000	-	-	-	-	-	-	-	-	-	-
38128	Recording, License & Permit Fees	400	-	-	-	113	-	-	-	-	-	-
38191	Pre Employment Services	400	-	-	-	-	-	-	-	-	-	-
38216	Sanitary/Landfill	27,500	-	-	-	-	-	-	-	-	-	-
38217	Postage & Mailings	100	-	-	-	-	-	-	-	-	-	-
38221	Cell Phone/Pagers	800	-	-	-	-	-	-	-	-	-	-
38331	Vehicle Maintenance	11,000	-	-	-	2,476	-	-	-	-	-	-
38922	Travel & Training	3,800	-	-	-	-	-	-	-	-	-	-
38924	Dues & Memberships	600	-	-	-	-	-	-	-	-	-	-
38931	Advertising	-	-	-	-	-	-	-	-	-	-	-
38991	Uniform/Laundry Services	1,300	-	-	-	-	-	-	-	-	-	-
Capital												
39891	Prepds	-	-	-	-	-	-	-	-	-	-	-
603 Recycling												
Personnel/Salaries												
36111	Full Time Salaries	123,913	-	-	-	-	-	-	-	-	-	-
36113	Overtime Compensation	2,800	-	-	-	-	-	-	-	-	-	-
36115	Holidays	-	-	-	-	-	-	-	-	-	-	-
36116	Paid Time Off	-	-	-	-	-	-	-	-	-	-	-
36151	Stipend	600	-	-	-	-	-	-	-	-	-	-
36211	FICA	9,479	-	-	-	-	-	-	-	-	-	-
36231	Medical	28,962	-	-	-	-	-	-	-	-	-	-
36232	Dental	1,684	-	-	-	-	-	-	-	-	-	-
36233	Vision	240	-	-	-	-	-	-	-	-	-	-
36234	Life	600	-	-	-	-	-	-	-	-	-	-
36252	AZ State Retirement	14,300	-	-	-	-	-	-	-	-	-	-
36278	Workers Compensation	7,524	-	-	-	-	-	-	-	-	-	-
36999	Labor Dist	-	-	-	-	-	-	-	-	-	-	-
Commodities/Supplies												
37111	Office Supplies	700	-	-	-	-	-	-	-	-	-	-
37131	Clothing & Uniforms	400	-	-	-	-	-	-	-	-	-	-
37142	Phone Supplies	-	-	-	-	-	-	-	-	-	-	-
37161	Operational Supplies	5,900	-	-	-	-	-	-	-	-	-	-
37162	Furn, Fixture, & Office Equip <\$10,000	-	-	-	-	-	-	-	-	-	-	-
37164	Signs and Sign Supplies	-	-	-	-	-	-	-	-	-	-	-
37165	Mach. & Equipment <\$10,000	200	-	-	-	-	-	-	-	-	-	-
37193	Safety & First Aid Supplies	1,400	-	-	-	-	-	-	-	-	-	-
37211	Gas & Fuel	5,000	-	-	-	-	-	-	-	-	-	-
37212	Auto Parts & Lubricants	1,700	-	-	-	-	-	-	-	-	-	-
Contractual Services												
38126	Service Agreements	61,400	-	-	-	-	-	-	-	-	-	-
38216	Sanitary/Landfill	1,100	-	-	-	-	-	-	-	-	-	-



City of Surprise

Sanitation Utility Rate Study

Additional O&M Adjustments

		Budget	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection	Projection
Expenses		FY15 Budget	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
38217	Postage & Mailings	700	-	-	-	-	-	-	-	-	-	-
38221	Cell Phone/Pagers	200	-	-	-	-	-	-	-	-	-	-
38331	Vehicle Maintenance	1,200	-	-	-	-	-	-	-	-	-	-
38521	Equipment Rental	-	-	-	-	-	-	-	-	-	-	-
38922	Travel & Training	600	-	-	-	-	-	-	-	-	-	-
38924	Dues & Memberships	1,600	-	-	-	-	-	-	-	-	-	-
38931	Advertising	15,000	-	-	-	-	-	-	-	-	-	-
38951	Printing	10,000	-	-	-	-	-	-	-	-	-	-
38991	Uniform/Laundry Services	400	-	-	-	-	-	-	-	-	-	-
Capital												
39891	Prepds	-	-	-	-	-	-	-	-	-	-	-
644	Sanitation											
112	General Operations											
	Commodities/Supplies											
37165	New Collection & Recycle Containers	-	-	-	103,174	-	-	-	-	-	-	-
Miscellaneous												
	Future Additional O&M Expenses	-	-	-	-	-	-	100,000	103,000	106,090	109,273	112,551
Other												
	Add'l O&M from CIP	-	-	-	-	-	-	-	-	-	-	-
Total Cash O&M Expenditures		\$ 6,448,953	\$ 190,800	\$ 2,000	\$ 212,904	\$ 108,374	\$ 148,588	\$ 102,000	\$ 103,000	\$ 106,090	\$ 111,273	\$ 112,551
TOTAL EXPENSES		\$ 6,448,953	\$ 190,800	\$ 2,000	\$ 212,904	\$ 108,374	\$ 148,588	\$ 102,000	\$ 103,000	\$ 106,090	\$ 111,273	\$ 112,551



City of Surprise
Sanitation Utility Rate Study
Capital Improvement Program

Project Costs and O&M Impacts in Year: **2014**

No	Description	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024	Specific Funding Source 1-Balances, 2-CIAC	
1	Budget												1	Balances
2	22541-542-112-39421 Purchase of 6 solid waste trucks	1,620,000	1,600,000										1	Balances
3	22541-644-112-39431 DEF storage and dispensing unit	20,000											1	Balances
4													1	Balances
5	Enterprise CIP 22541 - Sanitation Operations Fund												1	Balances
6	Public Works Yard - North		150,000	900,000									1	Balances
7	Compressed Natural Gas (CNG) Fueling Station			1,000,000									1	Balances
8													1	Balances
9	Enterprise Vehicles												1	Balances
10	Bulk			408,000	175,000	32,000		32,000		175,000	310,000	175,000	1	Balances
11	Operations			1,962,000	310,000	22,000	1,550,000		1,860,000	1,240,000	1,930,000	310,000	1	Balances
12	Recycling					22,000							1	Balances
13	Enterprise Equipment												1	Balances
14	Roll Off Dumpster 16 Yard			91,300					91,300				1	Balances
15	Roll Off Dumpster 20 Yard			16,000					16,000				1	Balances
16	Case Tractor			70,000									1	Balances
17	Hydroblaster Power Washer #3			8,000					8,000				1	Balances
18	Hydroblaster Power Washer #2			8,000					8,000				1	Balances
19	Roll Off					40,000							1	Balances
20	Roll Off 16 Yard					16,000					16,000		1	Balances
21	20 Yard Recycle Container						35,000						1	Balances
22	Flatbed Trailer											30,000	1	Balances
23	Swinger Tractor						30,000						1	Balances
24													1	Balances
25	One-time Future O&M Costs												1	Balances
26	Solid Waste Side Loader		320,000		335,467		355,897			320,000		335,467	1	Balances
27	Solid Waste Rear Loader		320,000				330,393			320,000			1	Balances
28	Solid Waste Light Vehicle						34,778						1	Balances
29	Solid Waste Roll-Off Truck					320,770							1	Balances
30													1	Balances
Total Capital Projects		\$ 1,640,000	\$ 2,390,000	\$ 4,463,300	\$ 820,467	\$ 452,770	\$ 2,336,068	\$ 32,000	\$ 1,983,300	\$ 2,055,000	\$ 2,256,000	\$ 850,467		
Projects by Grants / Developer Donations														
Projects by Enterprise Fund														



City of Surprise
Sanitation Utility Rate Study
Capital Improvement Program

Project Costs and O&M Impacts in Year:

2014

TOTAL FORECASTED PROJECT COSTS

No	Description	TOTAL ESCALATED COSTS	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
1	Budget	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2	22541-542-112-39421 Purchase of 6 solid waste trucks	3,270,616	1,620,000	1,650,616	-	-	-	-	-	-	-	-	-
3	22541-644-112-39431 DEF storage and dispensing unit	20,000	20,000	-	-	-	-	-	-	-	-	-	-
4		-	-	-	-	-	-	-	-	-	-	-	-
5	Enterprise CIP 22541 - Sanitation Operations Fund	-	-	-	-	-	-	-	-	-	-	-	-
6	Public Works Yard - North	1,112,589	-	154,745	957,844	-	-	-	-	-	-	-	-
7	Compressed Natural Gas (CNG) Fueling Station	1,064,271	-	-	1,064,271	-	-	-	-	-	-	-	-
8		-	-	-	-	-	-	-	-	-	-	-	-
9	Enterprise Vehicles	-	-	-	-	-	-	-	-	-	-	-	-
10	Bulk	1,574,939	-	-	434,222	192,139	36,246	-	38,575	-	224,516	410,295	238,945
11	Operations	11,146,213	-	-	2,088,099	340,361	24,919	1,811,182	-	2,313,106	1,590,854	2,554,418	423,275
12	Recycling	24,919	-	-	-	-	24,919	-	-	-	-	-	-
13	Enterprise Equipment	-	-	-	-	-	-	-	-	-	-	-	-
14	Roll Off Dumpster 16 Yard	210,709	-	-	97,168	-	-	-	-	113,541	-	-	-
15	Roll Off Dumpster 20 Yard	36,926	-	-	17,028	-	-	-	-	19,898	-	-	-
16	Case Tractor	74,499	-	-	74,499	-	-	-	-	-	-	-	-
17	Hydroblaster Power Washer #3	18,463	-	-	8,514	-	-	-	-	9,949	-	-	-
18	Hydroblaster Power Washer #2	18,463	-	-	8,514	-	-	-	-	9,949	-	-	-
19	Roll Off	45,307	-	-	-	-	45,307	-	-	-	-	-	-
20	Roll Off 16 Yard	39,299	-	-	-	-	18,123	-	-	-	-	21,177	-
21	20 Yard Recycle Container	40,898	-	-	-	-	-	40,898	-	-	-	-	-
22	Flatbed Trailer	40,962	-	-	-	-	-	-	-	-	-	-	40,962
23	Swinger Tractor	35,055	-	-	-	-	-	35,055	-	-	-	-	-
24		-	-	-	-	-	-	-	-	-	-	-	-
25	One-time Future O&M Costs	-	-	-	-	-	-	-	-	-	-	-	-
26	Solid Waste Side Loader	1,982,903	-	330,123	-	368,322	-	415,867	-	-	410,543	-	458,048
27	Solid Waste Rear Loader	1,126,732	-	330,123	-	-	-	386,066	-	-	410,543	-	-
28	Solid Waste Light Vehicle	40,638	-	-	-	-	-	40,638	-	-	-	-	-
29	Solid Waste Roll-Off Truck	363,327	-	-	-	-	363,327	-	-	-	-	-	-
30		-	-	-	-	-	-	-	-	-	-	-	-
Total Capital Projects		\$ 22,287,728	\$ 1,640,000	\$ 2,465,608	\$ 4,750,160	\$ 900,823	\$ 512,840	\$ 2,729,706	\$ 38,575	\$ 2,466,442	\$ 2,636,455	\$ 2,985,890	\$ 1,161,230
Projects by Grants / Developer Donations		-	-	-	-	-	-	-	-	-	-	-	-
Projects by Enterprise Fund		22,287,728	1,640,000	2,465,608	4,750,160	900,823	512,840	2,729,706	38,575	2,466,442	2,636,455	2,985,890	1,161,230



City of Surprise

Sanitation Utility Rate Study

Revenue Requirements Analysis

Cash Flow Sufficiency Test	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
EXPENSES											
Cash Operating Expenses	\$ 6,436,700	\$ 6,639,753	\$ 6,850,154	\$ 7,176,822	\$ 7,515,991	\$ 7,900,797	\$ 8,251,230	\$ 8,732,902	\$ 9,112,711	\$ 9,513,130	\$ 9,927,578
Existing Debt Service	-	-	-	-	-	-	-	-	-	-	-
New Debt Service	-	-	-	-	-	-	-	-	-	-	-
Capital/Equipment Reserve Funding	-	400,000	600,000	700,000	1,000,000	1,300,000	1,600,000	1,800,000	2,000,000	2,000,000	2,000,000
Total Expenses	\$ 6,436,700	\$ 7,039,753	\$ 7,450,154	\$ 7,876,822	\$ 8,515,991	\$ 9,200,797	\$ 9,851,230	\$ 10,532,902	\$ 11,112,711	\$ 11,513,130	\$ 11,927,578
REVENUES											
Rate Revenue	\$ 6,482,000	\$ 6,689,944	\$ 7,029,878	\$ 7,475,248	\$ 7,968,455	\$ 8,474,549	\$ 8,873,059	\$ 9,271,569	\$ 9,670,079	\$ 10,068,588	\$ 10,467,098
Non Rate Revenue	365,200	376,578	392,236	411,346	432,110	453,436	471,557	489,848	508,313	526,957	545,787
Operating Fund & Debt Reserve Fund Interest Earnings	110,900	42,968	49,484	24,691	23,027	22,103	11,915	10,552	8,618	7,332	1,711
Total Revenue	\$ 6,958,100	\$ 7,109,490	\$ 7,471,598	\$ 7,911,286	\$ 8,423,592	\$ 8,950,088	\$ 9,356,531	\$ 9,771,969	\$ 10,187,009	\$ 10,602,878	\$ 11,014,596
NET CASH FLOW (DEFICIENCY)	\$ 521,400	\$ 69,737	\$ 21,443	\$ 34,463	\$ (92,399)	\$ (250,708)	\$ (494,699)	\$ (760,933)	\$ (925,701)	\$ (910,252)	\$ (912,982)
% of Rate Revenue	-8.04%	-1.04%	-0.31%	-0.46%	1.16%	2.96%	5.58%	8.21%	9.57%	9.04%	8.72%

Coverage Sufficiency Test	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
EXPENSES											
Total Cash Operating Expenses (less Capital Outlays)	\$ 6,429,500	\$ 6,617,053	\$ 6,826,773	\$ 7,152,740	\$ 7,491,186	\$ 7,875,248	\$ 8,224,914	\$ 8,589,674	\$ 8,965,185	\$ 9,361,179	\$ 9,771,068
Revenue Bond Debt Service	-	-	-	-	-	-	-	-	-	-	-
Revenue Bond Coverage Requirement at 1.2	-	-	-	-	-	-	-	-	-	-	-
Total Expenses	\$ 6,429,500	\$ 6,617,053	\$ 6,826,773	\$ 7,152,740	\$ 7,491,186	\$ 7,875,248	\$ 8,224,914	\$ 8,589,674	\$ 8,965,185	\$ 9,361,179	\$ 9,771,068
ALLOWABLE REVENUES											
Rate Revenue	\$ 6,482,000	\$ 6,689,944	\$ 7,029,878	\$ 7,475,248	\$ 7,968,455	\$ 8,474,549	\$ 8,873,059	\$ 9,271,569	\$ 9,670,079	\$ 10,068,588	\$ 10,467,098
Other Revenue	365,200	376,578	392,236	411,346	432,110	453,436	471,557	489,848	508,313	526,957	545,787
Connection Charge Revenue	-	-	-	-	-	-	-	-	-	-	-
Interest Earnings - All Funds	110,900	42,968	49,484	24,691	23,027	26,975	11,915	26,167	17,724	10,165	1,711
Total Revenue	\$ 6,958,100	\$ 7,109,490	\$ 7,471,598	\$ 7,911,286	\$ 8,423,592	\$ 8,954,960	\$ 9,356,531	\$ 9,787,583	\$ 10,196,115	\$ 10,605,710	\$ 11,014,596
Coverage Realized	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
COVERAGE SURPLUS (DEFICIENCY)	\$ 528,600	\$ 492,437	\$ 644,824	\$ 758,546	\$ 932,406	\$ 1,079,712	\$ 1,131,617	\$ 1,197,910	\$ 1,230,930	\$ 1,244,532	\$ 1,243,527

Maximum Revenue Deficiency	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Sufficiency Test Driving the Deficiency	None	None	None	None	Cash	Cash	Cash	Cash	Cash	Cash	Cash
Maximum Deficiency From Tests	\$ -	\$ -	\$ -	\$ -	\$ 92,399	\$ 250,708	\$ 494,699	\$ 760,933	\$ 925,701	\$ 910,252	\$ 912,982
less: Net Revenue From Prior Rate Increases	-	-	-	-	-	-	(177,461)	(374,571)	(591,886)	(829,975)	(1,089,424)
Revenue Deficiency	\$ -	\$ -	\$ -	\$ -	\$ 92,399	\$ 250,708	\$ 317,238	\$ 386,362	\$ 333,815	\$ 80,276	\$ -
Plus: Adjustment for State Excise Tax	-	-	-	-	-	-	-	-	-	-	-
Total Revenue Deficiency	\$ -	\$ -	\$ -	\$ -	\$ 92,399	\$ 250,708	\$ 317,238	\$ 386,362	\$ 333,815	\$ 80,276	\$ -



City of Surprise

Sanitation Utility Rate Study

Revenue Requirements Analysis

Rate Increases	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Rate Revenue with no Increase	\$ 6,482,000	\$ 6,689,944	\$ 7,029,878	\$ 7,475,248	\$ 7,968,455	\$ 8,474,549	\$ 8,873,059	\$ 9,271,569	\$ 9,670,079	\$ 10,068,588	\$ 10,467,098
Revenues from Prior Rate Increases	-	-	-	-	-	-	177,461	374,571	591,886	829,975	1,089,424
Rate Revenue Before Rate Increase (Incl. previous increases)	6,482,000	6,689,944	7,029,878	7,475,248	7,968,455	8,474,549	9,050,520	9,646,140	10,261,965	10,898,564	11,556,522
Required Annual Rate Increase	0.00%	0.00%	0.00%	0.00%	1.16%	2.96%	3.51%	4.01%	3.25%	0.74%	0.00%
Number of Months New Rates Will Be In Effect	12	12	12	12	12	12	12	12	12	12	12
Info: Percentage Increase to Generate Required Revenue	0.00%	0.00%	0.00%	0.00%	1.16%	2.96%	3.51%	4.01%	3.25%	0.74%	0.00%
Policy Induced Rate Increases	0.00%	0.00%	0.00%	0.00%	0.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%
ANNUAL RATE INCREASE	0.00%	0.00%	0.00%	0.00%	0.00%	2.00%	2.00%	2.00%	2.00%	2.00%	2.00%
CUMULATIVE RATE INCREASE	0.00%	0.00%	0.00%	0.00%	0.00%	2.00%	4.04%	6.12%	8.24%	10.41%	12.62%

Impacts of Rate Increases	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
Rate Revenues After Rate Increase	\$ 6,482,000	\$ 6,689,944	\$ 7,029,878	\$ 7,475,248	\$ 7,968,455	\$ 8,644,040	\$ 9,231,530	\$ 9,839,063	\$ 10,467,204	\$ 11,116,535	\$ 11,787,653
Full Year Rate Revenues After Rate Increase	6,482,000	6,689,944	7,029,878	7,475,248	7,968,455	8,644,040	9,231,530	9,839,063	10,467,204	11,116,535	11,787,653
Additional State Taxes Due to Rate Increases	-	-	-	-	-	-	-	-	-	-	-
Net Cash Flow After Rate Increase	521,400	69,737	21,443	34,463	(92,399)	(81,217)	(136,227)	(193,439)	(128,576)	137,695	407,572
Coverage After Rate Increase	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a



City of Surprise

Sanitation Utility Rate Study

Fund Activity

Funds	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024
OPERATING FUND											
Beginning Balance	\$ 9,712,295	\$ 8,593,695	\$ 6,597,825	\$ 2,469,108	\$ 2,302,749	\$ 2,210,350	\$ 1,191,458	\$ 1,055,231	\$ 861,792	\$ 733,216	\$ 171,101
plus: Net Cash Flow after Rate Increase	521,400	69,737	21,443	34,463	(92,399)	(81,217)	(136,227)	(193,439)	(128,576)	137,695	407,572
less: Transfer of Surplus to Capital Fund	(1,640,000)	(2,065,608)	(4,150,160)	(200,823)	-	(937,674)	-	-	-	(699,810)	-
Ending Balance	\$ 8,593,695	\$ 6,597,825	\$ 2,469,108	\$ 2,302,749	\$ 2,210,350	\$ 1,191,458	\$ 1,055,231	\$ 861,792	\$ 733,216	\$ 171,101	\$ 578,674
Minimum Target Balance	\$ 1,058,088	\$ 1,091,466	\$ 1,126,053	\$ 1,179,752	\$ 1,235,505	\$ 1,298,761	\$ 1,356,367	\$ 1,435,546	\$ 1,497,980	\$ 1,563,802	\$ 1,631,931
Maximum Funds to be Kept as Operating Reserves	\$ 1,058,088	\$ 1,091,466	\$ 1,126,053	\$ 1,179,752	\$ 1,235,505	\$ 1,298,761	\$ 1,356,367	\$ 1,435,546	\$ 1,497,980	\$ 1,563,802	\$ 1,631,931
Info: No of Days of Cash Operating Expenses	487	363	132	117	107	55	47	36	29	7	21
OPERATING FUND - CAPITAL/EQUIPMENT RESERVE											
Beginning Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 487,160	\$ -	\$ 1,561,425	\$ 910,597	\$ 283,248	\$ -
plus: Capital/Equipment Reserve Funding	-	400,000	600,000	700,000	1,000,000	1,300,000	1,600,000	1,800,000	2,000,000	2,000,000	2,000,000
plus: Transfers from Operating Fund	1,640,000	2,065,608	4,150,160	200,823	-	937,674	-	-	-	699,810	-
plus: Grants/ Donations/ CIAC	-	-	-	-	-	-	-	-	-	-	-
plus: Additional Proceeds (Costs)	-	-	-	-	-	-	-	-	-	-	-
plus: Revenue Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-
plus: Other Loans	-	-	-	-	-	-	-	-	-	-	-
plus: Other Low Interest Loan Proceeds	-	-	-	-	-	-	-	-	-	-	-
plus: Interest Earnings	-	-	-	-	-	4,872	-	15,614	9,106	2,832	-
Total Funding Sources	\$ 1,640,000	\$ 2,465,608	\$ 4,750,160	\$ 900,823	\$ 1,000,000	\$ 2,729,706	\$ 1,600,000	\$ 3,377,039	\$ 2,919,703	\$ 2,985,890	\$ 2,000,000
less: Capital Expenditures	(1,640,000)	(2,465,608)	(4,750,160)	(900,823)	(512,840)	(2,729,706)	(38,575)	(2,466,442)	(2,636,455)	(2,985,890)	(1,161,230)
Ending Capital Fund Balance	\$ -	\$ -	\$ -	\$ -	\$ 487,160	\$ -	\$ 1,561,425	\$ 910,597	\$ 283,248	\$ -	\$ 838,770
DEBT RESERVE											
Beginning Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
plus: Reserve Funding from New Debt	-	-	-	-	-	-	-	-	-	-	-
less: Use of Reserves for Debt Service	-	-	-	-	-	-	-	-	-	-	-
Ending Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Minimum Target Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

City of Surprise Utility Rates

		08/01/2014 - 06/30/2015	07/01/2015 - 06/30/2016	07/01/2016 - 06/30/2017	07/01/2017 - 06/30/2018	07/01/2018 - 06/30/2019
MONTHLY UTILITY RATES						
WATER RATES						
All Customers						
Base Charges						
(Meter Size)						
	0.625 inch	\$ 20.99	\$ 22.72	\$ 24.59	\$ 26.62	\$ 28.82
	0.75 inch	\$ 20.99	\$ 22.72	\$ 24.59	\$ 26.62	\$ 28.82
	1 inch	\$ 27.28	\$ 29.53	\$ 31.97	\$ 34.61	\$ 37.47
	1.5 inch	\$ 69.26	\$ 74.97	\$ 81.16	\$ 87.86	\$ 95.11
	2 inch	\$ 111.23	\$ 120.41	\$ 130.34	\$ 141.09	\$ 152.73
	3 inch	\$ 224.56	\$ 243.09	\$ 263.15	\$ 284.85	\$ 308.35
	4 inch	\$ 356.78	\$ 386.21	\$ 418.07	\$ 452.56	\$ 489.90
	6 inch	\$ 692.66	\$ 749.80	\$ 811.66	\$ 878.62	\$ 951.11
	8 inch	\$ 1,118.75	\$ 1,211.05	\$ 1,310.96	\$ 1,419.12	\$ 1,536.19
Volumetric Charges						
	Potable					
	0 - 10,000 Gallons	\$ 1.73	\$ 1.87	\$ 2.02	\$ 2.19	\$ 2.37
	10,001 - 20,000 Gallons	\$ 3.41	\$ 3.69	\$ 3.99	\$ 4.32	\$ 4.68
	Over 20,000	\$ 3.97	\$ 4.30	\$ 4.65	\$ 5.03	\$ 5.44
	Non-Potable					
	0 - 10,000 Gallons	\$ 1.39	\$ 1.50	\$ 1.62	\$ 1.75	\$ 1.89
	10,001 - 20,000 Gallons	\$ 1.39	\$ 1.50	\$ 1.62	\$ 1.75	\$ 1.89
	Over 20,000	\$ 1.39	\$ 1.50	\$ 1.62	\$ 1.75	\$ 1.89
	Effluent Reuse Agreement (1989) - per 1,000 Gallons	\$ 0.20	\$ 0.20	\$ 0.21	\$ 0.22	\$ 0.23
SOLID WASTE RATES						
Monthly Base						
	Per Solid Waste Can	\$16.63	\$16.63	\$16.63	\$16.63	\$16.96
WASTEWATER RATES						
Monthly Base						
	Single Family	\$ 24.78	\$ 24.78	\$ 24.78	\$ 24.78	\$ 24.78
	Multi-Family - Per Unit	\$ 21.77	\$ 21.77	\$ 21.77	\$ 21.77	\$ 21.77
	RV Resort - Per Unit/Space	\$ 12.47	\$ 12.47	\$ 12.47	\$ 12.47	\$ 12.47
	Commercial - Business Type	\$ 2.63	\$ 2.63	\$ 2.63	\$ 2.63	\$ 2.63
	Sewer Service Agreement - Additional Residential Fee for Cortessa and White Tank Foothills Properties	\$ 3.36	\$ 3.36	\$ 3.36	\$ 3.36	\$ 3.36
	Residential Aggregated Account Billing Discount	5%	5%	5%	5%	5%
Volumetric - Usage Charge Per 1,000 gallons						
Commercial - Business Type						
	Bakeries	\$ 6.24	\$ 6.24	\$ 6.24	\$ 6.24	\$ 6.24
	Bars w/ dining	\$ 4.98	\$ 4.98	\$ 4.98	\$ 4.98	\$ 4.98
	Bars w/o dining	\$ 3.23	\$ 3.23	\$ 3.23	\$ 3.23	\$ 3.23
	Carwash	\$ 2.68	\$ 2.68	\$ 2.68	\$ 2.68	\$ 2.68
	Garage & Service Stations	\$ 3.39	\$ 3.39	\$ 3.39	\$ 3.39	\$ 3.39
	Hospitals, Convalescent, & Assisted Living	\$ 3.12	\$ 3.12	\$ 3.12	\$ 3.12	\$ 3.12
	Laundromats	\$ 2.90	\$ 2.90	\$ 2.90	\$ 2.90	\$ 2.90
	Medical Offices	\$ 3.12	\$ 3.12	\$ 3.12	\$ 3.12	\$ 3.12
	Professional Office	\$ 2.74	\$ 2.74	\$ 2.74	\$ 2.74	\$ 2.74
	Restaurants	\$ 6.24	\$ 6.24	\$ 6.24	\$ 6.24	\$ 6.24
	Schools, Parks & Recreation	\$ 2.79	\$ 2.79	\$ 2.79	\$ 2.79	\$ 2.79
	Other	\$ 3.01	\$ 3.01	\$ 3.01	\$ 3.01	\$ 3.01

City of Surprise Utility Rates

For Non-Residential Wastewater Customers that are not listed under the commercial business listing, the strength of the wastewater exceeds the Biochemical Oxygen Demand (BOD), and Total Suspended Solids (TSS) of 150 parts per million respectively, the rate shall be calculated using the following table:

	PER #BOD	PER #TSS	PER 1,000 Gal
Flow			\$ 0.6513
BOD	\$ 0.3078		
TSS		\$ 0.2903	
Capital			\$ 0.8627
Administrative			\$ 0.3899

ANNUAL PREPAY RATES

	01/01/2014 - 12/31/2014	01/01/2015 - 12/31/2015	01/01/2016 - 12/31/2016	01/01/2017 - 12/31/2017	01/01/2018 - 12/31/2018
Single Annual Advance Payment (5% Prepayment Discount)					

SOLID WASTE RATES

Per Solid Waste Can	\$ 189.58	\$ 189.58	\$ 189.58	\$ 189.58	\$ 191.48
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WASTEWATER RATES

Single Family	\$ 282.49	\$ 282.49	\$ 282.49	\$ 282.49	\$ 282.49
Multi-Family - Per Unit	\$ 248.18	\$ 248.18	\$ 248.18	\$ 248.18	\$ 248.18
RV Resort - Per Unit/Space	\$ 142.16	\$ 142.16	\$ 142.16	\$ 142.16	\$ 142.16
Sewer Service Agreement - Additional Residential Fee for Cortessa and White Tank Foothills Properties	\$ 38.30	\$ 38.30	\$ 38.30	\$ 38.30	\$ 38.30

	08/01/2014 - 06/30/2015	07/01/2015 - 06/30/2016	07/01/2016 - 06/30/2017	07/01/2017 - 06/30/2018	07/01/2018 - 06/30/2019
MISCELLANEOUS UTILITY CHARGES					
Establish/Reestablish Service During Normal Hours	\$ 32.00	\$ 32.00	\$ 32.00	\$ 32.00	\$ 32.00
Establish/Reestablish Service After Normal Hours	\$ 43.00	\$ 43.00	\$ 43.00	\$ 43.00	\$ 43.00
Disconnect Services for Non-Payment Fee	\$ 32.00	\$ 32.00	\$ 32.00	\$ 32.00	\$ 32.00
Process NSF/Returned Payment Fee	\$ 12.00	\$ 12.00	\$ 12.00	\$ 12.00	\$ 12.00
Reread Confirming an Accurate Meter Read Fee	\$ 5.00	\$ 5.00	\$ 5.00	\$ 5.00	\$ 5.00
Meter Testing Fee	Cost	Cost	Cost	Cost	Cost
Failure to Cancel Pre-Pay Election and Conversion Fee	\$ 75.00	\$ 75.00	\$ 75.00	\$ 75.00	\$ 75.00
Solid Waste Temporary Suspension Fee	\$ 55.00	\$ 55.00	\$ 55.00	\$ 55.00	\$ 55.00
Late Fee	1.5% of Balance	1.5% of Balance	1.5% of Balance	1.5% of Balance	1.5% of Balance

	08/01/2014 - 06/30/2015	07/01/2015 - 06/30/2016	07/01/2016 - 06/30/2017	07/01/2017 - 06/30/2018	07/01/2018 - 06/30/2019
DEPOSITS					
Residential and Commercial Water	(3) times the base charge	(3) times the base charge	(3) times the base charge	(3) times the base charge	(3) times the base charge
Hydrant Meter	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00
Solid Waste	(3) times the base charge	(3) times the base charge	(3) times the base charge	(3) times the base charge	(3) times the base charge
Residential Wastewater	(3) times the base charge	(3) times the base charge	(3) times the base charge	(3) times the base charge	(3) times the base charge
Commercial Wastewater	\$ 75.00	\$ 75.00	\$ 75.00	\$ 75.00	\$ 75.00



CITY OF SURPRISE
Regular City Council Meeting

May 6, 2014 @ 6:00:00 PM

[Back](#) [Print](#)

Council Meeting Date: May 6, 2014
Submitting Department: CD
Staff Recommendations: No Action

Contact Person: Chris Hillman, City Manager
District: Citywide

Consent	Regular	Public Hearing	Report/Discussion
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Agenda Wording:

Presentation and update on the Surprise Transportation Plan.

Motion:

Discussion only

Background:

Financial Impact Statement:

N/A

ATTACHMENTS:

Click to download

☐ [Staff Report](#)

☐ [Presentation](#)

External Attachment Links:

Meeting Requirements:

Powerpoint	x	Video	White Board	Other	x
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Presentation Speaker Names (spelling and titles for TV captions):

Martin Lucero, Transportation Planning Manager



COMMUNITY AND ECONOMIC DEVELOPMENT

Date: May 6, 2014
To: Mayor and City Council
From: Chris Hillman, City Manager
Martin Lucero, Transportation Planning Manager
martin.lucero@surpriseaz.gov, 623-222-3142
Re: Transportation Update

Background

In preparation of the Surprise Transportation Plan update Staff has identified various planning tools that should be developed. Elements of these tools are found in the newly adopted City's General Plan, as well as other regional planning documents. However, fully developed plans and policies have not been completed.

Each tool will be incorporated into the Surprise Transportation Plan and will help qualify future transportation projects for State and Federal funds.

Identified Planning Tools

- Access Management Plan
- Bicycle/Pedestrian Plan
- Complete Streets Guidelines and Policies
- Major Thoroughfare Plan
- Transit Plan

These tools will help the City of Surprise to create the environmentally friendly transportation system, where everyone is able to walk, ride, drive or bike in a safe, convenient, and affordable manner, as described in the City's general plan.

Presentation Summary

- Why develop the identified plans and policies
- Impacts and Benefits
- Timing

Recommendation

Staff is seeking direction to proceed with the development of identified plans and policies and looking for support to take to the Planning and Zoning commission for review.

City Council Quarterly Briefing Plans and Policies

May 6, 2014



Presentation Summary



- Identified Plans and Policies
- Why Develop Plans and Policies for Surprise
- Impacts and Benefits
- Timing

Identified Plans and Policies



- Surprise Transportation Plan Update
 - Access Management Plan
 - Bicycle/Pedestrian Plan
 - Complete Streets Guidelines and Policies
 - Major Thoroughfare Plan
 - Transit Plan

Why Develop Plans and Policies



Design Guidelines and Standards

- Good transportation infrastructure design affects
 - safety, accessibility, and comfort for all modes of travel.
- Outcomes of Good Street Designs
 - Can ensure accessibility for all modes,
 - Improve quality of neighborhoods,
 - Create opportunities for environmental protection and conservation,
 - And promote economic development

Access Management Plan



- Improves traffic flow and safety by controlling access to properties along major roadways.
- Access management principles includes
 - Restricting uncontrolled driveway access onto major arterials,
 - Restricting left turns,
 - Providing internal connectivity among properties, and
 - Providing adequate storage length for turning lanes and connecting streets



Complete Streets



- Complete streets are streets designed to be accessible to people of all ages and abilities, and all modal uses.
 - Include sidewalks,
 - Safe crossings,
 - Bicycle lanes,
 - Automobile lanes,
 - Parking,
 - And transit.
- Local jurisdictions develop complete streets policies to
 - Encourage considerations for all users and modes
 - Enhance the Safety of pedestrian and bicyclist
 - Improve the health of all residents
 - Reduce emissions from automobile traffic,
 - And reduce injuries and fatalities from collisions.

Bicycle/Pedestrian Plan



- 2010 USDOT – Policy Statement on Bicycle and Pedestrian Accommodations

“All agencies have the responsibility to improve conditions and opportunities for walking and bicycling and to integrate walking and bicycling into their transportation systems.”

 - Guidelines,
 - Strategies, or primers on land use
 - And site design to support pedestrian, bicycle, and transit access.



- Access Management Plan
 - May – Presentation to Planning & Zoning
 - June – Return to City Council
- Complete Streets Guidelines and Policies
 - July- Initial Discussion of Draft Plan
 - August – Presentation to Planning & Zoning
 - September – Return to City Council

Questions or Comments?





CITY OF SURPRISE
Regular City Council Meeting

May 6, 2014 @ 6:00:00 PM

[Back](#) [Print](#)

Council Meeting Date:	May 6, 2014	Contact Person:	Chris Boyd, Community & Economic Development
Submitting Department:	CD	District:	Citywide
Staff Recommendations:	Approve		

Consent	Regular	Public Hearing	x	Report/Discussion
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Agenda Wording:

Consideration and action on the Community Development Block Grant Annual Action Plan 2014-2015 funding priorities.

Motion:

I move to approve the Community Development Block Grant Annual Action Plan 2014-2015 funding priorities.

Background:

The Annual Action Plan is a one-year strategic plan that describes community needs, resources, priorities and proposed activities under CDBG and HOME grant programs for the fiscal year 2014-2015.

Financial Impact Statement:

Staff and Planning and Zoning Commission funding recommendations for the 2014-15 Annual Action Plan include reimbursement of administrative costs up to a maximum of \$102,436 (20%), funding for public services to a maximum of \$76,827 (15%), and funding for the Street Improvements Project to a maximum of \$332,918. The total anticipated CDBG allotment is \$512,181.

ATTACHMENTS:

Click to download

- ☐ [Staff Report](#)
 - ☐ [Consolidated Plan/Annual Action Plan](#)
 - ☐ [Surveys](#)
 - ☐ [Project Map](#)
 - ☐ [Eligible Area Funding Map](#)
 - ☐ [Public Services Scoring Rubric](#)
-

External Attachment Links:

Meeting Requirements:

Powerpoint x Video White Board Other x

Presentation Speaker Names (spelling and titles for TV captions):

Christina Ramirez, Neighborhood Services Supervisor



COMMUNITY AND ECONOMIC DEVELOPMENT DEPARTMENT

Date: May 6, 2014

To: Mayor and City Council

From: Chris Boyd, Interim Community and Economic Development Director
Christina Ramirez, Neighborhood Services Supervisor

Re: Community Development Block Grant Annual Action Plan 2014-15

Introduction

The City of Surprise Neighborhood Services Division is in the process of completing the Annual Action Plan for 2014-15. The plan is mandated by the U.S Department of Housing and Urban Development (HUD) and it allows the city a comprehensive method to identify funding priorities for the Community Development Block Grant (CDBG) and Home Investment Partnership (HOME) programs on an annualized basis.

Today's Public Hearing is aimed at opening a constructive discussion by the Council members, staff, and residents of Surprise to enable the Mayor and City Council in recommending approval of the Annual Action Plan 2014-15.

Background

Consolidated Plan 2010-14

The Consolidated Plan encompasses eligible activities, national objectives to meet, and the priority action items recommended by the residents and city staff which should in turn meet the recommended HUD goals. The Five-Year Consolidated Plan provides an assessment of the housing and community development needs; a strategic plan for addressing these needs; and a specific one-year Action Plan for the use of HUD grants funds.

Through the process of compiling the Consolidated Plan, in order to gain resident insight and opinions, the following steps and measures were taken:

CDBG Commission Meeting on Consolidated Plan Priorities	12/9/2009
Survey questionnaire for city residents and city staff	1/1 – 2/5/2010
CDBG Commission Public Hearing on Consolidated Plan Priorities	2/3/2010
Quality of Life Commission Public Hearing on Consolidated Plan Priorities	2/9/2010
30 day public comment period on Consolidated Plan	3/1 – 3/31/2010
CDBG Commission Public Hearing and Recommendation on Consolidated Plan	3/3/2010
City Council Public Hearing	4/8/2010
City Council work session and approval	4/22/2010

On an annualized basis, staff returns to the appropriate Staff, Commission, Council, and the public for updated input on the Annual Action Plan priorities to assure continued relevance and feasibility. This is completed through a series of a minimum of 3 public hearings, a 30 day comment period on the draft plan, and advertisements indicating all public comment opportunities in the local newspaper, website, and neighborhood locations the draft plan is available for review.

Through the Consolidated Plan process, the following needs were identified through staff, Council, resident, and stakeholder input:

- Transportation services
- Transportation infrastructure improvements
- Job creation/retention
- Youth centers/Youth activities
- Low crime rate
- Energy efficient improvements
- Health care facilities
- Health care services
- Senior Center programs/activities

Through this same input process, the following projects were identified as needs:

- Housing rehabilitation.
- Sidewalk improvements in OTS.
- Drainage improvements in OTS.
- ADA retrofitting to curb cuts.
- Lighting improvements in OTS.
- Fire hydrant improvements in OTS.
- Job training.
- Youth training program.
- Youth recreation program.

Based on feedback from citizens, city staff, CDBG and Quality of Life Commission members, five priority needs were considered top priority for implementation under the CDBG funding that will benefit low- to moderate income persons in the City of Surprise:

- a) Housing rehabilitation-citywide.
- b) Training for youth-citywide.
- c) Façade improvements for commercial and residential properties in the OTS.
- d) Park improvements in the OTS.
- e) Sidewalk and curb-cut improvements in the OTS.
- f) Public Service Activities through nonprofit agency(s). Fifteen percent of the allotted yearly grant funding will be delineated for this activity.

Annual Action Plan 2014-15

The Annual Action Plan is a one-year strategic plan that describes community needs, resources, priorities and proposed activities under CDBG and HOME grant programs for the fiscal year 2014-2015. The Annual Action Plan notifies the citizens and HUD of the specific projects, goals and funding of the fiscal year based on the goals identified in the Consolidated Plan.

Key elements established in the Annual Action Plan 2014-15 are:

- Inclusion of CDBG-Funded Public Service Activities in the Annual Action Plan.
- Continue emergency housing rehabilitation throughout Surprise with roll-over funding
- Public improvements (street improvements)
- Continue housing rehabilitation/reconstruction with HOME funds.
- Administrative allotment.

The following is the Citizen Participation process used to come to assign priority to the previously designated CDBG projects per the Consolidated Plan:

December 23, 2013	Public Service Grant 2013-2014 application made available
January 23, 2014	Public Service Grant application due date
February 20, 2014	2014 Public Hearing for Public Service applicants at Planning and Zoning Commission Meeting
March 17-April 20, 2014	30 day public comment period on 2014-2015 AAP
March 6, 2014	Planning and Zoning Commission Meeting and Public Hearing for Recommendation on 2014-2015 AAP
April 1, 2014	City Council Work Session
April 15, 2014	Regular City Council Meeting and Public Hearing seeking approval of 2014-2015 AAP (continued)
May 6, 2014	Council Meeting Public Hearing seeking approval of the 2014-2015 AAP

Upon approval by the City Council, the plan will be submitted to HUD by May 15, 2014.

Previous Actions

Recommended priority projects as listed within city's 2010-2014 Consolidated Plan, and the year the project was funded:

- Housing Rehabilitation – owner occupied citywide (completed 2010, 2011, 2012, funded 2013)
- Park and Recreational Facility Improvement – in OTS (funded 2013)
- Street Improvement – road reconstruction, sidewalk connectivity and ADA access (completed 2011, proposed 2014)
- Façade Improvement for Commercial - OTS
- Public Services – to include training for youth (completed 2010, 2011, 2012, funded 2013 and proposed 2014)

Staff and Planning and Zoning Commission, and resident funding recommendations for the 2014-15 Annual Action Plan include:

1. Street Improvements (OTS)	\$356,730
2. Public Services (15% max)	\$76,827
3. Administrative Allocation (20% max)	\$108,389

Total ----- \$541,946

Housing Activities will continue during the program year with roll-over funding of approximately \$345,000.

Updated Actions

On March 6, 2014, the Planning and Zoning Commission held a public hearing to discuss funding recommendations for projects and public service activities that are being forwarded to the City Council for consideration.

Along with the stated priority projects listed above, the city initiated a process to accept applications for Public Service funding. As a result of the open application process, applications for over \$139,905 in funding were submitted.

After a series that included application review, public hearings, and rubric scoring, the Planning and Zoning Commission more specifically recommends funding of the following organizations with regard to Public Services:

Arizona Bridge to independent Living Home Modifications	\$34,558
St. Mary's Food Bank Emergency Food Assistance	\$20,000
Benevilla Day Care, Adult Day, and Home Delivered Meals	\$14,269
Central Arizona Shelter Services Homeless Assistance	\$ 8,000
Total	\$76,827

Future Actions

Submission to HUD: by May 15, 2014.

Recommendations

Adoption of the Community Development Block Grant Annual Action Plan 2014-2015 funding priorities.

CITY OF SURPRISE, ARIZONA



CONSOLIDATED PLAN 2010-14

AND

ANNUAL ACTION PLAN 2010-11

MAY 2010



ON REQUEST THIS DOCUMENT CAN BE MADE AVAILABLE IN ALTERNATE FORMATS



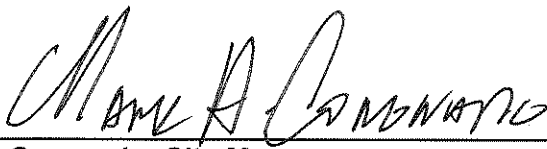
May 5, 2010

Richard M. Thomason
Community Planning & Development Representative
U.S. Department of Housing and Urban Development
One N. Central Avenue Suite 600
Phoenix, Arizona 85004

Re: City of Surprise "Consolidated Plan 2010-2014" and "Annual Action Plan 2010-2011"

Dear Mr. Thomason,

Please accept the City of Surprise "Consolidated Plan 2010-2014" and "Annual Action Plan 2010-2011". Should you require additional information, please do not hesitate to contact Vamshee Kovuru, Community Programs Coordinator at 623-222-3240. Thank you.



Mark Coronado, City Manager

5-5-10

Date



Vamshee Kovuru, Community Programs Coordinator

05/05/2010

Date

TABLE OF CONTENTS

1

TRANSMITTAL

2

5 YEAR STRATEGIC PLAN

3

**SF 424 AND FIRST YEAR ANNUAL
ACTION PLAN**

4

CERTIFICATIONS

5

PROJECTS AND SUMMARIES

6

**CITIZENS PARTICIPATION PLAN AND
REQUIRED POLICIES AND
PROCEDURES**

7

SUMMARY OF CITIZENS COMMENTS

8

**AFFIDAVITS AND PUBLIC HEARING
NOTICES**

9

**CITY COUNCIL AND COMMISSIONS-
AGENDA AND MINUTES**

10

**CONSOLIDATED PLAN GUIDANCE
CHECKLIST**

Surprise

Arizona ▼

Consolidated Plan

Strategic Plan for Years

2010 ▼

to

2014 ▼

Annual Action Plan and

Consolidated Annual Performance and Evaluation Report

Presented to the U.S. Department of Housing and Urban Development

San Francisco ▼

Field Office of Community Planning and Development

Strategic Plan Submission Date

5/15/2010

Amendments:

	▼	MM/DD/YY	Name:
	▼	MM/DD/YY	Name:
	▼	MM/DD/YY	Name:
	▼	MM/DD/YY	Name:
	▼	MM/DD/YY	Name:

* If Necessary

Surprise		UOG: UOG Code - <i>AZ 4046</i>	▼
16000 N. Civic Center Plaza		DUNS # 021648936	2
Neighborhood Services Division		City of Surprise	
Surprise		Community Development Department	
Arizona	85374	Country U.S.A.	Neighborhood Services Division
Maricopa County		Program Year Start Date (07/01)	
Employer Identification Number (EIN): 86-6007796			
Applicant Type:		Local Government: City ▼	Specify Other Type
Person to be contacted regarding this application:			
Vamshee	K	Kovuru	
Community Programs Coordinator	(623) 222-3240	Fax (623) 222-3001	
vamshee.kovuru@surpriseaz.gov	www.surpriseaz.gov	Other Contact	
"To the best of my knowledge and belief, all data in this application are true and correct, the document has been duly authorized by the governing body of the applicant, and the applicant will comply with the attached assurances if the assistance is awarded." Please update the date with each new Action Plan and CAPER submission.			
Name: Mark Coronado		Date: <i>5-3-10</i>	
Title: City Manager		(MM/DD/YY)	



5 Year Strategic Plan

This document includes Narrative Responses to specific questions that grantees of the Community Development Block Grant, HOME Investment Partnership, Housing Opportunities for People with AIDS and Emergency Shelter Grants Programs must respond to in order to be compliant with the Consolidated Planning Regulations.

GENERAL

Executive Summary

5 Year Strategic Plan Executive Summary:

The City of Surprise Consolidated Plan is a comprehensive document that addresses the city's housing, community development and economic development needs. The Consolidated Plan 2010-14 comprehensively updates the previous four-year strategic plan covering the program years of 2006-2009. It also serves as the 2010 Action Plan. The Consolidated Plan is a combination of housing, community development, and public service plan, and acts as an application for the following two U.S. Department of Housing and Urban Development (HUD) entitlement programs:

- **Community Development Block Grant (CDBG)**
- **HOME Investment Partnerships Program**

The City of Surprise 2010 Annual Action Plan fiscal year runs from July 1, 2010 through June 30, 2011. The city's lead agency responsible for the plan's development is the Neighborhood Services Division, Community Development Department. The executive summary includes objective and outcome expectations, as well as an evaluation of past performance. The 2010 Consolidated Plan states how the city intends to utilize its HUD entitlement funds in the areas of housing, community development, public services, and administration. The Consolidated Plan ties HUD grant-funded spending to other funding initiatives in the city that benefit low- and moderate-income residents.

Key components of the plan are:

- **Needs assessment.**
- **Strategic goals.**
- **Delivery system.**
- **Accountability and performance measurement.**

Primary categories of need that are addressed in the plan are:

- **Infrastructure development.**
- **Housing.**
- **Public services to special needs populations.**

Summary of Objective and Outcome Expectations

The City of Surprise performance measurement system is tied to the city's Original Townsite Revitalization Plan objectives and to the annual budget

process. In previous years, the Consolidated Plan provided an estimate of *output* measures in compliance with HUD's data system. The Consolidated Plan includes measures of performance to quantify longer-term goals by incorporating projected *outcome* measures. Quantifiable results-oriented goals for capital programs are tied to a unified framework for the benefit of low- and moderate-income residents.

- Preserve neighborhoods through infrastructure improvements in the targeted low-income area(s).
- Provide public services based on qualifications to special needs populations.
- Preserve neighborhoods through housing rehabilitation assistance to qualified homeowners.
- Preserve habitability of owner-occupied housing through emergency home repair assistance.
- Increase homeownership through downpayment assistance.
- Preserve affordability of quality rental housing through the Housing Choice Voucher program.
- Provide public service activities to city's youth, seniors, special needs persons, persons and families in crisis, and disabled persons through nonprofit agencies or other city departments.

Changes currently anticipated during the term of this plan:

- Inclusion of public services activities through the CDBG funding. Fifteen percent of CDBG allotted funding will be set aside for the public services as per CDBG guidelines.
- Possible inclusion of economic development activities through CDBG funding.

Past Performance Summary

Since becoming an entitlement community in 2006 for CDBG funding, infrastructure was identified as the city's highest priority and was aimed at the Original Townsite (OTS) of Surprise which is predominantly a low- to moderate-income resident area. Under this three flood drain improvement projects were carried out in the OTS. The infrastructure improvements aimed at creating a suitable living environment principally for the low- to moderate-income persons within the OTS of Surprise.

Implementation

This Consolidated Plan will serve as a guide for City of Surprise' endeavors in housing and community development during the next five years. The plan is updated annually through the adoption of the Annual Action Plan and may be amended from time to time as circumstances change. The Annual Action Plan and amendments to the Consolidated Plan will allow for public review and comment consistent with the Citizens Participation Plan.

Strategic Plan

Due every three, four, or five years (length of period is at the grantee's discretion) no less than 45 days prior to the start of the grantee's program year start date. HUD does not accept plans between August 15 and November 15.

Mission:

The mission of the City of Surprise is to enhance the quality of life through sustainable reconstruction of aging infrastructure systems and housing stock at the neighborhood level in order to develop healthy living environment.

General Questions

1. Describe the geographic areas of the jurisdiction (including areas of low income families and/or racial/minority concentration) in which assistance will be directed.

Community Profile

2. Describe the basis for allocating investments geographically within the jurisdiction (or within the EMSA for HOPWA) (91.215(a)(1)) and the basis for assigning the priority (including the relative priority, where required) given to each category of priority needs (91.215(a)(2)). Where appropriate, the jurisdiction should estimate the percentage of funds the jurisdiction plans to dedicate to target areas.
3. Identify any obstacles to meeting underserved needs (91.215(a)(3)).

5 Year Strategic Plan General Questions response:

1.
Surprise is located in the northwest of the Phoenix Metropolitan Area (*Figure 1*). The Surprise Planning Area is bordered on the east by the cities of Peoria and El Mirage, on the west by the town of Buckeye, on the south by the city of Glendale, and on the northwest by the town of Wickenburg. Sun City and Sun City West, both unincorporated communities are adjacent to the eastern boundary of the planning area. Located within the Surprise Planning Area are the unincorporated communities of Morristown, Circle City, and Wittmann.

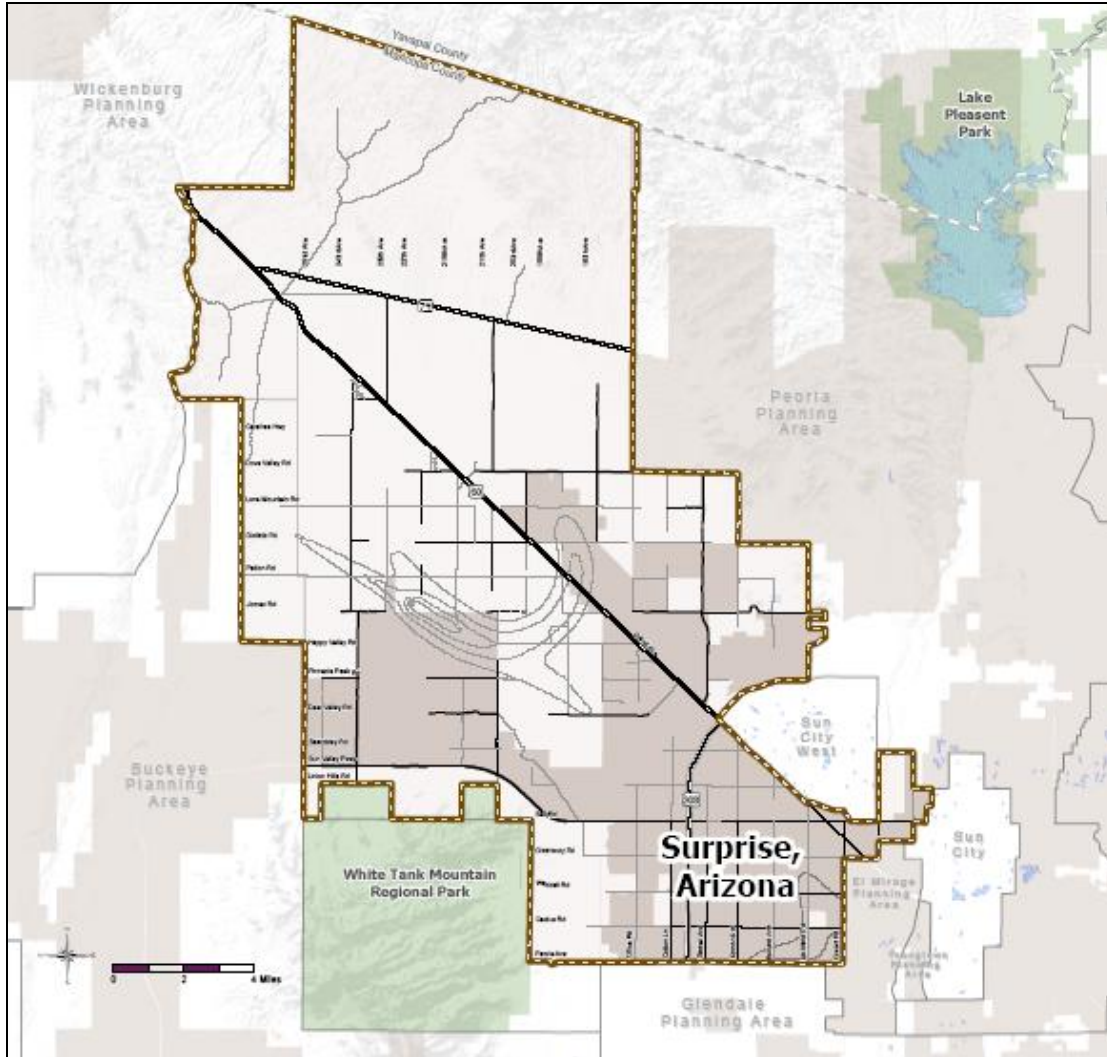


Figure 1: Surprise, AZ

Public facilities and infrastructure improvements with CDBG funds will only be undertaken in the Original Townsite (*Figure 2*) as area benefit activities targeted to the designated service area. This area is the only Census Tract (#608) in Surprise with a population that is more than 51% low and moderate income.



Figure 2: Original Townsite, Surprise, AZ

Housing rehabilitation, public services, and other eligible activities under the CDBG program that directly benefit individuals will be provided throughout the community contingent on the eligibility of the applicant for the low- or moderate-income caveat.

2.

The system for establishing the target areas for the CDBG-funded activities are predicated upon the following criteria:

- The Original Townsite area targeted for CDBG investments under this plan is the lowest income Census Tract in City of Surprise.
- The community has targeted this area for investment in the *Original Townsite Revitalization Plan* and *Surprise Revitalization Strategic Plan*.
- Meeting the statutory requirements of the CDBG program.
- Coordination and leveraging of resources.
- Response to expressed needs.
- Long-term impact and sustainability.

- **The ability to measure or demonstrate progress and success.**

The steps in assignment of priority for specific activities selected for this plan are described further in the section on Priority Needs Analysis and Strategy.

3.

Funding Future

One of the main obstacles to meeting community needs is inadequate resources for programs. The decline in resources across sectors, including government agencies and foundations, due to the economic downturn has negatively impacted the quantity and quality of housing and community development services. Although federal stimulus funding helps mitigate this trend to some extent, resources for these programs will likely be limited for the near future.

Other obstacles to meeting underserved needs include:

- 1. Insufficient resources to meet all of the legitimate and eligible needs identified.**
- 2. Private ownership of incompatible land uses within the revitalization area.**
- 3. Public ownership of the water system in the revitalization area by another municipality.**
- 4. Environmental issues related to the location of Grand Avenue (US 60), the Railroad, and Luke Airforce Base.**

Managing the Process (91.200 (b))

- 1. Lead Agency. Identify the lead agency or entity for overseeing the development of the plan and the major public and private agencies responsible for administering programs covered by the consolidated plan.**
- 2. Identify the significant aspects of the process by which the plan was developed, and the agencies, groups, organizations, and others who participated in the process.**
- 3. Describe the jurisdiction's consultations with housing, social service agencies, and other entities, including those focusing on services to children, elderly persons, persons with disabilities, persons with HIV/AIDS and their families, and homeless persons.**

***Note: HOPWA grantees must consult broadly to develop a metropolitan-wide strategy and other jurisdictions must assist in the preparation of the HOPWA submission.**

5 Year Strategic Plan Managing the Process response:

1.

The Neighborhood Services Division of the Community Development Department is the lead agency for the City of Surprise Consolidated Plan. The Consolidated Plan along with the Annual Action Plan is authorized and approved by Mayor and the City Council upon the recommendation of the appointed Surprise CDBG Commission. Actions required to accept and

administer the CDBG and HOME funds are assigned to the City Manager or his designee.

2.

The City of Surprise has developed the Consolidated Plan with the input of several key city departments and divisions, county agencies, local nonprofit agencies and service organizations, community groups, boards and commissions, and citizens of Surprise.

To gather input from the citizens of Surprise, the city has adopted a Citizen Participation Plan that describes the citizens input process for the CDBG and HOME programs.

Significant steps in the planning process included:

- a) Creating a public participation plan to involve citizens of City of Surprise.**
- b) Establishment of needs assessment and priorities with other city departments and divisions.**
- c) Conducting a survey with citizens of the city and city officials to determine the high priorities needs.**
- d) Review of needs assessments and goals and objectives established with the Surprise CDBG and Quality of Life Commission members.**
- e) Conducting a City Council work session.**

3.

During the development of the Consolidated Plan, the following groups and city departments were interviewed and or returned surveys. These groups represent or serve the populations whose needs were considered in the Consolidated Plan:

- a) City of Surprise Community Development Department.**
- b) City of Surprise Community and Recreation Services.**
- c) City of Surprise Economic Development Department.**
- d) City of Surprise Public Works Department.**
- e) Maricopa HOME Consortium.**
- f) The Housing Authority of Maricopa County.**
- g) Maricopa County Community Development.**
- h) City of Surprise CDBG Commission.**
- i) City of Surprise Quality of Life Commission.**
- j) Area Congressional Representative response for the survey.**

Citizen Participation (91.200 (b))

- 1. Provide a summary of the citizen participation process.**
- 2. Provide a summary of citizen comments or views on the plan.**
- 3. Provide a summary of efforts made to broaden public participation in the development of the consolidated plan, including outreach to minorities and non-English speaking persons, as well as persons with disabilities.**
- 4. Provide a written explanation of comments not accepted and the reasons why these comments were not accepted.**

***Please note that Citizen Comments and Responses may be included as additional files within the CPMP Tool.**

5 Year Strategic Plan Citizen Participation response:**1.**

Throughout the development of the Consolidated Plan, citizen input is encouraged. The City of Surprise provides its citizens many opportunities to provide input to the decision making process. Citizens are encouraged to attend and participate in City Council meetings, CDBG and Quality of Life Commission meetings to solicit public input. These community engagement practices are designed to meet the needs and requirements of various programs and planning processes.

In accordance with 24 CFR 91.115(e), city staff has developed a Citizen Participation Plan (CPP) designed specifically for the Consolidated Plan. The CPP describes city policies relating to public hearings, public notices, and comment period. A schedule of citizen participation actions was developed at the beginning of the Consolidated Plan drafting.

Table 1: Schedule of Citizen Participation

Time	Activity
December 9, 2009	CDBG Commission Meeting on Consolidated Plan Priorities
January 1- February 5, 2010	Survey questionnaire for city residents and city staff
February 3, 2010	CDBG Commission Public Hearing on Consolidated Plan Priorities
February 9, 2010	Quality of Life Commission Public Hearing on Consolidated Plan Priorities
March 1-31, 2010	30 day public comment period on Consolidated Plan
March 3, 2010	CDBG Commission Public Hearing and Recommendation on Consolidated Plan
April 8, 2010	City Council Public Hearing
April 22, 2010	City Council work session and approval
May 15, 2010	Submission to HUD

2.

No citizen's comments were received during the comment period and Public Hearings.

3.

To assist in obtaining broad-based participation, a city's mailing distribution list is used. The list includes public, private and social service agencies and individuals that request notices of meetings and hearings. Staff publishes public notices for both public meetings and hearings in Daily News-Sun an everyday publishing newspaper, in accordance with city notification practices.

As per the CPP, the public hearings were held at accessible locations. Electronic copies of the plan were made available on the city's website. Printed public notices were distributed in English and Spanish listing the locations where copies of the Consolidated Plan are available and inviting citizens to speak at the public meetings and hearings and/or submit written

comments. Public meetings and hearings are accessible and sign language interpretation is available for public hearings and meetings on request.

4.

Citizens' request for highway access, road repairs, and other transportation improvements were not included as they do not qualify either under area benefit or limited clientele benefit caveat for the low- to moderate-income persons in the city.

Institutional Structure (91.215 (i))

1. Explain the institutional structure through which the jurisdiction will carry out its consolidated plan, including private industry, nonprofit organizations, and public institutions.
2. Assess the strengths and gaps in the delivery system.
3. Assess the strengths and gaps in the delivery system for public housing, including a description of the organizational relationship between the jurisdiction and the public housing agency, including the appointing authority for the commissioners or board of housing agency, relationship regarding hiring, contracting and procurement; provision of services funded by the jurisdiction; review by the jurisdiction of proposed capital improvements as well as proposed development, demolition or disposition of public housing developments.

5 Year Strategic Plan Institutional Structure response:

1.

The City of Surprise is the clearinghouse and facilitator for the activities as described by the Consolidated Plan. The City of Surprise is empowered to apply for and administer CDBG and other grant funds.

The Neighborhood Services Division of the Community Development Department oversees the administration of all CDBG and HOME entitlement programs. The department is responsible for:

- **Program management and oversight.**
- **Interdepartmental coordination.**
- **Subrecipient contract administration and monitoring.**
- **Program evaluation.**
- **Consolidated Plan preparation, monitoring and evaluation.**
- **Periodic reporting to HUD.**

Other city departments and private agencies that participate in the implementation of federal-funded grants include:

- **City Council - formal approval body for policy making and release of funds.**
- **The Public Works Department - has experience in administering the procurement and Labor Standards requirements of federally funded projects.**
- **Financial management will be appropriately separated between the Finance Department and Community Development Department.**

- **Maricopa HOME Consortium is the lead agency for HOME funds and activities.**
- **The Maricopa County Human Services Department provides services in workforce development and special transportation**
- **The city is also served by a number of private nonprofit housing and health and human services providers and local church organizations like Habitat for Humanity, Interfaith and Adelante Health Services.**

2.

The major gap is the coordination of multiple organizations in regards with networking, ensuring that overlap of assignments is minimized, and facilitating more efficient use of resources.

For the first time as the city is carrying out public service activities under the CDBG program through an open application process, effective management of the subrecipients would be a challenge and will likely require additional training.

3.

The Housing Authority of Maricopa County (HAMC) has two public housing developments in Surprise and administers Housing Choice Vouchers in the private rental market. The operations of HAMC in Surprise have not been formalized since Surprise incorporated as a city and an intergovernmental agreement would be beneficial.

Monitoring (91.230)

1. **Describe the standards and procedures the jurisdiction will use to monitor its housing and community development projects and ensure long-term compliance with program requirements and comprehensive planning requirements.**

5 Year Strategic Plan Monitoring response:

The City of Surprise Neighborhood Services Division of the Community Development Department oversees all grant-funded housing and community development programs and will be responsible for all performance measurement activities.

The Neighborhood Services Division is also responsible for the timely implementation of activities and projects. The City of Surprise will ensure that all grant-funded projects are monitored for compliance with the applicable city, state and federal laws. This will be accomplished using the city's current monitoring/contract compliance process for federal programs.

The Neighborhood Services Division monitors all proposed activities to ensure long-term compliance with program requirements. The objectives of these monitoring are to make sure that activities:

1. **Comply with all regulations governing their administrative, financial, and programmatic operations.**
2. **Achieve their performance objectives within schedule and budget.**

General Monitoring Procedures

Annually, with the preparation of Consolidated Annual Performance and Evaluation Report (CAPER), the Neighborhood Services Division will review whether the specific objectivities outlined in this strategic plan are being met. Furthermore, subsequent CAPERs will give an opportunity to address community priorities and if adequate resources are available to meet the objectives.

The City of Surprise relies upon all grant-funded entities to complete a Quarterly Performance Report (QPR) to assess project progress. Accomplishments for the quarter are identified including measurable outcomes, client information, time frames and quarterly costs.

Neighborhood Services Division also administers the Integrated Disbursement and Information System (IDIS).

The more formal monitoring begins with a risk assessment of all grant-funded projects and subrecipient contracts. The risk assessment considers size of the grant contract, changes in organizational structure, and how long it has been since the last on-site monitoring.

For the HOME programs, included is a annual peer review for each member of the Maricopa HOME Consortium. The peer monitoring is performed by representatives from other participating communities and may take the form of either a desk review or an on-site monitoring.

Subrecipient Monitoring:

All subrecipients of CDBG and HOME funds will be subject to monitoring. Fiscal monitoring will include review and approval of budgets, compliance with executed grant agreements, review of fiscal reports on a monthly or quarterly basis, and a review of audits on an annual basis. Additional monitoring will occur through on-site visits. These site visits will occur as necessary but will be conducted at least once every six months.

All subrecipients must identify the personnel working on the project; keep accurate records and filing systems to document program benefits and compliance; maintain an appropriate financial management system; submit to an audit; and submit a final report at closeout.

Affirmative and Outreach Monitoring

The city's contract compliance officer is responsible for ensuring affirmative marketing and minority outreach including Section 3 and Minority/Women Business Enterprise compliance, by using existing standards and procedures as prescribed under the CDBG and HOME programs.

Priority Needs Analysis and Strategies (91.215 (a))

1. Describe the basis for assigning the priority given to each category of priority needs.
2. Identify any obstacles to meeting underserved needs.

5 Year Strategic Plan Priority Needs Analysis and Strategies response:

1.

The priorities presented were developed by:

- **Weighing the severity of the need among all groups and subgroups in the city.**
- **Analyzing the current social, economic and housing conditions.**
- **Analyzing the relative needs of low- and moderate-income families.**
- **Assessing the resources likely to be available over the next five years.**

Priorities are relative and follow these classifications:

High: The city plans to use available Consolidated Plan funds for activities to meet the need during the Five-Year Strategic Plan.

Medium: The city plans to use any available funds, including Consolidated Plan funds, for activities to meet the need during the Five-Year Strategic Plan, and can assist organizations in seeking funds to meet the need.

Low: The city does not envision using any available Consolidated Plan funds for activities to meet the need during the Five-Year Strategic Plan.

Identified Feasible Priorities Based on Survey:

- **Transportation services**
- **Transportation infrastructure improvements**
- **Job creation/retention**
- **Youth centers/Youth activities**
- **Low crime rate**
- **Energy efficient improvements**
- **Health care facilities**
- **Health care services**
- **Senior Center programs/activities**

Identified Feasible Priorities Based on Needs and Urgency:

- **Housing rehabilitation.**
- **Sidewalk improvements in OTS.**
- **Drainage improvements in OTS.**
- **ADA retrofitting to curb cuts.**
- **Lighting improvements in OTS.**
- **Fire hydrant improvements in OTS.**
- **Job training.**
- **Youth training program.**
- **Youth recreation program.**

2.

Funding Future

One of the main obstacles to meeting community needs is inadequate resources for programs. The decline in resources across sectors, including government agencies and foundations, due to the economic downturn has

negatively impacted the quantity and quality of housing and community development services. Although federal stimulus funding helps mitigate this trend to some extent, resources for these programs will likely be limited for the near future.

Other obstacles to meeting underserved include:

- Insufficient resources to meet all of the legitimate and eligible needs identified.
- Private ownership of incompatible land uses within the revitalization area.
- Public ownership of the water system in the Revitalization area by another municipality.
- Environmental issues related to the location of Grand Avenue, the railroad, and Luke Airforce Base.

Lead-based Paint (91.215 (g))

- Estimate the number of housing units that contain lead-based paint hazards, as defined in section 1004 of the Residential Lead-Based Paint Hazard Reduction Act of 1992, and are occupied by extremely low-income, low-income, and moderate-income families.
- Outline actions proposed or being taken to evaluate and reduce lead-based paint hazards and describe how lead based paint hazards will be integrated into housing policies and programs, and how the plan for the reduction of lead-based hazards is related to the extent of lead poisoning and hazards.

5 Year Strategic Plan Lead-based Paint response:

1.

Assessment of the outside parameters of lead paint hazard for the City of Surprise has been calculated in the chart below utilizing data from the 2000 Census applied to the findings of a national study titled 'The prevalence of Lead Based Paint Hazards in U.S. Housing.'

Table 2:

Sample	Region	Surprise	Prevalence		Surprise	
			Sample	%w/hazards	Owner	Renter
Western Region			Western region	15%		
Owner renter split			Construction year			
Owner	69	88%	1978+w/hazards	3%	302	33
Renter	30	12%	1960-1977	8%	63	28
In poverty	14%	8.70%	1940-1959	43%	53	24
Income			Before 1940	69%	19	0
0-\$19,999	20%		Tenure			
\$20-39,999	27%		Owner	23%	437	
\$40-+	44%		Renter	30%		84
Calculations are derived from 2000 Census based on findings of "The prevalence of Lead Based Paint Hazards in U.S Housing" October 2002.			In poverty	38%	166	32
			W/children	25%	109	21

The Arizona Department of Health Services maintains records on the number of children reported to have elevated blood levels from any source. Since the year 2000, six (6) children have tested positive for elevated levels of lead in the bloodstream. During that time, two of the tests resulted in environmental investigations at the home. Neither of the home investigations revealed an apparent source for the lead.

2.

Housing assisted under any and all programs covered under this Consolidated Plan are inspected and/or tested prior to assistance as follows:

- a. The Housing Authority of Maricopa County inspects all rental units prior to occupancy by households who have Housing Choice Vouchers. For units built before 1978, chipped or peeling paint must be removed prior to occupancy.**
- b. For units built before 1978, chipped or peeling paint must be removed prior to occupancy. Renter and owner-occupied units built before 1978 that are being rehabilitated with CDBG or HOME funds must be professionally assessed and abated as part of the assisted contract.**
- c. Lead-based paint is not allowed or sold for residential construction after 1978. Newly constructed single-family or multifamily residences assisted with funding from the covered grants will be free of lead paint hazards.**

HOUSING

Housing Needs (91.205)

*Please also refer to the Housing Needs Table in the Needs.xls workbook

1. Describe the estimated housing needs projected for the next five year period for the following categories of persons: extremely low-income, low-income, moderate-income, and middle-income families, renters and owners, elderly persons, persons with disabilities, including persons with HIV/AIDS and their families, single persons, large families, public housing residents, victims of domestic violence, families on the public housing and section 8 tenant-based waiting list, and discuss specific housing problems, including: cost-burden, severe cost- burden, substandard housing, and overcrowding (especially large families).
2. To the extent that any racial or ethnic group has a disproportionately greater need for any income category in comparison to the needs of that category as a whole, the jurisdiction must complete an assessment of that specific need. For this purpose, disproportionately greater need exists when the percentage of persons in a category of need who are members of a particular racial or ethnic group is at least ten percentage points higher than the percentage of persons in the category as a whole.

5 Year Strategic Plan Housing Needs response:

Effective July 1, 2006, City of Surprise became a member of the Maricopa HOME Consortium through an Intergovernmental Agreement. Federal regulations (24 CFR 91.405) require that housing needs assessment be

consolidated for the entire Consortium Service Area. See the 2010-2014 Consolidated Plan for the Maricopa HOME Consortium.

Priority Housing Needs (91.215 (b))

1. Identify the priority housing needs and activities in accordance with the categories specified in the Housing Needs Table (formerly Table 2A). These categories correspond with special tabulations of U.S. census data provided by HUD for the preparation of the Consolidated Plan.
2. Provide an analysis of how the characteristics of the housing market and the severity of housing problems and needs of each category of residents provided the basis for determining the relative priority of each priority housing need category.

Note: Family and income types may be grouped in the case of closely related categories of residents where the analysis would apply to more than one family or income type.

3. Describe the basis for assigning the priority given to each category of priority needs.
4. Identify any obstacles to meeting underserved needs.

5 Year Strategic Plan Priority Housing Needs response:

Effective July 1, 2006, the City of Surprise became a member of the Maricopa HOME Consortium through an Intergovernmental Agreement. Federal regulations (24 CFR 91.405) require that housing needs assessment be consolidated for the entire Consortium Service Area. See the 2010-2014 Consolidated Plan for the Maricopa HOME Consortium.

Housing Market Analysis (91.210)

*Please also refer to the Housing Market Analysis Table in the Needs.xls workbook

1. Based on information available to the jurisdiction, describe the significant characteristics of the housing market in terms of supply, demand, condition, and the cost of housing; the housing stock available to serve persons with disabilities; and to serve persons with HIV/AIDS and their families. Data on the housing market should include, to the extent information is available, an estimate of the number of vacant or abandoned buildings and whether units in these buildings are suitable for rehabilitation.
2. Describe the number and targeting (income level and type of household served) of units currently assisted by local, state, or federally funded programs, and an assessment of whether any such units are expected to be lost from the assisted housing inventory for any reason, (i.e. expiration of Section 8 contracts).
3. Indicate how the characteristics of the housing market will influence the use of funds made available for rental assistance, production of new units, rehabilitation of old units, or acquisition of existing units. Please note, the goal of affordable housing is not met by beds in nursing homes.

5 Year Strategic Plan Housing Market Analysis responses:

Effective July 1, 2006, the City of Surprise became a member of the

Maricopa HOME Consortium through an Intergovernmental Agreement. Federal regulations (24 CFR 91.405) require that housing needs assessment be consolidated for the entire Consortium Service Area. See the 2010-2014 Consolidated Plan for the Maricopa HOME Consortium.

Specific Housing Objectives (91.215 (b))

1. Describe the priorities and specific objectives the jurisdiction hopes to achieve over a specified time period.
2. Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by the strategic plan.

5 Year Strategic Plan Specific Housing Objectives response:

Specific Housing Objectives for the period of the Consolidated Plan include:

Table 3: National Objective met by: <i>Providing decent housing.</i>			
Desired outcome: <i>An increase in the number of quality affordable units.</i>			
Need to be addressed/ From the Needs Assessment	Goal to address high and medium priority needs	Activity and General Location	Anticipated sources of funding
Quality owner-occupied housing	Increase the quality of owner-occupied housing in older neighborhoods	Major and Minor Housing rehabilitation to low- and moderate-income households primarily located in the Original Townsite Area.	CDBG, HOME, Private loans and Owners' funds
Quality owner-occupied housing	Increase the availability of affordable owner-occupied housing	Support to the development of housing and neighborhoods by Habitat for Humanity.	Private financing, Sweat equity, HOME funds, Fee waivers, and NSP Program
Quality owner-occupied housing	Preserve habitability of owner-occupied housing	Emergency repair assistance.	State Housing Trust Funds, CDBG Funds
Quality affordable rental housing	Preserve affordability of quality rental housing in the private market	Levels of Housing Choice Voucher assistance citywide to preserve current assistance and preserve units where landlords opt out of assisted mortgages	Housing Choice Vouchers under an operating agreement with the Housing Authority of Maricopa County.

Affordable rental housing	Increase the supply of affordable rental housing	Acquisition/ rehabilitation assistance to preserve units affordable to low- and moderate-income renters as opportunities are presented by nonprofit housing providers.	HOME, affordable housing bonds, private financing
Affordable rental housing	Increase the supply of affordable rental housing	Acquisition/ new development assistance to add to the number of units affordable to low- and moderate-income renters.	HOME, Affordable housing bonds, Private financing, Low Income Housing Tax Credits

See the chart below for the performance measures for the above objectives.

Five-year Goals for Housing

Table 4: Priority Housing Needs (Households)		Priority		Unmet Need
Renter	Small Related	0-30%	H	236
		31-50%	H	267
		51-80%	M	239
	Large Related	0-30%	H	112
		31-50%	H	112
		51-80%	H	135
	Elderly	0-30%	H	130
		31-50%	H	142
		51-80%	M	112
	All Other	0-30%	H	312
		31-50%	H	250
		51-80%	M	257
Owner	Small Related	0-30%	M	138
		31-50%	H	152
		51-80%	H	400
	Large Related	0-30%	M	68
		31-50%	H	101
		51-80%	H	186
	Elderly	0-30%	H	296
		31-50%	H	277
		51-80%	H	263

	All Other	0-30%	M	113
		31-50%	H	86
		51-80%	H	182
Non-Homeless Special needs	Elderly	0-80%	H	126
	Frail Elderly	0-80%	H	168
	Severe Mental Illness	0-80%	H	165
	Physical Disability	0-80%	M	53
	Developmental Disability	0-80%	M	105
	Alcohol/Drug Abuse	0-80%	H	96
	HIV/AIDS	0-80%	M	15
	Victims of Domestic Violence	0-80%	H	45

Table 5: Priority Needs	5-YR. Goal Plan/Act	Year 1 Goal Plan/Act	Year 2 Goal Plan/Act	Year 3 Goal Plan/Act	Year 4 Goal Plan/Act	Year 5 Goal Plan/Act
Renters	119	24	24	24	24	24
0-30%	33	7	7	7	7	7
31-50%	17	3	3	3	3	3
51-80%	69	14	14	14	14	14
owners	135	27	27	27	27	27
0-30%	40	8	8	8	8	8
31-50%	56	11	11	11	11	11
51-80%	39	8	8	8	8	8
Homeless						
Individuals	0	0	0	0	0	0
Families	0	0	0	0	0	0
Non-Homeless Special Needs						
Elderly	64	13	13	13	13	13
Frail Elderly	23	5	5	5	5	5
Severe Mental Illness	4	1	1	1	1	1
Physical Disability	18	4	4	4	4	4
Developmental Disability	13	3	3	3	3	3
Alcohol/Drug Abuse	5	1	1	1	1	1
HIV/AIDS	2	0	0	0	0	0
Victims of Domestic Violence	5	1	1	1	1	1
Total	134	27	27	27	27	27

The above two tables are derived from the Maricopa Consortium Consolidated Plan for 2010-14. The estimated number of people in Surprise for each need category is determined by multiplying the category's county population by the percentage of Surprise population that is in the Maricopa County- 3%. The estimated number are derived from 2000 CHAS runs extrapolated to 2010.

Table 6: Priority Needs	5-YR. Goal Plan/Act	Year 1 Goal Plan/Act	Year 2 Goal Plan/Act	Year 3 Goal Plan/Act	Year 4 Goal Plan/Act	Year 5 Goal Plan/Act
CDBG						
Acquisition of existing rental units						
Production of new rental units						
Rehabilitation of existing rental units						
Rental assistance						
Acquisition of existing owner units						
Production of new owner units						
Rehabilitation of existing owner units	20	20	0	0	0	0
Homeownership assistance						
HOME						
Acquisition of existing rental units						
Production of new rental units						
Rehabilitation of existing rental units						
Rental assistance						
Acquisition of existing owner units						
Production of new owner units						
Rehabilitation of existing owner units	5	1	1	1	1	1
Homeownership assistance						
HOPWA						
Rental assistance						
Short term rent/mortgage utility payments						
Facility based housing development	City of Surprise does not receive HOPWA funding					
Facility based housing operations						
Supportive Services						
Other						
NSP						
Production of new owner units	2	1	1	-	-	-
Homeownership assistance	24	8	8	8	-	-

Surprise is not eligible for funding for Housing Opportunities for People with AIDS and does not track housing assistance or set housing goals for persons with AIDS separate from housing assistance for persons with other

disabilities. Section 8 regulations do not authorize the collection of this information.

The goals listed in the chart above continue services and levels of service that have been provided in the past under operating agreements with Maricopa County Community Development, the Housing Authority of Maricopa and nonprofit housing providers.

2.

Resources anticipated to address these objectives include:

1. HUD funding

- a. Community Development Block Grants.**
- b. HOME Investment Partnerships funds.**
- c. Neighborhood Stabilization Program (NSP).**
- d. Housing Choice Vouchers.**

2. Low Income Housing Tax Credits issued through the state.

3. State and County IDA funding from

- a. Multifamily.**
- b. Single-Family bonds.**
- c. Mortgage Credit Certificates.**

4. Private funding

- a. Family Self-sufficiency escrow accounts.**
- b. IDEA grants.**
- c. Individual Development Accounts.**
- d. Unrestricted funds leveraged and matched by nonprofit housing providers.**
- e. Subsidized and unsubsidized private investments by private investors.**

Needs of Public Housing (91.210 (b))

In cooperation with the public housing agency or agencies located within its boundaries, describe the needs of public housing, including the number of public housing units in the jurisdiction, the physical condition of such units, the restoration and revitalization needs of public housing projects within the jurisdiction, and other factors, including the number of families on public housing and tenant-based waiting lists and results from the Section 504 needs assessment of public housing projects located within its boundaries (i.e. assessment of needs of tenants and applicants on waiting list for accessible units as required by 24 CFR 8.25). The public housing agency and jurisdiction can use the optional Priority Public Housing Needs Table (formerly Table 4) of the Consolidated Plan to identify priority public housing needs to assist in this process.

5 Year Strategic Plan Needs of Public Housing response:

The Housing Authority of Maricopa County (HAMC) administers the public housing and Section 8 subsidized housing programs for City of Surprise. Surprise' housing activities are planned and reported through the Maricopa Consortium Plan. See the 2010-2014 Consolidated Plan for the Maricopa HOME Consortium for more information.

Public Housing Strategy (91.210)

1. Describe the public housing agency's strategy to serve the needs of extremely low-income, low-income, and moderate-income families residing in the jurisdiction served by the public housing agency (including families on the public housing and section 8 tenant-based waiting list), the public housing agency's strategy for addressing the revitalization and restoration needs of public housing projects within the jurisdiction and improving the management and operation of such public housing, and the public housing agency's strategy for improving the living environment of extremely low-income, low-income, and moderate families residing in public housing.
2. Describe the manner in which the plan of the jurisdiction will help address the needs of public housing and activities it will undertake to encourage public housing residents to become more involved in management and participate in homeownership. (NAHA Sec. 105 (b)(11) and (91.215 (k))
3. If the public housing agency is designated as "troubled" by HUD or otherwise is performing poorly, the jurisdiction shall describe the manner in which it will provide financial or other assistance in improving its operations to remove such designation. (NAHA Sec. 105 (g))

5 Year Strategic Plan Public Housing Strategy response:

1. **Not Applicable**
2. **Not Applicable**
3. **HAMC is not designated as a "troubled agency."**

Barriers to Affordable Housing (91.210 (e) and 91.215 (f))

1. Explain whether the cost of housing or the incentives to develop, maintain, or improve affordable housing are affected by public policies, particularly those of the local jurisdiction. Such policies include tax policy affecting land and other property, land use controls, zoning ordinances, building codes, fees and charges, growth limits, and policies that affect the return on residential investment.
2. Describe the strategy to remove or ameliorate negative effects of public policies that serve as barriers to affordable housing, except that, if a State requires a unit of general local government to submit a regulatory barrier assessment that is substantially equivalent to the information required under this part, as determined by HUD, the unit of general local government may submit that assessment to HUD and it shall be considered to have complied with this requirement.;

5 Year Strategic Plan Barriers to Affordable Housing response:

1.
While the city's housing supply is large enough to meet demand, there is a significant disconnect between the supply of housing units and the location, price, and quality of the housing units. The housing stock has been growing and stands at 43,142 units as of January 1, 2008. This includes single-family units, multi-family units and mobile homes. An average of 4172 units has been built each year from 2000 through 2007. The average household size is now 2.61. More than half of Surprise's housing stock was built after 2000.

The City of Surprise is recognized for its affordability. First time homebuyers have been able to purchase quality homes in master planned neighborhoods. Monitoring housing affordability as the city matures will be important. The key is to ensure the widest range of housing to meet the needs of a growing city including the low- and moderate-income households.

The main contributors to the cost of housing in Surprise are:

- **The cost of vacant land and built residential property.**
- **The lack of a supply of land available for residential development outside of master-planned communities.**
- **The lack of supply of a variety of housing.**
- **The lack of available credit for low- and moderate-income persons.**

The growth of Surprise over the last 15 years can only be described as extreme. Much of this development has been in master-planned subdivisions with high amenities and innovative development patterns. The city's development standards are strict enough to support long-term neighborhood quality in the intense Arizona sun. The result has been rapid increases in the cost of housing that has been exacerbated by the recent run-up in the value of resale housing in all of Maricopa County.

The following table, although not required, documents the city's standing pursuant to the April 21, 2004 Federal Register Notice on the Affordable Communities Initiative.

America's Affordable Communities Initiative	U.S. Department of Housing and Urban Development	OMB approval no. 2535-0120 (exp. 06/30/2010)
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Public reporting burden for this collection of information is estimated to average 3 hours. This includes the time for collecting, reviewing, and reporting the data. The information will be used to encourage applicants to pursue and promote efforts to remove regulatory barriers to affordable housing. Response to this request for information is required in order to receive the benefits to be derived. This agency may not collect this information, and you are not required to complete this form unless it displays a currently valid OMB control number.

Questionnaire for HUD's Initiative on Removal of Regulatory Barriers Part A.
Local Jurisdictions. Counties Exercising Land Use and Building Regulatory Authority
and Other Applicants Applying for Projects Located in such Jurisdictions or Counties

	1	2
1. Does your jurisdiction's comprehensive plan (or in the case of a tribe or TDHE, a local Indian Housing Plan) include a "housing element? A local comprehensive plan means the adopted official statement of a legislative body of a local government that sets forth (in words, maps, illustrations, and/or tables) goals, policies, and guidelines intended to direct the present and future physical, social, and economic development that occurs within its planning jurisdiction and that includes a unified physical plan for the public development of land and water. If your jurisdiction does not have a local comprehensive plan with a "housing element," please enter no. If no,	☐ No	☒ Yes

skip to question # 4.		
2. If your jurisdiction has a comprehensive plan with a housing element, does the plan provide estimates of current and anticipated housing needs, taking into account the anticipated growth of the region, for existing and future residents, including low, moderate and middle income families, for at least the next five years?	☒ No	☐ Yes
3. Does your zoning ordinance and map, development and subdivision regulations or other land use controls conform to the jurisdiction's comprehensive plan regarding housing needs by providing: a) sufficient land use and density categories (multifamily housing, duplexes, small lot homes and other similar elements); and, b) sufficient land zoned or mapped "as of right" in these categories, that can permit the building of affordable housing addressing the needs identified in the plan? (For purposes of this notice, "as-of-right," as applied to zoning, means uses and development standards that are determined in advance and specifically authorized by the zoning ordinance. The ordinance is largely self-enforcing because little or no discretion occurs in its administration.). If the jurisdiction has chosen not to have either zoning, or other development controls that have varying standards based upon districts or zones, the applicant may also enter yes.	☐ No	☒ Yes
4. Does your jurisdiction's zoning ordinance set minimum building size requirements that exceed the local housing or health code or is otherwise not based upon explicit health standards?	☒ Yes	☐ No
5. If your jurisdiction has development impact fees, are the fees specified and calculated under local or state statutory criteria? If no, skip to question #7. Alternatively, if your jurisdiction does not have impact fees, you may enter yes.	☐ No	☒ Yes
6. If yes to question #5, does the statute provide criteria that sets standards for the allowable type of capital investments that have a direct relationship between the fee and the development (nexus), and a method for fee calculation?	☐ No	☒ Yes
7. If your jurisdiction has impact or other significant fees, does the jurisdiction provide waivers of these fees for affordable housing?	☒ No	☐ Yes
8. Has your jurisdiction adopted specific building code language regarding housing rehabilitation that encourages such rehabilitation through gradated regulatory requirements applicable as different levels of work are performed in existing buildings? Such code language increases regulatory requirements (the additional improvements required as a matter of regulatory policy) in proportion to the extent of rehabilitation that an owner/developer chooses to do on a voluntary basis. For further information see HUD publication: "Smart Codes in Your Community: A Guide to Building Rehabilitation Codes" (www.huduser.org/publications/destech/smartcodes.html)	☒ No	☐ Yes

9. Does your jurisdiction use a recent version (i.e. published within the last 5 years or, if no recent version has been published, the last version published) of one of the nationally recognized model building codes (i.e. the International Code Council (ICC), the Building Officials and Code Administrators International (BOCA), the Southern Building Code Congress International (SBCI), the International Conference of Building Officials (ICBO), the National Fire Protection Association (NFPA)) without significant technical amendment or modification. In the case of a tribe or TDHE, has a recent version of one of the model building codes as described above been adopted or, alternatively, has the tribe or TDHE adopted a building code that is substantially equivalent to one or more of the recognized model building codes? Alternatively, if a significant technical amendment has been made to the above model codes, can the jurisdiction supply supporting data that the amendments do not negatively impact affordability.	☐ No	☒ Yes
10. Does your jurisdiction's zoning ordinance or land use regulations permit manufactured (HUD-Code) housing "as of right" in all residential districts and zoning classifications in which similar site-built housing is permitted, subject to design, density, building size, foundation requirements, and other similar requirements applicable to other housing that will be deemed realty, irrespective of the method of production?	☒ No	☐ Yes
11. Within the past five years, has a jurisdiction official (i.e., chief executive, mayor, county chairman, city manager, administrator, or a tribally recognized official, etc.), the local legislative body, or planning commission, directly, or in partnership with major private or public stakeholders, convened or funded comprehensive studies, commissions, or hearings, or has the jurisdiction established a formal ongoing process, to review the rules, regulations, development standards, and processes of the jurisdiction to assess their impact on the supply of affordable housing?	☒ No	☐ Yes
12. *Within the past five years, has the jurisdiction initiated major regulatory reforms either as a result of the above study or as a result of information identified in the barrier component of the jurisdiction's "HUD Consolidated Plan?" If yes, attach a brief list of these major regulatory reforms.	☐ No	☒ Yes
13. Within the past five years has your jurisdiction modified infrastructure standards and/or authorized the use of new infrastructure technologies (e.g. water, sewer, street width) to significantly reduce the cost of housing?	☒ No	☐ Yes
14. Does your jurisdiction give "as-of-right" density bonuses sufficient to offset the cost of building below market units as an incentive for any market rate residential development that includes a portion of affordable housing? (As applied to density bonuses, "as of right" means a density bonus granted for a fixed percentage or number of additional market rate dwelling units in exchange for the provision of a fixed number or percentage of affordable dwelling units and without	☒ No	☐ Yes

the use of discretion in determining the number of additional market rate units.)		
15. Has your jurisdiction established a single, consolidated permit application process for housing development that includes building, zoning, engineering, environmental, and related permits? Alternatively, does your jurisdiction conduct concurrent, not sequential, reviews for all required permits and approvals?	☐ No	☒ Yes
16. Does your jurisdiction provide for expedited or "fast track" permitting and approvals for all affordable housing projects in your community?	☐ No	☒ Yes
17. Has your jurisdiction established time limits for government review and approval or disapproval of development permits in which failure to act, after the application is deemed complete, by the government within the designated time period, results in automatic approval?	☒ No	☐ Yes
18. Does your jurisdiction allow "accessory apartments" either as: a) a special exception or conditional use in all single-family residential zones or, b) "as of right" in a majority of residential districts otherwise zoned for single-family housing?	☐ No	☒ Yes
19. Does your jurisdiction have an explicit policy that adjusts or waives existing parking requirements for all affordable housing developments?	☒ No	☐ Yes
20. Does your jurisdiction require affordable housing projects to undergo public review or special hearings when the project is otherwise in full compliance with the zoning ordinance and other development regulations?	☐ Yes	☒ No
Total Points:	10	10

The comments provided above with some of the questions indicate that the city has reviewed policies and procedures and removed potential barriers when possible. The only barriers in the city that remain on the form above are due to their significant legal or financial costs.

2.

City of Surprise has an active strategy to promote homeownership and the preservation and management of existing residential property. To offset the increasing cost of housing in the private market, the city has undertaken a number of strategies to preserve and develop new affordable housing. These strategies include:

1. Allocation of HOME funds to HABITAT FOR HUMANITY for the development of self-help and assisted new homes for low-income families.
2. The use of general funds, State Housing Trust Funds, and HOME funds for the preservation of existing affordable owner-occupied housing.
3. Support of for-profit and nonprofit developers in the construction of Tax Credit assisted rental housing for families and seniors.
4. Waiver of permit fees for assisted housing projects.
5. Development of Original Townsite Revitalization Plan which has already

resulted in public investment in community facilities and infill construction of mixed income owner-occupied homes.

6. A public policy that dedicates 10% of primary property tax to revitalization activities in community focus-areas including the Original Townsite. This allocation is not specifically dedicated to housing but it does support the infrastructure for residential revitalization. This program is currently suspended due to tough economic situation and whenever the program is reinstated the available funds will be utilized as stated.

HOMELESS

Homeless Needs (91.205 (b) and 91.215 (c))

*Please also refer to the Homeless Needs Table in the Needs.xls workbook

Homeless Needs— The jurisdiction must provide a concise summary of the nature and extent of homelessness in the jurisdiction, (including rural homelessness and chronic homelessness where applicable), addressing separately the need for facilities and services for homeless persons and homeless families with children, both sheltered and unsheltered, and homeless subpopulations, in accordance with Table 1A. The summary must include the characteristics and needs of low-income individuals and children, (especially extremely low-income) who are currently housed but are at imminent risk of either residing in shelters or becoming unsheltered. In addition, to the extent information is available, the plan must include a description of the nature and extent of homelessness by racial and ethnic group. A quantitative analysis is not required. If a jurisdiction provides estimates of the at-risk population(s), it should also include a description of the operational definition of the at-risk group and the methodology used to generate the estimates.

5 Year Strategic Plan Homeless Needs response:

City of Surprise' homeless needs and activities are planned and reported through the Maricopa Consortium Plan. See the 2010-2014 Consolidated Plan for the Maricopa HOME Consortium for a complete description of these activities. Additional information is provided below.

The Maricopa Association of Governments Continuum of Care Subcommittee (MAG CoC) is the regional body that manages the collaborative efforts of communities and agencies in Maricopa County to plan for the needs of the homeless and access federal funding on behalf of agencies organized to address those needs. According to the '*Regional Plan to End Homelessness*' published by MAG CoC in March 2009, there are no homeless persons in the city (*Table 7*). However, the city will do a periodic assessment of any new homeless person cases in the city and will act accordingly to mitigate the problems.

Table 7: Homeless Street Count

2008 Homeless Street Count

Municipal Summary Data

*All counts were conducted on Tuesday January 29, 2008.

MAG Region Totals

	Non-Chronically Homeless Male	Non-Chronically Homeless Female	Chronically Homeless Male	Chronically Homeless Female	Person in Families Adult Women	Persons in Families Adult Men	Persons in Families Children	Male Youth On Own	Female Youth on Own	Number of Families	2008 Total	2007 Total	Percent Change
Avondale Total	7	3	0	0	0	0	0	0	0	0	10	11	-9%
Buckeye Total	12	7	0	0	0	0	0	0	0	0	19	10	90%
Carefree Total	0	0	0	0	0	0	0	0	0	0	0	1	-100%
Cave Creek Total	0	0	0	0	0	0	0	0	0	0	0	0	0%
Chandler Total	10	3	0	0	0	0	0	0	0	0	13	27	-52%
El Mirage Total	28	0	0	0	3	2	3	0	0	3	36	34	6%
Fountain Hills Total	0	0	0	0	0	0	0	0	0	0	0	0	0%
Gila Bend Total	1	1	3	0	2	0	3	0	0	1	10	19	-47%
Gilbert Total	1	1	4	1	0	0	0	0	0	0	7	8	-13%
Glendale Total	20	5	0	0	0	0	0	0	0	0	25	21	19%
Goodyear Total	15	0	0	0	0	0	0	0	0	0	15	9	67%
Guadalupe Total	47	11	0	0	7	6	6	0	0	3	77	26	196%
Litchfield Park Total	0	0	0	0	0	0	0	0	0	0	0	0	0%
Mesa Total	29	5	180	36	0	0	0	0	0	0	250	173	45%
Paradise Valley Total	0	0	0	0	0	0	0	0	0	0	0	0	0%
Peoria Total	3	2	10	1	0	0	0	0	0	0	16	18	-11%
Phoenix Total	1028	171	384	86	6	5	6	36	4	3	1726	2236	-23%
Queen Creek Total	3	0	0	0	0	0	0	0	0	0	3	20	-85%
Scottsdale Total	35	5	36	6	0	0	0	0	0	0	82	125	-34%
Sun City Total	0	0	0	0	0	0	0	0	0	0	0	1	-100%
Surprise Total	0	0	0	0	0	0	0	0	0	0	0	0	0%
Tempe Total	39	14	60	17	0	0	0	0	0	0	130	110	18%
Tolleson Total	5	0	0	0	0	0	0	0	0	0	5	2	150%
Wickenburg Total	0	0	0	0	0	0	0	0	0	0	0	0	0%
Youngtown Total	2	0	0	0	0	0	0	0	0	0	2	2	0%
TOTAL COUNTY	1285	228	677	147	18	13	18	36	4	10	2426	2853	-15%

*These numbers reflect a point-in-time count of homeless people seen on the streets on January 29, 2008 and does not include people staying in shelters, doubled up, or not seen that day.

Regional Plan to End Homelessness

7

*These numbers reflect a point-in-time count of homeless people seen on the streets on January 29, 2008 and does not include people staying in shelters, doubled up, or not seen that day.

Regional Plan to End Homelessness

7

Priority Homeless Needs

- Using the results of the Continuum of Care planning process, identify the jurisdiction's homeless and homeless prevention priorities specified in Table 1A, the Homeless and Special Needs Populations Chart. The description of the jurisdiction's choice of priority needs and allocation priorities must be based on reliable data meeting HUD standards and should reflect the required consultation with homeless assistance providers, homeless persons, and other concerned citizens regarding the needs of homeless families with children and individuals. The jurisdiction must provide an analysis of how the needs of each category of residents provided the basis for determining the relative priority of each priority homeless need category. A separate brief narrative should be directed to addressing gaps in services and housing for the sheltered and unsheltered chronic homeless.
- A community should give a high priority to chronically homeless persons, where the jurisdiction identifies sheltered and unsheltered chronic homeless persons in its Homeless Needs Table - Homeless Populations and Subpopulations.

5 Year Strategic Plan Priority Homeless Needs response:

Priority Homeless Needs

The needs chart below is developed directly from the '*Regional Plan to End Homelessness*' published by MAG CoC in March 2009.

Table 8 Part 1: Homeless Population		Sheltered		Un-sheltered	Total
		Emergency	Transitional		
1. Homeless Individuals		0	0	0	0
2. Homeless Families with Children		0	0	0	0
2a. Persons in Homeless with Children Families		0	0	0	0
Total (lines 1 + 2a)		0	0	0	0
Part 2: Homeless Subpopulations		Sheltered		Un-sheltered	Total
1. Chronically Homeless		0	0	0	0
2. Severely Mentally Ill		0			
3. Chronic Substance Abuse		0			
4. Veterans		0			
5. Persons with HIV/AIDS					
6. Victims of Domestic Violence		0			
7. Youth (Under 18 years of age)					

Source: "*Regional Plan to End Homelessness*", MAG CoC, March 2009.

The definitions used for emergency and transitional housing:

Emergency Shelter means any facility with the primary purpose of providing temporary housing for homeless people.

Transitional Housing means any facility that has the goal of facilitating the movement of homeless people to permanent housing within 24 months.

Homeless Inventory (91.210 (c))

The jurisdiction shall provide a concise summary of the existing facilities and services (including a brief inventory) that assist homeless persons and families with children and subpopulations identified in Table 1A. These include outreach and assessment, emergency shelters and services, transitional housing, permanent supportive housing, access to permanent housing, and activities to prevent low-income individuals and families with children (especially extremely low-income) from becoming homeless. The jurisdiction can use the optional Continuum of Care Housing Activity Chart and Service Activity Chart to meet this requirement.

5 Year Strategic Plan Homeless Inventory response:

The Table below documents the inventory of beds in the County from the Update to the MAG Continuum of Care Plan to End Homelessness and the inventory of beds in Surprise from local records.

Table 8 Inventory/Beds	Shelter	Transitional	Permanent Supportive
Maricopa County			
Total	2694	2999	2523
Surprise			
Eve's Place	10 beds		
Catholic Charities		3 units	
Quelceda Village			50 senior units
Surprise Total	10 beds	3 units	50 units

Homeless Strategic Plan (91.215 (c))

1. Homelessness— Describe the jurisdiction's strategy for developing a system to address homelessness and the priority needs of homeless persons and families (including the subpopulations identified in the needs section). The jurisdiction's strategy must consider the housing and supportive services needed in each stage of the process which includes preventing homelessness, outreach/assessment, emergency shelters and services, transitional housing, and helping homeless persons (especially any persons that are chronically homeless) make the transition to permanent housing and independent living. The jurisdiction must also describe its strategy for helping extremely low- and low-income individuals and families who are at imminent risk of becoming homeless.
2. Chronic homelessness—Describe the jurisdiction's strategy for eliminating chronic homelessness by 2012. This should include the strategy for helping homeless persons make the transition to permanent housing and independent living. This strategy should, to the maximum extent feasible, be coordinated with the strategy presented Exhibit 1 of the Continuum of Care (CoC) application and any other strategy or plan to eliminate chronic homelessness. Also describe, in a narrative, relationships and efforts to coordinate the Conplan, CoC, and any other strategy or plan to address chronic homelessness.
3. Homelessness Prevention—Describe the jurisdiction's strategy to help prevent homelessness for individuals and families with children who are at imminent risk of becoming homeless.
4. Institutional Structure—Briefly describe the institutional structure, including private industry, nonprofit organizations, and public institutions, through which the jurisdiction will carry out its homelessness strategy.
5. Discharge Coordination Policy—Every jurisdiction receiving McKinney-Vento Homeless Assistance Act Emergency Shelter Grant (ESG), Supportive Housing, Shelter Plus Care, or Section 8 SRO Program funds must develop and implement a Discharge Coordination Policy, to the maximum extent practicable. Such a policy should include "policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons." The jurisdiction should describe its planned activities to implement a cohesive, community-wide Discharge Coordination Policy, and how

the community will move toward such a policy.

5 Year Homeless Strategic Plan response:

Since no homeless persons are reported from the above Table1A, no strategic plan or action is needed at this time. However, the city will do a periodic assessment of any new homeless person cases in the city and will act accordingly to mitigate the problem.

Emergency Shelter Grants (ESG)

(States only) Describe the process for awarding grants to State recipients, and a description of how the allocation will be made available to units of local government.

5 Year Strategic Plan ESG response:

This section is not applicable to this plan. Surprise does not receive Emergency Shelter Grant funding.

COMMUNITY DEVELOPMENT

Community Development (91.215 (e))

*Please also refer to the Community Development Table in the Needs.xls workbook

1. Identify the jurisdiction's priority non-housing community development needs eligible for assistance by CDBG eligibility category specified in the Community Development Needs Table (formerly Table 2B), – i.e., public facilities, public improvements, public services and economic development.
2. Describe the basis for assigning the priority given to each category of priority needs.
3. Identify any obstacles to meeting underserved needs.
4. Identify specific long-term and short-term community development objectives (including economic development activities that create jobs), developed in accordance with the statutory goals described in section 24 CFR 91.1 and the primary objective of the CDBG program to provide decent housing and a suitable living environment and expand economic opportunities, principally for low- and moderate-income persons.

NOTE: Each specific objective developed to address a priority need, must be identified by number and contain proposed accomplishments, the time period (i.e., one, two, three, or more years), and annual program year numeric goals the jurisdiction hopes to achieve in quantitative terms, or in other measurable terms as identified and defined by the jurisdiction.

5 Year Strategic Plan Community Development response:

1.
Based on feedback from citizens, city staff, CDBG and Quality of Life Commission members, five priority needs have been identified for implementation under the CDBG funding that will benefit low- to moderate-income persons in the City of Surprise. They are:
 - a) **Housing rehabilitation-citywide.**

- b) Training for youth-citywide.
- c) Façade improvements for commercial and residential properties in the OTS.
- d) Park improvements in the OTS.
- e) Sidewalk and curb-cut improvements in the OTS.
- f) Public Service Activities through nonprofit agency(s). Fifteen percent of the allotted PY 2010 funding will be delineated for this activity.

These projects are designated high priority in the following table. For the first time, the city will aim funds at public services and consider economic development activities per the public response that the city received from the survey as part of public participation process. Fifteen percentage of the allotted CDBG funding will be set aside for public service activities. The CDBG funds will be targeted to the Original Townsite Area under the area benefit caveat as this is the only place in the city with a census tract where majority of the population is either low or moderate income.

City of Surprise is expecting approximately \$406,873/year under the CDBG funding allotment from HUD for the next five years. This funding allotment is determined by HUD and is subject to change. Carried over CDBG funding from previous program years in the total of approximately \$559,000 will be added to this allotment and will be utilized for programs and projects deemed of high priority in the PY 2010-11. CDBG funding allotment for the next five years will be as follows:

Table 9:

Program year	Projects	Public Services (15% max.)	Administrative functions (20% max.)	Carried Over CDBG Funds	Total
2010-11	\$270,498	\$55,000	\$81,374	\$559,000	\$965,873
2011-12	\$270,498	\$55,000	\$81,374	-	\$406,873
2012-13	\$270,498	\$55,000	\$81,374	-	\$406,873
2013-14	\$270,498	\$55,000	\$81,374	-	\$406,873
2014-15	\$270,498	\$55,000	\$81,374	-	\$406,873

Below is the community development needs table based on funding projections and prioritized needs for the next five years:

Table 10: Community Development Needs		Needs	Priorit y Need: H, M, L	Dollars to Address- Specifically planned for this Strategic Plan	Plan to Fund? Y/N	Fund Source	Objective	Outcome
Public Fac/Improvements	03 Public Facilities and Improvements (General) 570.201I	No	M		N		Suitable Living Environment	Sustain-ability
	03F Parks, Recreational Facilities 570.201I	Yes; 2 parks; Year 4	H	\$270,498	Y	CDBG	Suitable Living Environment	Sustain-ability
	03J Water/Sewer Improvements 570.201I	No	M		N		Suitable Living Environment	Sustain-ability
	03K Street Improvements 570.201I	Yes- sidewalk and façade improvement; 10,560 LF; Year 1,3, 5	H	\$1,099,996	Y	CDBG/other	Suitable Living Environment /Economic Dev.	Sustain-ability
	03I Flood Drain Improvements 570.201I	Yes 3 locations	H		Y	CDBG	Suitable Living Environment	Sustain-ability
	03O Fire Stations/Equipment 570.201I	No	M		Y		Suitable Living Environment	Sustain-ability
	03A Senior Centers 570.201I	Yes	H		Y	General	Suitable Living Environment	Sustain-ability
	03B Handicapped Centers 570.201I	Yes	M		Y	CDBG	Suitable Living Environment	Sustain-ability
	03C Homeless Facilities (not operating costs) 570.201I	Yes	H		Y	General	Suitable Living Environment	Sustain-ability
	03D Youth Centers 570.201I	Yes	H		Y	General	Suitable Living Environment	Sustain-ability
	03P Health Facilities 570.201I	Yes	H		N	Unknown	Suitable Living Environment	Sustain-ability
	05 Public Services (General) 570.201(e)	Yes; 5 Years	H	\$275,000		CDBG	Suitable Living Environment	Sustain-ability
	05A Senior Services 570.201(e)	Yes	H		Y	General	Suitable Living Environment	Sustain-ability
Public Services	05B Handicapped Services 570.201(e)	Yes	H		Y	General	Suitable Living Environment	Sustain-ability
	05D Youth Services 570.201(e)	Yes; Year 2	H	\$270,498	Y	CDBG/other	Suitable Living Environment /Economic Dev.	Sustain-ability
	05E Transportation Services 570.201(e)	Yes	H		Y	General	Suitable Living Environment	Sustain-ability

	05G Battered and Abused Spouses 570.201(e)	Yes	H		Y	CDBG	Suitable Living Environment	Sustainability
	05H Employment Training 570.201(e)	Yes	H		N	County	Suitable Living Environment	Sustainability
	05J Fair Housing Activities (if CDBG, then subject to 570.201(e))	Yes	H		Y	CDBG	Suitable Living Environment	Sustainability
	05M Health Services 570.201(e)	Yes	H		Y	Hospice	Suitable Living Environment	Sustainability
	05N Abused and Neglected Children 570.201(e)	Yes	H		Y	CDBG	Suitable Living Environment	Sustainability
	05P Screening for Lead-Based Paint/Lead Hazards Poison 570.201(e)	Yes	M		Y	State	Suitable Living Environment	Sustainability
	05Q Subsidence Payments 570.204 (Temporary)	Yes	H			General	Suitable Living Environment	Sustainability
	05S Rental Housing Subsidies (if HOME, not part of 5% 570.204)	Yes	H		Y	Vouchers	Suitable Living Environment	Sustainability
	05T Security Deposits (if HOME, not part of 5% Admin c	Yes	H		Y	Private	Suitable Living Environment	Sustainability
13	Direct Homeownership Assistance 570.201(n)		H		Y	HOME/ADDI	Decent Housing	Affordability
	14A Rehab; Single-Unit Residential 570.202	Yes; 20 homes; Year 1	H	\$270,498	Y	CDBG/HOME /other	Decent Housing	Affordability
	14B Rehab; Multi-Unit Residential 570.202	Yes	H		Y	HOME	Decent Housing	Affordability
	14G Acquisition – for Rehabilitation 570.202	Yes	H		Y	HOME	Decent Housing	Affordability
	14H Rehabilitation Administration 570.202	Yes	H		Y	CDBG	Decent Housing	Affordability
	14I Lead-Based/Lead Hazard Test/Abate 570.202	Yes	H		Y	CDBG	Decent Housing	Affordability
	17A CI Land Acquisition/Disposition 570.203(a)	Yes	H		Y	CDBG	Decent Housing	Affordability
	19A HOME Admin/Planning Costs of PJ (not part of 5% Ad	Yes	H		Y	HOME		
	19B HOME CHDO Operating Costs (not part of 5% Admin ca	Yes	H		Y	HOME		
20	Planning 570.205	Yes	Yes		Y	CDBG		
	21A General Program Administration 570.206	Yes; 5 years	H	\$406,873	Y	CDBG		
	21D Fair Housing Activities (subject to 20% Admin cap) 570.206	Yes	H		Y	CDBG	Suitable Living Environment	Sustainability
	21I HOME CHDO Operating Expenses (subject to 5% cap)	0	M		Y	HOME		
22	Unprogrammed Funds	0				CDBG		

All of the stated priorities and needs are subject to amendment and change due to:

- **Changes in regulatory requirements at the local, state, and federal level that impact the priorities, funding, and delivery of services;**
- **Changing demographics and socio-economic factors affecting the city;**
- **Annual reevaluations of resources, priorities, objectives, strategies and goals for the city; and**
- **Changes in the funding resources and amounts available for programs and services for city residents.**

2.

Priority needs for CDBG eligible activities have been assessed using the following resources:

- **Citizens and city staff input through surveys and interviews;**
- **The City of Surprise Capital Improvement Plan;**
- **The City of Surprise General Plan;**
- **Activity and project needs assessments; and**
- **Local information from the Maricopa HOME Consortium and MAG CoC.**

The primary objective of the City of Surprise CDBG program is to foster the development, revitalization, and preservation of neighborhoods to provide decent housing, a suitable living environment, and expanded economic opportunities, especially for low- and moderate-income persons in the OTS area of Surprise.

3.

Funding Future

One of the main obstacles to meeting community needs is inadequate resources for programs. The decline in resources across sectors, including government agencies and foundations, due to the economic downturn has negatively impacted the quantity and quality of housing and community development services. Although federal stimulus funding helps mitigate this trend to some extent, resources for these programs will likely be limited for the near future.

Other obstacles to meeting underserved include:

- a) Insufficient resources to meet all of the legitimate and eligible needs identified.**
- b) Private ownership of incompatible land uses within the revitalization area.**
- c) Public ownership of the water system in the revitalization area by another municipality.**
- d) Environmental issues related to the location of Grand Avenue, the railroad, and Luke Airforce Base.**

Antipoverty Strategy (91.215 (h))

- 1. Describe the jurisdiction's goals, programs, and policies for reducing the number of poverty level families (as defined by the Office of Management and Budget and revised annually). In consultation with other appropriate public and private agencies, (i.e. TANF agency) state how the jurisdiction's goals, programs, and policies for producing and preserving affordable housing set forth in the housing component of the consolidated plan will be coordinated with other programs and services for which the jurisdiction is responsible.**

2. Identify the extent to which this strategy will reduce (or assist in reducing) the number of poverty level families, taking into consideration factors over which the jurisdiction has control.

5 Year Strategic Plan Antipoverty Strategy response:

1.

The City of Surprise Anti-Poverty Strategy is to help people to move out of poverty through emergency stabilization actions that include:

- **Intake and case management.**
- **Utility assistance.**
- **Emergency mortgage/rent assistance.**

The long-term actions include:

- **Operation and capital costs for organizations that provide job training, self sufficiency, and other counseling and planning services for homeless or at-risk persons. CDBG public service funding would be targeted for this action.**
- **After school and other youth programs that help at-risk youth that provide positive role models and promote healthy lifestyles.**
- **Information and referral services on job training, small business, and similar programs.**
- **Counseling and downpayment assistance for low- and moderate-income first-time homebuyers.**
- **Limited emergency assistance for low- and moderate-income persons at risk of becoming homeless to help them maintain their current housing and not become dependent on more costly outside services.**

These programs are provided locally and regionally. They all support the broad goal of helping prevent or end homelessness by promoting self-sufficiency. CDBG and HOME funds will be available to fund these activities.

2.

A number of residents will experience improved economic conditions over the next five years but the number of households that will move out of poverty is expected to be relatively small.

Low Income Housing Tax Credit (LIHTC) Coordination (91.315 (k))

1. (States only) Describe the strategy to coordinate the Low-income Housing Tax Credit (LIHTC) with the development of housing that is affordable to low- and moderate-income families.

5 Year Strategic Plan LIHTC Coordination response:

Not Applicable.

NON-HOMELESS SPECIAL NEEDS

Specific Special Needs Objectives (91.215)

1. Describe the priorities and specific objectives the jurisdiction hopes to achieve over a specified time period.
2. Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by the strategic plan.

5 Year Non-homeless Special Needs Analysis response:

1.

The City of Surprise has included public service activities through CDBG funding to benefit low- and moderate-income residents in the city. The top priorities for the next five years as per the survey feedback are:

- **Transportation services**
- **Youth activities**
- **Anti-crime programs**
- **Health services**
- **Senior activities**

For the program year 2010-11, the city will be targeting the delineated CDBG public service funds for emergency food boxes, services for youth and seniors through nonprofit organizations.

2.

Due to the economic downturn, no city general revenue will be allotted to these services at this time. Other funding from state and federal sources will be requested as feasible.

Non-homeless Special Needs (91.205 (d) and 91.210 (d)) Analysis (including HOPWA)

*Please also refer to the Non-homeless Special Needs Table in the Needs.xls workbook.

1. Estimate, to the extent practicable, the number of persons in various subpopulations that are not homeless but may require housing or supportive services, including the elderly, frail elderly, persons with disabilities (mental, physical, developmental, persons with HIV/AIDS and their families), persons with alcohol or other drug addiction, victims of domestic violence, and any other categories the jurisdiction may specify and describe their supportive housing needs. The jurisdiction can use the Non-Homeless Special Needs Table (formerly Table 1B) of their Consolidated Plan to help identify these needs.

*Note: HOPWA recipients must identify the size and characteristics of the population with HIV/AIDS and their families that will be served in the metropolitan area.

2. Identify the priority housing and supportive service needs of persons who are not homeless but may or may not require supportive housing, i.e., elderly, frail elderly, persons with disabilities (mental, physical, developmental, persons with

HIV/AIDS and their families), persons with alcohol or other drug addiction by using the Non-homeless Special Needs Table.

3. Describe the basis for assigning the priority given to each category of priority needs.
4. Identify any obstacles to meeting underserved needs.
5. To the extent information is available, describe the facilities and services that assist persons who are not homeless but require supportive housing, and programs for ensuring that persons returning from mental and physical health institutions receive appropriate supportive housing.
6. If the jurisdiction plans to use HOME or other tenant based rental assistance to assist one or more of these subpopulations, it must justify the need for such assistance in the plan.

5 Year Non-homeless Special Needs Analysis response:

The estimate of need in the following chart is based on national population studies and is taken from the Maricopa HOME Consortium Strategic Plan. The estimated number of people in Surprise for each need category is determined by multiplying the category's county population by the percentage of Surprise population that is in the Maricopa County- 3%.

Table 11:

Special needs populations identified here are ranked as high priority for Consolidated Planning Purposes but may not necessarily be funded through CDBG/HOME	Population count for Maricopa County	Data Sources	Population count proportional to the City of Surprise-3%	Types of supportive services needed by this population	Identified funding gap in services	Identified sources of service for this population
Elderly	358,979	2000 Census	10769	Case management, Befriending services, Counseling, Adult day care, Home Care Home delivered meals	Area Agency on Aging Study in 2000 indicated current funding serves about one third of need.	Area Agency on Aging, Maricopa County Special Transportation Services, AHCCCS, Community Action Program
Frail elderly	24,770	<i>Frailty in Older Adults, Evidence for a Phenotype.</i> Journal of Gerontology, 2001	744	Case management, Befriending services, Counseling, Adult day care, Home care, Home delivered meals, Help service, Nursing/ medical services	Area Agency on Aging Study in 2000 indicated current funding serves about one third of need.	Area Agency on Aging, Senior Adult Independent Living (SAIL), Arizona Long Term Care System, AHCCCS
Persons with severe mental illness	79,876	<i>Mental health: A Report of the Surgeon General,</i> USDHS, 1999	2397	Outreach and identification, Treatment, Health care, Income support, Rehabilitation services		AHCCCS
Developmentally disabled persons	24,116	<i>MR/DD Data Brief,</i> University of Minnesota, 2001	723	Assistive technology, Employment and training, Information and referral, Transportation, Caregiver respite	Area Agency on Aging Study in 2000 indicated current funding serves about one third of need.	The Centers for Habilitation, Arizona Bridge to Independent Living, Maricopa County Special Transportation Services, AHCCCS

Special needs populations identified here are ranked as high priority for Consolidated Planning Purposes but may not necessarily be funded through CDBG/HOME	Population count for Maricopa County	Data Sources	Population count proportional to the City of Surprise-3%	Types of supportive services needed by this population	Identified funding gap in services	Identified sources of service for this population
Physically disabled persons	307,215	Estimated from 2000 Census	9216	Assistive technology, Employment and training, Information and referral, Transportation, Caregiver respite	Area Agency on Aging Study in 2000 indicated current funding serves about one third of need.	Arizona Technology Access Program, Easter Seals, Southwest Human Development, Arizona Center for the Blind and Visually Impaired, Valley Center for the Deaf, Arizona Commission for the Deaf and Hard of Hearing, Arizona Bridge to Independent Living, Maricopa County Special Transportation Services, AHCCCS
Alcohol/drug addicted persons	254,998	US Department of Health and Human Services, September 5, 2003 report	7650	Monitoring, Screening, Information and referral, Detox medication, Education, Self-help groups		CDBG, AHCCCS

Special needs populations identified here are ranked as high priority for Consolidated Planning Purposes but may not necessarily be funded through CDBG/HOME	Population count for Maricopa County	Data Sources	Population count proportional to the City of Surprise-3%	Types of supportive services needed by this population	Identified funding gap in services	Identified sources of service for this population
Persons with HIV/AIDS and their families	9825	Estimate extrapolated from HIV/AIDS Statistics, National Institute of Allergy and Infectious Diseases	294	Case management, Emergency financial assistance, Food, Transportation, Early intervention, Education, Wellness and nutrition		CDBG, Maricopa County Health Department, Ryan White, AHCCCS

Housing Opportunities for People with AIDS (HOPWA)

*Please also refer to the HOPWA Table in the Needs.xls workbook.

1. The Plan includes a description of the activities to be undertaken with its HOPWA Program funds to address priority unmet housing needs for the eligible population. Activities will assist persons who are not homeless but require supportive housing, such as efforts to prevent low-income individuals and families from becoming homeless and may address the housing needs of persons who are homeless in order to help homeless persons make the transition to permanent housing and independent living. The plan would identify any obstacles to meeting underserved needs and summarize the priorities and specific objectives, describing how funds made available will be used to address identified needs.
2. The Plan must establish annual HOPWA output goals for the planned number of households to be assisted during the year in: (1) short-term rent, mortgage and utility payments to avoid homelessness; (2) rental assistance programs; and (3) in housing facilities, such as community residences and SRO dwellings, where funds are used to develop and/or operate these facilities. The plan can also describe the special features or needs being addressed, such as support for persons who are homeless or chronically homeless. These outputs are to be used in connection with an assessment of client outcomes for achieving housing stability, reduced risks of homelessness and improved access to care.
3. For housing facility projects being developed, a target date for the completion of each development activity must be included and information on the continued use of these units for the eligible population based on their stewardship requirements (e.g. within the ten-year use periods for projects involving acquisition, new construction or substantial rehabilitation).

4. The Plan includes an explanation of how the funds will be allocated including a description of the geographic area in which assistance will be directed and the rationale for these geographic allocations and priorities. Include the name of each project sponsor, the zip code for the primary area(s) of planned activities, amounts committed to that sponsor, and whether the sponsor is a faith-based and/or grassroots organization.
5. The Plan describes the role of the lead jurisdiction in the eligible metropolitan statistical area (EMSA), involving (a) consultation to develop a metropolitan-wide strategy for addressing the needs of persons with HIV/AIDS and their families living throughout the EMSA with the other jurisdictions within the EMSA; (b) the standards and procedures to be used to monitor HOPWA Program activities in order to ensure compliance by project sponsors of the requirements of the program.
6. The Plan includes the certifications relevant to the HOPWA Program.

5 Year Strategic Plan HOPWA response:

This section is not applicable to this plan. Surprise does not receive HOPWA funding.

Specific HOPWA Objectives

1. Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by the strategic plan.

5 Year Specific HOPWA Objectives response:

This section is not applicable to this plan. Surprise does not receive HOPWA funding.

OTHER NARRATIVE

Include any Strategic Plan information that was not covered by a narrative in any other section.

Fair Housing Policies

The City of Surprise continues to be committed to fair housing and to eliminate discrimination in the city. The city completed an Analysis of Impediments to Fair Housing Choice in 2006. Major points from the plan include:

Table 12: Fair Housing Plan 2006-11

IMPEDIMENT TO BE ADDRESSED	GOAL	STRATEGY TO MEET THE GOAL	RESPONSIBLE ENTITIES ASSIGNED TO MEET GOALS	PROPOSED INVESTMENT	PERFORMANCE MEASURE
Lack of diversity in many Census Tracts	Opportunities for diverse populations to choose	Engage the real estate community in increasing	Fair Housing Coordinator, 2007	Staff time	Number of meetings initiated

IMPEDIMENT TO BE ADDRESSED	GOAL	STRATEGY TO MEET THE GOAL	RESPONSIBLE ENTITIES ASSIGNED TO MEET GOALS	PROPOSED INVESTMENT	PERFORMANCE MEASURE
	places to live throughout the community	opportunities for greater diversity in neighborhoods. Become a participant in the Arizona Fair Housing Partnership.	Fair Housing Coordinator, 2006		Number of meetings attended
High denial rates for Black/African Americans in CT 405.11	Equal access to credit and mortgages throughout the community	Engage the services of one of the fair housing services to provide paired test to assess whether minorities are treated with different terms and conditions.	Neighborhood Services, within the next two years.	\$8,000	Number of tests performed
Lack of affordable rental housing has a disproportionate impact on single female heads of households with children.	Opportunities for parents who become single to find places to live in the community.	Develop an IGA with the Housing Authority of Maricopa County to formalize the operating relationship with the agency. Seek additional resources to facilitate development of affordable rental housing.	Neighborhood Services, within the next 18 months. Neighborhood Services	Staff time Indirect, in that funding would actually come from other sources.	IGA executed Additional affordable units constructed for families.
Lack of knowledge about fair housing rights and how to file a fair housing complaint.	An increase in people's knowledge of fair housing rights.	Facilitate and/or participate in fair housing training for City staff, real estate professionals and citizens.	Fair Housing Coordinator	Staff time and incidental costs related to speakers and sessions.	Sessions provided
Lack of fair housing infrastructure required as part of the CDBG Certification to Affirmatively Further Fair Housing.	Compliance with the CDBG Certification to Affirmatively Further Fair Housing	Modify the Fair Housing page on the City website to access directly HUD and the Attorney General's fair housing web sites. Develop a data base that can	Neighborhood Services and the City's web manager Fair Housing Coordinator and ADA Coordinator.	Staff time	New information available A new useable database

IMPEDIMENT TO BE ADDRESSED	GOAL	STRATEGY TO MEET THE GOAL	RESPONSIBLE ENTITIES ASSIGNED TO MEET GOALS	PROPOSED INVESTMENT	PERFORMANCE MEASURE
		be used by both the Fair Housing Coordinator and the ADA Coordinator to record, identify and track resolution when fair housing complaints and inquiries come to the City. Develop a grievance procedure for Section 504 complaints. Such a procedure may also be used for other types of complaints related to federal grants administration. Update the AI periodically as new information is available from the Census or other sources which makes an update appropriate.	Fair Housing Coordinator and City Attorney Neighborhood Services		A formal administrative grievance procedure Periodic updates to the AI

Area of Minority Concentration

Surprise has one area of minority concentration. The Hispanic population makes up 73% of Census Tract 608 and totals 4,888 residents as per census 2000. This census tract includes all the Original Townsite and is the only low- and moderate-income census tract in the community. All area benefit activities included in the 5 Year Strategic Plan will take place in this area. The city has a Council appointed CDBG and Quality of Life Commission boards, which monitor the implementation of the Specific Plan for the Revitalization of the Original Townsite. Resources recommended by these boards include CDBG funds and general funds set aside for the revitalization of the OTS. In addition, many direct benefit recipients of housing rehabilitation assistance are residents of this neighborhood. The commitment of facilities and resources to this neighborhood is substantial.



SF 424

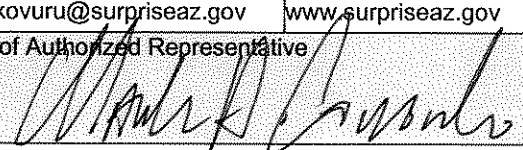
The SF 424 is part of the CPMP Annual Action Plan. SF 424 form fields are included in this document. Grantee information is linked from the 1CPMP.xls document of the CPMP tool.

SF 424

Complete the fillable fields (blue cells) in the table below. The other items are pre-filled with values from the Grantee Information Worksheet.

Date Submitted: May 15, 2010	Applicant Identifier	Type of Submission	
Date Received by state	State Identifier	Application	Pre-application
Date Received by HUD	Federal Identifier	☒ Construction	☐ Construction
		☐ Non Construction	☐ Non Construction
Applicant Information			
Surprise		UOG Code: AZ 4046	
16000 N. Civic Center Plaza		DUNS # 021648936	
Neighborhood Services Division		City of Surprise	
Surprise	Arizona	Community Development Department	
85374	Country U.S.A.	Neighborhood Services Division	
Employer Identification Number (EIN):		Maricopa County	
86-6007796		Program Year Start Date (07/01/2010)	
Applicant Type:		Specify Other Type if necessary:	
Local Government: City		Specify Other Type	
Program Funding		U.S. Department of Housing and Urban Development	
Catalogue of Federal Domestic Assistance Numbers; Descriptive Title of Applicant Project(s); Areas Affected by Project(s) (cities, Counties, localities etc.); Estimated Funding			
Community Development Block Grant		14.218 Entitlement Grant	
CDBG Project Titles: Housing Rehabilitation; Public Services; General Program Administration		Description of Areas Affected by CDBG Project(s): Citywide- CDBG funding beneficiaries will be income qualified for low- to moderate-income caveat.	
\$CDBG Grant Amount: \$406,873	\$Additional HUD Grant(s) Leveraged	Describe: Surprise participates in the Maricopa HOME Consortium and is an applicant to the AZ Department of Housing.	
\$Additional Federal Funds Leveraged		\$Additional State Funds Leveraged: Unknown at the time of application	
\$Locally Leveraged Funds		\$Grantee Funds Leveraged	
\$Anticipated Program Income: None		Other (Describe)	
Total Funds Leveraged for CDBG-based Project(s)			
Home Investment Partnerships Program		14.239 HOME	
HOME Project Titles: Surprise is not a direct applicant to HUD for HOME funds.		Description of Areas Affected by HOME Project(s)	
\$HOME Grant Amount	\$Additional HUD Grant(s) Leveraged	Describe	

\$Additional Federal Funds Leveraged		\$Additional State Funds Leveraged	
\$Locally Leveraged Funds		\$Grantee Funds Leveraged	
\$Anticipated Program Income		Other (Describe)	
Total Funds Leveraged for HOME-based Project(s)			
Housing Opportunities for People with AIDS		14.241 HOPWA	
HOPWA Project Titles: N/A		Description of Areas Affected by HOPWA Project(s)	
\$HOPWA Grant Amount	\$Additional HUD Grant(s) Leveraged	Describe	
\$Additional Federal Funds Leveraged		\$Additional State Funds Leveraged	
\$Locally Leveraged Funds		\$Grantee Funds Leveraged	
\$Anticipated Program Income		Other (Describe)	
Total Funds Leveraged for HOPWA-based Project(s)			
Emergency Shelter Grants Program		14.231 ESG	
ESG Project Titles: N/A		Description of Areas Affected by ESG Project(s)	
\$ESG Grant Amount	\$Additional HUD Grant(s) Leveraged	Describe	
\$Additional Federal Funds Leveraged		\$Additional State Funds Leveraged	
\$Locally Leveraged Funds		\$Grantee Funds Leveraged	
\$Anticipated Program Income		Other (Describe)	
Total Funds Leveraged for ESG-based Project(s)			
Congressional Districts of:		Is application subject to review by state Executive Order 12372 Process?	
Applicant Districts	Project Districts		
Is the applicant delinquent on any federal debt? If "Yes" please include an additional document explaining the situation.		☐ Yes	This application was made available to the state EO 12372 process for review on DATE
		☒ No	Program is not covered by EO 12372
☐ Yes	☒ No	☐ N/A	Program has not been selected by the state for review

Person to be contacted regarding this application		
Vamshee	K	Kovuru
Community Programs Coordinator	(623) 222-3240	Fax (623) 222-3001
vamshee.kovuru@surpriseaz.gov	www.surpriseaz.gov	Other Contact
Signature of Authorized Representative		Date Signed
		5-3-10



First Program Year Action Plan

The CPMP First Annual Action Plan includes the [SF 424](#) and Narrative Responses to Action Plan questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to be compliant with the Consolidated Planning Regulations. The Executive Summary narratives are optional.

Narrative Responses

GENERAL

Executive Summary

The Executive Summary is required. Include the objectives and outcomes identified in the plan and an evaluation of past performance.

Program Year 1 Action Plan Executive Summary:

The First Year Annual Action Plan 2010-11 (AAP 2010-11) implements the first year of activities that will address goals established in the City of Surprise Consolidated Plan 2010-14. The major focus of this Annual Action Plan is focusing on providing decent housing and creating suitable living environments. The 2010-2011 Plan complies with regulations set by the U.S. Department of Housing and Urban Development (HUD). HUD requires entitlement communities such as the City of Surprise to consolidate its planning, application and reporting requirements for HUD programs, including the Community Development Block Grant (CDBG) and the HOME Investment Partnership Grant programs. This Annual Action Plan is prepared each year and is presented to the City of Surprise CDBG Commission and the City Council.

The needs of low-income seniors, low-income youth, people in poverty and the Community's aging neighborhoods continue. The Annual Action Plan will focus on the allocation of CDBG and HOME funds.

The term of this plan is from July 1, 2010, through June 30, 2011.

To the extent feasible, the narrative for this Annual Plan will not duplicate the narrative for the Consolidated Plan. However, the outlines for the five-year plan and the annual action plan are essentially the same, so some repetition is unavoidable.

Programs and activities described in this plan are intended to primarily benefit low- and moderate-income residents of City of Surprise, neighborhoods with high concentrations of low- and moderate-income

residents, and the city as a whole. The City of Surprise CDBG program is expecting to receive \$406,873 in funding for program year (PY) 2010.

This Year One Action Plan implements the first year activities that will address goals established in the City of Surprise Consolidated Plan 2010-14. Consistent with the established objectives, CDBG funds will be used for:

- Citywide Housing Rehabilitation through CDBG funds. The City of Surprise has offered housing rehabilitation programs for the last eight years. The continuation of this program would allow the city to assist the aging homes as they experience deficiencies that are deemed hazardous to the health and safety of the inhabitants.
- Fifteen percent of the CDBG allotted funds will be utilized for public service activities through nonprofit organizations. This is in accord to the regulations set by HUD.
- CDBG carried over funds from previous year will be used to improve city's Original Townsite (OTS) sidewalk connectivity as this activity remains a high priority which will benefit the low mod area. OTS is the designated low-moderate income area as per the Consolidated Plan. The city has identified gaps where sidewalks are missing throughout the OTS. Sidewalks also have accessibility issues that if resolved, would help connect the residents to businesses and recreational facilities as well as enable disabled persons to use the sidewalks with more safety and ease. These improvements are long overdue within the community and should help encourage residents to use sidewalks in a safe and accessible manner while providing needed connectivity to area retail and neighborhood amenities.

While this annual action plan will focus on the allocation of CDBG funds, it will also describe the proposed use of other local and agency funding, which is allocated to address housing and community development needs. These funds are anticipated to be budgeted for:

- Community Pride activities through city general funds.
- Emergency housing repair through State HOME Funds.

Citizen Participation

The City of Surprise recognizes the importance of citizen participation in the development and implementation of any plan or program. Four public hearings were scheduled for the development of the AAP 2010-11. The meetings are open to all interested individuals and organizations so that the public can actively participate in the planning and development of the city's CDBG Program. Citizens are encouraged to submit new project proposals as well as comment on past and proposed CDBG programs and projects. The dates and times for these public meetings are published on the city's website and in the Daily News-Sun local newspaper. The proposed projects and budgets selected by the City Council for CDBG funding were published in the local newspaper for citizen review and comment.

Evaluation of Past Performance

Infrastructure was placed as a high priority in the 2006-09 Consolidated Plan. Over the past 4 years, flood drain improvements have been implemented in the OTS. This activity has helped create sustainable neighborhoods within the OTS.

General Questions

1. Describe the geographic areas of the jurisdiction (including areas of low income families and/or racial/minority concentration) in which assistance will be directed during the next year. Where appropriate, the jurisdiction should estimate the percentage of funds the jurisdiction plans to dedicate to target areas.
2. Describe the basis for allocating investments geographically within the jurisdiction (or within the EMSA for HOPWA) (91.215(a)(1)) during the next year and the rationale for assigning the priorities.
3. Describe actions that will take place during the next year to address obstacles to meeting underserved needs.
4. Identify the federal, state, and local resources expected to be made available to address the needs identified in the plan. Federal resources should include Section 8 funds made available to the jurisdiction, Low-Income Housing Tax Credits, and competitive McKinney-Vento Homeless Assistance Act funds expected to be available to address priority needs and specific objectives identified in the strategic plan.

Program Year 1 Action Plan General Questions response:

1. **Surprise is located in the northwest of the Phoenix Metropolitan Area (Figure 1). The Surprise Planning Area is bordered on the east by the cities of Peoria and El Mirage, on the west by the town of Buckeye, on the south by the city of Glendale, and on the northwest by the town of Wickenburg. Sun City and Sun City West, both unincorporated, are adjacent to the eastern boundary of the planning area. Located within the Surprise Planning Area are the unincorporated communities of Morristown, Circle City, and Wittmann. A map of the City of Surprise is included in appendices.**

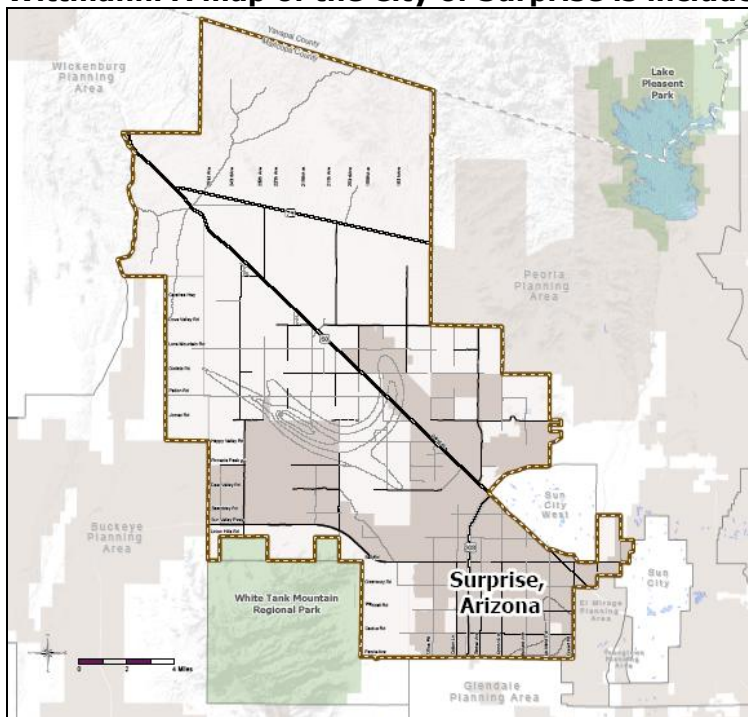


Figure 1: Surprise, AZ

The total area of incorporated City of Surprise is approximately 109 square miles. Housing rehabilitation, public services, and other eligible activities under the CDBG program that directly benefit individuals will be provided throughout the community contingent on the eligibility of the applicant for low- or moderate-income caveat. Projects that will be implemented citywide in the AAP 2010-11 are:

- Minor Housing Rehabilitation- Minor repair of 20 housing units.
- Public service activities through nonprofit agency(s).

Infrastructure improvements with CDBG Funds will only be undertaken in the Original Townsite as area benefit activities targeted to the designated service area. This area is the only Census Tract (#608) in Surprise with a population that is more than 51% low and moderate income. Projects that will be carried out in the OTS as per area-benefit caveat for the AAP 2010-11 are:

- New sidewalk connectivity and ADA improvements of sidewalks in the OTS.



Figure 2: Original Townsite, Surprise, AZ

2.

The system for establishing the target areas for the CDBG funded activities are predicated upon the following criteria:

- **The OTS area targeted for CDBG investments under this plan is the lowest income Census Tract (#608) in City of Surprise.**
 - **The community has targeted this area for investment in the *Original Townsite Revitalization Plan* and *Surprise Revitalization Strategic Plan*.**
 - **Meeting the statutory requirements of the CDBG program.**
 - **Coordination and leveraging of resources.**
 - **Response to expressed needs.**
 - **Long-term impact and sustainability.**
 - **The ability to measure or demonstrate progress and success.**

The steps in assignment of priority for specific activities selected for this plan are described further in the section on Priority Needs Analysis and Strategy of the Consolidated Plan 2010-11.

3.

Funding Future

One of the main obstacles to meeting community needs is inadequate resources for programs. The decline in resources across sectors, including government agencies and foundations, due to the economic downturn has negatively impacted the quantity and quality of housing and community development services. Although federal stimulus funding helps mitigate this trend to some extent, resources for these programs will likely be limited for the near future.

Other obstacles to meeting underserved needs include:

- 1. Insufficient resources to meet all of the legitimate and eligible needs identified.**
- 2. Private ownership of incompatible land uses within the Revitalization area.**
- 3. Public ownership of the water system in the Revitalization area by another municipality.**
- 4. Environmental issues related to the location of Grand Avenue, the railroad, and Luke Airforce Base.**

4.

Approximate funding expected from different sources for the PY 2010-11 are:

CDBG funds- \$406,873

Carried over CDBG funds from previous years- \$559,000

HOME funds- \$116,715

City of Surprise general funds - \$19,000

Managing the Process

1. Identify the lead agency, entity, and agencies responsible for administering programs covered by the consolidated plan.
2. Identify the significant aspects of the process by which the plan was developed, and the agencies, groups, organizations, and others who participated in the process.
3. Describe actions that will take place during the next year to enhance coordination between public and private housing, health, and social service agencies.

Program Year 1 Action Plan Managing the Process response:

1.
The Neighborhood Services Division of the Community Development Department is the lead agency for the City of Surprise Consolidated Plan. The Consolidated Plan along with the Annual Action Plan is authorized and approved by Mayor and the City Council upon the recommendation of the appointed Surprise CDBG Commission. Actions required to accept and administer the CDBG and HOME funds are assigned to the City Manager or his designee.

2.
The City of Surprise has developed the Consolidated Plan with the input of several key city departments and divisions, county agencies, local nonprofit agencies and service organizations, community groups, boards and commissions, City Council, and citizens of Surprise.

To gather input from the citizens of Surprise, the city has adopted a Citizen Participation Plan that describes the citizens input process for the CDBG and HOME programs.

Significant steps in the planning process included:

- 1. Creating a public participation plan to involve citizens of City of Surprise.**
- 2. Establishment of needs assessment and priorities with other city departments and divisions.**
- 3. Conducting a survey with citizens of the city and city officials to determine the high priorities needs.**
- 4. Review of needs assessments and goals and objectives established with the Surprise CDBG and Quality of Life Commission members.**
- 5. Conducting a City Council work session.**

3.
During the PY 2010-11 actions that will take place during the next year to enhance coordination between public and private housing, health, and social service agencies include:

- Execution of an contract document with a nonprofit agency to execute public service activities through CDBG funding.**
- Continue the intergovernmental agreement with the Maricopa HOME Consortium.**

- **Continue the intergovernmental agreement with the Housing Authority of Maricopa County.**
- **Develop an intergovernmental agreement with the Arizona Department of Housing if the requested funding is approved.**

Citizen Participation

1. Provide a summary of the citizen participation process.
2. Provide a summary of citizen comments or views on the plan.
3. Provide a summary of efforts made to broaden public participation in the development of the consolidated plan, including outreach to minorities and non-English speaking persons, as well as persons with disabilities.
4. Provide a written explanation of comments not accepted and the reasons why these comments were not accepted.

*Please note that Citizen Comments and Responses may be included as additional files within the CPMP Tool.

Program Year 1 Action Plan Citizen Participation response:

1.

Throughout the development of the Consolidated Plan and AAP 2010-11, citizen input is encouraged. The City of Surprise provides its citizens many opportunities to provide input to the decision making process. Citizens are encouraged to attend and participate in City Council meetings, CDBG and Quality of Life Commission meetings to solicit public input. These community engagement practices are designed to meet the needs and requirements of various programs and planning processes.

In accordance with 24 CFR 91.115(e), city staff has developed a Citizen Participation Plan (CPP) designed specifically for the Consolidated Plan. The CPP describes city policies relating to public hearings, public notices, and comment period. A schedule of citizen participation actions was developed at the beginning of the Consolidated Plan drafting.

Table 1: Schedule of Citizen Participation

Time	Activity
December 9, 2009	CDBG Commission Meeting on Consolidated Plan and AAP Priorities
January 1- February 5, 2010	Survey questionnaire for city residents and city staff
February 3, 2010	CDBG Commission Public Hearing on Consolidated Plan and AAP Priorities
February 9, 2010	Quality of Life Commission Public Hearing on Consolidated Plan and AAP Priorities
March 1-31, 2010	30 day public comment period on Consolidated Plan and AAP 2010-11
March 3, 2010	CDBG Commission Public Hearing and Recommendation on Consolidated Plan and AAP
April 8, 2010	City Council Public Hearing
April 22, 2010	City Council work session and approval
May 15, 2010	Submission to HUD

2.

No citizen's comments were received during the comment period and Public Hearings.

3.

To assist in obtaining broad-based participation, a city's mailing distribution list is used. The list includes public, private and social service agencies and individuals that request notices of meetings and hearings. Staff publishes public notices for both public meetings and hearings in the Daily News-Sun, an everyday publishing newspaper, in accordance with city notification practices.

As per the CPP, the public hearings were held at accessible location. Electronic copies were made available on the city's website. Printed public notices were distributed in English and Spanish listing the locations where copies of the Consolidated Plan are available and inviting citizens to speak at the public meetings and hearings and/or submit written comments. Public meetings and hearings are accessible and sign language interpretation is available for public hearings and meetings on request.

4.

Citizens' request for highway access, road repairs, and other transportation improvements were not included as they do not qualify under either area benefit or limited clientele benefit caveat for low- to moderate-income persons in the city.

Institutional Structure

1. Describe actions that will take place during the next year to develop institutional structure.

Program Year 1 Action Plan Institutional Structure response:

1.

The City of Surprise is the clearinghouse and facilitator for the activities as described by the Consolidated Plan. The City of Surprise is empowered to apply for and administer CDBG and other grant funds. The city will continue to do so for the next five years. Important steps to be taken in the next year to develop institutional structure will include:

- 1. Continued staff training in**
 - a. Environmental Review**
 - b. HUD's Integrated Disbursement and Information System (IDIS)**
 - c. Program compliance, particularly**
 - i. Procurement and**
 - ii. Labor Standards**
 - d. Performance measurement**
 - e. Fair housing planning and reporting**
 - f. The Consolidated Annual Performance and Evaluation Report (CAPER) and**
 - g. Subrecipient monitoring.**

2. **Refinement of the process for the development of the Annual Action Plan:**
 - a. **Coordination among city departments in administration and delivery of funded activities including:**
 - i. **Procurement,**
 - ii. **Labor standards,**
 - iii. **Accounting,**
 - iv. **Drawdown, and**
 - v. **Single Audit.**
 - b. **The Surprise CDBG and Quality of Life Commission will monitor the implementation process and advise the City Council on the allocation of HUD funds available.**
 - c. **Continue participation in the Maricopa HOME Consortium.**
 - d. **Continue participation with the Housing Authority of Maricopa County and the City.**
3. **Amend priorities and needs based on changing economic and social conditions.**

Monitoring

1. Describe actions that will take place during the next year to monitor its housing and community development projects and ensure long-term compliance with program requirements and comprehensive planning requirements.

Program Year 1 Action Plan Monitoring response:

The City of Surprise Neighborhood Services Division of the Community Development Department oversees all the grant funded housing and community development programs and will be responsible for all performance measurement activities for the PY 2010-11.

The Neighborhood Services Division is also responsible for the timely implementation of activities and projects. The City of Surprise will ensure that all grant funded projects are monitored for compliance with the applicable city, state and federal laws. This will be accomplished using the city's current monitoring/contract compliance process for Federal programs.

The Neighborhood Services Division monitors all proposed activities to ensure long-term compliance with program requirements. The objectives of this monitoring are to make sure the activities:

- 1. comply with all regulations governing their administrative, financial, and programmatic operations; and**
- 2. Achieve their performance objectives within schedule and budget.**

General Monitoring Procedures

Annually, with the preparation of Consolidated Annual Performance and Evaluation Report (CAPER), the Neighborhood Services Division will review whether the specific objectives outlined in this strategic plan are being met. Further CAPER will give an opportunity to address community priorities and if adequate resources are available to meet the objectives.

The City of Surprise relies upon all grant-funded entities to complete a Quarterly Performance Report (QPR) to assess project progress. Accomplishments for the quarter are identified including measurable outcomes, client information, time frames and quarterly costs.

Neighborhood Services Division also administers the Integrated Disbursement and Information System (IDIS) for the PY 2010-11.

The more formal monitoring begins with a risk assessment of all grant funded projects and subrecipient contracts. The risk assessment considers size of the grant contract, changes in organizational structure and how long it has been since the last on-site monitoring.

For the HOME programs, included is a annual peer review for each member of the Maricopa HOME Consortium. The peer monitoring is performed by representatives from other participating communities and may take the form of either a desk review or an on site monitoring.

Subrecipient Monitoring:

All subrecipients of CDBG and HOME funds will be subject to monitoring. Fiscal monitoring will include review and approval of budgets, compliance with executed grant agreements, review of fiscal reports on a monthly or quarterly basis, and a review of audits on an annual basis. Additional monitoring will occur through on-site visits. These site visits will occur as necessary but will be conducted at least once every six months.

All subrecipients must identify the personnel working on the project; keep accurate records and filing systems to document program benefits and compliance; maintain an appropriate financial management system; submit to an audit; and submit a final report at closeout.

Affirmative and Outreach Monitoring

The city's contract compliance officer is responsible for ensuring affirmative marketing and minority outreach including Section 3 and Minority/Women Business Enterprise compliance, by using existing standards and procedures as prescribed under the CDBG and HOME programs.

Lead-based Paint

1. Describe the actions that will take place during the next year to evaluate and reduce the number of housing units containing lead-based paint hazards in order to increase the inventory of lead-safe housing available to extremely low-income, low-income, and moderate-income families, and how the plan for the reduction of lead-based hazards is related to the extent of lead poisoning and hazards.

Program Year 1 Action Plan Lead-based Paint response:

Reduction of lead hazards in paint will be accomplished in the following ways:

- Houses built before 1978 that are being rehabilitated with CDBG or HOME assistance will be tested for lead in painted surfaces prior to rehabilitation. If lead is present, the lead will be abated through removal or encapsulation as part of the rehabilitation contract.

- Rental units built before 1978 that are being assisted with Housing Choice Vouchers will be inspected by the Housing Authority of Maricopa County for chipped or peeling paint prior to assisted occupancy.
- Public housing units owned by the Housing Authority of Maricopa County have already been abated and were re verified as lead-free in 2001.

Actual numbers of units that will be impacted during the year will be small, probably less than five.

HOUSING

Specific Housing Objectives

*Please also refer to the Housing Needs Table in the Needs.xls workbook.

1. Describe the priorities and specific objectives the jurisdiction hopes to achieve during the next year.
2. Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by this Action Plan.

Program Year 1 Action Plan Specific Objectives response:

Specific housing objectives and funding allocation for the year one are as follows:

Table 2: Housing Needs and Objectives

Year-One Short-term Housing Objectives	Source	Allocation	Unit of measure	Improved outcome
Housing Rehabilitation (Minor)	CDBG	\$270,498	Homes Improved	20 repaired homes/ Decent Housing/ Availability/ Accessibility
Housing Replacement	HOME	\$116,715	Homes Improved	1 affordable new home replacement
Housing Rehabilitation (Major)	NSP	\$2,197,786	Homes Improved	20 homes assisted/3 new homes constructed/Affordability
Community Pride Clean up Reinvestment	City General Fund	\$19,000	Homes improved	20 homes repaired/ Decent Housing/ Availability/ Accessibility
Rental Assistance	Public Housing and Section 8 Vouchers		Public housing units and Housing Choice Vouchers maintained.	Households live in affordable rental units.

Needs of Public Housing

1. Describe the manner in which the plan of the jurisdiction will help address the needs of public housing and activities it will undertake during the next year to encourage public housing residents to become more involved in management and participate in homeownership.
2. If the public housing agency is designated as "troubled" by HUD or otherwise is performing poorly, the jurisdiction shall describe the manner in which it will provide financial or other assistance in improving its operations to remove such designation during the next year.

Program Year 1 Action Plan Public Housing Strategy response:

- 1.**
The Housing Authority of Maricopa County (HAMC) administers the public housing and Section 8 subsidized housing program for City of Surprise. Surprise' housing activities are planned and reported through the Maricopa Consortium Plan. See the 2010-2014 Consolidated Plan for the Maricopa HOME Consortium for more information.
- 2.**
The HAMC is not designated as a "troubled" agency.

Barriers to Affordable Housing

1. Describe the actions that will take place during the next year to remove barriers to affordable housing.

Program Year 1 Action Plan Barriers to Affordable Housing response:

During the PY 2010-11, the following actions will contribute to the removal of barriers to affordable housing:

- 1. Surprise will dedicate resources to preservation and development:**
 - a. The city's allocation of HOME funds to major rehabilitation / replacement.**
 - b. State Housing funds for major home repairs.**
 - c. City general revenues for minor rehabilitation.**
- 2. Habitat for Humanity continues construction on a small 48-home neighborhood, with 24 homes constructed in the first year, and construction of the second phase is underway now.**
- 3. Neighborhood Stabilization Program (NSP) funding will assist in purchase and resettlement of at least 20 homes foreclosed upon due to economic downturn. Three new homes with LEED certification will be built with this funding as well. All these homes will be made available to persons or households with or below 120% of the median income of the city.**

HOME/ American Dream Down payment Initiative (ADDI)

1. Describe other forms of investment not described in § 92.205(b).

2. If the participating jurisdiction (PJ) will use HOME or ADDI funds for homebuyers, it must state the guidelines for resale or recapture, as required in § 92.254 of the HOME rule.
3. If the PJ will use HOME funds to refinance existing debt secured by multifamily housing that is that is being rehabilitated with HOME funds, it must state its refinancing guidelines required under § 92.206(b). The guidelines shall describe the conditions under which the PJ will refinance existing debt. At a minimum these guidelines must:
 - a. Demonstrate that rehabilitation is the primary eligible activity and ensure that this requirement is met by establishing a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing.
 - b. Require a review of management practices to demonstrate that disinvestments in the property has not occurred; that the long-term needs of the project can be met; and that the feasibility of serving the targeted population over an extended affordability period can be demonstrated.
 - c. State whether the new investment is being made to maintain current affordable units, create additional affordable units, or both.
 - d. Specify the required period of affordability, whether it is the minimum 15 years or longer.
 - e. Specify whether the investment of HOME funds may be jurisdiction-wide or limited to a specific geographic area, such as a neighborhood identified in a neighborhood revitalization strategy under 24 CFR 91.215(e)(2) or a Federally designated Empowerment Zone or Enterprise Community.
 - f. State that HOME funds cannot be used to refinance multifamily loans made or insured by any federal program, including CDBG.
4. If the PJ is going to receive American Dream Down payment Initiative (ADDI) funds, please complete the following narratives:
 - a. Describe the planned use of the ADDI funds.
 - b. Describe the PJ's plan for conducting targeted outreach to residents and tenants of public housing and manufactured housing and to other families assisted by public housing agencies, for the purposes of ensuring that the ADDI funds are used to provide down payment assistance for such residents, tenants, and families.
 - c. Describe the actions to be taken to ensure the suitability of families receiving ADDI funds to undertake and maintain homeownership, such as provision of housing counseling to homebuyers.

Program Year 1 Action Plan HOME/ADDI response:

This section is addressed in the Maricopa HOME Consortium Consolidated Plan 2010-11. Maricopa County serves as the *Lead Agency* for the Maricopa HOME Consortium. The Consortium Consolidated Plan establishes the Strategy and Action Plan for housing activities for the Consortium and, most specifically, the use of HOME funding as the resources for the implementation of that plan.

Please refer to the Maricopa Consortium Consolidated Plan 2010-14 for further details.

Surprise utilizes general funds and State Housing Trust Funds on HOME eligible emergency repairs to match HOME expenditures for housing rehabilitation. The city maintains a match log and match is applied at the time of requests for reimbursement from Maricopa County Community Development.

HOMELESS

Specific Homeless Prevention Elements

*Please also refer to the Homeless Needs Table in the Needs.xls workbook.

1. Sources of Funds—Identify the private and public resources that the jurisdiction expects to receive during the next year to address homeless needs and to prevent homelessness. These include the McKinney-Vento Homeless Assistance Act programs, other special federal, state and local and private funds targeted to homeless individuals and families with children, especially the chronically homeless, the HUD formula programs, and any publicly-owned land or property. Please describe, briefly, the jurisdiction's plan for the investment and use of funds directed toward homelessness.
2. Homelessness—In a narrative, describe how the action plan will address the specific objectives of the Strategic Plan and, ultimately, the priority needs identified. Please also identify potential obstacles to completing these action steps.
3. Chronic homelessness—The jurisdiction must describe the specific planned action steps it will take over the next year aimed at eliminating chronic homelessness by 2012. Again, please identify barriers to achieving this.
4. Homelessness Prevention—The jurisdiction must describe its planned action steps over the next year to address the individual and families with children at imminent risk of becoming homeless.
5. Discharge Coordination Policy—Explain planned activities to implement a cohesive, community-wide Discharge Coordination Policy, and how, in the coming year, the community will move toward such a policy.

Program Year 1 Action Plan Special Needs response:

City of Surprise' homeless needs and activities are planned and reported through the Maricopa Consortium Plan. See the 2010-2014 Consolidated Plan for the Maricopa HOME Consortium for a complete description of these activities. Additional information is provided below.

The Maricopa Association of Governments Continuum of Care Subcommittee (MAG CoC) is the regional body that manages the collaborative efforts of communities and agencies in Maricopa County to plan for the needs of the homeless and access federal funding on behalf of agencies organized to address those needs. According to the 'Regional Plan to End Homelessness' published by MAG CoC in March 2009 there are no homeless persons in the city. However, the city will do a periodic assessment of any new homeless person cases in the city and will act accordingly to mitigate the problem.

Table 3: Homeless Street Count

Regional Plan to End Homelessness

2008 Homeless Street Count Municipal Summary Data													
*All counts were conducted on Tuesday January 29, 2008.													
MAG Region Totals	Non-Chronically Homeless Male	Non-Chronically Homeless Female	Chronically Homeless Male	Chronically Homeless Female	Person in Families Adult Women	Persons in Families Adult Men	Persons in Families Children	Male Youth On Own	Female Youth on Own	Number of Families	2008 Total	2007 Total	Percent Change
Avondale Total	7	3	0	0	0	0	0	0	0	0	10	11	-9%
Buckeye Total	12	7	0	0	0	0	0	0	0	0	19	10	90%
Carefree Total	0	0	0	0	0	0	0	0	0	0	0	1	-100%
Cave Creek Total	0	0	0	0	0	0	0	0	0	0	0	0	0%
Chandler Total	10	3	0	0	0	0	0	0	0	0	13	27	-52%
El Mirage Total	28	0	0	0	3	2	3	0	0	3	36	34	6%
Fountain Hills Total	0	0	0	0	0	0	0	0	0	0	0	0	0%
Gila Bend Total	1	1	3	0	2	0	3	0	0	1	10	19	-47%
Gilbert Total	1	1	4	1	0	0	0	0	0	0	7	8	-13%
Glendale Total	20	5	0	0	0	0	0	0	0	0	25	21	19%
Goodyear Total	15	0	0	0	0	0	0	0	0	0	15	9	67%
Guadalupe Total	47	11	0	0	7	6	6	0	0	3	77	26	196%
Litchfield Park Total	0	0	0	0	0	0	0	0	0	0	0	0	0%
Mesa Total	29	5	180	36	0	0	0	0	0	0	250	173	45%
Paradise Valley Total	0	0	0	0	0	0	0	0	0	0	0	0	0%
Peoria Total	3	2	10	1	0	0	0	0	0	0	16	18	-11%
Phoenix Total	1028	171	384	86	6	5	6	36	4	3	1726	2236	-23%
Queen Creek Total	3	0	0	0	0	0	0	0	0	0	3	20	-85%
Scottsdale Total	35	5	36	6	0	0	0	0	0	0	82	125	-34%
Sun City Total	0	0	0	0	0	0	0	0	0	0	0	1	-100%
Surprise Total	0	0	0	0	0	0	0	0	0	0	0	0	0%
Tempe Total	39	14	60	17	0	0	0	0	0	0	130	110	18%
Tolleson Total	5	0	0	0	0	0	0	0	0	0	5	2	150%
Wickenburg Total	0	0	0	0	0	0	0	0	0	0	0	0	0%
Youngtown Total	2	0	0	0	0	0	0	0	0	0	2	2	0%
TOTAL COUNTY	1285	228	677	147	18	13	18	36	4	10	2426	2853	-16%

These numbers reflect a point-in-time count of homeless people seen on the streets on January 29, 2008 and does not include people staying in shelters, doubled up, or not seen that day.

7

*These numbers reflect a point-in-time count of homeless people seen on the streets on January 29, 2008 and does not include people staying in shelters, doubled up, or not seen that day.

Regional Plan to End Homelessness

7

Emergency Shelter Grants (ESG)

(States only) Describe the process for awarding grants to State recipients, and a description of how the allocation will be made available to units of local government.

Program Year 1 Action Plan ESG response:

Not Applicable.

COMMUNITY DEVELOPMENT

Community Development

*Please also refer to the Community Development Table in the Needs.xls workbook.

1. Identify the jurisdiction's priority non-housing community development needs eligible for assistance by CDBG eligibility category specified in the Community Development Needs Table (formerly Table 2B), public facilities, public improvements, public services and economic development.

2. Identify specific long-term and short-term community development objectives (including economic development activities that create jobs), developed in accordance with the statutory goals described in section 24 CFR 91.1 and the primary objective of the CDBG program to provide decent housing and a suitable living environment and expand economic opportunities, principally for low- and moderate-income persons.

*Note: Each specific objective developed to address a priority need, must be identified by number and contain proposed accomplishments, the time period (i.e., one, two, three, or more years), and annual program year numeric goals the jurisdiction hopes to achieve in quantitative terms, or in other measurable terms as identified and defined by the jurisdiction.

Program Year 1 Action Plan Community Development response:

Based on feedback from citizens, city staff, CDBG and Quality of Life Commission members, 2 priority community development needs have been identified for implementation under the CDBG funding that will benefit low-to moderate-income persons in the City of Surprise. They are:

- **Public Service Activities through nonprofit agency(s). Fifteen percent of the allotted PY 2010 funding will be delineated for this activity.**
- **Sidewalk and curb-cut improvements in the OTS. For this the carried over funding amount from the PY 2008 and 2009 will be utilized. The engineering design and scope of the project will be completed in the PY 2009-10.**

The City of Surprise expects to receive around \$406,873 from HUD under the CDBG program. Of this allotted funding, 20% will be set aside for administrative expenses and 15% will be set aside for public service activities.

These projects are designated high priority in the following table. For the first time the city will aim funds at public services per the response of appointed members of boards, city staff, and citizens. Fifteen percentage of the allotted CDBG funding will be set aside for public service activities. The CDBG funds for street improvements will be solely targeted to the OTS area under the area benefit caveat as this is the only place in the city with a census tract where the majority of the population is either low or moderate income.

Table 4 Community Development Needs - 2010-11		Needs	Priorit Y Need: H, M, L	Dollars to Address- Specifically planned for this Strategic Plan	Plan to Fund? Y/N	Fund Source	Objective	Outcome
	03K Street Improvements 570.201I	Yes- sidewalk improvement; 10,560 LF; Year 1	H	\$559,000	Y	CDBG	Suitable Living Environment /Economic Dev.	Sustain-ability
	14A Rehab; Single-Unit Residential 570.202	Yes; 20 homes; Year 1	H	\$270,498	Y	CDBG	Decent Housing	Affordability
	05 Public Services (General) 570.201(e)	Yes; Year 1	H	\$55,000	Y	CDBG	Suitable Living Environment	Sustain-ability
	21A General Program Administration 570.206	Yes; Year 1	H	\$81,374	Y	CDBG		

Antipoverty Strategy

1. Describe the actions that will take place during the next year to reduce the number of poverty level families.

Program Year 1 Action Plan Antipoverty Strategy response:

The city's anti-poverty efforts are largely embedded within the strategic plan. These efforts will maintain and preserve the housing stock occupied by LMI individuals, stimulate economic revitalization in the city and potentially fund organizations that provide services to the city's populations in poverty. The city is cognizant of the needs of those living in poverty. Its strategy is to address the issue of poverty by supplementing resources to help ensure an acceptable standard of living, and this will continue to be done through rehabilitation of housing to assist persons living in poverty and substandard conditions. The city continues to support those agencies who provide direct service to those living in poverty. All of these activities support housing so that low-income families and persons can use their limited resources for other living requirements.

Ongoing efforts that will continue in Year One. These include:

- Title V employment program.
- Financial fitness sessions.
- Direct CDBG funding allocation for public service activities through nonprofit agency(s).

A number of residents will experience improved economic conditions over the next year but the number of households that will move out of poverty is expected to be relatively small.

NON-HOMELESS SPECIAL NEEDS HOUSING

Non-homeless Special Needs (91.220 (c) and (e))

*Please also refer to the Non-homeless Special Needs Table in the Needs.xls workbook.

1. Describe the priorities and specific objectives the jurisdiction hopes to achieve for the period covered by the Action Plan.
2. Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by this Action Plan.

Program Year 1 Action Plan Specific Objectives response:

1.

The City of Surprise has included public service activities through CDBG funding for the PY 2010-11 to benefit low- moderate-income residents in the city. The top priorities for the next five years as per the survey feedback are:

- Transportation services
- Youth activities

- **Anti-crime programs**
- **Health services**
- **Senior activities**

For PY 2010-11, the city will be targeting the delineated CDBG public service funds for emergency food boxes, services for youth and seniors through nonprofit organizations.

2.

Due to economic downturn, no city general revenue will be allotted to these services at this time. Other funding from state and federal sources will be requested as feasible.

Housing Opportunities for People with AIDS

*Please also refer to the HOPWA Table in the Needs.xls workbook.

1. Provide a Brief description of the organization, the area of service, the name of the program contacts, and a broad overview of the range/ type of housing activities to be done during the next year.
2. Report on the actions taken during the year that addressed the special needs of persons who are not homeless but require supportive housing, and assistance for persons who are homeless.
3. Evaluate the progress in meeting its specific objective of providing affordable housing, including a comparison of actual outputs and outcomes to proposed goals and progress made on the other planned actions indicated in the strategic and action plans. The evaluation can address any related program adjustments or future plans.
4. Report on annual HOPWA output goals for the number of households assisted during the year in: (1) short-term rent, mortgage and utility payments to avoid homelessness; (2) rental assistance programs; and (3) in housing facilities, such as community residences and SRO dwellings, where funds are used to develop and/or operate these facilities. Include any assessment of client outcomes for achieving housing stability, reduced risks of homelessness and improved access to care.
5. Report on the use of committed leveraging from other public and private resources that helped to address needs identified in the plan.
6. Provide an analysis of the extent to which HOPWA funds were distributed among different categories of housing needs consistent with the geographic distribution plans identified in its approved Consolidated Plan.
7. Describe any barriers (including non-regulatory) encountered, actions in response to barriers, and recommendations for program improvement.
8. Please describe the expected trends facing the community in meeting the needs of persons living with HIV/AIDS and provide additional information regarding the administration of services to people with HIV/AIDS.

9. Please note any evaluations, studies or other assessments that will be conducted on the local HOPWA program during the next year.

Program Year 1 Action Plan HOPWA response:

Not Applicable.

Specific HOPWA Objectives

Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by the Action Plan.

Program Year 1 Specific HOPWA Objectives response:

Not Applicable.

Other Narrative

Include any Action Plan information that was not covered by a narrative in any other section.

None.



CPMP Non-State Grantee Certifications

Many elements of this document may be completed electronically, however a signature must be manually applied and the document must be submitted in paper form to the Field Office.

- | |
|---|
| ☐ This certification does not apply. |
| ☒ This certification is applicable. |

NON-STATE GOVERNMENT CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about --
 - a. The dangers of drug abuse in the workplace;
 - b. The grantee's policy of maintaining a drug-free workplace;
 - c. Any available drug counseling, rehabilitation, and employee assistance programs; and
 - d. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will --
 - a. Abide by the terms of the statement; and
 - b. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted --
 - a. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - b. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

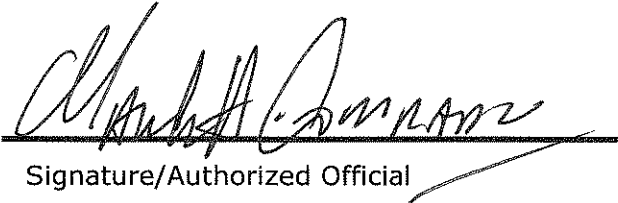
Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

8. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
9. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
10. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.



Signature/Authorized Official

04/29/2010

Date

Mark Coronado

Name

City Manager

Title

16000 N Civic Center Plaza

Address

Surprise, AZ 85374

City/State/Zip

623-222-1100

Telephone Number

- | |
|--|
| ☐ This certification does not apply.
☒ This certification is applicable. |
|--|

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

11. **Maximum Feasible Priority -** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
12. **Overall Benefit -** The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2010, 2011, 2012, (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
13. **Special Assessments -** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

14. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
15. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of part 35, subparts A, B, J, K and R, of title 24;

Compliance with Laws -- It will comply with applicable laws.



Signature/Authorized Official

04/29/2010

Date

Mark Coronado

Name

City Manager

Title

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Surprise, AZ 85374

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Telephone Number

- ☒ This certification does not apply.
☐ This certification is applicable.

**OPTIONAL CERTIFICATION
CDBG**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities, which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

Signature/Authorized Official

Date

Name

Title

Address

City/State/Zip

Telephone Number

- ☐ This certification does not apply.
☒ This certification is applicable.

Specific HOME Certifications

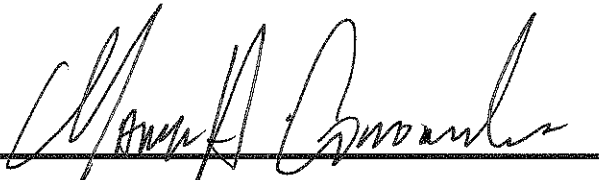
The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;



Signature/Authorized Official

04/29/2010

Date

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Name

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Telephone Number

- ☒ **This certification does not apply.**
☐ **This certification is applicable.**

HOPWA Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

Signature/Authorized Official

Date

Name

Title

Address

City/State/Zip

Telephone Number

- | |
|---|
| ☒ This certification does not apply.
☐ This certification is applicable. |
|---|

ESG Certifications

I, _____, Chief Executive Officer of **Error! Not a valid link.**, certify that the local government will ensure the provision of the matching supplemental funds required by the regulation at 24 *CFR* 576.51. I have attached to this certification a description of the sources and amounts of such supplemental funds.

I further certify that the local government will comply with:

1. The requirements of 24 *CFR* 576.53 concerning the continued use of buildings for which Emergency Shelter Grants are used for rehabilitation or conversion of buildings for use as emergency shelters for the homeless; or when funds are used solely for operating costs or essential services.
2. The building standards requirement of 24 *CFR* 576.55.
3. The requirements of 24 *CFR* 576.56, concerning assurances on services and other assistance to the homeless.
4. The requirements of 24 *CFR* 576.57, other appropriate provisions of 24 *CFR* Part 576, and other applicable federal laws concerning nondiscrimination and equal opportunity.
5. The requirements of 24 *CFR* 576.59(b) concerning the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970.
6. The requirement of 24 *CFR* 576.59 concerning minimizing the displacement of persons as a result of a project assisted with these funds.
7. The requirements of 24 *CFR* Part 24 concerning the Drug Free Workplace Act of 1988.
8. The requirements of 24 *CFR* 576.56(a) and 576.65(b) that grantees develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted with ESG funds and that the address or location of any family violence shelter project will not be made public, except with written authorization of the person or persons responsible for the operation of such shelter.
9. The requirement that recipients involve themselves, to the maximum extent practicable and where appropriate, homeless individuals and families in policymaking, renovating, maintaining, and operating facilities assisted under the ESG program, and in providing services for occupants of these facilities as provided by 24 *CFR* 76.56.
10. The requirements of 24 *CFR* 576.57(e) dealing with the provisions of, and regulations and procedures applicable with respect to the environmental review responsibilities under the National Environmental Policy Act of 1969 and related

authorities as specified in 24 *CFR* Part 58.

11. The requirements of 24 *CFR* 576.21(a)(4) providing that the funding of homeless prevention activities for families that have received eviction notices or notices of termination of utility services will meet the requirements that: (A) the inability of the family to make the required payments must be the result of a sudden reduction in income; (B) the assistance must be necessary to avoid eviction of the family or termination of the services to the family; (C) there must be a reasonable prospect that the family will be able to resume payments within a reasonable period of time; and (D) the assistance must not supplant funding for preexisting homeless prevention activities from any other source.
12. The new requirement of the McKinney-Vento Act (42 *USC* 11362) to develop and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons. I further understand that state and local governments are primarily responsible for the care of these individuals, and that ESG funds are not to be used to assist such persons in place of state and local resources.
13. HUD's standards for participation in a local Homeless Management Information System (HMIS) and the collection and reporting of client-level information.

I further certify that the submission of a completed and approved Consolidated Plan with its certifications, which act as the application for an Emergency Shelter Grant, is authorized under state and/or local law, and that the local government possesses legal authority to carry out grant activities in accordance with the applicable laws and regulations of the U. S. Department of Housing and Urban Development.

Signature/Authorized Official

Date

Name

Title

Address

City/State/Zip

Telephone Number

- ☐ This certification does not apply.
☒ This certification is applicable.

APPENDIX TO CERTIFICATIONS

Instructions Concerning Lobbying and Drug-Free Workplace Requirements

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant: Place of Performance (Street address, city, county, state, zip code) Check if there are workplaces on file that are not identified here. The certification with regard to the drug-free workplace is required by 24 CFR part 21.

Place Name	Street	City	County	State	Zip
Surprise City Hall	16000 N Civic Center Plaza	Surprise	Maricopa	AZ	85374

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules: "Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15); "Conviction" means a finding of guilt (including a plea of *nolo contendere*) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes; "Criminal drug statute" means a Federal or non-Federal

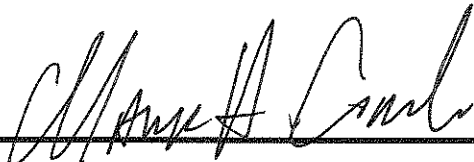
Surprise

criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance; "Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including:

- a. All "direct charge" employees;
- b. all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and
- c. temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Note that by signing these certifications, certain documents must be completed, in use, and on file for verification. These documents include:

1. Analysis of Impediments to Fair Housing
2. Citizen Participation Plan
3. Anti-displacement and Relocation Plan



Signature/Authorized Official

04/29/2010

Date

Mark Coronado

Name

City Manager

Title

16000 N Civic Center Plaza

Address

Surprise, AZ 85374

City/State/Zip

623-222-1100

Telephone Number

Grantee Name: **Surprise**

Project Name: Housing Rehabilitation							
Description:	IDIS Project #: 010 001 UOG Code: AZ 4046 Surprise Citywide Housing Rehabilitation of 20 homes. Project will include minor repairs of owner occupied homes.						
Location: City of Surprise	Priority Need Category Select one: Owner Occupied Housing ▼ Explanation:						
Expected Completion Date: (06/30/2011)							
Objective Category ☒ Decent Housing ☐ Suitable Living Environment ☐ Economic Opportunity							
Outcome Categories ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability	Specific Objectives 1 Improve the quality of owner housing ▼ 2 ▼ 3 ▼						
Project-level Accomplishments	10 Housing Units ▼	Proposed	X		Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
Proposed Outcome		Performance Measure		Actual Outcome			
Minor repair of owner occupied homes; Code compliance.		20 homes repaired.					
14A Rehab; Single-Unit Residential 570.202 ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Program Year 1	CDBG ▼	Proposed Amt.	\$270,498		Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	

Program Year 2	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 3	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 4	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 5	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	

Grantee Name: **Surprise**

Project Name: CDBG Public Services Activities							
Description:	IDIS Project #: 010 002 UOG Code: AZ 4046 Surprise						
Public Services Activities through two nonprofit organizations in the city.							
Location:	Priority Need Category						
City of Surprise	Select one: Public Services ▼						
Expected Completion Date:	Explanation:						
(mm/dd/yyyy)	CDBG Public Service Activities costs which do not exceed 15% of the CDBG entitlement grant are eligible for reimbursement.						
Objective Category ☐ Decent Housing ☒ Suitable Living Environment ☐ Economic Opportunity	Specific Objectives						
Outcome Categories ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability	1 Improve the services for low/mod income persons ▼ 2 ▼ 3 ▼						
Project-level Accomplishments	01 People ▼	Proposed	X		Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	04 Households ▼	Proposed	X		Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
Proposed Outcome		Performance Measure		Actual Outcome			
Services to low- and moderate-income persons in the city based on income qualifications							
05 Public Services (General) 570.201(e) ▼		Matrix Codes ▼					
Matrix Codes ▼		Matrix Codes ▼					
Matrix Codes ▼		Matrix Codes ▼					
Program Year 1	CDBG ▼	Proposed Amt.	\$55,000		Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	

Program Year 2	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 3	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 4	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 5	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	

Grantee Name: **Surprise**

Project Name: CDBG Program Administration							
Description:	IDIS Project #: 010 003 UOG Code: AZ 4046 Surprise						
All eligible administrative costs for 2010-2011 CDBG program management.							
Location:	Priority Need Category						
City of Surprise	Select one: Priority Need Category ▼						
Expected Completion Date:	Explanation:						
(mm/dd/yyyy)	Program administration costs which do not exceed 20% of the CDBG entitlement grant are eligible for reimbursement.						
Objective Category ☐ Decent Housing ☒ Suitable Living Environment ☐ Economic Opportunity	Specific Objectives						
Outcome Categories ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability	1 Improve the quality of owner housing ▼ 2 Improve the services for low/mod income persons ▼ 3 ▼						
Project-level Accomplishments	Other ▼	Proposed	X		Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
Proposed Outcome	Performance Measure	Actual Outcome					
Admin in compliance with federal regulations	No audit findings						
31B Administration - grantee ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Program Year 1	CDBG ▼	Proposed Amt.	\$81,374		Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	

Program Year 2	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 3	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 4	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 5	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	

**New Specific
Objective**

Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed
DH-1 Availability/Accessibility of Decent Housing							
DH-1 (1)	Specific Objective: Citywide Housing Rehabilitation of 20 homes.	Source of Funds #1 CDBG	Performance Indicator #1: Minor repairs of 20 owner occupied homes and code compliance	2010	270,498		0%
				2011			#DIV/0!
		Source of Funds #2		2012			#DIV/0!
				2013			#DIV/0!
		Source of Funds #3		2014			#DIV/0!
		MULTI-YEAR GOAL				0	#DIV/0!
		Source of Funds #1	Performance Indicator #2	2010			#DIV/0!
				2011			#DIV/0!
		Source of Funds #2		2012			#DIV/0!
				2013			#DIV/0!
		Source of Funds #3		2014			#DIV/0!
		MULTI-YEAR GOAL				0	#DIV/0!
	Specific Annual Objective	Source of Funds #1	Performance Indicator #3	2010			#DIV/0!
				2011			#DIV/0!
		Source of Funds #2		2012			#DIV/0!
				2013			#DIV/0!
		Source of Funds #3		2014			#DIV/0!
		MULTI-YEAR GOAL				0	#DIV/0!

**New Specific
Objective**

Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number	Actual Number	Percent Completed
SL-1	Availability/Accessibility of Suitable Living Environment						
SL-1 (1)	Specific Objective: Public Services Activities through two nonprofit organizations in the city.	Source of Funds #1: CDBG	Performance Indicator #1: Improve the services to low/mod income persons througout the city	2010	55,000		0%
				2011			#DIV/0!
		Source of Funds #2		2012			#DIV/0!
				2013			#DIV/0!
		Source of Funds #3		2014			#DIV/0!
		MULTI-YEAR GOAL				0	#DIV/0!
		Source of Funds #1	Performance Indicator #2	2010			#DIV/0!
				2011			#DIV/0!
		Source of Funds #2		2012			#DIV/0!
				2013			#DIV/0!
		Source of Funds #3		2014			#DIV/0!
		MULTI-YEAR GOAL				0	#DIV/0!
	Specific Annual Objective	Source of Funds #1	Performance Indicator #3	2010			#DIV/0!
				2011			#DIV/0!
		Source of Funds #2		2012			#DIV/0!
				2013			#DIV/0!
		Source of Funds #3		2014			#DIV/0!
		MULTI-YEAR GOAL				0	#DIV/0!

Consolidated Plan- Public Participation Plan

Intent: To garner input to assist in the identification and ranking of community investment priorities for Surprise as it relates to the creation of the Consolidated Plan and Annual Action Plan. Staff is looking for input as it relates to capital and service needs in the city that both serve intended populations as well as meeting grant national objectives.

Public Hearings:

Public Hearings will be held at locations easily accessible to the community and will be well advertised to assure maximum public input. Letter of invitation will be sent to area non-profits, social organizations, churches, and schools that may be partnering agencies in the future implementation of this grant.

First Meeting- December: To solicit input on identification and possible ranking of area priorities where CDBG funding can be used at the CDBG Commission Meeting.

Second Meeting– February: Presentation of projects for consideration and needs that have been identified. These will be ranked and identified for specific funding years at the CDBG Commission meeting.

Third Meeting – March: Present draft of Consolidated Plan document to CDBG Commission for review.

Fourth Meeting– April: Presentation of draft Consolidated Plan and Annual Action Plan to the City Council for consideration and adoption.

Staff Questionnaire:

January – February - A questionnaire will be distributed to a contact person within each department where city needs will be identified and forwarded for funding consideration. These will be incorporated with citizen comments garnered through public hearings to include in the Consolidated Plan process.

Before distribution of the questionnaire, an introductory presentation will be given where grant parameters are explained so that project/ program recommendations are feasible and fall within established guidelines.

Comment Period:

April - A thirty day comment period will be established to garner citizen input on the draft copy of the Consolidated Plan and Annual Action Plan. Establishment of the comment period will be advertised in a local newspaper so as to maximize participation. Comments received will be included in the finalized plan that will be forwarded to HUD by May 15, 2010.

**MARICOPA HOME CONSORTIUM CITIZEN
PARTICIPATION PLAN FY2010/2014**

September 1, 2009

Applicability

This document implements the citizen participation planning regulations applicable under Consolidated Plan submittal requirements noted under 24 CFR 91.105. This citizen participation plan applies to the following jurisdictions submitting Consolidated Plans covering FY 2010 through FY 2014, pursuant to:

- ✓ 24 CFR 91.400 for Consolidated Plan submission for the
The Maricopa HOME Consortium
- ✓ 24 CFR 91.200 for Consolidated Plan submission for the
City of Avondale
City of Chandler
Town of Gilbert
City of Glendale
City of Peoria
City of Scottsdale
City of Surprise
City of Tempe
Maricopa County

The Maricopa HOME Consortium includes the Cities of Avondale, Chandler, Glendale, Peoria, Scottsdale, Surprise and Tempe; the Town of Gilbert and Maricopa County; with Maricopa County as the designated Lead Agency. The Consortium has elected to establish July 1 through June 30 as the Program Year under forthcoming Consolidated Plan submissions in FY 2010 through 2014. This program year corresponds with each jurisdiction's fiscal year.

Consolidated Plan Summary

HUD regulations noted in 24 CFR 91.105 require the preparation of Consolidated Plans for all entitlement communities. The Consolidated Plan is prepared in draft form by April in the year the Plan takes effect and includes needs, priorities and long- and short-term strategies concerning affordable housing, homeless/special needs and community development in the region. The Consolidated Plan process includes a Five-Year Consolidated Plan and Annual Action Plans, and serves as a long- and short- term investment guide for federal Community Development Block Grant (CDBG), Emergency Shelter Grants (ESG), HOME Investment Partnerships Program (HOME) and Housing Opportunities For Persons With Aids (HOPWA) resources in the region. The Consolidated Plan is also consulted prior to the award of other funding administered by the U.S. Department of Housing and Urban Development.

The Consolidated Plan prepared for the Maricopa HOME Consortium is regional in nature and will focus on affordable housing, homeless and special needs priorities and strategies pursuant to 24 CFR 91.4, while that prepared for all entitlement community members are local in nature and shall address affordable housing, community development, homeless and special population needs priorities and strategies pursuant to 24 CFR 91.2 for their respective jurisdiction. Such

local Consolidated Plans may refer to the Maricopa HOME Consortium Consolidated Plan to address affordable and supportive housing and homeless issues that are deemed to be regional in nature. For more information concerning the citizen participation process associated with the Five-Year Consolidated Plan, please contact one or all of the following individuals:

- ✓ Ms. Renee Ayres Benavidez, Maricopa County at (602) 372-1524
- ✓ Mr. Andrew Rael, City of Avondale at (623) 333-2715
- ✓ Ms. Stephanie Garcia, City of Chandler at (480) 782-4349
- ✓ Mr. Carl Harris-Morgan, Town of Gilbert (480) 503-6893
- ✓ Mr. Gilbert Lopez, City of Glendale at (623) 930-3670
- ✓ Mr. Glen VanNimwegen, City of Peoria at (623) 773-7209
- ✓ Ms. Michelle Albanese, City of Scottsdale at (480) 312-2309
- ✓ Ms. Christina Ramirez, City of Surprise at (623) 222-1551
- ✓ Ms. Liz Chavez, City of Tempe at (480) 350-8958

Encouragement of Citizen Participation and Information to Be Provided

In order to encourage citizen participation, the following efforts shall be undertaken by affected Maricopa HOME Consortium members. Affected members are Maricopa County, the City of Avondale, City of Chandler, Town of Gilbert, City of Glendale, City of Peoria, City of Scottsdale, City of Surprise and the City of Tempe.

- 1) The Maricopa HOME Consortium members shall consult with housing authorities in their jurisdictions to elicit participation of the residents of public and assisted housing in plan development and review, which is anticipated to be derived from PHA planning activities stipulated under 24CFR Part 903. As needed and applicable, affected Maricopa HOME Consortium members will also consult with low-income residents of targeted revitalization areas in which federal projects are anticipated. Consortium members shall make Consolidated Plan information available to local housing authorities on a continuing basis for any public hearings to be held under the HUD Comprehensive Grant Program or Public Housing Agency Plan established pursuant to 24CFR Part 903.
- 2) Affected members of the Maricopa HOME Consortium shall each hold at least two public hearings concerning the Consolidated Plan. The first meeting shall be held during Consolidated Plan formulation and preparation, while the second shall be held once draft Consolidated Plans have been completed. One or both of the public meetings to be conducted by affected Consortium members shall include the following items:
 - ✓ The amount of CDBG, ESG, HOME and HOPWA resources anticipated to be made available within affected member jurisdictions on a fiscal year basis, and the eligible range of activities that may be undertaken concerning such federal programs.
 - ✓ The amount of CDBG, ESG, HOME and HOPWA resources anticipated to benefit income qualified persons residing within affected member jurisdictions on a fiscal year basis.
 - ✓ Plans by affected HOME Consortium members to minimize the displacement of persons from the intended uses of CDBG, ESG, HOME and HOPWA resources anticipated to be invested during any given fiscal year.
 - ✓ Perspectives on priorities and housing and community development needs in each affected HOME Consortium member jurisdiction.

- ✓ Other aspects of the Consolidated Plans as applicable.

- 3) On or before April 1st of any given year, affected Maricopa HOME Consortium members will make available their draft Consolidated Plans, Annual Plans and the previous year's Comprehensive Annual Performance Evaluation Report (CAPER) to each housing authority, selected libraries, surrounding municipal governments (as applicable), and selected other locations for the mandatory 30-day public comment period to end no later than the 1st of May of any given year. The public shall be notified of this opportunity for review and comment in newspaper/s with general circulation in each affected Consortium member's jurisdiction and shall identify the locations where citizens may review copies of draft Consolidated Plans and relevant Comprehensive Annual Performance Evaluation Reports (CAPERs).
- 4) In early September of each year, Maricopa HOME Consortium members shall make available their draft Comprehensive Annual Performance Evaluation Reports (CAPERs) for the previous fiscal year to each housing authority, selected libraries, surrounding municipal governments (as applicable); and selected other locations for the mandatory 15-day public comment period to end no later than September 30.

Access to Records

All affected Maricopa HOME Consortium members shall provide citizens, public agencies and other interested parties with reasonable and timely access to public records relating to their past use of CDBG, ESG, HOME and HOPWA and related assistance for the previous five years. This information shall be made available to interested parties in alternate formats as reasonably requested and shall be so noticed.

Technical Assistance

All affected Maricopa HOME Consortium members will provide assistance to very low- and low-income persons and groups representative of them that request such in developing proposals for funding under the CDBG, ESG, HOME and HOPWA resources treated in their Consolidated Plans. Such assistance will be provided to interested parties as requested and be noticed as available to the public.

Public Hearings

Public hearings to be conducted by affected HOME Consortium members shall be publicly noticed with a minimum one week lead time before the actual meetings are conducted and be noticed in newspapers with general circulation in the community. All postings shall include relevant information to permit informed citizen comment.

Where appropriate to the local community and where requested in advance, a bilingual staff person or translator shall be present at public hearings to meet the needs of non-English speaking residents. All public hearings to be conducted will be held at times and locations convenient to prospective program beneficiaries, and be conducted with accommodation for persons with disabilities when requested at least three working days in advance. Specific determinations on the issues noted above shall be made by staff of each affected HOME Consortium member on a case-by-case basis.

Comments and Complaints

Any citizen, organization or group desiring to make a complaint regarding the Consolidated Plans treated herein may do so in writing to affected Maricopa Consortium members or verbally during the execution of such public hearings. Any citizen, organization or group may also make their views and/or complaints known verbally or in writing to the affected City or County Managers and/or affected jurisdiction Governing Bodies (City Council and Board of Supervisors). At all times, citizens have the right to submit complaints directly to the Department of Housing and Urban Development as well. All affected HOME Consortium members shall respond in writing to written complaints, grievances, or comments or to comments made at public hearings within 15 working days from receipt of such. The Maricopa County Board of Supervisors is the final disposition authority for complaints or grievances under the purview of Maricopa County, while the City/Town Councils of Avondale, Chandler, Gilbert, Glendale, Peoria, Scottsdale, Surprise and Tempe are the final disposition authority for complaints or grievances applicable to such jurisdictions.

Adoption of Citizen Participation Plan

The Citizen Participation Plan is a required component of the Consolidated Plan. This Citizen Participation plan is adopted by the Maricopa County Board of Supervisors and City/Town Councils of Avondale, Chandler, Gilbert, Glendale, Peoria, Scottsdale, Surprise and Tempe concurrent with the scheduled adoption of each affected HOME Consortium member's Five-Year Consolidated Plan.

Comments Received at Public Hearings

Prior to transmitting any Consolidated Plan, Annual Action Plan, substantial plan amendment or Consolidated Annual Performance and Evaluation Report, members shall compile any comments or views of citizens received in writing or orally at public hearings. A summary of these comments or views, and a summary of any comments or views not accepted and the reasons therefore, shall be attached to final submissions conveyed to HUD.

Criteria and Process for Amendments to Consolidated Plan and Annual Plan

Should any affected HOME Consortium member cause one of the following items to occur, an amendment to their Consolidated Plan or Annual Action Plan would be required:

- a) To make a substantial change in the allocation priorities or methods of distribution delineated in the plans. "Substantial" in this context is defined as:
 - ✓ Changes in any method of distribution for HOME, ESG or HOPWA resources that will alter the manner in which funds are allocated to individual projects or entities identified in the Annual Action Plan by at least 20% of any annual Consortium allocation, subject to other program requirements in the CFR as applicable; and/or;
 - ✓ Changes made to funding priorities in the Consolidated Plans over time when not undertaken through annual submission requirements stipulated by HUD; and/or;
 - ✓ Project deletions or changes made in allocation priorities or methods of distribution

that have the effect of changing the funding level of individual CDBG projects within an eligible activity identified in its Annual Action Plan by more than 20% of an entitlement jurisdiction's annual funding level, subject to other program requirements in the CFR as applicable. Any new eligible activity funded with CDBG and not already identified in an Annual Action Plan, as well as significant changes in the use of CDBG funds from one eligible activity to another, in an amount greater than 20% of the annual CDBG allocation.

- b) To carry out an eligible activity, using funds from any program covered by the Consolidated Plans (including program income), not previously described in the Annual Action Plans.
- c) To substantially change the purpose, scope, location, or beneficiaries of an activity. Changes that are made to projects to be funded in the Consolidated Plans over time when not undertaken through Annual Action Plan submission requirements stipulated by HUD.

Should "substantial" amendments be made to any aspect of the Consolidated Plans treated herein after its formal adoption, affected HOME Consortium members will undertake the following: [refer to 91.105(b)(2)(iv) and (b)(6)].

- a) In the instance of Maricopa County, inform affected units of local government.
- b) Provide reasonable public notice of the proposed amendment(s) in applicable newspaper/s of general circulation to enable review and comment by the public for at least 30 days. Conduct a public hearing on the subject of the proposed amendment during the 30-day comment period consistent with Sections III through VI noted herein.
- c) Submit such amendment(s) to their respective Governing Boards for approval.
- d) Upon the termination of the 30-day comment period, periodically notify HUD of any amendments executed, citizen comments received and the response(s) by affected Consortium members to such comment(s).

All affected Maricopa HOME Consortium members will minimize the displacement of persons assisted through the use of CDBG, ESG, HOME and HOPWA resources. The policies to be followed are separately included in this document, and all Maricopa HOME Consortium members have agreed to abide by the Contents. For efforts other than federally funded acquisition or rehabilitation, Consortium members may utilize adopted local policies concerning displacement assistance.

OTHER CITIZEN PARTICIPATION REQUIREMENTS

People and agencies seeking resources from individual Maricopa HOME Consortium members may need to comply with additional citizen participation requirements imposed on them by such entities. For additional information in this regard, contact the individuals or organizations noted under the "Plan Summary" in this document. HUD waivers relevant to the provisions of this document shall over-ride and supersede the applicable contents of this citizen participation plan.

ACQUISITION AND RELOCATION POLICIES

Preface

This policy is necessary to insure uniform, complete and accurate acquisition and relocation activities, procedures and files. Acquisition may in some cases be undertaken by the subrecipient, but only with the close coordination of CD Staff and/or consultants.

The Maricopa County Community Development, in carrying out its responsibility for CDBG, HOME and ADDI Program administration, and as the designated "State Agency" responsible for acquisition and relocation associated with CDBG, HOME/ADDI Program assisted projects will use staff, other Maricopa County and professional consultants as necessary to comply with the requirements of the Uniform Acquisition and Relocation Act of 1970 (PL 91-646), as amended.

MARICOPA COUNTY COMMUNITY DEVELOPMENT POLICIES ON DISPLACEMENT FOR COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) and/or HOME/ADDI FUNDED ACTIVITIES

Guideform Residential Antidisplacement and Relocation Assistance Plan under Section 104(d) of the Housing and Community Development Act of 1974, as Amended

The Maricopa HOME Consortium, in accordance with Federal Regulations for Displacement, 24 CFR 570.606(b), hereby issues this statement of policy regarding the displacement of persons by CDBG or HOME Program funded activities.

Any entity receiving CDBG or HOME Program funds will replace all occupied and vacant units that will be demolished or converted to a use other than as low/moderate income housing.

All replacement housing will be provided within three years of the commencement of the demolition or rehabilitation relating to conversion. This includes any property obtained through a public undertaking. Before obligating or expending funds that will directly result in such demolition or conversion, the entity will make public and submit to the HUD Field Office the following information in writing.

- A description of the proposed assisted activity;
- The general location on a map and approximate number of dwelling units by size (number of bedrooms) that will be demolished or converted to a use other than for low/moderate income dwelling units as a direct result of the assisted activity;
- A time schedule for the commencement and completion of the demolition or conversion;
- The general location on a map and approximate number of dwelling units by size (number of bedrooms) that will be provided as replacement dwelling units;
- The source of funding and a time schedule for the provision of replacement dwelling units; and
- The basis for concluding that each replacement dwelling unit will remain a low/moderate income dwelling unit for at least 10 years from the date of initial occupancy (i.e.: Deed of Trust, Deed Restriction, etc.).

The entity will provide relocation assistance, as described in 570.606(b)(2), to each low/

moderate income household displaced by the demolition of housing or by the conversion of a low/moderate income dwelling to another use. Benefits will be provided relocatees and displacees according to the calculation of benefits derived pursuant to requirements of regulations promulgated under the Uniform Property Acquisition and Relocation Act of 1970, as amended

Assistance To Aliens

An alien who is not lawfully present in the United States is prohibited from receiving assistance under the Uniform Relocation Act, per 49 CFR 24.208, and assisted housing programs. Circumstances may dictate that determination that an alien is ineligible would result in exceptional and extremely unusual hardship to a spouse, parent, child who is a United States citizen. Under these circumstances a subrecipient may wish to request CD assist in making relocation funds available. A final determination on the eligibility of the request will be made by HUD before any assistance is provided.

Permanent Displacement

Displacement is defined as follows: Permanent movement of person(s) or other entities from a dwelling unit or business location resulting from CDBG funded code inspection, rehabilitation, demolition or acquisition.

In order to minimize displacement and mitigate adverse effects, the policy shall consist of the following steps, in the event displacement is caused by current or future CDBG or HOME Program funded projects:

- CD will avoid or minimize permanent displacement whenever possible and only take such action when no other viable alternative exists.
- The impact on existing persons and properties will be considered in the development of CDBG and HOME Program funded projects.
- Citizens shall be informed of CDBG or HOME Program project area(s) through information made available as part of the annual proposed and final statements on use of CDBG and HOME Program funds.
- Current regulations, HUD notices and policies will be followed when preparing informational statements and notices.
- Written notification of intent will be given to eligible property owners who may be displaced and/or relocated due to an approved project activity.
- CD will assist those displaced in locating affordable, safe, decent and comparable replacement housing.
- CD will ensure that "just compensation" for CDBG or HOME Program acquired property (as determined by appraised fair market value) is paid with relocation benefits, if applicable.
- CD will provide for reasonable benefits to any person permanently displaced as a result of the use of CDBG funds to acquire or substantially rehabilitate property.

Reasonable benefits will follow established policies set forth in applicable federal, state and local regulations.

- Provision of information about equal opportunity and fair housing laws in order to ensure that the relocation process does not result in different or separate treatment on account of race, color, religion, national origin, sex, or source of income.
- Displaced families will be given a preference through Section 8, Conventional Public Housing or any other federally funded program for which they might qualify. This priority

is contingent upon availability of certificates, voucher or placement coupon by the agency certified to handle assistance in the jurisdiction.

Temporary Displacement

CDBG or HOME Program funded activities may involve temporary displacement. While strict adherence to provisions of the Uniform Relocation Act are not specified, it is the policy of CD that all subrecipients shall take steps to mitigate the impact of CDBG or HOME Program funded code inspections, rehabilitation, demolition or acquisition that results only in temporary movement of person(s) from a dwelling unit. Such temporary displacement primarily involves demolition and reconstruction of a single-family owner-occupied home. Accordingly, the citizens involved in a temporary movement shall be fully informed of the below matters and appropriate steps shall be taken to insure that fair and equitable provisions are made to:

- Insure that owners receive compensation for the value of their existing house prior to demolition.
- Receive temporary living accommodations while their HOME Program funded unit is being demolished and reconstructed.
- Move and temporarily store household goods and effects during the demolition and reconstruction evolution.
- Reimburse all reasonable out-of-pocket expenses incurred in connection with the temporary relocation, including moving costs and any increased rent and utility costs.

AFFIRMATIVE MARKETING POLICY

Maricopa Home Consortium Affirmative Marketing Policy and Procedures for HOME-Assisted Housing

Purpose

The purpose of this policy is to establish a standard Affirmative Marketing Policy and procedures for HOME-assisted housing containing five or more housing units.

Policy

The public, property owners, and potential tenants shall be informed about affirmative marketing aspects of the HOME-assisted housing activity, the regulations and the goal of attracting persons from all racial, ethnic and gender groups in the housing market area to the available housing. This policy shall apply equally to all recipients of HOME funds.

Procedures

A. Methods for informing the public, property owners, and potential tenants about Federal Fair Housing Laws and the Affirmative Marketing Policies should include the following.

Initial and subsequent unit occupants will be subject to the Affirmative Marketing Policy.

The public and potential beneficiaries will be informed about affirmative marketing in a number of ways. First, the affirmative marketing requirements and the goal of attracting persons of all races/ethnic groups and genders will be stated to the public in all articles and press releases published in the local newspapers.

Property owners and subrecipients will be informed about the affirmative marketing aspects of the HOME Program upon initial contact, whether by telephone, letter or brochure. Owners may also be reached through articles in local newspapers; especially in the real estate sections and such articles will include information about affirmative marketing. All brochures, letters, etc. sent to potential applicants for HOME funds will include a statement about the affirmative marketing requirements.

B. Requirements and practices regarding affirmative marketing to be carried out by property owners should include the following.

Property owners and subrecipients will be required to carry out affirmative marketing procedures in terms of advertising HOME-assisted units and attracting tenants of all racial, ethnic and gender groups. When advertising rental units, owners shall state in their ads that Section 8 tenants are welcome in these particular units. HOME-assisted units to be occupied by Housing Choice Voucher holders will be governed by the Housing Authority's Equal Opportunity Housing Plan.

Property owners and subrecipients will be required to carry out affirmative marketing procedures for the entire period of affordability of the HOME assisted units.

In addition to requiring the affirmation of the Non-Discrimination and Equal Opportunity Policy, the Consortium will provide a list of groups the owner should contact when marketing the availability of their units to persons least likely to apply. That list will include an appropriate local newspaper such as the Glendale Star, Arizona Republic, El Sol, the Westsider, Scottsdale Progress, Mesa

Tribune, Tempe Daily News and Chandler Chronicle. Also listed will be the local Community Action Programs such as Glendale Community Action Program or Avondale Community Action Program, and the local housing departments such as the Glendale Community Housing Services Department or the Maricopa County Housing Authority.

Procedures to be used by owners and subrecipients to inform and solicit applications from persons in the housing market that are not likely to apply for the housing without special outreach should include the following.

The Maricopa HOME Consortium will assist subrecipients in their efforts to reach persons in the community and especially in the eligible neighborhoods. The Housing Authorities have contacts in a number of public agencies that can be used, and community gathering spots, such as libraries, senior centers and laundromats, which often have bulletin boards used to advertise apartment rentals. In addition, various media such as TV and radio public service spots, local newspapers, etc., may be used to announce the program and availability of HOME-assisted units.

D.Affirmative Marketing record keeping and assessment should consist of the following.

The Maricopa HOME Consortium will require that records be kept on all program announcements, brochure distribution, articles, and radio-TV spots relating to the HOME Program. Persons calling to inquire about the availability of HOME-assisted units may be asked how they first heard about the program and whether they are aware of the Affirmative Marketing Policy.

Property owners, subrecipients, and landlords of the HOME-assisted units should be informed that they must keep records on any advertising of the HOME-assisted units, whether by ad in a newspaper, a posted notice or a sign in the unit window to provide evidence that the affirmative marketing of the units to tenants is taking place.

In addition, landlords will provide information to the Housing Authority, depending on unit location and on the lease status of Housing Choice Voucher holders, so that the availability of the units for affirmative marketing efforts is known.

Where a HOME-assisted unit is not subsequently occupied by a Housing Choice Voucher holder, landlords will be asked to provide information about characteristics of new tenants (income, race, family size, etc.), as part of a review of the HOME-assisted units. When new tenants have moved in, landlords will be asked to determine the means by which the tenants learned about the availability at the unit, and evaluate the affirmative marketing practices used to recruit such tenants, if possible.

The Maricopa HOME Consortium will document the race, ethnicity and gender of the head of household of existing tenants prior to the investment of HOME assistance; and if there is a change, the Consortium will also document the race, ethnicity and gender of the head of household of the first occupant of an assisted unit.

Failure to demonstrate good faith in the correction of violations of this policy may result in termination of subrecipient agreements and/or disqualification from future funding under this program.

E. The Maricopa HOME Consortium will develop a minority/women business outreach program following the minimum HUD standards and requirements. The outreach efforts to be conducted for minority and women-owned businesses will include the following items.

- A good faith, comprehensive and continuing endeavor
- A statement supporting public policy and commitment published in the print media of widest local circulation
- An office and/or key, ranking staff person with oversight responsibilities and access to the chief elected official
- The use all available and appropriate public and private sector local resources

MINORITY BUSINESSES AND WOMEN'S BUSINESS ENTERPRISES POLICY

Minority Businesses and Women's Business Enterprises Policy and Procedures For the Community Development Block Grant and Home Programs, and Business Outreach Program Developments

Policy

The Maricopa HOME Consortium will comply with HUD's responsibilities under Executive Orders 11625, 1234 concerning Minority Business Enterprises (MBE) and 12138 concerning Women's Business Enterprises (WBE) making all efforts to encourage the use of minority and women's business enterprises in connection with public works contracts, CDBG, and HOME funded activities.

The purpose at this policy is to take affirmative steps to assure that small and minority businesses and women's business enterprises are utilized when possible as a source of supplies, equipment, construction, and services. These affirmative steps will include the following.

- The inclusion of qualified small and minority businesses and women's business enterprises on solicitation lists, solicitation of bidding for public works, professional service or rehabilitation contracts
- Assurances that small and minority businesses and women's business enterprises are solicited whenever they are potential sources, particularly for purchases of supplies and materials
- The division of total requirements into smaller tasks or quantities so as to permit maximum participation; where economically feasible, and where allowable under federal and local procurement requirements
- Where the requirement permits, establishment of delivery schedules designed to encourage participation by small and minority businesses and women's business enterprises

If any subcontracts are to be let, require the prime contractor to take the affirmative steps noted above.

Procedures

The objective of these procedures is to establish and oversee a minority outreach program within the Maricopa HOME Consortium to ensure the inclusion, to the maximum extent possible, of minorities and women, and entities owned by minorities and women.

To ensure the inclusion, to the maximum extent possible, of entities owned by minorities and women, the Maricopa HOME Consortium will develop the following program:

A. The Maricopa HOME Consortium maintains an inventory of certified minority businesses and women's business enterprises identifying their capacities, services, supplies, and products.

B. A notice to minority business enterprises and women's business enterprises has been developed explaining the steps and procedures to be followed in participating in contracts and business opportunities.

C. The Maricopa HOME Consortium will advertise using the local media such as the Westsider, El Sol, Glendale Star, Scottsdale Progress, Mesa Tribune, Tempe Daily News, Chandler Chronicle, Arizona Republic, and Business Gazette to market and promote contracts and business

opportunities for MBE and WBE. Cable public access is also utilized.

D.The Maricopa HOME Consortium will participate and promote meetings, conferences, seminars, etc, with minority businesses and women's business enterprises, including the Arizona Minority Development Council.

E.A centralized record on the use and participation of minority businesses and women's business enterprises as contractors/subcontractors in all HUD-assisted contracting activities will be reported to HUD on the contract and subcontract activity report HUD-2516.

F. To facilitate opportunities for minority businesses and women's business enterprises to participate as vendors and suppliers of goods and services, the Maricopa HOME Consortium has developed a solicitation and procurement procedure. The procedure in place is as follows:

- Bids should be advertised in the local newspapers, local cable TV, Chambers of Commerce, and procurement assistance programs.
- Information on procurement procedures should be made readily available to minority businesses and women's business enterprises.

Maricopa County participates in the Arizona Minority Development Council programs and trade shows. The business community is given the opportunity to call the appropriate office and comment on the specifications or terms at a bid or proposal. Changes made by addendum are issued to all that were sent the original document. Copies are sent to local Chambers of Commerce.

Additional actions and procedures undertaken by Consortium members to foster outreach to minority businesses and women's business enterprises will include, but is not limited, to the following.

- Maintenance and update of the inventory of minority businesses and women's business enterprises
- Distribution of notices to minority businesses and women's business enterprises in accessing Consortium contracting opportunities through a variety of means outlined below
- Continuation of the advertisement of bid opportunities and certification procedures in the local media like the Westsider, El Sol, Glendale Star, Scottsdale Progress, Mesa Tribune, Tempe Daily News, Chandler Chronicle, Arizona Republic, and Business Gazette; through Cable TV; via local Chambers of Commerce (procurement assistance programs); through minority Chambers (procurement assistance programs), and other relevant media opportunities
- Continuation of participation with the Minority Businesses and Women's Business Enterprises Program Office of Maricopa County, thereby enabling an enhanced distribution of minority businesses and women's business enterprises bid opportunities for all Consortium contracting opportunities. Maricopa County, through it Minority Businesses and Women's Business Enterprises Program Office, is active in the National Minorities Contractors Association and thus attends meetings, seminars, conferences and related training sessions on a continuing basis to consistently enhance outreach and promotion
- Continuation of participation by Maricopa County on the Minority Supplier Development

Council and the Arizona Fair Housing Partnership to foster enhanced minority businesses and women's business enterprises outreach and promotion

- Continuation of County endeavors regarding an IGA committee consisting of State ADOT, State Civil Rights Office, City of Phoenix, City of Tucson, Tucson Airport Authority and Pima County to establish reciprocal certification arrangements for minority businesses and women's business enterprises to foster minority outreach, certification and promotion.

MONITORING

Monitoring is a continuous process of review to ensure adequate performance and compliance with all applicable statutes, regulations, and policies. To be an effective tool for improving performance and avoiding non-compliance, monitoring requires the on-going application of appropriate planning, implementation, communication, and follow-up during each phase of an activity. The typical phases of an activity include the initial allocation of funding, the written agreement, the monthly progress reports, the requests for reimbursement of expenditures, and the closing reports.

Forms of Monitoring

Monitoring may include the following procedures:

- review of monitoring reports, audits and management letters at application
- review of federal requirements during contract signing
- review of periodic reimbursement requests/performance reports
- technical assistance (meetings, telephone calls, site visits, written correspondence, etc.)
- desk reviews
- on-site reviews
- other comprehensive monitoring as warranted

Desk reviews consist of in-house reviews of documentation submitted to the reviewer. On-site reviews consist of reviews of program files, fiscal systems and financial records.

Risk Assessment

The level of monitoring to be conducted will be determined by the risk classification assigned to the entity. Entities receiving CDBG/HOME funds will be evaluated annually to determine the appropriate risk classification. Entities deemed to be “low risk” will be subject to desk review. Entities deemed to be “high risk” will receive an on-site monitoring review.

To be classified as “low-risk”, an entity must generally meet the following criteria.

- an on-site visit has been conducted within the last two years
- there have been no or insignificant compliance or performance problems noted

To be classified as “high-risk”, an entity may meet one or more of the following risk factors.

- the entity is new to the CDBG/HOME Program
- there has been a high rate of employee turnover or turnover in key staff positions
- there has been noncompliance with one or more contract provisions
- there were significant findings and/or concerns noted in previous desk reviews or on-site monitoring visits
- there are significant unresolved audit findings
- there has been a high incidence of citizen/vendor complaints
- reimbursement requests/performance reports contain inaccurate or incomplete information
- there is a demonstrated need for on-going technical assistance

Please note the risk designations are not limited to the above-stated conditions and may be assigned due to other circumstances, if required.

Monitoring Approach

To use limited administration funds more efficiently, eliminate duplicate monitoring activities and create a more consistent approach throughout the County, a team-monitoring approach will be used whenever feasible. To the greatest extent possible, each entity will receive either a desk review or on-site review once each year. The reviews will be conducted using a standardized monitoring tool developed by the Maricopa HOME Consortium (Consortium).

Peer Review

A peer review process will be used for monitoring Consortium members. Each Consortium member will be reviewed annually by a team consisting of rotating personnel from the members of the Consortium.

Subrecipient Monitoring

Subrecipients may also be subject to team monitoring. Each subrecipient will be monitored by the Consortium member(s) responsible for administering the related CDBG/HOME agreements. This procedure will also apply to monitoring of Community Housing Development Organizations (CHDOs).

Refer to each Consortium member's Five-Year Consolidated Plan for additional requirements concerning the subrecipient monitoring efforts to be undertaken with CDBG/HOME resources.

Monitoring Results

Desk reviews and on-site reviews will result in written letters documenting any findings or concerns noted during the reviews. Whenever possible, monitoring letters should be issued in draft format to activity administrators for review and comment. Entities should be given ten days in which to comment on the draft letters. Final monitoring letters will be issued to the chief executive officers of the monitored entities. Any comments received from activity administrators should be incorporated into the final monitoring letters.

Desk reviews and on-site reviews may also generate suggestions for improvements to program/financial systems. Any suggestions noted during the monitoring may be documented in a separate memorandum addressed to activity administrators.

Monitoring Maricopa County ESG Funds

The Maricopa County Department of Human Services will monitor ESG resources on a continuing basis. Allocation of funds is completed on a monthly basis, and all clients and data will be tracked according to Department and HUD guidelines. Contract fiscal claims will be reviewed monthly. An initial visit will be made after the contracts are awarded. A formal desk review and monitoring visit will be completed in the second quarter of the grant and a written plan will be prepared. The contractor will resolve any corrective actions immediately. Fiscal audits are required of contractors according to Federal and County guidelines. Contracts will be reviewed and validated for environmental clearance. Maricopa County Human Services Department staff will conduct a program and fiscal monitoring annually.

HOME/ADDI & ESG SPECIFIC REQUIREMENTS

RECAPTURE/RESALE PROVISIONS

The HOME Investment Partnerships Program, 24 CFR §92.254, requires that housing provided through homebuyer assistance must be secured for the use of low-income households for a period of affordability. The affordability period is determined based on the amount of the HOME/ADDI subsidy as follows.

HOME Funds Provided	Affordability Period
< \$15,000	5 Years
\$15,500 - \$40,000	10 Years
>\$40,000	15 Years

Under the HOME/ADDI regulations, participating jurisdictions have two options for controlling the resale of HOME/ADDI -assisted homebuyer property during the affordability period. These are the recapture option and the resale option. The participating jurisdiction must select which option it will use prior to providing assistance to the homebuyer.

Recapture Option – Under this option, the HOME subsidy must be returned to the HOME Program. This option allows the seller to sell to any willing buyer at any price. Once the HOME/ADDI funds are repaid, the property is no longer subject to any HOME/ADDI restrictions. The recaptured funds must be used for another HOME/ADDI-eligible activity.

Resale Option – Under this option, the seller must resell the original home to another income-eligible homebuyer. This sale must be at a price that is affordable to the purchaser, although the seller is also allowed a fair return on the sale. Under the HOME regulations, the participating jurisdiction must define both the terms of affordability and fair return.

Maricopa HOME Consortium Policy Regarding Recapture/Resale

It is the policy of the Maricopa HOME Consortium that each member of the Consortium may use either the recapture option or the resale option based on what is most beneficial to the member and to the potential homebuyer. Consortium members will select the method to be used prior to granting the HOME/ADDI assistance to the potential homebuyers. Each Consortium member will ensure the proper security instruments are executed to guarantee the HOME/ADDI investment for the affordability period for the selected recapture/resale option.

Members of the Maricopa HOME Consortium may also provide homebuyer assistance through a contract with a CHDO or non-profit housing provider. Members will negotiate with the CHDO or non-profit housing provider the appropriate recapture/resale requirements for the program provided. This provision shall be adequately addressed in CHDO/Subrecipient contracts, and the proper security instruments shall be provided.

Owners who sell their property before the expiration of the affordability period will be entitled to a fair return on their equity investment. Homeowner's equity includes the homeowner's original contribution to the down payment, payment of mortgage principal during the period of ownership, value of any improvements added at the owner's expense, and the appreciated value of the property since its initial purchase.

If the recapture option is used, the fair return to the seller will be calculated based on the net proceeds from the sale and the amount of the original HOME investment in the property. The HOME/ADDI subsidy shall be recoverable by the Consortium member any time the house is sold before the expiration of the affordability period. The method that will be used to calculate the fair return and the HOME/ADDI subsidy to be recovered shall be detailed in the required security instruments. If the affordability period has been satisfied, the seller will be entitled to all net proceeds from the sale of the property.

If the resale option is used, the housing must remain affordable to the subsequent purchaser. The housing will be considered affordable if the subsequent purchaser's monthly payment of principal, interest, taxes and insurance do not exceed 30% of the gross income of a family with an income equal to 75% of median income for the area. If the property is no longer affordable to qualified homebuyers at the time of resale, the Consortium member may take steps to bring the property acquisition cost to a level that is affordable. This may result in the actual sales price being different to the seller than to the subsequent homebuyer. Upon the resale of the home, the property must pass HUD Housing Quality Standards. The Consortium member shall determine who is responsible for the necessary repair costs to bring the property up to standards. These requirements shall be detailed in the required security instruments. If the affordability period has been satisfied, the seller shall be free to sell the home to any qualified buyer.

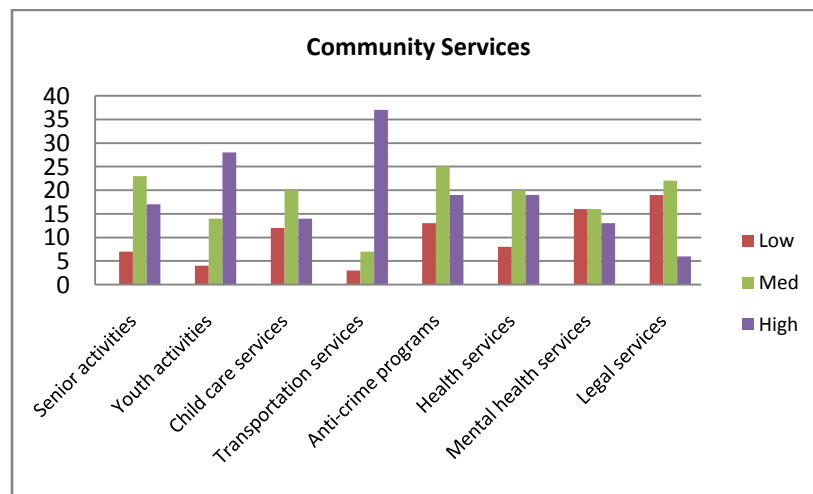
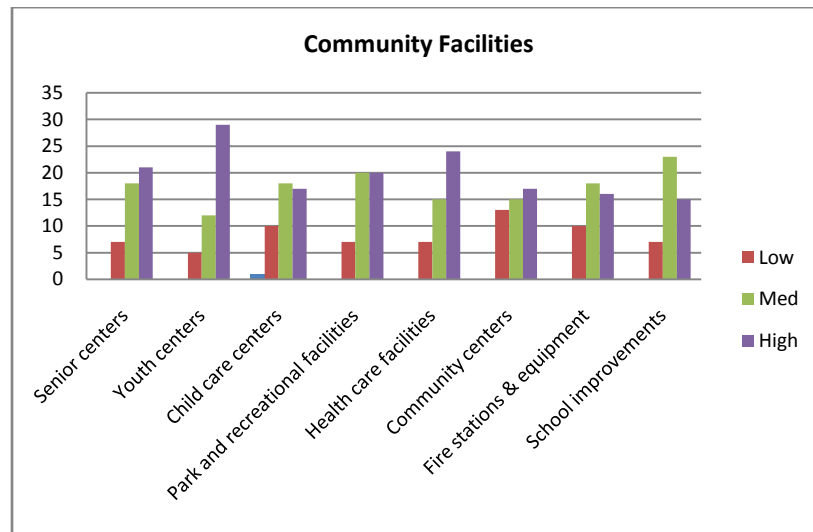
In the case of a foreclosure or foreclosure sale, the period of affordability shall be terminated. Upon receipt of notice that a foreclosure is pending, the Consortium member or subrecipient shall take positive steps to assert rights to a share of the proceeds of the foreclosure sale. The Consortium member shall, to the extent feasible, recapture the original HOME/ADDI investment. If the homebuyer has failed to make payments to the first mortgage holder, the Consortium member will not be obligated to correct any deficient payments. The amount recaptured shall be based on the amount of the net proceeds from the foreclosure sale. If no net proceeds are generated, the HOME/ADDI investment shall not be recaptured. The method that will be used to calculate the amount of the recaptured funds shall be detailed in the required security instruments. If the affordability period has been satisfied, the Consortium member shall have no rights to the net proceeds resulting from the foreclosure sale.

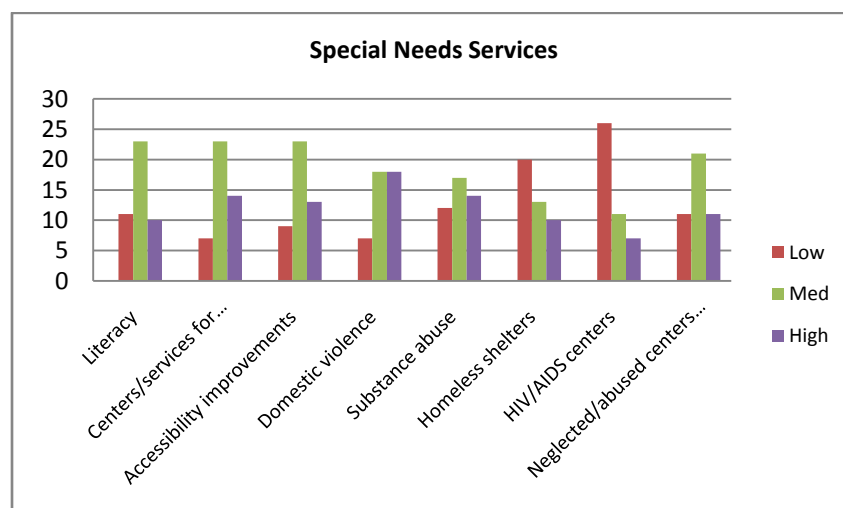
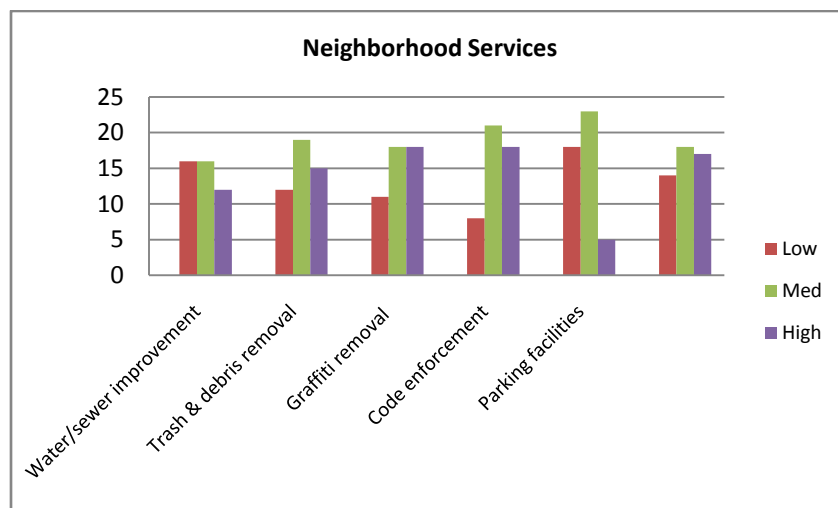
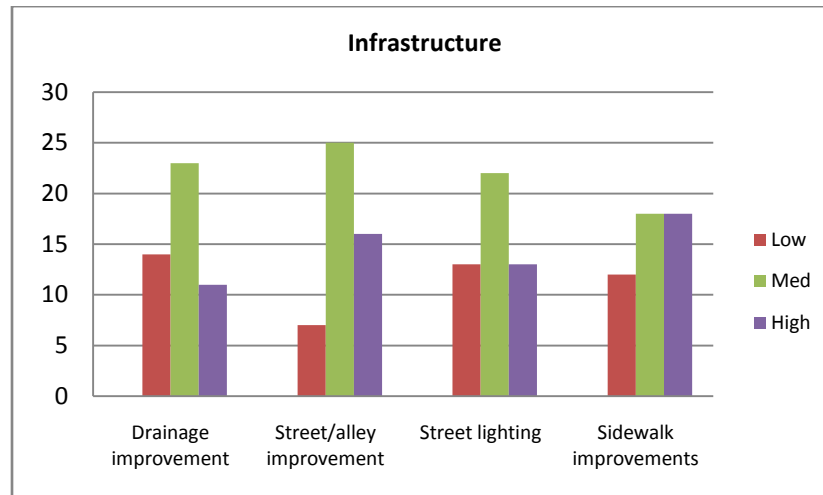
If the original homebuyer ceases to occupy the property as the principal place of residence, voluntarily or involuntarily, or upon the death of the owner (or where ownership is joint upon the death of the sole survivor having the remaining interest), the original HOME/ADDI investment shall become due and payable. The method that will be used to calculate the amount of the recaptured funds shall be detailed in the required security instruments. If the property is occupied as a principal residence by a lineal descendant of a deceased owner, and the descendant's income level qualifies the descendant to receive HOME/ADDI assistance in the same manner in which the deceased owner qualified according to the most recent income limits, the Consortium member, at its discretion, can elect to allow the occupant to live on the property for the remainder of the affordability period. If the affordability period has been satisfied, the Consortium member shall have no interest in the occupants of the property.

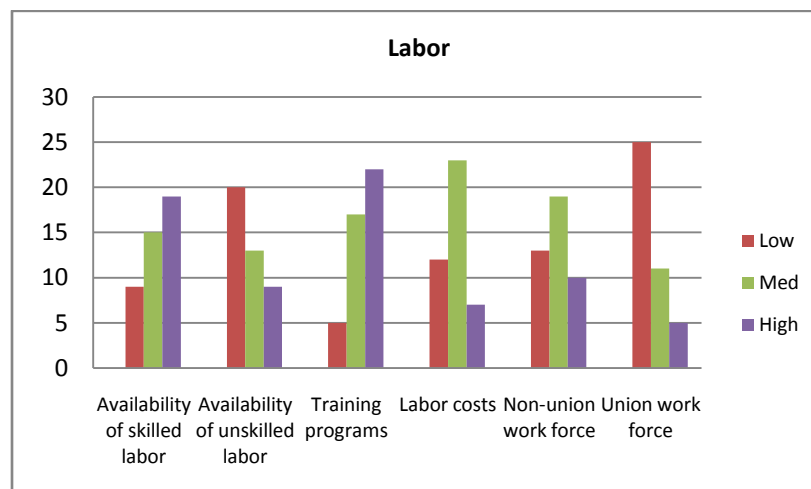
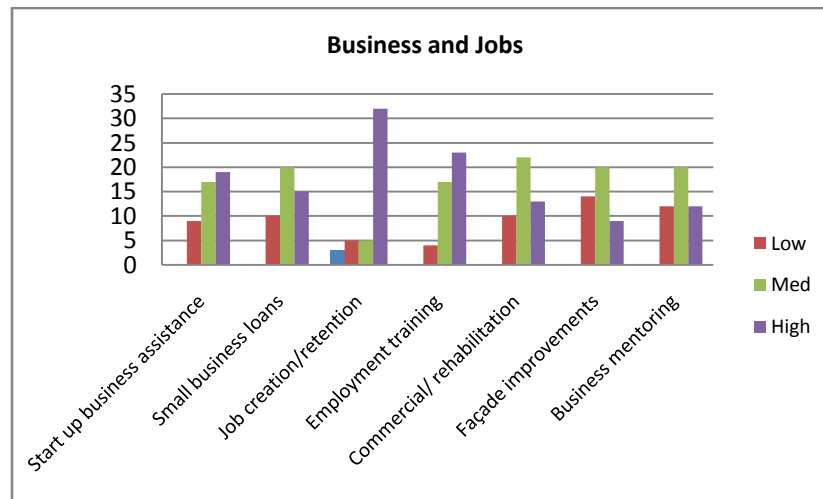
*Security instruments are generally defined as deeds of trust, promissory notes, and other similar documents

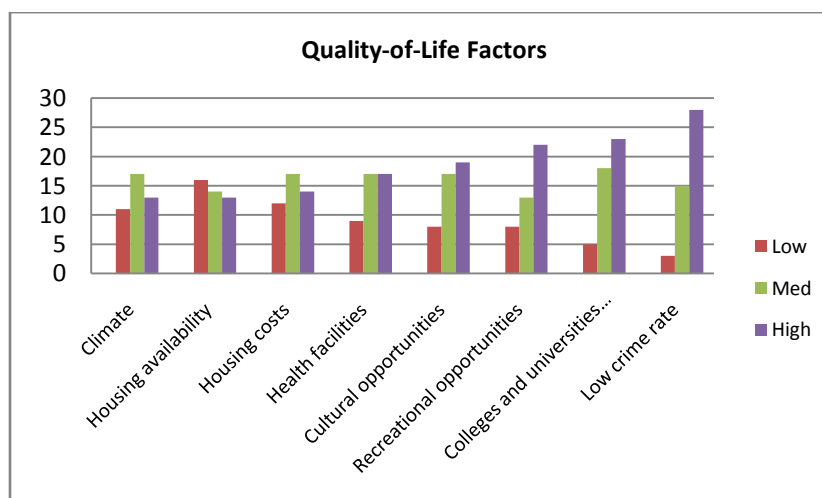
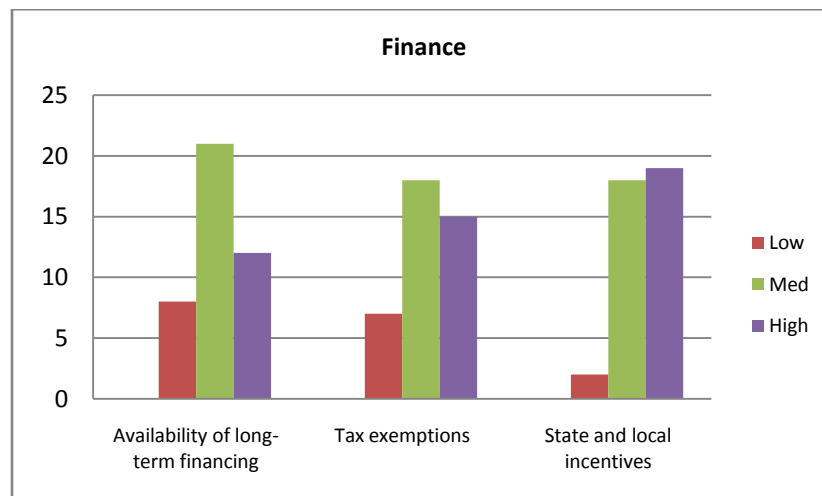
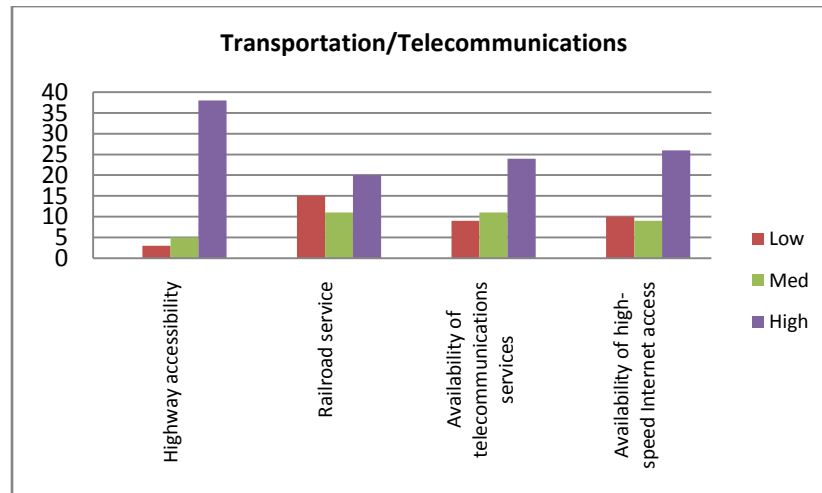
CITY OF SURPRISE 2010-2014 CONSOLIDATED PLAN SURVEY RESULTS

January 3, 2010 – January 29, 2010. 48 Surveys Returned



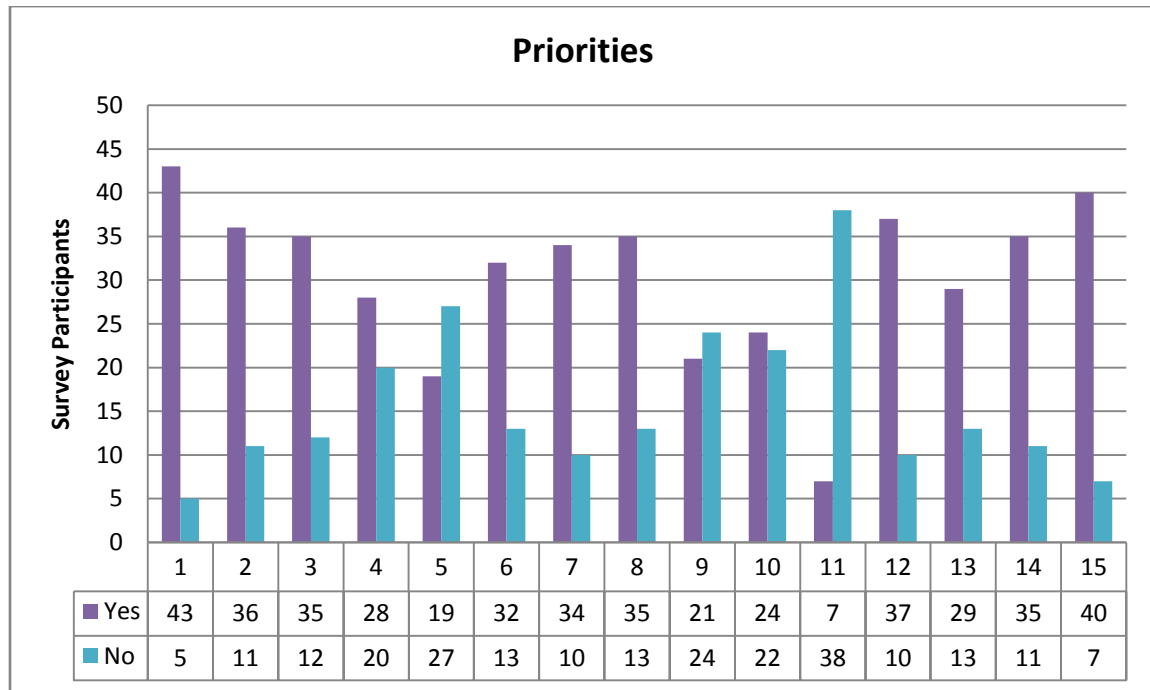






Priorities

	Community Development	Yes	No
1	Conduct approximately two (2) neighborhood-based events per year for blight-reduction, beautification, crime prevention, leadership/capacity development, development planning, or other neighborhood improvement activities.	43	5
2	Improve lighting, drainage or other street infrastructure needs in targeted neighborhoods or other predominantly low/mod income census tracts.	36	11
3	Assist in the acquisition or improvement of public facilities such as community, health, or job/economic assistance centers.	35	12
4	Provide financial incentives to approximately 20 low and middle income homebuyers to acquire and or rehabilitate foreclosed or substandard housing that is contributing to neighborhood blight.	28	20
5	Construct or rehabilitate and sell approximately 20 single-family homes with LEED certification to low and middle income homebuyers.	19	27
6	Provide housing counseling and education, including assistance in accessing financing, for 100 potential homebuyers seeking to buy homes within the city.	32	13
7	Demolish or cause to be demolished, after consultation with the affected neighborhoods, buildings determined to be infeasible for repair, due to advanced deterioration and/or other factors.	34	10
8	Assist accessibility or other home modifications for approximately 20 special needs units.	35	13
9	Assist in substantial rehabilitation of approximately 20 owners or tenant occupied housing units.	21	24
10	Provide emergency repairs for approximately 20 owner-occupied single family homes.	24	22
11	Provide downpayment and closing cost assistance for approximately 20 homebuyers who are purchasing homes in the city.	7	38
12	Conduct and continue fair housing education to remove or reduce impediments to fair housing choice.	37	10
	Economic Development		
13	Assist with improvements to 20 commercial/industrial properties located in target neighborhoods or other predominantly low/mod income census tracts.	29	13
14	Provide workforce and related training reflecting area labor market employment opportunities for at least 100 individuals.	35	11
15	Create 100 jobs through financial assistance and/or business development services for entrepreneurs and/or expanding small companies.	40	7



Daily News-Sun

10102 Santa Fe Drive Sun City, Arizona 85351
623.977.8351 Fax 623.876.2589

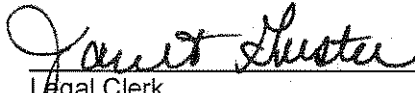
CITY OF SURPRISE
COMMUNITY DEVELOPMENT PROGRAM
16000 N CIVIC CENTER PLAZA
SURPRISE, AZ 85374

Affidavit of Publication

I, Janet Gerster, Legal Clerk, am authorized by the publisher as agent to make this affidavit of publication. Under oath, I state that the following is true and correct.

The Daily News Sun is a newspaper which is published daily, is of general circulation and is in compliance with the Arizona Revised Statutes 10-140.34 & 39-201.A & B. I solemnly swear that the notice as per copy attached, was published in the regular and entire edition of the said newspaper and not in any supplement. The below listed advertisement appeared in the following issue(s):

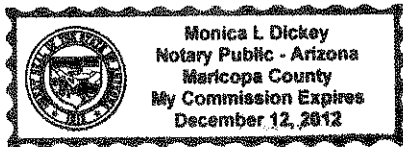
1) JANUARY 22, 2010

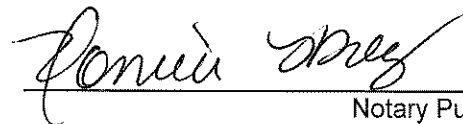


Legal Clerk

State of Arizona
County of Maricopa

Subscribed and sworn to before me, in my presence, this 25th day of January, 2010.





Notary Public

Ad caption: CDBG COMMISSION/16418756/\$154.35

Note: Customer is responsible for filing this document with the appropriate office.

Daily News-Sun

10102 Santa Fe Drive Sun City, Arizona 85351
623.977.8351 Fax 623.876.2589

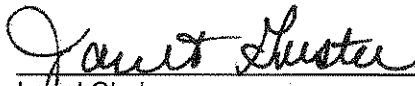
CITY OF SURPRISE
COMMUNITY DEVELOPMENT PROGRAM
16000 N CIVIC CENTER PLAZA
SURPRISE, AZ 85374

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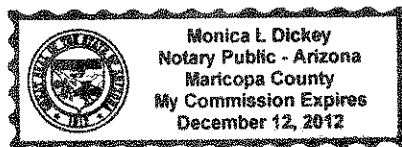
1) JANUARY 22, 2010

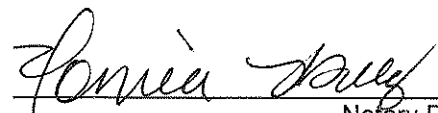


Legal Clerk

State of Arizona
County of Maricopa

Subscribed and sworn to before me, in my presence, this 25th day of January, 2010.





Notary Public

Ad caption: QUALITY OF LIFE COMMISSION/16418766/\$154.35

Note: Customer is responsible for filing this document with the appropriate office.

Notice of Public Hearing
City of Surprise, Quality of Life Commission
Consolidated Plan 2010-14 Priorities

This notice is hereby given that the City of Surprise Community Development Department-Neighborhood Services Division will hold a Public Hearing on February 9, 2009, at 6 p.m. before the Quality of Life Commission at the City Hall Council Overflow Room, 16000 North Civic Center Plaza, Surprise, AZ 85374.

This public hearing concerns proposed priority and needs for the City of Surprise Consolidated Plan 2010-14. This public hearing is to gain citizens input on priorities, needs, and potential projects, which may be eligible under the Community Development Block Grant (CDBG) and Home Investment Partnership (HOME) funding programs for the next five years. These funds must meet a U.S. Department of Housing and Urban Development (HUD) National Objective and benefit low- to moderate-income (LMI) individuals. In accordance with the federal regulations 24 CFR, Part 91, the City of Surprise is required to prepare and submit a Five-Year Consolidated Plan for its community development and housing programs. The Consolidated Plan describes programs, projects and activities to be undertaken for the next five years. The Consolidated Plan addresses the use of the CDBG funds for the purpose of meeting the goals of providing decent housing, a suitable living environment and expanded economic opportunities for low- to moderate-income persons. The types of projects usually funded include homebuyer activities, rental housing activities, housing rehabilitation, economic development, public facilities construction, infrastructure improvements, recreation facilities, facade improvements, and public services. In the past, the city has used these funds for housing rehabilitation, flood drain improvements, expansion of a community park, and purchasing a fire truck which all benefit low- to moderate-income persons in the Original Townsite area of the city.

In order to help determine the needs of the community and where to place priority for funding for the next five years, the city needs residents input. This public hearing is aimed at opening a constructive discussion by the Quality of Life Commission members, city staff, and the residents of Surprise to enable the commission members to finalize their priorities for the Consolidated Plan. All interested citizens are invited to attend to comment on proposed priorities and needs for the Consolidated Plan 2010-14. This public hearing is scheduled in accordance to the city's adopted Citizen Participation Plan, a requirement of HUD. ALL RESIDENTS have the opportunity to be actively involved in the planning and development of programs for these funds.

City of Surprise doesn't discriminate against anyone based on race, color, gender, religion, national origin, age, related medical condition or disability. Documents are available for review in advance at 16000 N Civic Center Plaza, Surprise, AZ, 85374 and can be made in alternate formats. Comments regarding the proposed Consolidated Plan should be directed to Vamshee Kovuru, Community Programs Coordinator, Neighborhood Services Division, City of Surprise. Please call Vamshee Kovuru at 623.222.3240 or TDD 623.222.1002 for submittal procedure. Please call Vamshee Kovuru at 623.222.3240 or TDD 623.222.1002 forty-eight hours prior to the meeting time for assistance with translation or accommodations for individuals with disabilities.



Reasonable accommodations will be made upon a timely request.

Published: Daily News-Sun, January 22, 2010/ 16418766

Notice of Public Hearing
City of Surprise, CDBG Commission
Consolidated Plan 2010-14 Priorities

This notice is hereby given that the City of Surprise Community Development Department-Neighborhood Services Division will hold a Public Hearing on February 3, 2009, at 6 p.m. before the Community Development Block Grant Commission at the City Hall Council Overflow Room, 16000 North Civic Center Plaza, Surprise, AZ 85374.

This public hearing concerns proposed priority and needs for the City of Surprise Consolidated Plan 2010-14. This public hearing is to gain citizens input on priorities, needs, and potential projects, which may be eligible under the Community Development Block Grant (CDBG) and Home Investment Partnership (HOME) funding programs for the next five years. These funds must meet a U.S. Department of Housing and Urban Development (HUD) National Objective and benefit low- to moderate-income (LMI) individuals. In accordance with the federal regulations 24 CFR, Part 91, the City of Surprise is required to prepare and submit a Five-Year Consolidated Plan for its community development and housing programs. The Consolidated Plan describes programs, projects and activities to be undertaken for the next five years. The Consolidated Plan addresses the use of the CDBG funds for the purpose of meeting the goals of providing decent housing, a suitable living environment and expanded economic opportunities for low- to moderate-income persons. The types of projects usually funded include homebuyer activities, rental housing activities, housing rehabilitation, economic development, public facilities construction, infrastructure improvements, recreation facilities, facade improvements, and public services. In the past, the city has used these funds for housing rehabilitation, flood drain improvements, expansion of a community park, and purchasing a fire truck which all benefit low- to moderate-income persons in the Original Townsite area of the city.

In order to help determine the needs of the community and where to place priority for funding for the next five years, the city needs residents input. This public hearing is aimed at opening a constructive discussion by the CDBG Commission members, city staff, and the residents of Surprise to enable the staff to draft final priorities of the Consolidated Plan. All interested citizens are invited to attend to comment on proposed priorities and needs for the Consolidated Plan 2010-14. This public hearing is scheduled in accordance to the city's adopted Citizen Participation Plan, a requirement of HUD. After all public comments have been considered, the CDBG Commission will take formal action on March 3, 2010 on the Consolidated Plan and recommend it to City Council. ALL RESIDENTS have the opportunity to be actively involved in the planning and development of programs for these funds.

City of Surprise doesn't discriminate against anyone based on race, color, gender, religion, national origin, age, related medical condition or disability. Documents are available for review in advance at 16000 N Civic Center Plaza, Surprise, AZ, 85374 and can be made in alternate formats. Comments regarding the proposed Consolidated Plan should be directed to Vamshee Kovuru, Community Programs Coordinator, Neighborhood Services Division, City of Surprise. Please call Vamshee Kovuru at 623.222.3240 or TDD 623.222.1002 for submittal procedure. Please call Vamshee Kovuru at 623.222.3240 or TDD 623.222.1002 forty-eight hours prior to the meeting time for assistance with translation or accommodations for individuals with disabilities.



Reasonable accommodations will be made upon a timely request.

Published: Daily News-Sun, January 22, 2010/ 16418766

There's **action** in classified advertising!

Daily News-Sun

10102 Santa Fe Drive Sun City, Arizona 85351
623.977.8351 Fax 623.876.2589

CITY OF SURPRISE
16000 N. CIVIC CENTER PLAZA
SURPRISE, AZ 85374

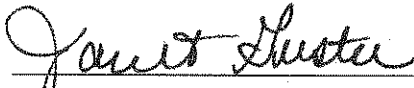
Affidavit of Publication

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DATES OF PUBLICATION:

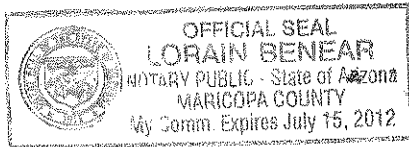
- 1) February 15, 2010

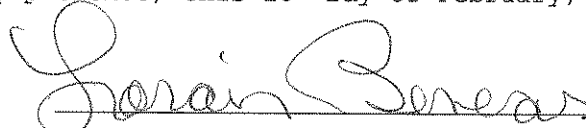


Legal Clerk

State of Arizona
County of Maricopa

Subscribed and sworn to before me, in my presence, this 16th Day of February, 2010.





Notary Public

Ad caption: CONSOLIDATED PLAN 2010-14, ACTION PLAN 2010-11/16433001/\$185.22

*Note: Customer is responsible for filing this document with the appropriate office.

Daily News-Sun

10102 Santa Fe Drive Sun City, Arizona 85351
623.977.8351 Fax 623.876.2589

CITY OF SURPRISE
16000 N. CIVIC CENTER PLAZA
SURPRISE, AZ 85374

Affidavit of Publication

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DATES OF PUBLICATION:

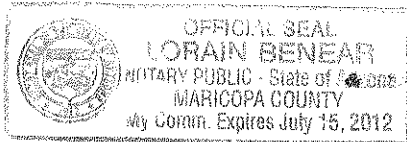
1) February 15, 2010

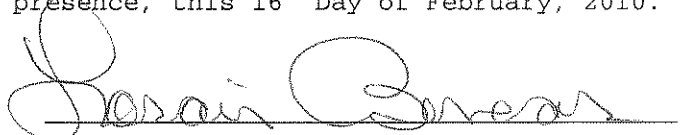


Legal Clerk

State of Arizona
County of Maricopa

Subscribed and sworn to before me, in my presence, this 16th Day of February, 2010.





Notary Public

Ad caption: CONSOLIDATED PLAN 2010-14, ACTION PLAN 2010-11/16433008/\$154.35

*Note: Customer is responsible for filing this document with the appropriate office.

Notice of Public Hearing **City of Surprise, CDBG Commission** **Consolidated Plan 2010-14 and Annual Action Plan 2010-11**

This notice is hereby given that the City of Surprise Community Development Department-Neighborhood Services Division will hold a third Public Hearing on March 3, 2010, at 6 p.m. before the Community Development Block Grant Commission at the City Hall Council Overflow Room, 16000 North Civic Center Plaza, Surprise, AZ 85374.

This Public Hearing concerns: proposed priority and needs for the City of Surprise Consolidated Plan 2010-14; specific projects for the Annual Action Plan 2010-11; eligible programs or projects under the Community Development Block Grant (CDBG) and Home Investment Partnership (HOME) funding programs for the next five years. These funds must meet a U.S. Department of Housing and Urban Development (HUD) National Objective and benefit low- to moderate-income (LMI) individuals. In accordance with the federal regulations 24 CFR, Part 91, the City of Surprise is required to prepare and submit a Five-Year Consolidated Plan for its community development and housing programs. The Five-Year Consolidated Plan provides an assessment of the housing and community development needs; a strategic plan for addressing these needs; and a specific one-year Action Plan (2010-2011) for the use of the CDBG funds. The Consolidated Plan addresses the use of the CDBG funds for the purpose of meeting the goals of providing decent housing, a suitable living environment and expanded economic opportunities for low- to moderate-income persons. The Annual Action Plan notifies the citizens and HUD of the specific projects, goals and funding of the fiscal year based on the goals identified in the Consolidated Plan to receive funding from the CDBG program. The types of projects usually funded include homebuyer activities, rental housing activities, housing rehabilitation, economic development, public facilities construction, infrastructure improvements, recreation facilities, facade improvements, and public services. In the past, the city has used these funds for housing rehabilitation, flood drain improvements, expansion of a community park, and purchasing a fire truck which all benefit low- to moderate-income persons in the Original Townsite area of the city.

In order to help determine the needs of the community and where to place priority for funding for the next five years, the city needs residents input. This public hearing is aimed at opening a constructive discussion by the CDBG Commission members, city staff, and the residents of Surprise to enable the commission members to make a recommendation to City Council. All interested citizens are invited to attend to comment on proposed priorities and needs for the Consolidated Plan and Annual Action Plan. This public hearing is scheduled in accordance with the city's adopted Citizen Participation Plan, a requirement of HUD. ALL RESIDENTS have the opportunity to be actively involved in the planning and development of programs for these funds.

City of Surprise doesn't discriminate against anyone based on race, color, gender, religion, national origin, age, related medical condition or disability. Documents are available for review in advance at 16000 N Civic Center Plaza, Surprise, AZ, 85374 and can be made in alternate formats. Comments regarding the proposed Consolidated Plan and Annual Action Plan should be directed to Vamshee Kovuru, Community Programs Coordinator, Neighborhood Services Division, City of Surprise. Please call Vamshee Kovuru at 623.222.3240 or TDD 623.222.1002 for submittal procedure. Please call Vamshee Kovuru forty-eight hours prior to the meeting time for assistance with translation or accommodations for individuals with disabilities.



Reasonable accommodations will be made upon a timely request.

Published: Daily News-Sun, February 15, 2010/ 16433008

Public Notice **City of Surprise** **Consolidated Plan 2010-14 and Annual Action Plan 2010-11**

Notice is hereby given by the City of Surprise that the Five Year Consolidated Plan 2010-2014 and the Annual Action Plan 2010-11 have been drafted and will be available to the public for review for a period of 30 days beginning March 1, 2010.

This public comment period concerns: proposed priority and needs for the City of Surprise Consolidated Plan 2010-14; specific projects for the Annual Action Plan 2010-11; eligible programs or projects under the Community Development Block Grant (CDBG) and Home Investment Partnership (HOME) funding programs for the next five years. These funds must meet a U.S. Department of Housing and Urban Development (HUD) National Objective and benefit low- to moderate-income individuals. In accordance with the federal regulations 24 CFR, Part 91, the City of Surprise is required to prepare and submit a Five-Year Consolidated Plan for its community development and housing programs. The Five-Year Consolidated Plan provides an assessment of the housing and community development needs; a strategic plan for addressing these needs; and a specific one-year Action Plan (2010-2011) for the use of the CDBG funds. The Consolidated Plan addresses the use of the CDBG funds for the purpose of meeting the goals of providing decent housing, a suitable living environment and expanded economic opportunities for low- to moderate-income persons. The Annual Action Plan notifies the citizens and HUD of the specific projects, goals and funding of the fiscal year based on the goals identified in the Consolidated Plan to receive funding from the CDBG program. The types of projects usually funded include homebuyer activities, rental housing activities, housing rehabilitation, economic development, public facilities construction, infrastructure improvements, recreation facilities, facade improvements, and public services. In the past, the city has used these funds for housing rehabilitation, flood drain improvements, expansion of a community park, and purchasing a fire truck which all benefit low- to moderate-income persons in the Original Townsite area of the city.

The public is invited to review and comment on the Draft Consolidated Plan 2010-14 for a 30-day period beginning March 1, 2010 and ending March 31, 2010. Comments must be submitted in writing, email or by phone call by March 31 at 5:00 p.m. All comments must be directed to Vamshee Kovuru, Community Programs Coordinator, Neighborhood Services Division, City of Surprise, 16000 N Civic Center Plaza. Please call Vamshee Kovuru at 623.222.3240 or TDD 623.222.1002 or email at vamshee.kovuru@surpriseaz.com.

The Consolidated Plan and Action Plan will be available from March 1, 2010 for review at the following locations:

- City of Surprise website at www.surpriseaz.com.
- Surprise City Hall, 16000 N Civic Center Plaza, Surprise, AZ 85374.
- The Northwest Regional Library at 16089 N. Bullard Avenue, Surprise.
- The Hollyhock Branch Library at 15844 N. Hollyhock Street, Surprise.

Public review and comment is required prior to decisions on project funding by the City Council. All interested citizens are requested to comment on proposed priorities and needs for the Consolidated Plan 2010-14. This comment period is planned in accordance to the city's adopted Citizen Participation Plan, a requirement of HUD. After all public comments have been considered, the City Council will take formal action on April 8, 2010 on the Consolidated Plan and Annual Action Plan. ALL RESIDENTS have the opportunity to be actively involved in the planning and development of programs for these funds.

City of Surprise doesn't discriminate against anyone based on race, color, gender, religion, national origin, age, related medical condition or disability. Documents will available for review in advance at 16000 N Civic Center Plaza, Surprise, AZ, 85374 and can be made in alternate formats. Comments regarding the proposed Consolidated Plan and Annual Action Plan should be directed to Vamshee Kovuru, Community Programs Coordinator, Neighborhood Services Division, City of Surprise. Please call Vamshee Kovuru at 623.222.3240 or TDD 623.222.1002 for submittal procedure. Please call Vamshee Kovuru at 623.222.3240 or TDD 623.222.1002 forty-eight hours prior to the meeting time for assistance with translation or accommodations for individuals with disabilities.



Reasonable accommodations will be made upon a timely request.

Published: Daily News-Sun, February 15, 2010/ 16433001

rgy in classified advertising!

cc5x1.5class

Daily News-Sun

10102 Santa Fe Drive Sun City, Arizona 85351
623.977.8351 Fax 623.876.2589

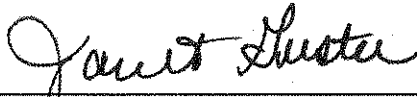
CITY OF SURPRISE
COMMUNITY DEVELOPMENT DEPARTMENT
16000 N CIVIC CENTER PLAZA
SURPRISE, AZ 85374

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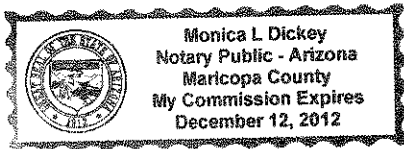
- 1) MARCH 18, 2010



Legal Clerk

State of Arizona
County of Maricopa

Subscribed and sworn to before me, in my presence, this 30th day of March, 2010.





Notary Public

Ad caption: PUBLIC NOTICE- CONSOLIDATED PLAN 2010/16454676/\$123.48

Note: Customer is responsible for filing this document with the appropriate office.

Notice of Public Hearing City of Surprise, Surprise City Council Consolidated Plan 2010-14 and Annual Action Plan 2010-11

This notice is hereby given that the City of Surprise Community Development Department-Neighborhood Services Division will hold a Public Hearing on April 8, 2010, at 6 p.m. before the Surprise City Council at the City Hall Council Chambers, 16000 North Civic Center Plaza, Surprise, AZ 85374.

This Public Hearing concerns proposed priorities and needs for the City of Surprise Consolidated Plan 2010-14; specific projects for the Annual Action Plan 2010-11; eligible programs or projects under the Community Development Block Grant (CDBG) and Home Investment Partnership (HOME) funding programs for the next two years. These funds must meet a U.S. Department of Housing and Urban Development (HUD) National Objective and benefit low- to moderate-income (LMI) individuals. In accordance with federal regulations 24 CFR, Part 91, the City of Surprise is required to prepare and submit a Five-Year Consolidated Plan for its community development and housing programs. The Five-Year Consolidated Plan provides an assessment of the housing and community development needs; a Strategic Plan for addressing these needs; and a specific one-year Action Plan (2010-2011) for the use of the CDBG funds. The Consolidated Plan addresses the use of the CDBG funds for the purpose of meeting the goals of providing decent housing, a suitable living environment and expanded economic opportunities for low- to moderate-income persons. The Annual Action Plan notifies the citizens and HUD of the specific projects, goals and funding of the fiscal year based on the goals identified in the Consolidated Plan to receive funding from the CDBG program. The types of projects usually funded include homebuyer activities, rental housing activities, housing rehabilitation, economic development, public facilities construction, infrastructure improvements, recreation facilities, facade improvements, and public services. Previously funded projects include housing rehabilitation, flood drain improvements, expansion of a community park, and purchasing a fire truck which will benefit low- to moderate-income persons in the City of Surprise.

In order to help determine the needs of the community and where to place priority for funding for the next five years, the city needs residents input. Anticipated CDBG funding allotment for each of the five years is expected to be about \$400,000 plus or minus a 10% adjustment. The allotment is decided by HUD and is subject to change on an annual basis. Every year, fifteen percent of the allocated funding will be set aside for public service activities, twenty percent of the funding will be set aside for administrative functions. With the remaining available funding, staff recommends the following projects to be carried out in the next five years.
2010-2011 - Housing Rehabilitation
2011-2012 - Youth Training
2012-2013 - OTS Park Improvements
2013-2014 - Sidewalk Connectivity / ADA Retrofit in the OTS
2014-2015 - OTS Commercial / Residential Façade Improvements

This Public Hearing is aimed at opening a constructive discussion by the Surprise City Council, city staff, and the residents of Surprise to enable Surprise City Council to make a recommendation and adopt the Consolidated Plan and Annual Action Plan. Upon the approval of the plans by City Council, the plans will be submitted to HUD by May 15, 2010. All interested citizens are invited to attend to comment on proposed priorities and needs for the Consolidated Plan and Annual Action Plan. This Public Hearing is scheduled in accordance with the city's adopted Citizen Participation Plan, a requirement of HUD. ALL RESIDENTS have the opportunity to be actively involved in the planning and development of programs for these funds.

City of Surprise doesn't discriminate against anyone based on race, color, gender, religion, national origin, age, related medical condition or disability. Documents are available for review in advance at 16000 N Civic Center Plaza, Surprise, AZ, 85374 and can be made in alternate formats. Comments regarding the proposed Consolidated Plan and Annual Action Plan should be directed to Vanessa Kovuru, Community Programs Coordinator, Neighborhood Services Division, City of Surprise. Please call Vanessa Kovuru at 623.222.3240 or TDD 623.222.1002 for submittal procedure. Please call Vanessa Kovuru forty-eight hours prior to the meeting time for assistance with translation or accommodations for individuals with disabilities.

Reasonable accommodations will be made upon a timely request. Publish: Daily News-Sun March 18, 2010 / 1645-676

by Luis Campos
Celebrity Cipher cryptograms are created from quotations by famous people, past and present. Each letter in the cipher stands for another.
Today's clue: L equals P

" S ' Z M N K T Y M P Y N W S D T K X S X D M B Z
K T N X N J Y S J I B D M N L T . " - U Y X U Y J Y A
" X Y G Y M N J J C I Y K T Y B P G S B C J S J
K M C Y . " - F S W W S N I J N E S M Y

PREVIOUS SOLUTION: "There is science, logic, reason; there is thought verified by experience. And then there is California." - Edward Abbey

(c) 2010 by NEA, Inc. 3-18

Crossword

ACROSS

- 1 Tread hard
- 6 Matterhorn echo
- 11 Food supply
- 12 Tribute
- 13 Wake up
- 14 Popsicle flavor
- 15 Cracker spreads
- 16 Egyptian symbol
- 17 Vacillate (hyph.)
- 19 Thunderstruck
- 23 Sci-fi computer
- 26 Dumas senior
- 28 Fiesta cheer
- 29 Gizmo
- 31 Spice tree
- 33 Takes the trolley
- 34 Blundered
- 35 Make ends meet
- 36 Carpenter's wedge
- 39 "Enterprise"

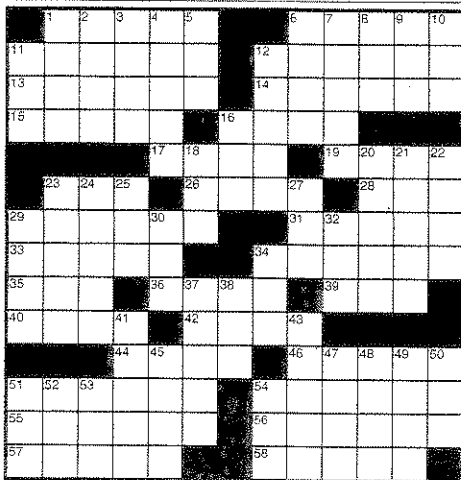
DOWN

- 40 Meditation guide
- 42 Roguish
- 44 Philandering fellow
- 46 Strong-arm
- 51 Ploy
- 54 Bright songbird
- 55 Get some air
- 56 Upshot
- 57 Frozen-faced
- 58 Smells
- 1 Poet Teasdale
- 2 Pony's gait
- 3 Together, in music (2 words)
- 4 Cluttered
- 5 Earlier, in combos
- 6 Andrew's dukedom
- 7 Plains tribe
- 8 Former QB — Marino
- 9 Quiche base

Answer to Previous Puzzle

A	R	A	B		M	O	O	R	S							
P	L	U	R	A	L		K	E	R	M	I	T				
C	A	S	T	R	O		A	M	A	N	D	A				
T	I	K	I		B	L	I		L	I	S					
				F	R	O	T	H	S							
A	L	G	A	E		D	Y	E	J	O	B					
T	O	R	C	H					N	E	W	E				
T	R	I	T	E					S	T	E	N				
				I	N	S	E	C	T		E	S				
									L	O	W	E	S	T		
				M	I	L		M	O	A		R	U	E	S	
				T	A	H	I	T	I		C	R	E	E	P	Y
				A	T	O	M	I	C		H	E	A	L	E	R
				J	A	P	A	N			S	M	E	E		

Want more puzzles?
Check out the "Just Right Crossword Puzzles" books
at QuillDriverBooks.com



3-18

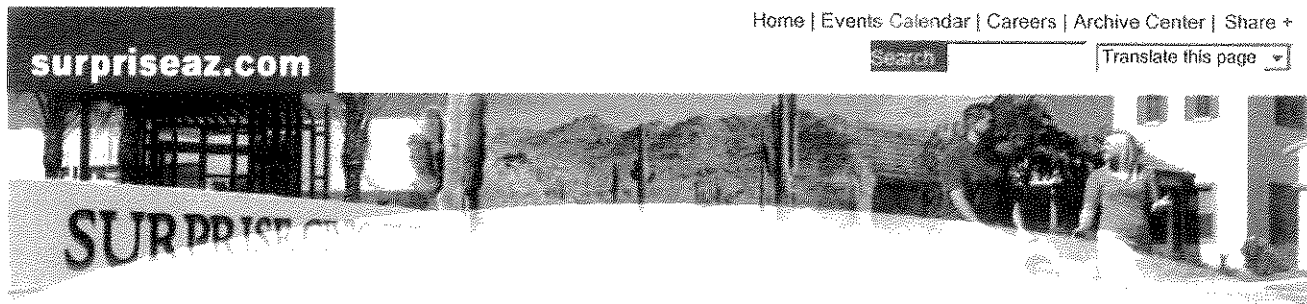
© 2010 by UFS, Inc.

ARTICLES OF INCORPORATION OF A TAX-EXEMPT

Pursuant to A.R.S. 10-1022
(Arizona Non-Profit Corporation)

1. The Name of the Corporation is: **SCOTTSDALE TEA PARTY PATRIOTS INC.**
2. Purpose: The purpose for which the corporation is organized is: The Corporation is organized and shall be operated on a not-for-profit basis and exclusively for social welfare within the meaning of Section 501(c)(4) of the Internal Revenue Code and the corresponding provisions of any future United States Internal Revenue Laws.
3. The Character of Affairs of the Corporation: The Corporation shall not engage in any political campaign activity, lobbying and political activities that promote the social welfare of the community.
4. No part of the net earnings of the corporation shall inure to the benefit of, or be distributable to its members, directors, officers, or other private persons, except that the corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article 2. No substantial part of the resources of the corporation shall be used in the carrying on of propaganda, or otherwise attempting to influence legislation, and the corporation shall not participate in, or engage in, (including the publishing or distribution of statements) a political campaign on behalf of any candidate for public office. Notwithstanding any other provision of these Articles, the corporation shall not carry on any other activity not permitted to be carried on (a) by a corporation exempt from Federal Income Tax under Section 501(c)(4) of the United States Internal Revenue Code or the corresponding provisions of any future United States Internal Revenue Laws; or (b) by a corporation, contributors to which are deductible under Section 170(c)(2) of the United States Internal Revenue Code (or the corresponding provisions of any future United States Internal Revenue Laws).
5. Upon the dissolution of the Corporation, the Board of Directors shall, after paying or making provision for the payment of all of the liabilities of the corporation, dispose of all its assets exclusively for the purposes of the corporation in such a manner, or to such organizations, organized and operated exclusively for charitable, educational, religious or scientific purpose as shall at the time qualify as an exempt organization or organizations under Section 501(c)(4) of the United States Internal Revenue Code (or the corresponding provisions of any future United States Internal Revenue Laws) as the Board of Directors shall determine. Any such assets not disposed of shall be disposed of by the Superior Court of the County in which the County office of the corporation is then located, exclusively for such purpose or to such organization or organizations, as said Court shall determine, which are organized and operated exclusively for such purpose.
6. The power of indemnification under the Arizona Revised Statutes shall not be denied or limited by the bylaws.
7. Board of Directors: The initial board of directors shall consist of 1 director. The name and address of the person who is to serve as the director until the first annual meeting of the members, if a member corporation, or Board of Directors if the corporation has no members, or until his successor is elected and qualifies is:
Honey E. L. Marquez
9540 E. Redfield Rd.
#2062
Scottsdale, AZ 85260
8. Number of members to serve on the board of directors after shall be fixed by the Bylaws.
9. Place of Business: (in Arizona) The street address of the known place of business of the Corporation is:
Teahold Rd.
JOY Rd., AZ 85260
10. Statutory Agent: (in Arizona) The name and address of the Statutory Agent of the Corporation is:
Alpha Legal Forms & More, Inc.
712 (County of Pima)
Factors: The name and address of the incorporators:
corporate legal services, Inc.
4 California Corporation
708 Hollywood Blvd.
suite 100
Los Angeles, CA 90026
11. All powers, duties and responsibilities of the incorporators shall cease at the time of delivery of these Articles of Incorporation to the Arizona Corporation Commission.
12. Check this box, if this provision will apply to your corporation.
DISCRIMINATION: The Corporation will not practice or permit discrimination on the basis of sex, race, national origin, religion, physical handicap or disability.
13. MEMBERS: The Corporation will not have members.
14. Executed this 20th day of January, 2010 by all of the incorporators.
Signed:
Alpha Legal Forms & More, Inc.
By: Imelda Vasquez, Asst. Secretary
PHONE: 323-862-8800 ext. 683
Acceptance of Appointment By Statutory Agent
The undersigned hereby acknowledges and accepts the appointment as Statutory Agent of the above-named corporation effective this 21st day of January, 2010.
Signed: Kermit Burton
By: Kermit Burton, President
Alpha Legal Forms & More, Inc.
(If signing on behalf of a company serving as Statutory Agent, print company name here)

Publish: Daily News-Sun
March 17, 18, 19, 2010 / 1645-6409



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Defining Vibrant Southwest Living

Community Development

Neighborhood Services Division

Christina Ramirez

16000 N. Civic Center Plaza

Surprise, AZ 85374

Direct: 623.222.3238

christina.ramirez@surpriseaz.com

For Immediate Release

Surprise residents input requested on Consolidated Plan

Surprise AZ (January 14, 2010) The Consolidated Plan allows the city a comprehensive method to identify funding priorities for the Community Development Block Grant (CDBG) and Home Investment Partnership (HOME) programs for the next five years.

Residents can do their part by filling out an online questionnaire on the Neighborhood Services section of the Community Development Department web pages via www.surpriseaz.com or directly at <http://www.surpriseaz.com/index.aspx?NID=2475>.

The questionnaire will help identify unfunded or underfunded capital and service needs throughout the city as they pertain to housing and community development.

Download the survey, fill it out and email it to Vamshee Kovuru at vamshee.kovuru@surpriseaz.com. Responses are due by January 29. Responses can also be mailed to the following address:

Vamshee Kovuru
Neighborhood Services
16000 N. Civic Center Plaza
Surprise, Arizona 85374

Every five years the city is required by the U.S Department of Housing and Urban Development to develop and submit a Consolidated Plan, so resident participation is greatly appreciated.

-30-



DEFINING VIBRANT, SOUTHWEST LIVING

16000 N. Civic Center Plaza Surprise, AZ 85374 Phone: 623.222.1000 TTY: 623.222.1002 / Phone Directory

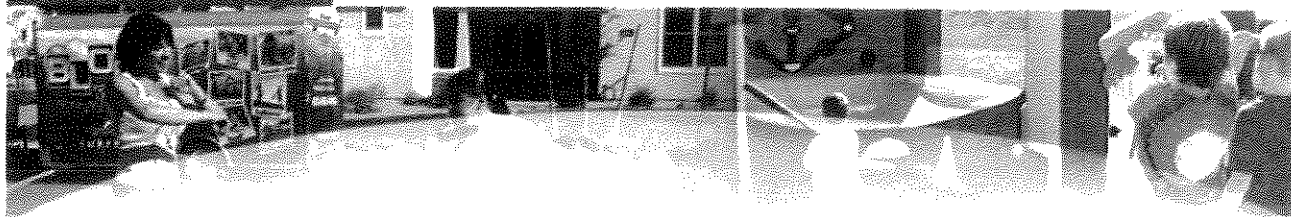
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Stabilization Program](#)
[Block Party Trailer](#)
[Mediation Program](#)
[Surprise University](#)
[Resources for HOAs](#)
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Contacts](#)
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Surprise](#)
[CDBG Public Services
Application](#)
[Consolidated Plan Survey](#)

Consolidated Plan 2010-14 Survey

Every five years City of Surprise is required by U.S Department of Housing and Urban Development to develop and submit a Consolidated Plan. This Plan allows the city a comprehensive method to identify funding priorities for the Community Development Block Grant (CDBG) and Home Investment Partnership (HOME) programs for the next five years.

In order to assure a high level of participation from possible users of the fund, city staff has developed a questionnaire to inventory unfunded or underfunded capital and service needs throughout the city.

Your input and perspectives on community development and housing needs and priorities for the city are greatly appreciated as they will convey funding needs with city staff so as to assure their inclusion in the Consolidated Plan.

E-mail or mail your completed surveys by **January 29, 2010** to:

vamshee.kovuru@surpriseaz.com

Vamshee Kovuru

Community Development, Neighborhood Services Division

16000 N. Civic Center Plaza

Surprise, AZ 85374

623-222-3240 Ph

623-222-1002 TDD

623-222-3001 Fax

January 29, 2010
is the deadline for submitting
the survey.
We thank you for your
cooperation in completing this
survey.

[PDF File](#)
[Word file](#)


Neighborhood Services
16000 N. Civic Center Plaza
Surprise, AZ 85374

Phone: 623.222.1550
TTY: 623.222.1002
Fax: 623.222.3001



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Survey provides feedback on funding needs for Surprise

Staff report

2010-01-14 10:20:39

Surprise's Consolidated Plan allows the city a comprehensive method to identify funding priorities for the Community Development Block Grant and Home Investment Partnership programs for the next five years.

Residents can do their part by filling out an online questionnaire on the Neighborhood Services section of the Community Development Department Web pages via www.surpriseaz.com.

The questionnaire will help identify unfunded or underfunded capital and service needs throughout the city as they pertain to housing and community development.

Download the survey, fill it out and e-mail it to Vamshee Kovuru at vamshee.kovuru@surpriseaz.com. Responses are due by Jan. 29. Responses can also be mailed to:

Vamshee Kovuru

Neighborhood Services

16000 N. Civic Center Plaza

Surprise, Arizona 85374

Every five years the city is required by the U.S Department of Housing and Urban Development to develop and submit a Consolidated Plan, and resident participation is important.

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Notice of Public Hearings

Consolidated Plan 2010-14 and Annual Action Plan 2010-11

Every five years the City of Surprise is required by U.S Department of Housing and Urban Development to develop and submit a Consolidated Plan. This Plan allows the city a comprehensive method to identify funding priorities for the Community Development Block Grant (CDBG) and Home Investment Partnership (HOME) programs that benefit low to moderate income persons in the city for the next five years.



Your input and perspectives on community development and housing needs and priorities for the city are greatly appreciated as they will convey funding needs with city staff so as to assure their inclusion in the Consolidated Plan.

Documents are available for review in advance at 16000 N Civic Center Plaza, Surprise, AZ, 85374 and can be made in alternate formats.

Please call Vamshee Kovuru at 623.222.3240 or TDD 623.222.1002 forty-eight hours prior to the meeting time for assistance with translation or accommodations for individuals with disabilities.

CDBG Commission Public Hearing: February 3, 2010
Quality of Life Commission Public Hearing: February 9, 2010
CDBG Commission Public Hearing: March 3, 2010
City Council Public Hearing: April 8, 2010

All meetings start at 6 P.M at Surprise City Hall, 16000 N Civic Center Plaza, Surprise, AZ 85374



Aviso de Audiencias Públicas

Plan Consolidado 2010-14 y Plan de Acción Anual 2010-11

Cada cinco años la ciudad de Surprise es requerido por EE.UU. del Departamento de Vivienda y Desarrollo Urbano para elaborar y presentar un Plan Consolidado. Este plan permite a la ciudad un método detallado para identificar las prioridades de financiación para el Community Development Block Grant (CDBG) y Home Investment Partnership (HOME) programas que benefician a personas de ingresos bajos a moderados en la ciudad durante los próximos cinco años.



Su aportación y las perspectivas de desarrollo de la comunidad y las necesidades de vivienda y las prioridades para la ciudad son muy apreciadas ya que se transmiten las necesidades de financiación con el personal de la ciudad a fin de asegurar su inclusión en el Plan Consolidado.

Los documentos están disponibles para su revisión en el 16000 N Civic Center Plaza, Surprise, AZ, 85374 y se puede hacer en formatos alternativos.

Por favor llame Vamshee Kovuru al 623.222.3240 o al TDD 623.222.1002 cuarenta y ocho horas antes de la hora de reunión para obtener ayuda con traducciones o adaptaciones para personas con discapacidad.

Comisión de CDBG Audiencia Pública: 3 de Febrero 2010
Comisión de la Calidad de Vida Audiencia Pública: 9 de Febrero 2010
Comisión de CDBG Audiencia Pública: 3 de Marzo 2010
Concilio General Audiencia Pública: 8 de Abril 2010

Todas las sesiones empiezan a las 6 P.M en Surprise City Hall, 16000 N Civic Center Plaza, Surprise, AZ 85374


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[Surprise Neighborhood
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[Surprise University](#)
[Resources for HOAs](#)
[HOA Community
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[Meeting Spaces in
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2010-14](#)
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Consolidated Plan 2010-14 and Annual Action Plan 2010-11

City of Surprise has completed drafting the Five-Year Consolidated Plan 2010-2014 and the Year One Annual Action Plan 2010-11 and will be available to the public review for a period of 30 days beginning March 1 to March 31, 2010.

[Download the Consolidated Plan
and Action Plan](#)
[Consolidated Plan](#)
[Action Plan](#)

In accordance with the federal regulations 24 CFR, Part 91, the City of Surprise is required to prepare and submit a Five-Year Consolidated Plan to U.S Department of Housing and Urban Development (HUD) for city's community development and housing programs. The Consolidated Plan allows for identifying funding priorities for the Community Development Block Grant (CDBG), Home Investment Partnership (HOME), and other local agency funding programs. These funds must meet HUD National Objective of benefiting low- to moderate-income persons in the City of Surprise.

The Consolidated Plan 2010-14 consists of:

1. Proposed priority and needs eligible under CDBG, HOME and other local agency funding described under the Strategic Plan for the next five years.
2. Specific projects described in the Annual Action Plan 2010-11.

The types of projects usually funded include homebuyer activities, rental housing activities, housing rehabilitation, economic development, public facilities construction, infrastructure improvements, recreation facilities, facade improvements, and public services. In the past, the city has used these funds for housing rehabilitation, flood drain improvements, expansion of a community park, and purchasing a fire truck which all benefit low- to moderate-income persons in the Original Townsite area of the city.

The proposed Year One Action Plan 2010-11 aims at implementing activities consistent with the established objectives in the Consolidated Plan. Under this plan, CDBG funds will be used for:

- Citywide Housing Rehabilitation.
- Public service activities through nonprofit organizations.
- CDBG carried over funds from previous year will be used to improve city's Original Townsite (OTS) sidewalk connectivity and ADA retrofitting.

To download the Consolidated Plan and Action Plan please click [here](#). The plans are also available for review at the following locations:

- Surprise City Hall, 16000 N Civic Center Plaza, Surprise, AZ 85374.
- The Hollyhock Branch Library at 15844 N. Hollyhock Street, Surprise.
- The Northwest Regional Library at 16089 N. Bullard Avenue, Surprise.

On request documents can be made available in alternate formats. Comments must be submitted in writing, email or by phone call by March 31 at 5:00 p.m. All comments must be directed to:

vamshee.kovuru@surpriseaz.gov

Vamshee Kovuru

Community Development, Neighborhood Services Division

16000 N. Civic Center Plaza
Surprise, AZ 85374
623-222-3240 Ph
623-222-1002 TDD
623-222-3001 Fax



This comment period is planned in accordance to the city's adopted Citizen Participation Plan, a requirement of HUD. Citizens can also attend the following public hearings to provide feedback on the proposed plans:

March 3, 2010 - CDBG Commission Public Hearing.

April 8, 2010 - City Council Public Hearing.

After all public comments have been considered, the City Council will take formal action on April 8, 2010 on the Consolidated Plan and Annual Action Plan. ALL RESIDENTS have the opportunity to be actively involved in the planning and development of programs for these funds.

Neighborhood Services
16000 N. Civic Center Plaza
Surprise, AZ 85374

Phone: 623.222.1550
TTY: 623.222.1002
Fax: 623.222.3001



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[Meeting Spaces in
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Request for application for CDBG-Funded Service Activities

The City of Surprise Neighborhood Services Division is soliciting applications from qualified nonprofit organizations that are interested in providing services that address one or more of the public service needs targeted in the city's Community Development Block Grant (CDBG) program.

Under this program, CDBG funds may be used to provide public services (including labor, supplies, materials and other costs), provided the public service is:

- A new service; or
- A quantifiable increase in the level of a service

Targeted public service activities include, but are not limited to child care, health care, education programs, job training, senior centers and more. The completed application must address one or more of the targeted public service as specified in the application and must serve primarily low to moderate income persons in the City of Surprise.

Pre-Application Meeting February 2

Prospective Applicants are encouraged to attend a Pre-Application meeting on February 2, 2010 at 10 am at Surprise City Hall, 16000 N. Civic Center Plaza, Surprise, AZ 85374. The purpose of the meeting is to collectively answer questions regarding application process, scoring, and expectations.

For application assistance, please call:
 Christina Ramirez, Neighborhood Services Division
 City of Surprise
 623-222-3238 Ph
 623-222-1002 TDD
 623-222-3001 Fax



Neighborhood Services
 16000 N. Civic Center Plaza
 Surprise, AZ 85374

February 19, 2010
 is the deadline for submitting
 an application.

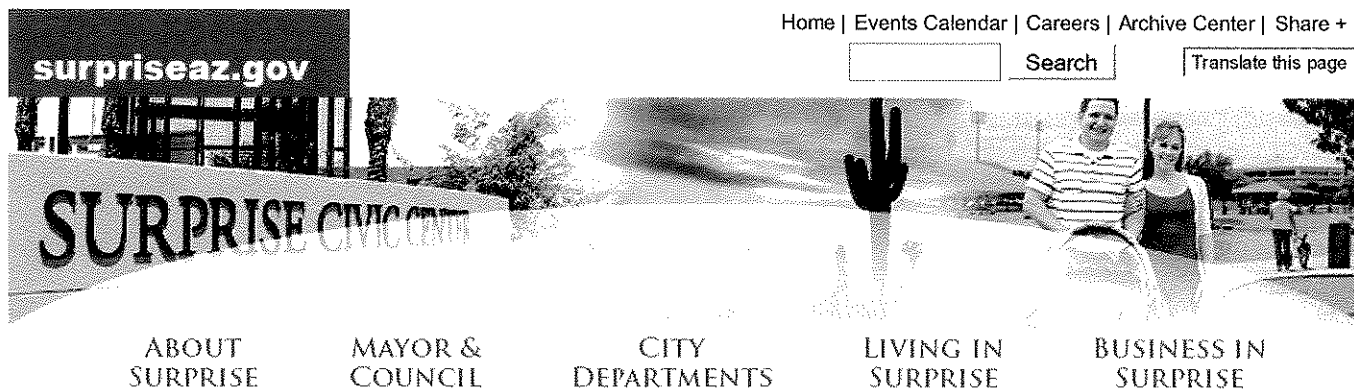
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Download a completed sample
 application for review.

Phone: 623.222.1550
TTY: 623.222.1002
Fax: 623.222.3001

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Defining Vibrant Southwest Living

Community Development

Neighborhood Services Division

Christina Ramirez

16000 N. Civic Center Plaza

Surprise, AZ 85374

Direct: 623.222.3238

christina.ramirez@surpriseaz.com**For Immediate Release****Surprise accepting applications for CDBG-funded public service activities**

Surprise AZ (January 12, 2010) The Surprise Neighborhood Services Division of Community Development is accepting applications from qualified nonprofit organizations seeking funding for services that address the public services needs of the community.

All funding is supported by Community Development Block Grants (CDBG) awarded to the city by the federal government. The CDBG funds must be used to support the start of a new service or an increase in the level of service of an existing program. Maximum funding limits apply.

Examples of public activities eligible for CDBG funds include:

- Child care
- Health care
- Education or recreation programs
- Senior citizen services
- Fair Housing
- Energy conservation/counseling
- Drug abuse counseling
- Job training

All applications must be received by 2 p.m., February 19, and will be evaluated by staff. Funding will be awarded based on various criteria including Program Design and Community Impact.

A Pre-Application meeting is scheduled for 10 a.m., February 2, at City Hall, 16000 N. Civic Center Plaza, to answer questions from prospective applicants.

For submission requirements, entry address, or more information please contact Christina Ramirez at 623.222.3238. This information is also available online under the Community Development Department's Neighborhood Services' web page at www.surpriseaz.com/cdbgpuplicservice.





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Welcome Vamshee Kovi
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Wednesday, April 28, 1

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Surprise accepting applications for CDBG-funded public service activities

12-Jan-2010

City programs may be considered for this CDBG funding. Check out surpriseaz.com/cdbgpuplicservice for more.

The Surprise Neighborhood Services Division of Community Development is accepting applications from qualified nonprofit organizations seeking funding for services that address the public services needs of the community.

All funding is supported by Community Development Block Grants (CDBG) awarded to the city by the federal government. The CDBG funds must be used to support the start of a new service or an increase in the level of service of an existing program. Maximum funding limits apply.

Examples of public activities eligible for CDBG funds include:

- Child care
- Health care
- Education or recreation programs
- Senior citizen services
- Fair Housing
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- Drug abuse counseling
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Surprise accepts applications for CDBG-funded public service activities

Staff report

2010-01-13 07:22:03

The Surprise Neighborhood Services Division of Community Development is accepting applications from qualified nonprofit organizations seeking funding for services that address the public services needs of the community.

All funding is supported by Community Development Block Grants awarded to the city by the federal government. The CDBG funds must be used to support the start of a new service or an increase in the level of service of an existing program. Maximum funding limits apply.

Examples of public activities eligible for CDBG funds include:

- Child care
- Health care
- Education or recreation programs
- Senior citizen services
- Fair Housing
- Energy conservation/counseling
- Drug abuse counseling
- Job training

All applications must be received by 2 p.m. Feb. 19, and will be evaluated by staff. Funding will be awarded based on various criteria including Program Design and Community Impact.

A pre-application meeting is scheduled for 10 a.m. Feb. 2 at City Hall, 16000 N. Civic Center Plaza, to answer questions from prospective applicants.

For submission requirements, entry address or more information, contact Christina Ramirez at 623-222-3238. This information is also available online under the Community Development Department's Neighborhood Services' Web page at www.surpriseaz.com/cdbgpuplicservice.

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CITY OF SURPRISE
 Regular City Council Meeting Agenda
 16000 N. Civic Center Plaza
 Surprise, AZ 85374

Thursday, April 22, 2010 @ 6:00 PM

Back Print

CALL TO ORDER.

- A. Roll Call
- B. Pledge of Allegiance
- C. Invocation
- D. Current Events and Reports by Mayor and City Council – Pursuant to A.R.S. §38-431.02(k) the chief administrator, presiding officer or a member of a public body may present a brief summary of current events without listing the agenda the specific matters to be summarized, provided that 1) The summary is listed on the agenda; and 2) The public body does not propose, discuss, deliberate or take legal action at that meeting on any matter in the summary unless the specific matter is properly noticed for legal action.
- E. City Manager and Staff Reports:

#1 District Citywide ***Current Events**

No Action
 Mark Coronado,
 Interim City Manager

Regular City Council Meeting Agenda:

CALL TO THE PUBLIC:

INSTRUCTIONS: In order to address the City Council, you will need to fill out a Call to the Public Form available at the front counter, and then turn it in to the City Clerk before the meeting begins.

Note: A.R.S. 38-431.01(H) - During this time members of the public may address City Council on any item not on the agenda. At the conclusion of the open call, City Council may respond to criticism, may ask staff to review the matter or may ask that the matter be put on a future agenda. No discussion or action shall take place on any item raised.

- G. Approval of items on the Consent Agenda – all items with an asterisk (*) are considered to be routine matters and will be enacted by one motion and one roll call vote to the City Council. There will be no separate discussion on these items unless a Councilmember requests, in which event the item will be removed from the consent agenda and considered in its normal sequence on the agenda.

CONSENT AGENDA:

- | | | | |
|-----|------------|---|---|
| *#2 | District 3 | <u>Consideration and action on Resolution 2010-29, a resolution accepting maintenance of the streets and public utilities located in Legacy Parc Parcel "C".</u> | Approve
Robert Beckley, Public Works |
| *#3 | District 3 | <u>Consideration and action on Resolution 2010-30, a resolution accepting maintenance of the streets and public sewer utilities located in Surprise Farms Phase 3 Parcel 1.</u> | Approve
Robert Beckley, Public Works |
| *#4 | District 1 | <u>Consideration and action on a Liquor License Application request for Residence Inn by Marriott located at 16418 N. Bullard, Surprise, Arizona 85374 for a Series 7 New License.</u> | Approve
Sherry Aguilar, City Clerk |
| *#5 | District 1 | <u>Consideration and action on an application for permanent extension of premises/patio permit requested by Coyote Alley located at 16846 W. Bell Road, Surprise, Arizona 85374.</u> | Approve
Sherry Aguilar, City Clerk |

*#6	District Citywide	<u>Consideration and action on the following meeting minutes: April 1, 2010 Special City Council Work Session, April 8, 2010 Regular City Council Work Session and April 8, 2010 Regular City Council Meeting.</u>	Approve Sherry Aguilar, City Clerk
#7	District 6	<u>Consideration and action on Resolution No. 2010-38 a resolution of the Mayor and Council of the City of Surprise, Arizona to accept a roadway easement from Maricopa County Flood Control District for a roadway easement on Bell Road and Dysart Avenue.</u>	Approve Randy Jackson, Information Technology
*#8	District Citywide	<u>Consideration and action to authorize and direct the Interim City Manager to cause the execution of the separation and release agreement for the City Manager.</u>	Approve Michael D. Bailey
*#9	District Internal	<u>Consideration and action on Resolution No. 2010-45; a Resolution of the Mayor and Council of the City of Surprise, Maricopa, Arizona appointing and designating an Interim City Manager until such a time as the appointment of a permanent City Manager or this designation may be rescinded.</u>	Approve April Reynolds, Human Resources

REGULAR AGENDA ITEM - NON-PUBLIC HEARING:

ITEM #	DISTRICT #	ITEM DESCRIPTION	STAFF RECOMMENDATION/ PRESENTED BY
#10	District Citywide	<u>Presentation and discussion of the Larson Allen reports. The reports include observations and recommendations made in the course of the review of specific accounting and budgeting practices including payroll, accounts receivable, accounts payable, street light improvement districts and investments.</u>	No Action Scott McCarty, Chief Financial Officer
#11	District Citywide	<u>Consideration and action of the Consolidated Plan 2010-14.</u>	Approve Vamshee Kovuru, Neighborhood Services
#12	District Citywide	<u>Consideration and action of the Annual Action Plan 2010-11.</u>	Approve Vamshee Kovuru, Neighborhood Services
#13	District Citywide	<u>Consideration and action on a budget transfer in the amount of \$117,091 from unforeseen grants to Community Development to continue funding the HOME Housing Rehabilitation Program.</u>	Approve Jeff Mihelich, Community Development

REGULAR AGENDA ITEM - PUBLIC HEARING:

ITEM #	DISTRICT #	ITEM DESCRIPTION	STAFF RECOMMENDATION/ PRESENTED BY
#14	District 4	<u>Consideration and action on Ordinance 2010-08, an ordinance of the Mayor and City Council of the City of Surprise, Arizona, approving a rezone for Moon Valley Nursery, case FS09-249, consisting of approximately two acres.</u>	Approve Bart Wingard, Community Development
#15	District 4	<u>Consideration and action on Resolution 2010-35, a resolution of the Mayor and City Council of the City of Surprise, Arizona, approving a final plat for the Moon Valley Nursery, case FS09-249, consisting of approximately seven acres.</u>	Approve Bart Wingard, Community Development

OTHER BUSINESS:

#16	District Citywide	<u>Consideration and action on items to be placed on future agendas.</u>	None
-----	-------------------	--	------

Michael Bailey, Legal
Dept.

EXECUTIVE SESSION:

#17 District Internal Pursuant to A.R.S. § 38-431.03(A)(3), the City Council may go into executive session with the City Attorney for legal advice on any item listed on the agenda.

None
Michael Bailey, Legal
Dept.

Discussion/consultation with the City Attorney to receive an legal advice, to consider its position, and to provide instruction/direction to the City Attorney regarding Surprise's position in connection with the Interim City Manager contract that is the subject of negotiations. (A.R.S. §§ 38-431.03 (A)(3)(4)).

#18 District Citywide Consideration and action on executive session items.

None
Michael Bailey, Legal
Dept.

ADJOURNMENT:

SHERRY ANN AGUILAR, CITY CLERK, CMC

POSTED: April 16, 2010 at 11:55 a.m.

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Regular City Council Meeting Minutes – April 22, 2010

#11. Consideration and action of the Consolidated Plan 2010-14 - APPROVED

Community Development Director, Jeff Mihelich summarized the recommended projects.

Council Member Williams made the motion to approve the Consolidated Plan 2010-14. Council Member Villanueva seconded the motion. Seven yes votes. Motion carried.

#12. Consideration and action of the Annual Action Plan 2010-11 - APPROVED

Community Development Director, Jeff Mihelich summarized the recommended projects.

Council Member Hall made the motion to approve the Annual Action Plan 2010-11. Council Member Villanueva seconded the motion. Seven yes votes. Motion carried.

#13. Consideration and action on a budget transfer in the amount of \$117,091 from unforeseen grants to Community Development to continue funding the HOME Housing Rehabilitation Program - APPROVED

Neighborhood Services Manager, Christina Ramirez reported on the budget transfer.

Council Member Williams made the motion to approve budget transfer in the amount of \$117,091 from unforeseen grants to Community Development to continue funding the HOME Housing Rehabilitation Program. Council Member Hall seconded the motion. Seven yes votes. Motion carried.

THE FOREGOING DISAGREEMENT IS A FULL, TRUE AND
CORRECT COPY OF THE ORIGINAL ON FILE IN THE
OFFICE OF THE CITY CLERK, CITY OF SURPRISE,
ARIZONA

ATTEST BY: Linda Stevens
LINDA STEVENS, DEPUTY CLERK, CMG

DATE: April 27, 2010



CITY OF SURPRISE
 Regular City Council Meeting Agenda
 16000 N. Civic Center Plaza
 Surprise, AZ 85374

Thursday, April 8, 2010 @ 6:00 PM

Amended agenda on April 6, 2010 at 11:00 a.m. - added executive session language

Back Print

CALL TO ORDER.

- A. Roll Call
- B. Pledge of Allegiance
- C. Invocation
- D. Current Events and Reports by Mayor and City Council – Pursuant to A.R.S. §38-431.02(k) the chief administrator, presiding officer or a member of a public body may present a brief summary of current events without listing the agenda the specific matters to be summarized, provided that 1) The summary is listed on the agenda; and 2) The public body does not propose, discuss, deliberate or take legal action at that meeting on any matter in the summary unless the specific matter is properly noticed for legal action.
- E. City Manager and Staff Reports:

#1 District Citywide ***Current Events**

No Action
 George Kolb, Interim
 City Manager

Regular City Council Meeting Agenda:

CALL TO THE PUBLIC:

INSTRUCTIONS: In order to address the City Council, you will need to fill out a Call to the Public Form available at the front counter, and then turn it in to the City Clerk before the meeting begins.

Note: A.R.S. 38-431.01(H) - During this time members of the public may address City Council on any item not on the agenda. At the conclusion of the open call, City Council may respond to criticism, may ask staff to review the matter or may ask that the matter be put on a future agenda. No discussion or action shall take place on any item raised.

- G. Approval of items on the Consent Agenda – all items with an asterisk (*) are considered to be routine matters and will be enacted by one motion and one roll call vote to the City Council. There will be no separate discussion on these items unless a Councilmember requests, in which event the item will be removed from the consent agenda and considered in its normal sequence on the agenda.

CONSENT AGENDA:

- | | | | |
|-----|-------------------|--|---|
| *#2 | District Citywide | <u>Consideration and action on amending the Transit Service Agreement entered into between the City of Surprise and Regional Public Transportation Authority (also known as Valley Metro) for operation of bus Route 571 in the amount of \$277, 194.00 and associated transfers.</u> | Approve
Robert Beckley, Public Works |
| *#3 | District Citywide | <u>Consideration and action on the acceptance of a Maricopa County Local Emergency Planning Committee grant in the amount of \$10,375 and associated budget transfers.</u> | Approve
Michael White, Fire Chief |
| *#4 | District Citywide | <u>Consideration and action on the minutes of the Regular City Council Work Session and Regular City Council Meeting of March 25, 2010.</u> | Approve
Sherry Aguilar, City Clerk |
| *#5 | District Citywide | <u>Consideration and action on submitting grant applications to three Arizona Indian Tribes for Shared Gaming Revenue grant funds. The</u> | Approve
Police Chief Daniel Hughes |

submissions for grant funds will be for public safety projects.

REGULAR AGENDA ITEM - PUBLIC HEARING:

			STAFF RECOMMENDATION/
ITEM #	DISTRICT #	ITEM DESCRIPTION	PRESENTED BY
#6	District 1	<u>Council consideration and action on the Desert Trails Development Agreement between the City of Surprise and HE Capital Fox Trails, LLC.</u>	Approve Hobart Wingard, Community Development
#7	District Citywide	<u>Consideration and action of the Consolidated Plan 2010-14.</u>	Approve Vamshee Kovuru, Neighborhood Services
#8	District Citywide	<u>Consideration and action of the Annual Action Plan 2010-11.</u>	Approve Vamshee Kovuru, Neighborhood Services
#9	District 1	<u>Consideration and action on Ordinance No. 2010-09, an ordinance of the Mayor and Council of the City of Surprise, Arizona, approving a Major Planned Area Development Amendment for Desert Trails (PADA09-036) consisting of approximately 897 acres. The subject site is generally located on the southeast corner of Pinnacle Peak Road and 219th Avenue.</u>	Approve Bart Wingard, Community Development
#10	District Internal	<u>Discussion, consideration and action on the Interim City Manager position.</u>	Approve April Reynolds, Human Resources

OTHER BUSINESS:

#11	District Citywide	<u>Consideration and action on items to be placed on future agendas.</u>	None Michael Bailey, Legal Dept.
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EXECUTIVE SESSION:

#12	District Internal	<u>Pursuant to A.R.S. § 38-431.03(A)(3), the City Council may go into executive session with the City Attorney for legal advice on any item listed on the agenda.</u> <u>Discussion/consultation with the City Attorney to receive an update, to consider its position, and to provide instruction/direction to the City Attorney regarding Surprise's position in connection with outstanding litigation, or in settlement discussions conducted in order to avoid or resolve litigation. (A.R.S. §§38-431.03 (A)(3) 38-431.03 (A)(4)).</u>	No Action Michael Bailey, Legal Department
#13	District Citywide	<u>Consideration and action on executive session items.</u>	None Michael Bailey, Legal Department

ADJOURNMENT:

SHERRY ANN AGUILAR, CITY CLERK, CMC

POSTED: April 6, 2010 at 11:00 a.m.

SPECIAL NOTE: PERSONS WITH SPECIAL ACCESSIBILITY NEEDS, INCLUDING LARGE PRINT

MATERIALS OR INTERPRETER, SHOULD CONTACT THE CITY CLERK'S OFFICE @ 623.222.1200 OR TTY 623.222.1002, BY NO LATER THAN 24 HOURS IN ADVANCE OF THE REGULAR SCHEDULED MEETING TIME.

Regular City Council Meeting Minutes – April 8, 2010 – Page 4

Finance Director, Scott McCarty stated that it is a team approach and he is confident

Council Member Villanueva inquired about the wash.

Vice Mayor Alton made the motion to approve the Desert Trails Development Agreement between the City of Surprise and HE Capital Fox Trails, LLC. Council Member Villanueva seconded the motion. Seven yes votes. Motion carried.

#9. Consideration and action Ordinance No. 2010-09 - Major Planned Area Development Amendment for Desert Trails (PADA09-036) consisting of approximately 897 acres. The subject site is generally located on the southeast corner of Pinnacle Peak Road and 219th Avenue - APPROVED

Council Member Hall Ordinance No. 2010-09, an ordinance of the Mayor and Council of the City of Surprise, Arizona, approving a Major Planned Area Development Amendment for Desert Trails (PADA09-036) consisting of approximately 897 acres. The subject site is generally located on the southeast corner of Pinnacle Peak Road and 219th Avenue. Council Member Williams seconded the motion. Seven yes votes. Motion carried.

#7. Consideration and action of the Consolidated Plan 2010-14 - TABLED

Christina Ramirez, Neighborhood Supervisor gave a brief report regarding the Consolidated Plan 2010-14.

Vice Mayor Alton inquired about the timeline.

Mayor Truitt opened the Public Hearing, no public comments were received.

Vice Mayor Alton made the motion to table the Consolidated Plan 2010-14. Council Member Wolcott seconded the motion. Five yes votes, two no votes. Motion carried.

#8. Consideration and action of the Annual Action Plan 2010-11 - TABLED

Vice Mayor Alton made the motion to table the Annual Action Plan 2010-11. Council Member Woodard seconded the motion. Seven yes votes. Motion carried.

Mayor Truitt opened the Public Hearing, no public comments were received.

#10. Discussion, consideration and action on the Interim City Manager position - APPROVED WITH STIPULATIONS TO APPOINT MARK CORONADO AS INTERIM CITY MANAGER

CURRENT COPY OF THE ORDINANCE OR FILE IN THE
OFFICE OF THE CITY CLERK, CITY OF SURPRISE,
ARIZONA

ATTEST BY:

Linda Stevens
LINDA STEVENS, DEPUTY CLERK, CMG

DATE:

April 27, 2010



CITY OF SURPRISE
Community Development Block Grant Commission Agenda

16000 N. Civic Center Plaza
Surprise, AZ 85374

Wednesday, March 3, 2010 @ 6:00 PM
Amended on February 26, 2010 at 9:30 a.m. - added item
approval of minutes

+ Back Print

CALL TO ORDER.

- A. Roll Call
- B. Pledge of Allegiance
- C. Current Events and Reports – Pursuant to A.R.S. §38-431.02(k) the chief administrator, presiding officer or a member of a public body may present a brief summary of current events without listing in the agenda the specific matters to be summarized, provided that 1) The summary is listed on the agenda; and 2) The public body does not propose, discuss, deliberate or take legal action at that meeting on any matter in the summary unless the specific matter is properly noticed for legal action.
- D. Staff Reports

Community Development Block Grant Commission Agenda:

CALL TO THE PUBLIC:

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- E. Approval of items on the Consent Agenda – all items with an asterisk (*) are considered to be routine matters and will be enacted by one motion and one roll call vote to the Board\Commission. There will be no separate discussion on these items unless a board member or commissioner requests, in which event the item will be removed from the consent agenda and considered in its normal sequence on the agenda.

CONSENT AGENDA:

REGULAR AGENDA ITEM - NON-PUBLIC HEARING:

**STAFF
RECOMMENDATION/**

ITEM DISTRICT

#	#	ITEM DESCRIPTION	PRESENTED BY
#1	District Internal	<u>Consideration and approval of February 3, 2010 CDBG Commission minutes.</u>	Approve Carol Dager, Community Development

REGULAR AGENDA ITEM - PUBLIC HEARING:

ITEM #	DISTRICT #	ITEM DESCRIPTION	STAFF RECOMMENDATION/ PRESENTED BY
#2	District Citywide	<u>Consideration and approval of the CDBG-Funded Public Service Activities.</u>	Approve Vamshee Kovuru, Neighborhood Services
#3	District Citywide	<u>Consideration and approval of the Consolidated Plan 2010-14 and Annual Action Plan 2010-11.</u>	Approve Vamshee Kovuru, Neighborhood Services

OTHER BUSINESS:

ADJOURNMENT:

SHERRY ANN AGUILAR, CITY CLERK,
CMC

POSTED: February 26, 2010 at 9:30 a.m.

SPECIAL NOTE: PERSONS WITH SPECIAL ACCESSIBILITY NEEDS, INCLUDING LARGE PRINT MATERIALS OR INTERPRETER, SHOULD CONTACT THE CITY CLERK'S OFFICE @ 623.222.1200 OR TTY 623.222.1002, BY NO LATER THAN 24 HOURS IN ADVANCE OF THE REGULAR SCHEDULED MEETING TIME.

CITY OF SURPRISE

COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) COMMISSION

**City Hall – Council Overflow Room
16000 North Civic Center Plaza
Surprise, AZ 85374**

March 3, 2010

REGULAR MEETING MINUTES

Chair Montoya called the meeting to order at 6:07 p.m. at the City of Surprise City Hall, Council Overflow Room, 16000 North Civic Center Plaza, Surprise, Arizona 85374, on Wednesday, March 3, 2010.

MEMBERS PRESENT

In attendance with Chair Montoya were Vice Chair Nicholas Roberts and Member Lisa Weide. Member Fred Watts arrived at 6:22 p.m. Member Rick Dinneen was absent.

COMMUNITY DEVELOPMENT REPORT

Director Jeff Mihelich reported that Neighborhood Services recently sponsored a Pride Day assisting approximately a dozen properties in Section 10. There was a tremendous turnout in spite of the rain, with over 400 residents volunteering their help. The Neighborhood Stabilization Program has spent approximately \$2 million; hope to assist over 40 homes in Surprise.

CALL TO THE PUBLIC

Chair Montoya called to the public to discuss any issues not noted on the agenda. Hearing no comments from the public, Chair Montoya closed the call to the public.

REGULAR AGENDA ITEMS

- **Item 1: Approval of February 3, 2010 CDBG Commission Minutes**

Member Weide made a motion to approve the **February 3, 2010 CDBG Commission Minutes**. Vice Chair Roberts seconded the motion. The motion passed with a vote of 3 ayes and 2 absent (Dinneen and Watts).

- **Item :2 Consideration and Action – CDBG-Funded Public Service Activities**

Vamshee Kovuru, Neighborhood Services Program Coordinator, talked briefly about the background and process for evaluating the four applications submitted. He summarized each application and pointed out staff's recommendation.

Christina Ramirez, Neighborhood Services Supervisor, provided additional information of the scoring process.

Elizabeth Wilkinson, representing St. Mary's Food Bank Alliance, spoke briefly about their application, including the following:

- Background information about St. Mary's.
- Clientele served.
- Distribution network.

Additional discussion took place that covered the following issues:

- Clients are asked to provide identification to ensure Surprise residency.
- If funding is reduced, the food bank may be required to ration their distribution.
- Nutritionally balanced food boxes.

Donna Miller, representing the Surprise Community Recreation Services Department, provided information about their requests for an internship and a driver for the recreation programs.

Ms. Miller noted that if the internship and/or driver positions were funded, they would look towards other partnerships for future years' funding of the positions.

Pam Jones, representing Interfaith Community Care, provided background information about Interfaith.

There was some discussion regarding income qualifications for those individuals who are assisted by Interfaith, decreased funding and increased needs, and numbers of Surprise residents served.

Commission members and staff discussed the applications, specifically focused on the Interfaith application and concerns about ensuring Surprise residents benefit from the funding. If awarded funding, it was determined that Interfaith would be provided with a funding agreement to stipulate how the monies are used including milestones and ceilings.

Chair Montoya opened the meeting for public comment. Hearing no comments from the public, Chair Montoya closed the public hearing.

Member Weide made a motion to approve the **CDBG-Funded Public Service Activities as recommended by staff with stipulations on the Interfaith recommendation which will be included in their agreement.** Vice Chair Roberts seconded the motion. The motion passed with a vote of 4 ayes and 1 absent (Dinneen).

• **Item 3: Consideration and Action – Consolidated Plan 2010-14 and Annual Action Plan 2010-11**

Vamshee Kovuru, Neighborhood Services Program Coordinator, provided a brief overview of each of the plans.

Chair Montoya opened the meeting for public comment on the Consolidated Plan 2010-14. Hearing no comments from the public, Chair Montoya closed the public hearing.

Vice Chair Roberts made a motion to approve the **Consolidated Plan 2010-14**. Member Weide seconded the motion. The motion passed with a vote of 4 ayes and 1 absent (Dinneen).

Chair Montoya opened the meeting for public comment on the Annual Action Plan 2010-11. Hearing no comments from the public, Chair Montoya closed the public hearing.

Member Weide made a motion to approve the **Annual Action Plan 2010-11**. Vice Chair Roberts seconded the motion. The motion passed with a vote of 4 ayes and 1 absent (Dinneen).

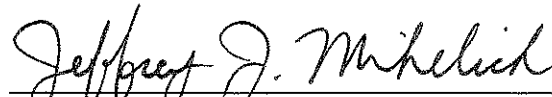
ADJOURNMENT

Hearing no further business, Chair Montoya adjourned the CDBG Commission meeting, Wednesday, March 3, 2010, at 7:31 p.m.

STAFF PRESENT:

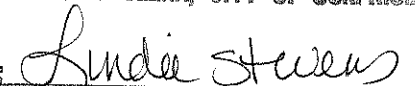
Community Development Director Jeff Mihelich, Neighborhood Services Supervisor Christina Ramirez, Neighborhood Services Program Coordinator Vamshee Kovuru, and CDBG Commission Secretary Carol Dager.

COUNCIL MEMBERS PRESENT: Councilman Hall


Jeffrey J. Mihelich, Director
Community Development Department

Johnny Montoya, Chair
Community Development
Block Grant Commission

THE FOREGOING INSTRUMENT IS A FULL, TRUE AND
CORRECT COPY OF THE ORIGINAL ON FILE IN THE
OFFICE OF THE CITY CLERK, CITY OF SURPRISE,
ARIZONA

ATTEST BY: 
LINDA STEVENS, DEPUTY CLERK, CMC

DATE: May 5, 2010



CITY OF SURPRISE
Quality of Life Commission Agenda
16000 N. Civic Center Plaza
Surprise, AZ 85374

Tuesday, February 9, 2010 @ 6:00 PM
COUNCIL OVERFLOW ROOM

+ Back Print

CALL TO ORDER.

- A. Roll Call
- B. Pledge of Allegiance
- C. Current Events and Reports – Pursuant to A.R.S. §38-431.02(k) the chief administrator, presiding officer or a member of a public body may present a brief summary of current events without listing in the agenda the specific matters to be summarized, provided that 1) The summary is listed on the agenda; and 2) The public body does not propose, discuss, deliberate or take legal action at that meeting on any matter in the summary unless the specific matter is properly noticed for legal action.
- D. Staff Reports

Quality of Life Commission Agenda:

CALL TO THE PUBLIC:

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CONSENT AGENDA:

REGULAR AGENDA ITEM - NON-PUBLIC HEARING:

**STAFF
RECOMMENDATION/**

ITEM #	DISTRICT #	ITEM DESCRIPTION
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PRESENTED BY

- #1 District Citywide Consideration and approval of November 10, 2009 Quality of Life Commission minutes.

Approve
Carol Dager,
Community
Development

- #2 District Citywide Review and approve the Surprise Neighborhood Award Program (SNAP) application and program guidelines.

Approve
Christina
Ramirez,
Neighborhood
Services

REGULAR AGENDA ITEM - PUBLIC HEARING:

STAFF
RECOMMENDATION/

ITEM #	DISTRICT #	ITEM DESCRIPTION
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PRESENTED BY

- #3 District Citywide Consideration and approval of the Consolidated Plan 2010-14 Priorities.

Approve
Vamshee
Kovuru,
Neighborhood
Services

OTHER BUSINESS:

ADJOURNMENT:

SHERRY ANN AGUILAR, CITY CLERK,
CMC

POSTED: February 1, 2010 at 10:20 a.m.

SPECIAL NOTE: PERSONS WITH SPECIAL ACCESSIBILITY NEEDS, INCLUDING LARGE PRINT MATERIALS OR INTERPRETER, SHOULD CONTACT THE CITY CLERK'S OFFICE @ 623.222.1200 OR TTY 623.222.1002, BY NO LATER THAN 24 HOURS IN ADVANCE OF THE REGULAR SCHEDULED MEETING TIME.

CITY OF SURPRISE
QUALITY OF LIFE COMMISSION
City Hall – Council Overflow Room
16000 North Civic Center Plaza
Surprise, AZ 85374

February 9, 2010

REGULAR MEETING MINUTES

Chair Forster called the Quality of Life Commission meeting to order at 6:01 p.m. at the City of Surprise City Hall, Council Overflow Room, 16000 North Civic Center Plaza, Surprise, Arizona 85374, on Tuesday, February 9, 2010.

MEMBERS PRESENT

In attendance with Chair Paula Forster were Vice Chair Chet Chetkauskas and Members Ken Christoffersen, Janet Frost, and Wayne Tuttle.

DIRECTOR'S REPORT

Director Jeff Mihelich talked briefly about the two vacancies on the commission. He also mentioned the Census 2010, encouraging the members to remind others in the community of the importance to complete the Census.

CURRENT EVENTS

Chair Forster requested a copy of the minutes that reflected the board's vote against funding the SLID for the OTS from the 10% set aside funds.

Chair Forster mentioned a news article regarding the purchase of a home with the NSP funds. Christina Ramirez, Neighborhood Services Supervisor, provided a brief update of additional homes that are being considered for that specific program.

Vice Chair Chetkauskas requested that business cards be provided to the commission members.

REGULAR AGENDA ITEMS

- **Item 1: Approval of November 10, 2009 Quality of Life Commission Minutes**

Vice Chair Chetkauskas made a motion to approve the **November 10, 2009 Quality of Life Commission Minutes**. Member Christoffersen seconded the motion. The motion passed with a vote of 4 ayes, 1 abstained (Frost), and 2 vacancies.

- **Item 2: Consideration and Action – Surprise Neighborhood Award Program (SNAP) Application and Program Guidelines**

Christina Ramirez, Supervisor, Neighborhood Services, provided a brief overview of the SNAP program guidelines and asked for suggestions for marketing the program.

Suggested places to promote the program included the HOAs, park and recreation office, library, City of Surprise Block Party trailer, and schools.

Ms. Ramirez explained that SNAP will be an annual program which consists of various categories. It is important to note that the award should be given solely on merit and perhaps not all categories would be covered in a year. As applications are submitted, staff will review and forward to commission for their review and recommendation to the City Council.

Member Tuttle made a motion to approve the **Surprise Neighborhood Award Program (SNAP)**. Member Frost seconded the motion. The motion passed with a vote of 5 ayes and 2 vacancies.

• **Item 2: Consideration and Action – Consolidated Plan 2010-14 Priorities**

Vamshee Kovuru, Program Coordinator, Neighborhood Services, conducted a presentation covering the Consolidated Plan 2010-14 Priorities.

Discussion occurred about the various surveys received and the recommendations that were gathered from those surveys, as well as suggestions from city staff.

Many ideas were considered by the commission to be included as a priority for the available funding in the Consolidated Plan. These ideas included circulator transportation route, teen center, senior center, senior services, benches throughout the area for resting spots, connectivity walkways, and healthcare services/facilities.

Much discussion also occurred about how the funds are to be used and the various restrictions.

It was determined that the following recommendations would be added to the priority list: senior center, senior services, and healthcare services/facilities, as well as breakdown the transportation element.

Vice Chair Chetkauskas made a motion to approve the **Consolidated Plan 2010-14 Priorities, as amended with the commission's recommendations**. Member Tuttle seconded the motion. The motion passed with a vote of 5 ayes and 2 vacancies.

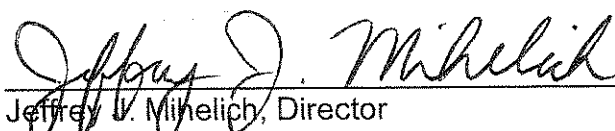
ADJOURNMENT

Hearing no further business, Chair Forster adjourned the Quality of Life Commission meeting, Tuesday, February 9, 2010, at 7:22 p.m.

STAFF PRESENT

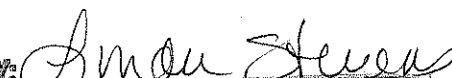
Community Development Director Jeff Mihelich, Neighborhood Services Supervisor Christina Ramirez, Neighborhood Services Program Coordinator Vamshee Kovuru, and Quality of Life Commission Secretary Carol Dager.

COUNCIL MEMBERS PRESENT: None


Jeffrey V. Mihelich, Director
Community Development Department

Paula Forster, Chair
Quality of Life Commission

THIS INSTRUMENT IS A FULL, TRUE AND
CORRECT COPY OF THE ORIGINAL ON FILE IN THE
OFFICE OF THE CITY CLERK, CITY OF SURPRISE,
ARIZONA

ATTEST BY: 
LINDA STEVENS, DEPUTY CLERK, CMC

DATE: May 5, 2010



CITY OF SURPRISE
Community Development Block Grant Commission Agenda

16000 N. Civic Center Plaza
Surprise, AZ 85374

Wednesday, February 3, 2010 @ 6:00 PM
Council Overflow Room

+ Back Print

CALL TO ORDER.

- A. Roll Call
- B. Pledge of Allegiance
- C. Current Events and Reports – Pursuant to A.R.S. §38-431.02(k) the chief administrator, presiding officer or a member of a public body may present a brief summary of current events without listing in the agenda the specific matters to be summarized, provided that 1) The summary is listed on the agenda; and 2) The public body does not propose, discuss, deliberate or take legal action at that meeting on any matter in the summary unless the specific matter is properly noticed for legal action.
- D. Staff Reports

Community Development Block Grant Commission Agenda:

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CONSENT AGENDA:

REGULAR AGENDA ITEM - NON-PUBLIC HEARING:

STAFF
RECOMMENDATION/

ITEM DISTRICT

#	#	ITEM DESCRIPTION	PRESENTED BY
#1	District Citywide	<u>Consideration and approval of December 9, 2009 CDBG Commission minutes.</u>	Approve Carol Dager, Community Development

REGULAR AGENDA ITEM - PUBLIC HEARING:

ITEM DISTRICT	ITEM DESCRIPTION	STAFF RECOMMENDATION/ PRESENTED BY	
#	#		
#2	District Citywide	<u>Consideration and approval of the Consolidated Plan 2010-14 Priorities.</u>	Approve Vamshee Kovuru, Neighborhood Services

OTHER BUSINESS:

ADJOURNMENT:

SHERRY ANN AGUILAR, CITY CLERK,
CMC

POSTED: January 25, 2010 at 9:00 a.m.

SPECIAL NOTE: PERSONS WITH SPECIAL ACCESSIBILITY NEEDS, INCLUDING LARGE PRINT MATERIALS OR INTERPRETER, SHOULD CONTACT THE CITY CLERK'S OFFICE @ 623.222.1200 OR TTY 623.222.1002, BY NO LATER THAN 24 HOURS IN ADVANCE OF THE REGULAR SCHEDULED MEETING TIME.

CITY OF SURPRISE

COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) COMMISSION

**City Hall – Council Overflow Room
16000 North Civic Center Plaza
Surprise, AZ 85374**

February 3, 2010

REGULAR MEETING MINUTES

Chair Montoya called the meeting to order at 6:06 p.m. at the City of Surprise City Hall, Council Overflow Room, 16000 North Civic Center Plaza, Surprise, Arizona 85374, on Wednesday, February 3, 2010.

MEMBERS PRESENT

In attendance with Chair Montoya were Vice Chair Nicholas Roberts and Members Fred Watts and Lisa Weide. Rick Dinneen was absent.

COMMUNITY DEVELOPMENT REPORT

Director Jeff Mihelich mentioned that the official 2010 Census forms will be distributed in March; please help to communicate to others in the community the importance of completing the form. Currently, applications are being accepted for survey workers.

REGULAR AGENDA ITEMS

- **Item 1: Approval of December 9, 2009 CDBG Commission Minutes**

Vice Chair Montoya made a motion to approve the **December 9, 2009 CDBG Commission Minutes**. Member Watts seconded the motion. The motion passed with a vote of 4 ayes and 1 absent (Dinneen).

- **Item 2: Consideration and Action – Consolidated Plan 2010-14 Priorities**

Vamshee Kovuru, Neighborhood Services Program Coordinator, gave a brief presentation about the Consolidated Plan 2010-14 priorities, providing an update of the progress of the plan through February. He covered the length of the program, goals, funding, and the various benefits of the plan.

In response to Chair Montoya, **Christina Ramirez, Neighborhood Services Supervisor**, explained that the plan should be followed; however, if the need does change drastically over the years, the plan can be modified.

Ms. Ramirez noted that the next step is to review the priorities and apply them to specific projects. She pointed out that in the preapplication meeting, approximately 12 potential applicants shared their ideas; however, at this time, no applications have been submitted.

In response to Vice Chair Roberts, Ms. Ramirez stated that staff will provide the commission with copies of all applications. Staff will score the applications and provide their recommendations when the packets are distributed to the commission.

It was determined that the commission would not vote on the Consolidate Plan 2010-14 Priorities at this time, as additional public comments are expected.

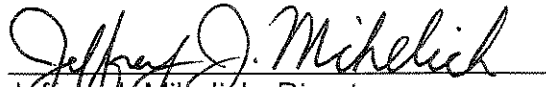
ADJOURNMENT

Hearing no further business, Chair Montoya adjourned the CDBG Commission meeting, Wednesday, February 3, 2010, at 6:30 p.m.

STAFF PRESENT:

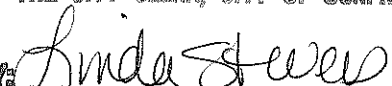
Community Development Director Jeff Mihelich, Neighborhood Services Supervisor Christina Ramirez, Neighborhood Services Program Coordinator Vamshee Kovuru, and CDBG Commission Secretary Carol Dager.

COUNCIL MEMBERS PRESENT: Council Member Villanueva


Jeffrey J. Mihelich, Director
Community Development Department

Johnny Montoya, Chair
Community Development
Block Grant Commission

THE FOREGOING INSTRUMENT IS A FULL, TRUE AND
CORRECT COPY OF THE ORIGINAL ON FILE IN THE
OFFICE OF THE CITY CLERK, CITY OF SURPRISE,
ARIZONA

ATTEST BY: 
LINDA STEVENS, DEPUTY CLERK, CMC

DATE: May 5, 2010



CITY OF SURPRISE
Community Development Block Grant Commission Agenda

16000 N. Civic Center Plaza
Surprise, AZ 85374

Wednesday, December 9, 2009 @ 6:00 PM

MEETING LOCATION: 14250 West Statler Drive, Surprise, AZ -
Red Conference Room

+ Back Print

CALL TO ORDER.

- A. Roll Call
- B. Pledge of Allegiance
- C. Current Events and Reports – Pursuant to A.R.S. §38-431.02(k) the chief administrator, presiding officer or a member of a public body may present a brief summary of current events without listing in the agenda the specific matters to be summarized, provided that 1) The summary is listed on the agenda; and 2) The public body does not propose, discuss, deliberate or take legal action at that meeting on any matter in the summary unless the specific matter is properly noticed for legal action.
- D. Staff Reports

Community Development Block Grant Commission Agenda:

CALL TO THE PUBLIC:

INSTRUCTIONS: In order to address the Board\Commission, you will need to fill out a Call to the Public Form available at the front counter, and then turn it in to the Secretary before the meeting begins.

Note: A.R.S. 38-431.01(H) - During this time members of the public may address the Board\Commission on any item not on the agenda. At the conclusion of the open call, the Board\Commission may respond to criticism, may ask staff to review the matter or may ask that the matter be put on a future agenda. No discussion or action shall take place on any item raised.

- E. Approval of items on the Consent Agenda – all items with an asterisk (*) are considered to be routine matters and will be enacted by one motion and one roll call vote to the Board\Commission. There will be no separate discussion on these items unless a board member or commissioner requests, in which event the item will be removed from the consent agenda and considered in its normal sequence on the agenda.

CONSENT AGENDA:

REGULAR AGENDA ITEM - NON-PUBLIC HEARING:

STAFF
RECOMMENDATION/

ITEM DISTRICT

#	#	ITEM DESCRIPTION	PRESENTED BY
#1	District Internal	<u>Consideration and approval of November 17, 2009 CDBG Commission minutes.</u>	Approve Carol Dager, Community Development
#2	District Citywide	<u>As part of the Consolidated Plan Public Participation Process, it is delineated that an initial public hearing will be held to identify projects for future CDBG funding.</u>	Approve Christina Ramirez, Neighborhood Services
#3	District Citywide	<u>Draft of a Public Service Application rubric to be used in conjunction with the application for Public Service grant funding from CDBG allotted through the 2010-2011 funding cycle.</u>	Approve Christina Ramirez, Neighborhood Services

OTHER BUSINESS:

ADJOURNMENT:

SHERRY ANN AGUILAR, CITY CLERK,
CMC

POSTED: December 1, 2009 at 9:30 a.m.

SPECIAL NOTE: PERSONS WITH SPECIAL ACCESSIBILITY NEEDS, INCLUDING LARGE PRINT MATERIALS OR INTERPRETER, SHOULD CONTACT THE CITY CLERK'S OFFICE @ 623.222.1200 OR TTY 623.222.1002, BY NO LATER THAN 24 HOURS IN ADVANCE OF THE REGULAR SCHEDULED MEETING TIME.

CITY OF SURPRISE

COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) COMMISSION
Public Safety Building - Red Conference Room
14250 West Statler Drive, Surprise, Arizona 85374

December 9, 2009

REGULAR MEETING MINUTES

Chair Montoya called the meeting to order at 6:02 p.m. at the City of Surprise Public Safety Building, 14250 West Statler, Surprise, Arizona 85374, on Wednesday, December 9, 2009.

MEMBERS PRESENT

In attendance with Chair Montoya were Vice Chair Nicholas Roberts and Members Rick Dinneen, and Fred Watts. Lisa Weide was absent.

COMMUNITY DEVELOPMENT REPORT

Director Jeff Mihelich introduced Vamshee Kovuru, who explained his job experiences and current job responsibilities. Director Mihelich reported that the City of Surprise cosponsored a foreclosure event partnered with realtors, land use attorney, and housing counselors to provide alternatives to foreclosure.

REGULAR AGENDA ITEMS

- **Item 1: Approval of November 17, 2009 CDBG Commission Minutes**

Member Watts made a motion to approve the **November 17, 2009 CDBG Commission Minutes**. Vice Chair Roberts seconded the motion. The motion passed with a vote of 4 ayes and 1 absent (Weide).

- **Item 2: Public Hearing to Identify Projects for Future CDBG Funding**

Christina Ramirez, Neighborhood Services Supervisor, went over the public participation process of the Consolidated Plan, noting that an initial public hearing will be held to identify projects for future CDBG funding. She noted that the commission members will initially prioritize the projects, stressing the importance of ensuring the projects qualify for funding. She mentioned that the plan can be amended over the years as needs change.

In response to Chair Montoya, Director Mihelich indicated that the city cannot commit to any specific funding at this time.

The members reviewed each item on the Community Development Needs list of the Consolidated Plan, discussed the significance of the item, and recommended a priority for each.

There was some discussion regarding how the city's budget affects the funding of the plan. It was noted that most of the funding for the consolidated plan is federal dollars.

It was determined that the next step is to have meetings with city department managers to determine where they feel funding is needed. The public hearing will be part of the next scheduled commission meeting.

Member Dinneen made a motion to approve a **Public Hearing to Identify Projects for Future CDBG Funding**. Vice Chair Roberts seconded the motion. The motion passed with a vote of 4 ayes and 1 absent (Weide).

- **Item 3: Public Service Application Rubric**

Ms. Ramirez provided information regarding the public service application rubric drafted by staff which will be used in conjunction with the application for public service grant funding from CDBG.

Vice Chair Roberts made a motion to approve **the Public Service Application Rubric**. Member Dinneen seconded the motion. The motion passed with a vote of 4 ayes and 1 absent (Weide).

ADJOURNMENT

Hearing no further business, Chair Montoya adjourned the CDBG Commission meeting, Wednesday, December 9, 2009, at 7:24 p.m.

STAFF PRESENT:

Community Development Director Jeff Mihelich, Neighborhood Services Supervisor Christina Ramirez, Neighborhood Services Coordinator Vamshee Kovuru, and CDBG Commission Secretary Carol Dager.

COUNCIL MEMBERS PRESENT: None


Jeffrey J. Mihelich, Director
Community Development Department


Johnny Montoya, Chair
Community Development
Block Grant Commission

THE FOREGOING INSTRUMENT IS A FULL, TRUE AND
CORRECT COPY OF THE ORIGINAL ON FILE IN THE
OFFICE OF THE CITY CLERK, CITY OF SURPRISE,
ARIZONA

ATTEST BY: 
LINDA STEVENS, DEPUTY CLERK, CMG

DATE: May 5, 2010

Consolidated Plan Review Guidance

This guidance is provided as a template for the reviews of complete plans. The submission of sections dealing with Needs Assessments, Housing Market Analysis, and Strategic Plans are not required on an annual basis. Each field office should include additional questions or clarifications that address the complexity of their local situation.

Grantee: City of Surprise, Arizona

1. If a Consortia, list participating communities and asterisk the lead agency:
* N/A
2. Consolidated Plan covers the following programs:
CDBG☒ HOME☒ ESG☐ HOPWA☐
3. Period covered by Consolidated Plan is: 3☐ 4☐ 5☒ years.
Also, specify the period with month beginning and year ending July 1, 2010- June 30, 2014
4. Date plan due: May 15, 2010
5. Date plan received: May 06, 2010
6. Automatic approval date (45 days of date received above): July 1, 2010
7. Are maps included (optional)? Yes☒ No☐
8. Has an Executive Summary been attached (required)? Yes☒ No☐
9. Did the grantee include the following tables:
Local Jurisdiction:
Table 1A: Yes☒ No☐
Table 1B: Yes☒ No☐
Table 1C: Yes☒ No☐
Table 2A: Yes☒ No☐
Table 2B: Yes☒ No☐
Table 2C: Yes☒ No☐
Table 3A: Yes☒ No☐
Table 3B: Yes☒ No☐
Table 3C: Yes☒ No☐
10. Did the grantee use the CPMP Tool? Yes☒ No☐.
11. Did the grantee include one or more proposed outcomes in the Plan?
Yes☒ No☐ Verification found on Tab 5 Projects and Summaries.
12. Does the plan include a Neighborhood Revitalization Strategy Area or Target Area where activities are carried out in a concentrated manner?
Yes☒ No☐ Verification found on Tab 2 Page 5.
If yes, identify census tracts for each NRSA and forward to Headquarters.

CONSULTATION PROCESS (91.100)

1. Has the grantee consulted with other public/private entities that provide assisted housing, health services, and social services in developing this plan?

Yes ☒ No ☐ Verification found on Tab 2 Page 7.

Use the following checklist as a guide to determine extent of consultation process:

Consultation			
24CFR	Requirement	Yes	No
91.100(a)(1)	Housing Services	☒	☐
	Social Services	☒	☐
	Fair Housing Services	☒	☐
	Health Services	☒	☐
	Homeless Services	☒	☐
91.100(a)(2)*	Chronically Homeless	☒	☐
91.100(a)(3)**	Lead-based Paint	☒	☐
91.100(a)(4)***	Adjacent Government	☒	☐
	State (Non-housing)	☒	☐
	County (Metro. City)	☒	☐
91.100(a)(5)	Metro. Planning Agencies	☒	☐
91.100(b)	HOPWA	☐	☒
91.100(c)	PHA Plan	☒	☐

* Were assisted housing, health, and social service agencies consulted to determine resources available to address needs of chronically homeless persons.

** Were State/Local health and child welfare agencies consulted regarding lead paint issues.

*** Was copy of the plan submitted to the State, and County if applicable; if an urban county, to the entitlement cities in the county.

1. Did the grantee indicate that it consulted with other organizations that provide housing and supportive services to special needs populations (including elderly persons, persons with disabilities, persons with HIV/AIDS, homeless persons)?

Yes ☒ No ☐ Verification found on Tab 2 Page 7.

2. Did the grantee consult with Public Housing Agencies during Consolidated Plan development?

Yes ☒ No ☐ N/A ☐ Verification found on Tab 2 Page 7.

3. Did the grantee consult with metropolitan or regional planning agencies during Consolidated Plan development?

Yes ☒ No ☐ N/A ☐ Verification found on Tab 2 Page 7.

CITIZEN PARTICIPATION (91.105, AND 91.200)

1. Is there a description of the development of the plan and efforts to broaden public participation, including the names of organizations involved in the development of the plan?

Yes ☒ No ☐ Verification found on Tab 2 Page 8.

Note: The Jurisdiction shall encourage the participation of local and regional institutions and other organization (including businesses, developers, community, and faith-based organizations) in the process of developing and implementing the plan.

2. Is there a summary of the citizen participation process, and were the public hearing and comment period requirements satisfactory?

Yes ☒ No ☐ Verification found on Tab 2 Page 8-9

3. Are citizen comments included in the plan, and are the comments specifically and adequately addressed by the grantee?

Yes ☒ No ☐ Verification found on Tab 2 Page 8-9 and Tab 7 Summary of Citizens Comments.

4. Is there a description of the lead agency or entity responsible for overseeing the development of the Consolidated Plan?

Yes ☒ No ☐ Verification found on Tab 2 Page 9.

HOUSING AND HOMELESS NEEDS ASSESSMENT (91.205)

HOUSING

1. Has the grantee identified the estimated number and types of families with housing needs for a **5 year** period?

Yes ☒ No ☐ Verification found on Tab 2 Page 16-19

Note: See Table 2A (required)

Family types (extremely low-, low-, moderate, and middle income) that should be identified are:

- Renter/owner
- Elderly
- Single persons
- Large families
- Persons with disabilities
- Victims of domestic violence
- Persons with HIV/AIDs

2. Has the grantee identified the types of housing needs in the community for a 5 year period?

Yes ☒ No ☐ Verification found on Tab 2 Page 16-19

Types of housing needs should be determined with an analysis of:

- Severe cost and cost burden
- Overcrowding (especially for large families)
- Substandard (renter/owner, extremely low-, low-, moderate, and middle income)

3. Has the grantee included a discussion of any racial or ethnic groups that have a disproportionately greater need in comparison to the needs of a particular income category?

Yes ☒ No ☐ Verification found on Tab 2 Page 43

Note: Disproportionately greater need exists when the percentage of persons in a category of need who are members of a particular racial/ethnic group is at least 10% points higher than the percentage of persons in the category as a whole. **See Section 91.205 (b)(2)**

HOMELESS

1. Has the grantee satisfactorily identified the nature and extent of homelessness, and is there a continuum of care concept? **See Table 1A (required).**
Yes ☒ No ☐ Verification found on Tab 2 Page 26.
 - Information should be on both homeless singles and families (and subpopulations) that are either sheltered/unsheltered or threatened with homelessness.
2. Has the grantee identified homeless facilities and services needs for homeless individuals and homeless families with children, both sheltered and unsheltered and homeless subpopulations?
Yes ☒ No ☐ Verification found on Tab 2 Page 29.
3. Has the grantee identified the extent of homelessness by racial/ethnic group, if the information is available?
Yes ☐ No ☒ Verification found on page
4. Did the grantee describe the jurisdiction's strategy for developing a system to address homelessness and the priority needs of homeless persons and families (including the subpopulations identified in the needs section)? The jurisdiction's strategy must consider the housing and supportive services needed in each stage of the process, i.e. preventing homelessness, outreach/assessment, emergency shelters and services, transitional housing, and helping homeless persons (especially any persons that are chronically homeless) make the transition to permanent housing and independent living.
Yes ☒ No ☐
5. Did the grantee describe its strategy for helping extremely low- and low-income individuals and families who are at imminent risk of becoming homeless?
Yes ☒ No ☐

SPECIAL NEEDS - NOT HOMELESS

1. Has the grantee included a discussion on the estimated number of non-homeless persons in need of supportive housing, and their supportive housing needs? **See Table 1B (optional).**
Yes ☒ No ☐ Verification found on Tab 2 Page 38.

Note: Estimated number of non-homeless persons should include the elderly, frail elderly, persons with disabilities, persons with alcohol or other drug addiction, persons with HIV/AIDs and their families, and public housing residents.

LEAD-BASED PAINT HAZARDS

1. Has the grantee estimated the number of housing units with lead-based paint hazards?

Yes ☒ No ☐ Verification found on Tab 2 Page 13.

Note: The estimated number of units should be those that are occupied by low/moderate income families.

HOUSING AND MARKET ANALYSIS (91.210)

GENERAL CHARACTERISTICS

1. Has the grantee described the significant characteristics of the housing market, and the housing stock available to persons with disabilities, and persons with HIV/AIDs?

(Review any maps if provided / See Table 1A and 1B)

Yes ☐ No ☒ Verification found on page

Note: There should be a discussion of housing supply and demand, as well as the condition and cost of the housing. Data on the housing market should include, to extent information is available, an estimate of the number of abandoned buildings and whether they are suitable for rehabilitation.

The grantee should also identify and describe the locations and degree of racial/ethnic minority concentrations, as well as low/moderate income families.

2. Did the grantee identify and describe any area of low-income concentration and any area of minority concentration either in a narrative or one or more maps, stating how it defines the terms "area of low-income concentration" and "area of minority concentration"?

Yes ☒ No ☐

PUBLIC AND ASSISTED HOUSING

1. Has the grantee described the number and condition of the public housing units, results from the Section 504 needs assessments, and the strategies for improving operation and living conditions for public housing residents?

Yes ☒ No ☐ N/A ☐ Verification found on Tab 2 Page 20.

2. Has the grantee identified the number of public housing units expected to be lost from the inventory?

Yes ☐ No ☐ N/A ☒ Verification found on page

Check if this jurisdiction has any HOPE VI projects awarded or in development that may result in a net loss of units.

3. With regard to federal, state and locally-assisted units other than public housing, has the grantee identified the number and targeting of units by income level and household type, and the number of units expected to be lost from the assisted housing inventory for any reason, i.e. expiration of Section 8 contracts?

Yes ☒ No ☐ Verification found on Tab 2 Page 18.

HOMELESS FACILITIES AND SERVICES

1. Have the facilities and services that compose the grantee's continuum of care been identified?

Yes ☒ No ☐ Verification found on Tab 2 Page 28

Appropriate facilities would be:

- Emergency shelters,

- Transitional shelters, and
 - Permanent/supportive housing (including persons that are chronically homeless).
2. Does the inventory include, to the extent information is available, an estimate of percentage or number of beds and supportive services programs serving people that are chronically homeless?
 Yes ☒ No ☐ Verification found on Tab 2 Page 28-29.

SPECIAL NEEDS FACILITIES AND SERVICES

1. Has the grantee described the facilities/services to assist non-homeless persons in need of supportive housing? **See Table 1B**
 Yes ☒ No ☐ Verification found on Tab 2 Page 38.
- Discussion should also include a description of appropriate supportive housing for persons leaving mental/physical health facilities.

BARRIERS TO AFFORDABLE HOUSING

1. Has the grantee described public policies that affect affordable housing?
 Yes ☒ No ☐ Verification found on Tab 2 Page 21-25
 Factors which affect affordable housing may include:
- Building and zoning codes;
 - Environmental problems;
 - Impact fees;
 - Cost of land; and
 - Incentive programs such as tax abatement or down-payment assistance.
- Note:** For Urban Counties, does the discussion include factors in both incorporated and unincorporated areas?

STRATEGIC PLAN (91.215)

When reviewing this section of the Consolidated Plan, keep in mind that the priorities/objectives should relate to the needs identified in the Housing and Homeless Needs and Housing and Market Analysis sections.

GENERAL

1. Does the grantee describe the basis for assigning the priority given to each category in Table 2A?
 Yes ☒ No ☐
2. Has the grantee identified any obstacles to meeting underserved needs?
 Yes ☒ No ☐ Verification found on Tab 2 Page 6.
3. Has the grantee summarized the priorities and specific objectives, describing how funds that are reasonably expected to be made available will be used to address identified needs? **See Tables 1A, 1B, 1C, 2A, 2B, and 2C**
 Yes ☒ No ☐
4. For each specific objective, has the grantee identified proposed accomplishments and outcomes the jurisdiction hopes to achieve in quantitative terms over a specific

time period, or in other measurable terms as identified and defined by the jurisdiction? **See Tables 1A, 1B, 1C, 2A, 2B and 2C**

Yes ☒ No ☐

AFFORDABLE HOUSING

1. Did the grantee state how the analysis of the housing market and the severity of housing problems and needs of extremely low-income, low-income, and moderate-income renters and owners identified in accordance with 91.205 provided the basis for assigning the relative priority given to each priority needs category in the priority housing needs table prescribed by HUD?

Yes ☒ No ☐ Verification found on Tab 2 Page 17.

2. Does the affordable housing section identify how the characteristics of the housing market will influence the use of funds made available for rental assistance, production of new units, rehabilitation of old units, or acquisition of existing units?

Yes ☒ No ☐ Verification found on Tab 2 Page 21-22

Note: If the jurisdiction intends to use HOME funds for tenant-based rental assistance or plans to use HOME funds to assist persons with special needs, the plan must specify local market conditions that led to the choice of that option.

3. Does the grantee described proposed accomplishments to specify the number of extremely low, low, moderate, and middle income families to whom the grantee will provide affordable housing as defined in 24 CFR 92.252 for rental housing and 24 CFR 92.254 for homeownership over a specific time period?

Yes ☒ No ☐ Verification found on Tab 2 Page 18

HOMELESSNESS

1. Does the grantee describe the strategy for helping low-income families avoid becoming homeless?

Yes ☒ No ☐ Verification found on Tab 2 Page 30.

2. Does the grantee describe the jurisdiction's strategy for reaching out to homeless persons and assessing their individual needs?

Yes ☒ No ☐ Verification found on Tab 2 Page 30.

3. Does the grantee describe the jurisdiction's strategy for addressing the emergency shelter and transitional housing needs of homeless persons?

Yes ☒ No ☐ Verification found on Tab 2 Page 30.

4. Does the grantee describe the jurisdiction's strategy for helping homeless persons (especially persons that are chronically homeless) make the transition to permanent housing and independent living?

Yes ☒ No ☐ Verification found on Tab 2 Page 30.

OTHER SPECIAL NEEDS

1. With respect to supportive needs of the non-homeless, does the plan describe the priority housing and supportive service needs of persons who are not homeless but may or may not require supportive housing?

Yes ☒ No ☐ Verification found on Tab 2 Page 38.

NON-HOUSING COMMUNITY DEVELOPMENT PLAN

1. Did the grantee describe the priority non-housing community development needs, reflecting the needs for the type of activity? **Table 2B (required)**

Yes ☒ No ☐

Note: The Community Development component of the plan must state the grantee's specific long-term and short-term community development objectives (including economic development activities that create jobs) that must be developed in accordance with the statutory goals described in 24 CFR 91.1 and the primary objectives of the CDBG program.

2. Is the grantee requesting approval of a Neighborhood Revitalization Strategy Area?

Yes ☐ No ☒

If YES, does it meet the requirements of CPD Notice 96-1 and include outcomes?

Yes ☐ No ☐

Note: Separate documentation should be maintained to verify compliance with CPD Notice 96-1.

BARRIERS TO AFFORDABLE HOUSING

1. Does the grantee describe the jurisdiction's strategy to remove or ameliorate negative effects of public policies, that serve as barriers to affordable housing as identified in the needs assessment section?

Yes ☒ No ☐ Verification found on Tab 2 Page 22-25.

LEAD-BASED PAINT HAZARDS

1. Does the plan outline the actions proposed or being taken to evaluate and reduce lead-based paint hazards, describe how the plan for reduction of lead-based paint hazards is related to the extent of lead poisoning and hazards, and how the plan for reduction will be integrated into housing policies and programs?

Yes ☒ No ☐ Verification found on Tab 2 Page 13-14.

ANTI-POVERTY STRATEGY

1. Does the grantee describe the jurisdiction's goals, programs, and policies for reducing the number of poverty level families?

Yes ☒ No ☐ Verification found on Tab 2 Page 35.

Has the grantee programs such as:

- Family Self-sufficiency
- Head Start
- State and Local Programs
- Section 3
- Welfare to Work
- Workforce Development Initiative

INSTITUTIONAL STRUCTURE

1. Does the grantee explain the institutional structure, including private industry, nonprofit organizations, community and faith-based organizations, and public institutions, through which the jurisdiction will carry out its housing, homeless, and community development plan, assessing the strengths and gaps in the delivery

system?

Yes ☒

No ☐

Verification found Tab 2 Page 9-10.

COORDINATION

1. Does the plan identify the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health, and service agencies?
Yes ☒ No ☐ Verification found on Tab 2 Page 10.
2. With respect to the public entities involved, does the plan describe the means of cooperation among the state and local units of government in the metropolitan area for problems that go beyond a single jurisdiction, (i.e. transportation, workforce, economic development) in the implementation of the plan?
Yes ☐ No ☒ Verification found on Tab 2 Page 10.
3. With respect the homeless strategy, does the plan describe efforts to enhance coordination among agencies to address the needs of persons that are chronically homeless?
Yes ☒ No ☐ Verification found on Tab 2 Page 10.
4. With respect to economic development, does the plan describe efforts to enhance coordination with private industry, businesses, developers, and social service agencies.
Yes ☐ No ☒ Verification found on page

PUBLIC HOUSING

1. Does the grantee describe the jurisdiction's activities to encourage public housing residents to become more involved in management and participate in homeownership?
Yes ☒ No ☐ Verification found on Maricopa Consortium Consolidated Plan-Public Housing Strategy.
2. Has the grantee describe the manner in which the plan of the jurisdiction will help address the needs of public housing?
Yes ☒ No ☐ Verification found on Tab 2 Page 20.
Note: Amended to Title 1 October 21, 1998 Section 105(b)(11)

3. Is the grantee served by a troubled PHA as designated by HUD?
Yes ☐ No ☒
If YES, Has the grantee in which any troubled public housing agency is located, described the manner in which the State or unit of local government will provide financial or other assistance to such troubled agency in improving its operations to remove such designation?
Yes ☐ No ☐ Verification found on page
Note: Amended to Title 1 October 21, 1998 Section 105(g)

ACTION PLAN (91.220)

1. Has the Standard 424 Form for the applicable programs been included with the correct dollar allocations and signed by the appropriate official?
Yes ☒ No ☐
2. Is the DUNS number listed?

- Yes☒ No☐
3. Did the grantee describe the geographic areas of the jurisdiction (including areas of low income and/or racial/minority concentration) in which assistance will be directed during the next year.
Yes☒ No☐
4. Did the grantee describe the basis for allocating investments geographically within the jurisdiction (or within the EMSA for HOPWA) (91.215(a)(1)) during the next year and the rationale for assigning the priorities.
Yes☒ No☐

RESOURCES

1. Has the grantee described the Federal Resources, and private and non-Federal public resources expected to be available to address priority needs and specific objectives identified in the plan?
Yes☒ No☐ Verification found on Tab 3 Page 11 and 16.
2. Did the grantee describe how HOME and/or ESG matching requirements will be satisfied?
Yes☒ No☐ N/A☐ Verification found on Tab 3 Page 13.

ACTIVITIES 91.220(d)

- 1.
- a) Has the grantee described the CDBG funded activities for the program year in a complete manner? **See Table 3C**
Yes☒ No☐
 - b) Has the grantee described the HOME funded activities for the program year in a complete manner? **See Table 3C**
Yes☒ No☐
 - c) Has the grantee described the ESG funded activities for the program year in a complete manner? **See Table 3C**
Yes☐ No☒
 - d) Has the grantee described the HOPWA funded activities for the program year in a complete manner? **See Table 3C**
Yes☐ No☒
2. Does the action plan contain a summary of priorities and specific annual objectives that will be addressed during the program year?
Yes☒ No☐ Verification found on Tab 5 Projects and Summaries.
Note: The Jurisdiction should use summary of annual objectives as identified in Table 3A of the Consolidated Plan.
3. Do the proposed activities correspond to the priority needs identified/local specific objectives listed in the Consolidated Plan?
Yes☒ No☐ Verification found on page Tab 3 Page 11 and 16.
Note: The Jurisdiction should use priority needs as identified in Table 2A and 2B of the Consolidated Plan.
4. Are the proposed activities identified in sufficient detail, including the number and type of families that will benefit from the proposed activities and locations, so that

citizens know the degree to which they may be affected?

Yes ☒ No ☐ Verification found on Tab 5 Projects and Summaries

Outcomes 91.220(e)

1. Does the action plan contain outcome measures for activities in accordance with the Federal Register Notice dated March 7, 2006?

Yes ☒ No ☐ Verification found on Tab 3 Page 11, 16; Tab 5 Projects and Summaries

Expenditure Limits

1. Has the grantee exceeded the 20% administrative cap for CDBG?

Yes ☐ No ☒

2. Has the grantee exceeded the 15% public service cap for CDBG?

Yes ☐ No ☒

3. Has the grantee exceeded the 10% administrative cap for HOME?

Yes ☐ No ☒

4. Has the grantee met the 15% CHDO set-aside for HOME?

Yes ☒ No ☐

5. Has the grantee exceeded the 3% administrative cap for HOPWA or the 7% administrative cap by project sponsors under HOPWA?

Yes ☐ No ☐ **Not Applicable**

GEOGRAPHIC DISTRIBUTION 91.220(f)

1. Did the grantee include a narrative, maps, or tables that identify the geographic areas in which it will direct assistance?

Yes ☒ No ☐ Verification found on Tab 3 Page 3, 4, and 5.

2. Does the grantee provide a description of the areas, including areas of minority concentration, in which it will direct funds?

Yes ☒ No ☐ Verification found on Tab 3 Page 4 and 5.

3. Does the grantee provide the rationale for the priorities for allocating investment geographically for each program, including within the metropolitan area (or a State's service area) for the HOPWA program?

Yes ☒ No ☐ Verification found on Tab 3 Page 1 and 2.

If no, explain the basis for the no response:

4. Did the grantee estimate the percentage of funds it plans to dedicate to target areas?

Yes ☒ No ☐ Verification found on Tab 3 Page 2.

AFFORDABLE HOUSING GOALS 91.220(g)

1. Does the action plan specify one-year goals for the number of homeless, non-homeless, and special needs households to be provided affordable housing units using funds made available to the jurisdiction?

Yes ☐ No ☒ Verification found on page

Note: The Jurisdiction should use housing summary of goals as identified in Table 3B of the Consolidated Plan.

2. Does the action plan specify one-year goals for the number of households to be provided affordable housing units through activities that provide rental assistance, production of new units, rehabilitation of existing units, or acquisition of exiting units using funds made available to the jurisdiction?

Yes ☒ No ☐ Verification found on Tab 5 Projects and Summaries

Note: The Jurisdiction should use housing summary of goals as identified in Table 3B of the Consolidated Plan.

PUBLIC HOUSING 91.220(h)

1. Does the action plan include actions that address the following, **if applicable**:

- needs of public housing, Yes ☐ No ☒
- public housing improvements and resident initiatives, Yes ☐ No ☒
- assist troubled public housing agencies. Yes ☐ No ☒

HOMELESS AND OTHER SPECIAL NEEDS ACTIVITIES 91.220(i)

1. Have homeless prevention activities been proposed?

Yes ☒ No ☐ Verification found on Tab 3 Page 14.

2. Have emergency shelter, transitional housing, programs to assist in the transition to permanent housing and independent living been proposed?

Yes ☒ No ☐ Verification found on Tab 3 Page 14.

3. Are supportive housing activities being undertaken to address the priority housing needs of persons who are not homeless (elderly, frail elderly, persons with disabilities, person with HIV/AIDS, persons with alcohol or other substance abuse problems)?

Yes ☒ No ☐ Verification found on Tab 3 Page 14.

4. Have specific action steps to end chronic homelessness been identified?

Yes ☒ No ☐ Verification found on Tab 3 Page 14.

OTHER ACTIONS 91.220(k)

1. Does the Action Plan include other proposed actions which will address the following, **if applicable**:

- foster and maintain affordable housing, Yes ☒ No ☐
- public housing improvements and resident initiatives, Yes ☐ No ☒
- evaluation and reduction of lead-based hazards, Yes ☒ No ☐
- reducing the number of persons below the poverty line, Yes ☒ No ☐
- developing institutional structures/enhancing coordination between housing and services agencies, Yes ☒ No ☐.

PROGRAM SPECIFIC REQUIREMENTS 91.220(l)

1. CDBG

- a) Does the total amount of funds allocated equal the amount of the grant plus program income and carryover funds? Yes ☒ No ☐
- b) Does the action plan identify the amount of CDBG funds that will be used for activities that benefit persons of low- and moderate-income? Yes ☒ No ☐
- c) Does the action plan identify all activities assisted through the Section 108 Loan Guarantee program? Yes ☐ No ☒

2. HOME

See the Consolidated Plan for the Maricopa HOME Consortium.

- a) Did grantee (PJ) describe other forms of investment? **See Section 92.205**
Yes ☐ No ☐ N/A ☐
If grantee (PJ) plans to use HOME funds for homebuyers, did they state the guidelines of resale or recapture, as required in 92.254?
Yes ☐ No ☐ N/A ☐
- b) If grantee (PJ) plans to use HOME funds to refinance existing debt secured by multifamily housing that is being rehabilitated with HOME funds, did they state its refinancing guidelines required under 24 CFR 92.206(b)?
Yes ☐ No ☐ N/A ☐
- c) Resale Provisions -- For homeownership activities, did the participating jurisdiction must describe its resale or recapture guidelines that ensure the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4).
Yes ☐ No ☐
- d) HOME Tenant-Based Rental Assistance -- Did the participating jurisdiction must describe the local market conditions that led to the use of a HOME funds for tenant based rental assistance program?
Yes ☐ No ☐
 - a. If the tenant based rental assistance program is targeted to or provides a preference for a special needs group, that group must be identified in the Consolidated Plan as having an unmet need and show the preference is needed to narrow the gap in benefits and services received by this population.
- e) If a participating jurisdiction intends to use forms of investment other than those described in 24 CFR 92.205(b), did the jurisdiction describe these forms of investment?
Yes ☐ No ☐
- f) Did the jurisdiction describe the policy and procedures it will follow to affirmatively market housing containing five or more HOME-assisted units?
Yes ☐ No ☐
- g) Did the jurisdiction describe actions taken to establish and oversee a minority outreach program within its jurisdiction to ensure inclusion, to the maximum extent possible, of minority and women, and entities owned by minorities and women, including without limitation, real estate firms, construction firms, appraisal firms, management firms, financial institutions, investment banking firms, underwriters, accountants, and providers of legal services, in all contracts, entered into by the participating jurisdiction with such persons or entities, public and private, in order to facilitate the activities of the participating jurisdiction to provide affordable housing under the HOME program or any other Federal housing law applicable to such jurisdiction?
Yes ☐ No ☐
- h) If a jurisdiction intends to use HOME funds to refinance existing debt secured by

multifamily housing that is rehabilitated with HOME funds, did it state its financing guidelines required under 24 CFR 92.206(b)?

Yes ☐ No ☐

3. American Dream Downpayment Initiative

See the Consolidated Plan for the Maricopa HOME Consortium.

- a. If the jurisdiction planned to use American Dream Downpayment Initiative (ADDI) funds to increase access to homeownership, did it provide the following information:
- i. description of the planned use of the ADDI funds?
Yes ☐ No ☐
 - ii. plan for conducting targeted outreach to residents and tenants of public and manufactured housing and to other families assisted by public housing agencies, for the purposes of ensuring that the ADDI funds are used to provide downpayment assistance for such residents, tenants, and families? Yes ☐ No ☐
 - iii. a description of the actions to be taken to ensure the suitability of families receiving ADDI funds to undertake and maintain homeownership, such as provision of housing counseling to homebuyers?
Yes ☐ No ☐

4. HOPWA

Not Applicable.

- a) Does the action plan specify on-year goals for the number of low-income households to be provided affordable housing using HOPWA funds for short-term rent, mortgage, and utility payments to prevent homelessness; tenant-based rental assistance, units provided in housing facilities operated with HOPWA funds? Yes ☐ No ☐ Verification found on page
- b) Does the action plan identify the method for selecting project sponsors (including providing full access to grassroots faith-based and other community organizations)?
Yes ☐ No ☐ Verification found on page

MONITORING (91.230)

1. Does the grantee describe the standards and procedures that it will use to monitor activities carried out in furtherance of the plan?
Yes ☒ No ☐ Verification found on Tab 3 Page 9-10.
2. Does the Plan describe actions to be taken by the grantee to monitor its performance in meeting its goals and objectives set forth in its Consolidated Plan?
Yes ☒ No ☐ Verification found on Tab 3 Page 9-10.
3. Does the Plan describe steps/actions being taken to insure compliance with program requirements, including requirements involving the timeliness of expenditures?
Yes ☒ No ☐ Verification found on Tab 3 Page 9-10.

Note: If timeliness of expenditures is an issue, please make sure the grant award letter includes language regarding appropriate actions the grantee should take to remedy this problem.

4. Does the Plan describe steps/actions it will use to ensure long-term compliance with housing codes, including any actions or on-site inspections it plans to undertake during the program year?

Yes ☒ No ☐ Verification found on Tab 3 Page 10.

Note: For example, a HOME program grantee should identify steps it will take to review affordable housing projects it has funded to insure compliance with all HOME program requirements.

5. Does the Plan describe actions to be taken by the grantee to monitor its subrecipients, (including sponsors or administering agents)?

Yes ☒ No ☐ Verification found on Tab 3 Page 10.

HUD APPROVAL ACTION

The regulations at Section 91.500(b) state that HUD will approve or disapprove a plan or a portion of a plan for the three following reasons:

- 1) if it is inconsistent with the purposes of NAHA;
- 2) if it is substantially incomplete; and/or
- 3) if certifications are not satisfactory to the Secretary
- 4) if does not include description of manner in which unit of local government or state will provide financial or other assistance to troubled public housing agencies.

Please use the following to determine approval or disapproval:

CONSISTENCY WITH NAHA

1. Is the Plan inconsistent with the purposes of NAHA?

Yes ☒ No ☐

If the Plan is inconsistent with NAHA, set forth the basis of that determination by using the following as a guide:

- Does the Plan provide assistance to help families, not owning a home, to save for a down-payment for the purchase of a home.
- Does the Plan provide assistance to retain, where feasible, as housing affordable to low income families, those dwelling units provided for such purpose with federal assistance.
- Does the Plan provide assistance to extend and strengthen partnerships among all levels of government and the private sector, including for-profit and non-profit organizations, in the production and operation of housing affordable to low- and moderate-income families.
- Does the Plan provide assistance to expand and improve federal rental assistance for very low-income families.
- Does the Plan provide assistance to increase the supply of supportive housing, which combines structural features and services needed to enable persons with special needs to live with dignity and independence.

SUBSTANTIALLY INCOMPLETE

1. Is the Plan (including any corrective actions taken at HUD's request during HUD's review of the plan) substantially incomplete?

Yes ☐ No ☒

If the Plan is substantially incomplete, set forth the basis of that determination by using the following as a guide:

- The Plan was developed without the required citizen participation or the required consultation.
- The Plan fails to satisfy all the required elements in the regulations.

AFFIRMATIVELY FURTHERING FAIR HOUSING

1. Is the Certification to Affirmatively Further Fair Housing satisfactory to the Secretary?

Yes ☒ No ☐

If the Certification is not satisfactory, set forth the basis of that determination by using the following as a guide:

- Disregard of regulatory requirements to conduct an analysis of impediments to fair housing choice, take appropriate actions to address identified impediments, and maintain adequate records on the steps taken to affirmatively further fair housing in the jurisdiction.
- Lack of action taken on outstanding findings regarding performance under affirmatively furthering fair housing certification requirements of the Consolidated Plan or the Community Development Block Grant Program.

CERTIFICATIONS (91.225)

1. Are the general and specific certifications for each program funded complete and accurate, where applicable:

Note: Consortia, please refer to 91.425
State, please refer to 91.325

General:

(1) Affirmatively furthering fair housing:	Yes ☒	No ☐
(2) Anti-displacement and relocation Plan:	Yes ☒	No ☐
(3) Drug-free workplace:	Yes ☒	No ☐
(4) Anti-lobbying	Yes ☒	No ☐
(5) Authority of Jurisdiction	Yes ☒	No ☐
(6) Consistency with Plan	Yes ☒	No ☐
(7) Acquisition and relocation	Yes ☒	No ☐
(8) Section 3	Yes ☒	No ☐

CDBG: **

(1) Citizen Participation	Yes ☒	No ☐
(2) Community Development Plan	Yes ☒	No ☐
(3) Following Plan	Yes ☒	No ☐
(4) Use of funds	Yes ☒	No ☐

- | | | |
|---|---|-----------------------------|
| (5) Excessive Force | Yes ☒ | No ☐ |
| (6) Compliance with anti-discrimination law | Yes ☒ | No ☐ |
| (7) Compliance with lead-based paint procedures | Yes ☒ | No ☐ |
| (8) Compliance with laws | Yes ☒ | No ☐ |

ESG:

- | | | |
|---|------------------------------|-----------------------------|
| (1) Not less than 10-years | Yes ☐ | No ☐ |
| (2) Not less than 3-years | Yes ☐ | No ☐ |
| (3) Service Provision | Yes ☐ | No ☐ |
| (4) Safe and Sanitary | Yes ☐ | No ☐ |
| (5) Supportive Services | Yes ☐ | No ☐ |
| (6) Match Requirements | Yes ☐ | No ☐ |
| (7) Confidentiality | Yes ☐ | No ☐ |
| (8) Employing or involving the homeless | Yes ☐ | No ☐ |
| (9) Consolidated Plan compliance | Yes ☐ | No ☐ |
| (10) Discharge policy | Yes ☐ | No ☐ |

HOME

- | | | |
|----------------------------------|------------------------------|-----------------------------|
| (1) TBRA is consistent w/Plan | Yes ☐ | No ☐ |
| (2) Use for eligible activities | Yes ☐ | No ☐ |
| (3) Monitor for subsidy layering | Yes ☐ | No ☐ |

HOPWA:

- | | | |
|-----------------------------|------------------------------|-----------------------------|
| (1) Meet urgent needs | Yes ☐ | No ☐ |
| (2) 10- or 3-year operation | Yes ☐ | No ☐ |

***The certification period for the CDBG program's overall benefit requirements must be consistent with the period certified in the prior certification.*

Based on my review of the Plan against the regulations, I have determined the Plan is:

Approved ☐

Disapproved ☐

Date plan disapproved (in part or in its entirety):

Note: Written notification of disapproval must be communicated to the applicant in accordance with 24 CFR 91.500(c). **If disapproved**, provide documentation including dates and times on incompleteness determination, and discussions with grantee and Headquarters:

Reviewed by

DATE:

Program Manager

DATE:

CPD Director

DATE:



**COMMUNITY DEVELOPMENT DEPARTMENT
NEIGHBORHOOD SERVICES**

16000 North Civic Center Plaza
Surprise, Arizona 85374
Ph: 623.222.1550
Fax: 623.222.3001
TTY: 623.222.1002

Consolidated Plan 2010-14 Survey

The City of Surprise Neighborhood Services Division is in the process of preparing a five-year Consolidated Plan 2010-14 and Action Plan 2010-11 and we seek your valuable input. The Plans under development will represent the community development and housing needs for the City of Surprise, as well as will guide the allocation of federal funds and other resources in our community.

The Consolidated Plan is a five-year planning document which: 1) identifies housing needs and opportunities; 2) analyzes market conditions and resources; 3) sets priorities and adopts strategies; 4) allocates resources; and 5) contains an annual plan with reporting obligations which tracks how monies are spent and how goals are accomplished for the funding year 2010-2011.

These Plans cover the FY 2010-14 planning period and will guide the use of Community Development Block Grant (CDBG), Home Investment Partnership (HOME), and other resources. All of the plans to be prepared will be submitted to the U.S. Department of Housing and Urban Development by May 2010. Your input and perspectives on community development and housing needs and priorities for the city are greatly appreciated and we thank you for your cooperation in completing this survey before January 08, 2010.

Part I

Please indicate which city department you represent in this survey:

Please complete the survey irrespective of your expertise in other fields or departments.

- ☐ Community and Recreation Services
- ☒ Community Development
- ☐ Economic Development
- ☐ Engineering
- ☐ Finance
- ☐ Fire
- ☐ Management and Budget
- ☐ Police
- ☐ Public Works
- ☐ Transportation

Rate the need level for each of the following items: Check the one that best applies.

Section 1: Community Development Priorities

Community Facilities	Low	Med	High	Community Services	Low	Med	High
Senior centers	☐	☐	☒	Senior activities	☐	☒	☐
Youth centers	☐	☐	☒	Youth activities	☐	☒	☐
Child care centers	☐	☐	☒	Child care services	☐	☒	☐
Park & Recreational facilities	☐	☐	☒	Transportation services	☐	☐	☒
Health care facilities	☐	☐	☒	Anti-crime programs	☐	☒	☐
Community centers	☐	☐	☒	Health services	☐	☒	☐
Fire stations & equipment	☐	☐	☒	Mental health services	☐	☒	☐
School improvements	☐	☐	☒	Legal services	☐	☒	☐

Infrastructure	Low	Med	High
Drainage improvement	☐	☐	☒
Street/alley improvement	☐	☒	☐
Street lighting	☐	☒	☐
Sidewalk improvements	☐	☐	☒

Neighborhood Services	Low	Med	High
Water/sewer improvement	☐	☐	☒
Trash & debris removal	☐	☐	☒
Graffiti removal	☐	☒	☐
Code enforcement	☐	☒	☐
Parking facilities	☐	☒	☐
Cleanup abandoned lots & building demolition	☐	☐	☒

Special Needs Services	Low	Med	High
Literacy	☐	☒	☐
Centers/services for disabled	☐	☒	☐
Accessibility improvements	☐	☐	☒
Domestic violence	☐	☒	☐
Substance abuse	☐	☒	☐
Homeless shelters	☐	☒	☐
HIV/AIDS centers	☐	☒	☐
Neglected/abused centers & services	☐	☒	☐

Housing	Low	Med	High
Residential rehabilitation	☐	☒	☐
Homebuyer assistance	☐	☐	☒
Housing for disabled	☐	☒	☐
Senior housing	☐	☒	☐
Single-family housing	☐	☒	☐
Multi-family housing	☐	☒	☐
Affordable rental housing	☐	☒	☐
Fair housing	☐	☒	☐
Lead-based paint test/abatement	☐	☒	☐
Residential historic preservation	☐	☒	☐
Historic preservation	☒	☐	☐
Energy efficient improvements	☐	☒	☐

Section 2: Economic Development Priorities

Business & Jobs	Low	Med	High
Start up business assistance	☐	☐	☒
Small business loans	☐	☐	☒
Job creation/retention	☐	☐	☒
Employment training	☐	☐	☒
Commercial/ rehabilitation	☐	☐	☒
Façade improvements	☐	☐	☒
Business mentoring	☐	☐	☒

Labor	Low	Med	High
Availability of skilled labor	☐	☐	☒
Availability of unskilled labor	☐	☒	☐
Training programs	☐	☐	☒
Labor costs	☐	☒	☐
Non-union work force	☐	☒	☐
Union work force	☐	☒	☐

Transportation/Telecommunications	Low	Med	High
Highway accessibility	☐	☐	☒
Railroad service	☐	☐	☒
Availability of telecommunications services	☐	☒	☐
Availability of high-speed Internet access	☐	☒	☐

Finance	Low	Med	High
Availability of long-term financing	☐	☐	☒
Tax exemptions	☐	☐	☒
State and local incentives	☐	☐	☒

Quality-of-life factors	Low	Med	High
Climate	☐	☒	☐
Housing availability	☐	☒	☐
Housing costs	☐	☒	☐
Health facilities	☐	☒	☐
Cultural opportunities	☐	☐	☒
Recreational opportunities	☐	☒	☐
Colleges and universities in the city	☐	☐	☒
Low crime rate	☐	☒	☐

Part II

Action Items:

Below are the priority objectives the city is pursuing in its 2010-14 Consolidated Plan. Please check (Y=yes; N=no) any or all objectives you feel should be addressed in the plan. All the objectives mentioned here are examples and primarily for understanding the Consolidated Plan only. They are not to be considered as the final list. Please add any other comments or suggestions regarding the conditions or needs that the city should make a priority objective in the plan.

Community Development

1. Y Conduct approximately two (2) neighborhood-based organizations per year for blight-reduction, beautification, crime prevention, leadership/capacity development, development planning, or other neighborhood improvement activities.
2. Y Improve lighting, drainage or other street infrastructure needs in targeted neighborhoods or other predominantly low/mod income census tracts.
3. Y Assist in the acquisition or improvement of public facilities such as community, health, or job/economic assistance centers.
4. Y Provide financial incentives to approximately 20 low and middle income homebuyers to acquire and or rehabilitate foreclosed or substandard housing that is contributing to neighborhood blight.
5. Y Construct or rehabilitate and sell approximately 20 single-family homes with LEED certification to low and middle income homebuyers.
6. Y Provide housing counseling and education, including assistance in accessing financing, for 100 potential homebuyers seeking to buy homes within the city.
7. N Demolish or cause to be demolished, after consultation with the affected neighborhoods, buildings determined to be infeasible for repair, due to advanced deterioration and/or other factors.
8. Y Assist accessibility or other home modifications for approximately 20 special needs units.
9. Y Assist in substantial rehabilitation of approximately 20 owner or tenant occupied housing units.
10. Y Provide emergency repairs for approximately 20 owner-occupied single family homes.
11. Y Provide downpayment and closing cost assistance for approximately 20 homebuyers who are purchasing homes in the city.
12. Y Conduct and continue fair housing education to remove or reduce impediments to fair housing choice.

Other: _____

Economic Development

13. Y Assist with improvements to 20 commercial/industrial properties located in target neighborhoods or other predominantly low/mod income census tracts.
14. Y Provide workforce and related training reflecting area labor market employment opportunities for at least 100 individuals.
15. Y Create 100 jobs through financial assistance and/or business development services for entrepreneurs and/or expanding small companies.

Other: _____

Please list top priorities for each of the 5 years from the list provided above or list your own suggestion:

2010-2011 4, 15, 11

2011-2012 4, 5, 14, 15, 11

2012-2013 4, 5, 14, 15, 11, 1

2013-2014 4, 5, 14, 15, 11, 1, 2

2014-2015 14, 15, 11, 1, 2

Thank you again for sharing your thoughts with us. Providing your name and phone number is optional, but would allow us to learn more from you, if we have questions.

Name: _____

Phone: _____



**COMMUNITY DEVELOPMENT DEPARTMENT
NEIGHBORHOOD SERVICES**

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These Plans cover the FY 2010-14 planning period and will guide the use of Community Development Block Grant (CDBG), Home Investment Partnership (HOME), and other resources. All of the plans to be prepared will be submitted to the U.S. Department of Housing and Urban Development by May 2010. Your input and perspectives on community development and housing needs and priorities for the city are greatly appreciated and we thank you for your cooperation in completing this survey before January 08, 2010.

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Please complete the survey irrespective of your expertise in other fields or departments.

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- ☐ Economic Development
- ☐ Engineering
- ☐ Finance
- ☐ Fire
- ☐ Management and Budget
- ☐ Police
- ☐ Public Works
- ☐ Transportation

Rate the need level for each of the following items: Check the one that best applies.

Section 1: Community Development Priorities

Community Facilities	Low	Med	High	Community Services	Low	Med	High
Senior centers	☐	☐	☒	Senior activities	☐	☒	☐
Youth centers	☐	☐	☒	Youth activities	☐	☒	☐
Child care centers	☒	☐	☐	Child care services	☒	☐	☐
Park & Recreational facilities	☒	☐	☐	Transportation services	☐	☐	☒
Health care facilities	☒	☐	☐	Anti-crime programs	☒	☐	☐
Community centers	☐	☐	☒	Health services	☒	☐	☐
Fire stations & equipment	☒	☐	☐	Mental health services	☒	☐	☐
School improvements	☐	☐	☒	Legal services	☐	☐	☒

Infrastructure	Low	Med	High
Drainage improvement	☐	☒	☐
Street/alley improvement	☐	☐	☒
Street lighting	☒	☐	☐
Sidewalk improvements	☐	☐	☒

Neighborhood Services	Low	Med	High
Water/sewer improvement	☐	☐	☒
Trash & debris removal	☒	☐	☐
Graffiti removal	☒	☐	☐
Code enforcement	☒	☐	☐
Parking facilities	☐	☒	☐
Cleanup abandoned lots & building demolition	☐	☒	☐

Special Needs Services	Low	Med	High
Literacy	☒	☐	☐
Centers/services for disabled	☐	☐	☒
Accessibility improvements	☒	☐	☐
Domestic violence	☐	☒	☐
Substance abuse	☐	☒	☒
Homeless shelters	☐	☒	☐
HIV/AIDS centers	☐	☒	☐
Neglected/abused centers & services	☐	☒	☐

Housing	Low	Med	High
Residential rehabilitation	☒	☐	☐
Homebuyer assistance	☐	☒	☐
Housing for disabled	☒	☐	☐
Senior housing	☒	☐	☐
Single-family housing	☒	☐	☐
Multi-family housing	☒	☐	☐
Affordable rental housing	☒	☐	☐
Fair housing	☒	☐	☐
Lead-based paint test/abatement	☒	☐	☐
Residential historic preservation	☐	☐	☒
Historic preservation	☐	☐	☒
Energy efficient improvements	☐	☐	☒

Section 2: Economic Development Priorities

Business & Jobs	Low	Med	High
Start up business assistance	☐	☒	☒
Small business loans	☐	☒	☒
Job creation/retention	☐	☐	☒
Employment training	☐	☐	☒
Commercial/ rehabilitation	☐	☐	☒
Façade improvements	☐	☒	☐
Business mentoring	☒	☐	☐

Labor	Low	Med	High
Availability of skilled labor	☒	☐	☐
Availability of unskilled labor	☒	☐	☐
Training programs	☒	☐	☐
Labor costs	☒	☐	☐
Non-union work force	☒	☐	☐
Union work force	☒	☐	☐

Transportation/Telecommunications	Low	Med	High
Highway accessibility	☐	☒	☐
Railroad service	☒	☐	☐
Availability of telecommunications services	☒	☐	☐
Availability of high-speed Internet access	☒	☐	☐

Finance	Low	Med	High
Availability of long-term financing	☐	☒	☐
Tax exemptions	☐	☒	☐
State and local incentives	☐	☒	☐

Quality-of-life factors	Low	Med	High
Climate	☒	☐	☐
Housing availability	☒	☐	☐
Housing costs	☒	☐	☐
Health facilities	☒	☐	☐
Cultural opportunities	☐	☐	☒
Recreational opportunities	☒	☐	☐
Colleges and universities in the city	☐	☐	☒
Low crime rate	☐	☒	☐

Part II

Action Items:

Below are the priority objectives the city is pursuing in its 2010-14 Consolidated Plan. Please check (Y=yes; N=no) any or all objectives you feel should be addressed in the plan. All the objectives mentioned here are examples and primarily for understanding the Consolidated Plan only. They are not to be considered as the final list. Please add any other comments or suggestions regarding the conditions or needs that the city should make a priority objective in the plan.

Community Development

1. ☒ Conduct approximately two (2) neighborhood-based organizations per year for blight-reduction, beautification, crime prevention, leadership/capacity development, development planning, or other neighborhood improvement activities.
2. ☒ Improve lighting, drainage or other street infrastructure needs in targeted neighborhoods or other predominantly low/mod income census tracts.
3. ☒ Assist in the acquisition or improvement of public facilities such as community, health, or job/economic assistance centers.
4. ☒ Provide financial incentives to approximately 20 low and middle income homebuyers to acquire and or rehabilitate foreclosed or substandard housing that is contributing to neighborhood blight.
5. ☒ Construct or rehabilitate and sell approximately 20 single-family homes with LEED certification to low and middle income homebuyers.
6. ☒ Provide housing counseling and education, including assistance in accessing financing, for 100 potential homebuyers seeking to buy homes within the city.
7. ☒ Demolish or cause to be demolished, after consultation with the affected neighborhoods, buildings determined to be infeasible for repair, due to advanced deterioration and/or other factors.
8. ☒ Assist accessibility or other home modifications for approximately 20 special needs units.
9. ☒ Assist in substantial rehabilitation of approximately 20 owner or tenant occupied housing units.
10. ☒ Provide emergency repairs for approximately 20 owner-occupied single family homes.
11. ☒ Provide downpayment and closing cost assistance for approximately 20 homebuyers who are purchasing homes in the city.
12. ☒ Conduct and continue fair housing education to remove or reduce impediments to fair housing choice.

Other: 20 homes is not significant for the city as a whole
Improvements to apartment complexes would be higher priority; Amy Street Apts.

Economic Development

13. ☒ Assist with improvements to 20 commercial/industrial properties located in target neighborhoods or other predominantly low/mod income census tracts.
14. ☒ Provide workforce and related training reflecting area labor market employment opportunities for at least 100 individuals.
15. ☒ Create 100 jobs through financial assistance and/or business development services for entrepreneurs and/or expanding small companies.

Other: 20 commercial developments is better for the city
as a whole

Please list top priorities for each of the 5 years from the list provided above or list your own suggestion:

2010-2011 1, 12, 13, 14, 15

2011-2012 1, ~~8~~, 12, 13, 14

2012-2013 2, 12, 13, 14, 15

2013-2014 1, 12, 13, 14, 15

2014-2015 1, 12, 13, 14, 15

Thank you again for sharing your thoughts with us. Providing your name and phone number is optional, but would allow us to learn more from you, if we have questions.

Name: _____

Phone: _____



COMMUNITY DEVELOPMENT DEPARTMENT NEIGHBORHOOD SERVICES

16000 North Civic Center Plaza

Surprise, Arizona 85374

Ph: 623.222.1550

Fax: 623.222.3001

TTY: 623.222.1002

Consolidated Plan 2010-14 Survey

The City of Surprise Neighborhood Services Division is in the process of preparing a five-year Consolidated Plan 2010-14 and Action Plan 2010-11 and we seek your valuable input. The Plans under development will represent the community development and housing needs for the City of Surprise, as well as will guide the allocation of federal funds and other resources in our community.

The Consolidated Plan is a five-year planning document which: 1) identifies housing needs and opportunities; 2) analyzes market conditions and resources; 3) sets priorities and adopts strategies; 4) allocates resources; and 5) contains an annual plan with reporting obligations which tracks how monies are spent and how goals are accomplished for the funding year 2010-2011.

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Part I

Please indicate which city department you represent in this survey:

Please complete the survey irrespective of your expertise in other fields or departments.

- ☐ Community and Recreation Services
- ☒ Community Development — JPH
- ☐ Economic Development — Jene / March
- ☐ Engineering — CC: Donna / M. Lipp
- ☐ Finance — Jue Kennedy
- ☐ Fire
- ☐ Management and Budget
- ☐ Police
- ☐ Public Works — Bob Bechly
- ☐ Transportation — CC: Christina Goffine

Daniel Hughes
Michael Whit - Clint Miller

Kevin Probst
Kawen Rich
Mike Porrovan

Rate the need level for each of the following items: Check the one that best applies.

Section 1: Community Development Priorities

Community Facilities	Low	Med	High	Community Services	Low	Med	High
Senior centers	☐	☒	☐	Senior activities	☐	☒	☐
Youth centers	☐	☐	☒	Youth activities	☐	☐	☒
Child care centers	☐	☐	☒	Child care services	☐	☐	☒
Park & Recreational facilities	☐	☒	☐	Transportation services	☐	☐	☒
Health care facilities	☐	☐	☒	Anti-crime programs	☐	☒	☐
Community centers	☐	☐	☒	Health services	☐	☐	☒
Fire stations & equipment	☒	☐	☐	Mental health services	☐	☐	☒
School improvements	☐	☒	☐	Legal services	☐	☒	☐

Infrastructure	Low	Med	High
Drainage improvement	☐	☐	☒
Street/alley improvement	☐	☒	☐
Street lighting	☒	☐	☐
Sidewalk improvements	☐	☒	☐

Neighborhood Services	Low	Med	High
Water/sewer improvement	☒	☐	☐
Trash & debris removal	☒	☐	☒
Graffiti removal	☐	☒	☐
Code enforcement	☐	☒	☐
Parking facilities	☒	☐	☐
Cleanup abandoned lots & building demolition	☐	☐	☒

Special Needs Services	Low	Med	High
Literacy	☐	☐	☒
Centers/services for disabled	☐	☐	☒
Accessibility improvements	☐	☐	☒
Domestic violence	☐	☐	☒
Substance abuse	☐	☐	☒
Homeless shelters	☐	☐	☒
HIV/AIDS centers	☐	☐	☒
Neglected/abused centers & services	☐	☐	☒

Housing	Low	Med	High
Residential rehabilitation	☐	☐	☒
Homebuyer assistance	☐	☐	☒
Housing for disabled	☐	☐	☒
Senior housing	☐	☒	☐
Single-family housing	☒	☐	☐
Multi-family housing	☒	☐	☐
Affordable rental housing	☐	☒	☐
Fair housing	☐	☐	☒
Lead-based paint test/abatement	☐	☐	☒
Residential historic preservation	☒	☐	☐
Historic preservation	☐	☒	☐
Energy efficient improvements	☐	☐	☒

Section 2: Economic Development Priorities

Business & Jobs	Low	Med	High
Start up business assistance	☐	☐	☒
Small business loans	☒	☐	☐
Job creation/retention	☐	☐	☒
Employment training	☐	☐	☒
Commercial/ rehabilitation	☐	☒	☒
Façade improvements	☐	☒	☐
Business mentoring	☐	☒	☐

Labor	Low	Med	High
Availability of skilled labor	☐	☒	☐
Availability of unskilled labor	☒	☐	☐
Training programs	☐	☒	☐
Labor costs	☐	☒	☐
Non-union work force	☐	☒	☐
Union work force	☐	☐	☒

Transportation/Telecommunications	Low	Med	High
Highway accessibility	☐	☒	☐
Railroad service	☐	☐	☒
Availability of telecommunications services	☐	☐	☒
Availability of high-speed Internet access	☐	☐	☒

Finance	Low	Med	High
Availability of long-term financing	☐	☐	☒
Tax exemptions	☐	☐	☒
State and local incentives	☐	☐	☒

Quality-of-life factors	Low	Med	High
Climate	☒	☐	☒
Housing availability	☒	☐	☐
Housing costs	☒	☐	☐
Health facilities	☐	☒	☐
Cultural opportunities	☐	☒	☐
Recreational opportunities	☐	☒	☐
Colleges and universities in the city	☐	☐	☒
Low crime rate	☐	☐	☒

Part II

Action Items:

Below are the priority objectives the city is pursuing in its 2010-14 Consolidated Plan. Please check (Y=yes; N=no) any or all objectives you feel should be addressed in the plan. All the objectives mentioned here are examples and primarily for understanding the Consolidated Plan only. They are not to be considered as the final list. Please add any other comments or suggestions regarding the conditions or needs that the city should make a priority objective in the plan.

Community Development

1. ☒ Conduct approximately two (2) neighborhood-based organizations per year for blight-reduction, beautification, crime prevention, leadership/capacity development, development planning, or other neighborhood improvement activities.
2. ☒ Improve lighting, drainage or other street infrastructure needs in targeted neighborhoods or other predominantly low/mod income census tracts.
3. ☒ Assist in the acquisition or improvement of public facilities such as community, health, or job/economic assistance centers.
4. ☒ Provide financial incentives to approximately 20 low and middle income homebuyers to acquire and or rehabilitate foreclosed or substandard housing that is contributing to neighborhood blight.
5. ☒ Construct or rehabilitate and sell approximately 20 single-family homes with LEED certification to low and middle income homebuyers.
6. ☒ Provide housing counseling and education, including assistance in accessing financing, for 100 potential homebuyers seeking to buy homes within the city.
7. ☒ Demolish or cause to be demolished, after consultation with the affected neighborhoods, buildings determined to be infeasible for repair, due to advanced deterioration and/or other factors.
8. ☒ Assist accessibility or other home modifications for approximately 20 special needs units.
9. ☒ Assist in substantial rehabilitation of approximately 20 owner or tenant occupied housing units.
10. ☒ Provide emergency repairs for approximately 20 owner-occupied single family homes.
11. ☒ Provide downpayment and closing cost assistance for approximately 20 homebuyers who are purchasing homes in the city.
12. ☒ Conduct and continue fair housing education to remove or reduce impediments to fair housing choice.

Other: _____

Economic Development

13. ☒ Assist with improvements to 20 commercial/industrial properties located in target neighborhoods or other predominantly low/mod income census tracts.
14. ☒ Provide workforce and related training reflecting area labor market employment opportunities for at least 100 individuals.
15. ☒ Create 100 jobs through financial assistance and/or business development services for entrepreneurs and/or expanding small companies.

Other: _____

Please list top priorities for each of the 5 years from the list provided above or list your own suggestion:

2010-2011 youth / community centers
2011-2012 Transportation improvements (Public)
2012-2013 Rehabilitation of low income housing (LEED) or green housing
2013-2014 Drainage improvements
2014-2015 Facade Improvements

Thank you again for sharing your thoughts with us. Providing your name and phone number is optional, but would allow us to learn more from you, if we have questions.

Name: _____
Phone: _____



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Part I

Please indicate which city department you represent in this survey:

Please complete the survey irrespective of your expertise in other fields or departments.

- ☐ Community and Recreation Services
- ☒ Community Development
- ☐ Economic Development
- ☐ Engineering
- ☐ Finance
- ☐ Fire
- ☐ Management and Budget
- ☐ Police
- ☐ Public Works
- ☐ Transportation

Rate the need level for each of the following items: Check the one that best applies.

Section 1: Community Development Priorities

Community Facilities	Low	Med	High	Community Services	Low	Med	High
Senior centers	☐	☒	☐	Senior activities	☐	☒	☐
Youth centers	☐	☐	☒	Youth activities	☐	☐	☒
Child care centers	☐	☐	☒	Child care services	☐	☐	☒
Park & Recreational facilities	☐	☐	☒	Transportation services	☐	☐	☒
Health care facilities	☒	☐	☐	Anti-crime programs	☐	☒	☐
Community centers	☐	☒	☐	Health services	☒	☐	☐
Fire stations & equipment	☒	☐	☐	Mental health services	☒	☐	☐
School improvements	☐	☒	☐	Legal services	☒	☐	☐

Infrastructure	Low	Med	High
Drainage improvement	☒	☐	☐
Street/alley improvement	☐	☒	☐
Street lighting	☐	☒	☐
Sidewalk improvements	☐	☐	☒

Neighborhood Services	Low	Med	High
Water/sewer improvement	☒	☐	☐
Trash & debris removal	☐	☐	☒
Graffiti removal	☐	☐	☒
Code enforcement	☐	☒	☐
Parking facilities	☒	☐	☐
Cleanup abandoned lots & building demolition	☐	☒	☐

Special Needs Services	Low	Med	High
Literacy	☐	☒	☐
Centers/services for disabled	☒	☐	☐
Accessibility improvements	☒	☐	☐
Domestic violence	☐	☒	☐
Substance abuse	☐	☒	☐
Homeless shelters	☒	☐	☐
HIV/AIDS centers	☒	☐	☐
Neglected/abused centers & services	☐	☒	☐

Housing	Low	Med	High
Residential rehabilitation	☐	☒	☐
Homebuyer assistance	☐	☐	☒
Housing for disabled	☐	☒	☐
Senior housing	☒	☐	☐
Single-family housing	☒	☐	☐
Multi-family housing	☐	☐	☒
Affordable rental housing	☒	☐	☐
Fair housing	☐	☒	☐
Lead-based paint test/abatement	☒	☐	☐
Residential historic preservation	☒	☐	☐
Historic preservation	☒	☐	☐
Energy efficient improvements	☐	☒	☐

Section 2: Economic Development Priorities

Business & Jobs	Low	Med	High
Start up business assistance	☐	☐	☒
Small business loans	☐	☒	☐
Job creation/retention	☐	☐	☒
Employment training	☐	☒	☐
Commercial/ rehabilitation	☒	☐	☐
Façade improvements	☒	☐	☐
Business mentoring	☐	☒	☐

Labor	Low	Med	High
Availability of skilled labor	☐	☐	☒
Availability of unskilled labor	☒	☐	☐
Training programs	☐	☒	☐
Labor costs	☒	☐	☐
Non-union work force	☐	☒	☐
Union work force	☒	☐	☐

Transportation/Telecommunications	Low	Med	High
Highway accessibility	☒	☐	☐
Railroad service	☒	☐	☐
Availability of telecommunications services	☐	☐	☒
Availability of high-speed Internet access	☐	☐	☒

Finance	Low	Med	High
Availability of long-term financing	☐	☒	☐
Tax exemptions	☐	☐	☒
State and local incentives	☐	☐	☒

Quality-of-life factors	Low	Med	High
Climate	☒	☐	☐
Housing availability	☒	☐	☐
Housing costs	☒	☐	☐
Health facilities	☒	☐	☐
Cultural opportunities	☐	☒	☐
Recreational opportunities	☐	☒	☐
Colleges and universities in the city	☐	☒	☐
Low crime rate	☐	☒	☐

Part II

Action Items:

Below are the priority objectives the city is pursuing in its 2010-14 Consolidated Plan. Please check (Y=yes; N=no) any or all objectives you feel should be addressed in the plan. All the objectives mentioned here are examples and primarily for understanding the Consolidated Plan only. They are not to be considered as the final list. Please add any other comments or suggestions regarding the conditions or needs that the city should make a priority objective in the plan.

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1. Y Conduct approximately two (2) neighborhood-based organizations per year for blight-reduction, beautification, crime prevention, leadership/capacity development, development planning, or other neighborhood improvement activities.
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5. Y Construct or rehabilitate and sell approximately 20 single-family homes with LEED certification to low and middle income homebuyers.
6. Y Provide housing counseling and education, including assistance in accessing financing, for 100 potential homebuyers seeking to buy homes within the city.
7. Y Demolish or cause to be demolished, after consultation with the affected neighborhoods, buildings determined to be infeasible for repair, due to advanced deterioration and/or other factors.
8. Y Assist accessibility or other home modifications for approximately 20 special needs units.
9. Y Assist in substantial rehabilitation of approximately 20 owner or tenant occupied housing units.
10. Y Provide emergency repairs for approximately 20 owner-occupied single family homes.
11. N Provide downpayment and closing cost assistance for approximately 20 homebuyers who are purchasing homes in the city.
12. Y Conduct and continue fair housing education to remove or reduce impediments to fair housing choice.

Other: _____

Economic Development

13. Y Assist with improvements to 20 commercial/industrial properties located in target neighborhoods or other predominantly low/mod income census tracts.
14. Y Provide workforce and related training reflecting area labor market employment opportunities for at least 100 individuals.
15. Y Create 100 jobs through financial assistance and/or business development services for entrepreneurs and/or expanding small companies.

Other: _____

Please list top priorities for each of the 5 years from the list provided above or list your own suggestion:

2010-2011 Job training
2011-2012 Business retention / creation
2012-2013 Commercial building improvements
2013-2014 Street improvements
2014-2015 _____

Thank you again for sharing your thoughts with us. Providing your name and phone number is optional, but would allow us to learn more from you, if we have questions.

Name: _____
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Part I

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- ☒ Community Development
- ☐ Economic Development
- ☐ Engineering
- ☐ Intergovernmental Relations
- ☐ Finance
- ☐ Fire
- ☐ Management and Budget
- ☐ Police
- ☐ Public Works
- ☐ Transportation
- ☐ Other _____

Rate the need level for each of the following items. Check the one that best applies.

Section 1: Community Development Priorities

Community Facilities	Low	Med	High	Community Services	Low	Med	High
Senior centers	☐	☐	☒	Senior activities	☐	☐	☒
Youth centers	☐	☐	☒	Youth activities	☐	☐	☒
Child care centers	☐	☒	☐	Child care services	☐	☒	☐
Park & Recreational facilities	☐	☒	☐	Transportation services	☐	☒	☐
Health care facilities	☐	☒	☐	Anti-crime programs	☐	☒	☐
Community centers	☐	☐	☒	Health services	☐	☒	☐
Fire stations & equipment	☐	☒	☐	Mental health services	☐	☒	☐
School improvements	☐	☒	☐	Legal services	☐	☐	☒

Infrastructure	Low	Med	High
Drainage improvement	☐	☒	☐
Street/alley improvement	☐	☒	☐
Street lighting	☒	☐	☐
Sidewalk improvements	☐	☐	☒

Neighborhood Services	Low	Med	High
Water/sewer improvement	☒	☐	☐
Trash & debris removal	☒	☐	☐
Graffiti removal	☐	☒	☐
Code enforcement	☐	☒	☐
Parking facilities	☒	☐	☐
Cleanup abandoned lots & building demolition	☐	☒	☐

Special Needs Services	Low	Med	High
Literacy	☐	☒	☐
Centers/services for disabled	☐	☒	☐
Accessibility improvements	☐	☒	☐
Domestic violence	☐	☒	☐
Substance abuse	☐	☒	☐
Homeless shelters	☐	☒	☐
HIV/AIDS centers	☐	☒	☐
Neglected/abused centers & services	☐	☒	☐

Housing	Low	Med	High
Residential rehabilitation	☐	☒	☐
Homebuyer assistance	☐	☒	☐
Housing for disabled	☐	☒	☐
Senior housing	☒	☐	☐
Single-family housing	☒	☐	☐
Multi-family housing	☒	☐	☐
Affordable rental housing	☐	☒	☐
Fair housing	☐	☒	☐
Lead-based paint test/abatement	☐	☒	☐
Residential historic preservation	☒	☐	☐
Historic preservation	☒	☐	☐
Energy efficient improvements	☐	☐	☒

Section 2: Economic Development Priorities

Business & Jobs	Low	Med	High
Start up business assistance	☐	☐	☒
Small business loans	☐	☐	☒
Job creation/retention	☐	☐	☒
Employment training	☐	☒	☐
Commercial/ rehabilitation	☐	☒	☐
Façade improvements	☐	☒	☐
Business mentoring	☐	☐	☒

Labor	Low	Med	High
Availability of skilled labor	☐	☒	☐
Availability of unskilled labor	☐	☒	☐
Training programs	☐	☐	☒
Labor costs	☐	☐	☒
Non-union work force	☐	☒	☐
Union work force	☐	☒	☐

Transportation/Telecommunications	Low	Med	High
Highway accessibility	☐	☐	☒
Railroad service	☐	☐	☒
Availability of telecommunications services	☐	☐	☒
Availability of high-speed Internet access	☐	☐	☒

Finance	Low	Med	High
Availability of long-term financing	☐	☐	☒
Tax exemptions	☐	☐	☒
State and local incentives	☐	☐	☒

Quality-of-life factors	Low	Med	High
Climate	☐	☒	☐
Housing availability	☒	☐	☐
Housing costs	☐	☒	☐
Health facilities	☐	☒	☐
Cultural opportunities	☐	☒	☐
Recreational opportunities	☐	☒	☐
Colleges and universities in the city	☐	☐	☒
Low crime rate	☐	☐	☒

Part II

Action Items:

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Other: _____

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14. Y Provide workforce and related training reflecting area labor market employment opportunities for at least 100 individuals.
15. Y Create 100 jobs through financial assistance and/or business development services for entrepreneurs and/or expanding small companies.

Other: _____

Please list one top priority for each of the five years from the list provided above (1-15) or list your own suggestion:

2010-2011 14

2011-2012 15

2012-2013 5

2013-2014 1

2014-2015 6

Thank you for your time in completing this survey. Providing your name and phone number is optional, but would allow us to learn more from you, if we have questions.

Name: _____

Phone: _____



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These Plans cover the FY 2010-14 planning period and will guide the use of Community Development Block Grant (CDBG), Home Investment Partnership (HOME), and other resources that benefit low to moderate income persons in City of Surprise. All of the Plans to be prepared will be submitted to the U.S. Department of Housing and Urban Development (HUD) by May 2010. Your input and perspectives on community development and housing needs and priorities for the city are greatly appreciated and we thank you for your cooperation in completing this survey before February 5, 2010.

Part 1

If you live in Surprise, please provide the neighborhood/area you live in: Desert Oasis

If you serve Surprise, please provide the number of individuals you represent _____ and the neighborhood/area you serve: _____

Which of the following best describes your status (check all that applies)?

- ☒ Property Owner ☐ Landlord ☐ Renter ☐ Business Owner
☐ Housing Provider ☐ Social Service Provider ☐ Health Provider
☐ Other (specify) _____



Rate the need level for each of the following items: Check the one that best applies

Section 1: Community Development Priorities

Community Facilities	Low	Med	High	Community Services	Low	Med	High
Senior centers	☐	☐	☒	Senior activities	☐	☐	☒
Youth centers	☐	☐	☒	Youth activities	☐	☐	☒
Child care centers	☐	☐	☒	Child care services	☐	☐	☒
Park & Recreational facilities	☐	☐	☒	Transportation services	☐	☐	☒
Health care facilities	☐	☐	☒	Anti-crime programs	☐	☐	☒
Community centers	☐	☐	☒	Health services	☐	☐	☒
Fire stations & equipment	☐	☐	☒	Mental health services	☐	☐	☒
School improvements	☐	☐	☒	Legal services	☐	☐	☒

Infrastructure	Low	Med	High
Drainage improvement	☐	☐	☒
Street/alley improvement	☐	☐	☒
Street lighting	☐	☐	☒
Sidewalk improvements	☐	☐	☒

Neighborhood Services	Low	Med	High
Water/sewer improvement	☐	☐	☒
Trash & debris removal	☐	☐	☒
Graffiti removal	☒	☐	☐
Code enforcement	☒	☐	☐
Parking facilities	☒	☐	☐
Cleanup abandoned lots & building demolition	☒	☐	☐

Special Needs Services	Low	Med	High
Literacy	☒	☐	☐
Centers/services for disabled	☐	☐	☒
Accessibility improvements	☐	☐	☒
Domestic violence	☐	☐	☒
Substance abuse	☐	☐	☒
Homeless shelters	☐	☐	☒
HIV/AIDS centers	☐	☐	☒
Neglected/abused centers & services	☐	☐	☒

Housing	Low	Med	High
Residential rehabilitation	☒	☐	☐
Homebuyer assistance	☒	☐	☐
Housing for disabled	☐	☐	☒
Senior housing	☐	☐	☒
Single-family housing	☐	☐	☒
Multi-family housing	☐	☐	☒
Affordable rental housing	☒	☐	☐
Fair housing	☒	☐	☐
Lead-based paint test/abatement	☒	☐	☐
Residential historic preservation	☐	☐	☒
Historic preservation	☐	☐	☒
Energy efficient improvements	☐	☐	☒

Section 2: Economic Development Priorities

Business & Jobs	Low	Med	High
Start up business assistance	☐	☐	☒
Small business loans	☐	☐	☒
Job creation/retention	☐	☐	☒
Employment training	☐	☐	☒
Commercial/ rehabilitation	☐	☐	☒
Façade improvements	☐	☐	☒
Business mentoring	☐	☐	☒

Labor	Low	Med	High
Availability of skilled labor	☐	☐	☒
Availability of unskilled labor	☒	☐	☐
Training programs	☐	☐	☒
Labor costs	☐	☐	☒
Non-union work force	☒	☐	☐
Union work force	☐	☐	☒

Transportation/Telecommunications	Low	Med	High
Highway accessibility	☐	☐	☒
Railroad service	☒	☐	☐
Availability of telecommunications services	☒	☐	☐
Availability of high-speed Internet access	☒	☐	☐

Finance	Low	Med	High
Availability of long-term financing	☐	☐	☐
Tax exemptions	☐	☐	☐
State and local incentives	☐	☐	☐

Quality-of-life factors	Low	Med	High
Climate	☐	☐	☐
Housing availability	☐	☐	☐
Housing costs	☐	☐	☐
Health facilities	☐	☐	☐
Cultural opportunities	☐	☐	☐
Recreational opportunities	☐	☐	☐
Colleges and universities in the city	☐	☐	☒
Low crime rate	☐	☐	☒



Part II

Action Items:

Below are the priority objectives the city is pursuing in its 2010-14 Consolidated Plan. Please check (Y=yes; N=no) any or all objectives you feel should be addressed in the plan. All the objectives mentioned here are examples and primarily for understanding the Consolidated Plan only. They are not to be considered as the final list. Please add any other comments or suggestions regarding the conditions or needs that the city should make a priority objective in the plan.

Community Development

1. ☒ Conduct approximately two (2) neighborhood-based events per year for blight-reduction, beautification, crime prevention, leadership/capacity development, development planning, or other neighborhood improvement activities.
2. ☒ Improve lighting, drainage or other street infrastructure needs in targeted neighborhoods or other predominantly low/mod income census tracts.
3. ☒ Assist in the acquisition or improvement of public facilities such as community, health, or job/economic assistance centers.
4. ☐ Provide financial incentives to approximately 20 low and middle income homebuyers to acquire and or rehabilitate foreclosed or substandard housing that is contributing to neighborhood blight.
5. ☐ Construct or rehabilitate and sell approximately 20 single-family homes with LEED certification to low and middle income homebuyers.
6. ☐ Provide housing counseling and education, including assistance in accessing financing, for 100 potential homebuyers seeking to buy homes within the city.
7. ☒ Demolish or cause to be demolished, after consultation with the affected neighborhoods, buildings determined to be infeasible for repair, due to advanced deterioration and/or other factors.
8. ☒ Assist accessibility or other home modifications for approximately 20 special needs units.
9. ☐ Assist in substantial rehabilitation of approximately 20 owner or tenant occupied housing units.
10. ☐ Provide emergency repairs for approximately 20 owner-occupied single family homes.
11. ☐ Provide downpayment and closing cost assistance for approximately 20 homebuyers who are purchasing homes in the city.
12. ☒ Conduct and continue fair housing education to remove or reduce impediments to fair housing choice.

Other: _____

Economic Development

13. ☒ Assist with improvements to 20 commercial/industrial properties located in target neighborhoods or other predominantly low/mod income census tracts.
14. ☒ Provide workforce and related training reflecting area labor market employment opportunities for at least 100 individuals.
15. ☒ Create 100 jobs through financial assistance and/or business development services for entrepreneurs and/or expanding small companies.

Other: _____

Please list one top priority for each of the five years from the list provided above (1-15) or list your own suggestion:

2010-2011 _____

2011-2012 _____

2012-2013 _____

2013-2014 _____

2014-2015 _____

Thank you for your time in completing this survey. Please provide the zip code where you live or work. Providing your name and phone number is optional, but would allow us to learn more from you, if we have questions.

Name: _____

Phone: _____

Zip code: 85387





**COMMUNITY DEVELOPMENT DEPARTMENT
NEIGHBORHOOD SERVICES**

16000 North Civic Center Plaza
Surprise, Arizona 85374
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Consolidated Plan 2010-14 Survey

The City of Surprise Neighborhood Services Division is in the process of preparing a five-year Consolidated Plan 2010-14 and Action Plan 2010-11 and we seek your valuable input. The Plans under development will represent the community development and housing needs for the City of Surprise, as well as will guide the allocation of federal funds and other resources in our community.

The Consolidated Plan is a five-year planning document which: 1) identifies housing needs and opportunities; 2) analyzes market conditions and resources; 3) sets priorities and adopts strategies; 4) allocates resources; and 5) contains an annual plan with reporting obligations that tracks how monies are spent and how goals are accomplished for the funding year 2010-2011.

These Plans cover the FY 2010-14 planning period and will guide the use of Community Development Block Grant (CDBG), Home Investment Partnership (HOME), and other resources. All of the Plans to be prepared will be submitted to the U.S. Department of Housing and Urban Development by May 2010. Your input and perspectives on community development and housing needs and priorities for the city are greatly appreciated and we thank you for your cooperation in completing this survey before January 15, 2010.

Part I

Please indicate which city department you represent in this survey:

Please complete the survey irrespective of your expertise in other fields or departments.

- ☐ Community and Recreation Services
- ☒ Community Development
- ☐ Economic Development
- ☐ Engineering
- ☐ Intergovernmental Relations
- ☐ Finance
- ☐ Fire
- ☐ Management and Budget
- ☐ Police
- ☐ Public Works
- ☐ Transportation
- ☐ Other _____

Rate the need level for each of the following items. Check the one that best applies.

Section 1: Community Development Priorities

Community Facilities	Low	Med	High	Community Services	Low	Med	High
Senior centers	☒	☐	☐	Senior activities	☒	☐	☐
Youth centers	☐	☐	☒	Youth activities	☐	☐	☒
Child care centers	☐	☐	☐	Child care services	☐	☐	☐
Park & Recreational facilities	☐	☒	☐	Transportation services	☐	☐	☒
Health care facilities	☐	☐	☒	Anti-crime programs	☒	☐	☐
Community centers	☐	☐	☒	Health services	☐	☐	☒
Fire stations & equipment	☒	☐	☐	Mental health services	☒	☐	☐
School improvements	☐	☐	☐	Legal services	☒	☐	☐

Infrastructure	Low	Med	High
Drainage improvement	☐	☒	☐
Street/alley improvement	☒	☐	☐
Street lighting	☐	☐	☒
Sidewalk improvements	☐	☐	☒

Neighborhood Services	Low	Med	High
Water/sewer improvement	☒	☐	☐
Trash & debris removal	☐	☒	☐
Graffiti removal	☐	☒	☐
Code enforcement	☐	☒	☐
Parking facilities	☒	☐	☐
Cleanup abandoned lots & building demolition	☒	☐	☐

Special Needs Services	Low	Med	High
Literacy	☐	☐	☒
Centers/services for disabled	☐	☐	☐
Accessibility improvements	☐	☐	☐
Domestic violence	☐	☐	☐
Substance abuse	☐	☐	☐
Homeless shelters	☐	☐	☐
HIV/AIDS centers	☐	☐	☐
Neglected/abused centers & services	☐	☐	☐

Housing	Low	Med	High
Residential rehabilitation	☒	☐	☐
Homebuyer assistance	☒	☐	☐
Housing for disabled	☐	☐	☐
Senior housing	☐	☐	☐
Single-family housing	☐	☐	☐
Multi-family housing	☐	☐	☐
Affordable rental housing	☐	☐	☐
Fair housing	☐	☐	☐
Lead-based paint test/abatement	☐	☐	☐
Residential historic preservation	☐	☐	☐
Historic preservation	☐	☐	☐
Energy efficient improvements	☐	☐	☐

Section 2: Economic Development Priorities

Business & Jobs	Low	Med	High
Start up business assistance	☒	☐	☒
Small business loans	☐	☐	☒
Job creation/retention	☐	☐	☒
Employment training	☐	☐	☐
Commercial/ rehabilitation	☐	☐	☒
Façade improvements	☐	☐	☒
Business mentoring	☐	☐	☐

Labor	Low	Med	High
Availability of skilled labor	☐	☐	☐
Availability of unskilled labor	☐	☐	☐
Training programs	☐	☐	☐
Labor costs	☐	☐	☐
Non-union work force	☐	☐	☐
Union work force	☐	☐	☐

Transportation/Telecommunications	Low	Med	High
Highway accessibility	☐	☐	☒
Railroad service	☐	☐	☒
Availability of telecommunications services	☐	☐	☐
Availability of high-speed Internet access	☐	☐	☐

Finance	Low	Med	High
Availability of long-term financing	☐	☐	☐
Tax exemptions	☐	☐	☐
State and local incentives	☐	☐	☐

Quality-of-life factors	Low	Med	High
Climate	☒	☐	☐
Housing availability	☒	☐	☐
Housing costs	☐	☒	☐
Health facilities	☐	☒	☐
Cultural opportunities	☐	☒	☐
Recreational opportunities	☐	☒	☐
Colleges and universities in the city	☐	☒	☐
Low crime rate	☐	☒	☐

Part II

Action Items:

Below are the priority objectives the city is pursuing in its 2010-14 Consolidated Plan. Please check (Y=yes; N=no) any or all objectives you feel should be addressed in the plan. All the objectives mentioned here are examples and primarily for understanding the Consolidated Plan only. They are not to be considered as the final list. Please add any other comments or suggestions regarding the conditions or needs that the city should make a priority objective in the plan.

Community Development

1. N Conduct approximately two (2) neighborhood-based events per year for blight-reduction, beautification, crime prevention, leadership/capacity development, development planning, or other neighborhood improvement activities.
2. Y Improve lighting, drainage or other street infrastructure needs in targeted neighborhoods or other predominantly low/mod income census tracts.
3. Y Assist in the acquisition or improvement of public facilities such as community, health, or job/economic assistance centers.
4. N Provide financial incentives to approximately 20 low and middle income homebuyers to acquire and or rehabilitate foreclosed or substandard housing that is contributing to neighborhood blight.
5. N Construct or rehabilitate and sell approximately 20 single-family homes with LEED certification to low and middle income homebuyers.
6. Provide housing counseling and education, including assistance in accessing financing, for 100 potential homebuyers seeking to buy homes within the city.
7. N Demolish or cause to be demolished, after consultation with the affected neighborhoods, buildings determined to be infeasible for repair, due to advanced deterioration and/or other factors.
8. N Assist accessibility or other home modifications for approximately 20 special needs units.
9. N Assist in substantial rehabilitation of approximately 20 owner or tenant occupied housing units.
10. Y Provide emergency repairs for approximately 20 owner-occupied single family homes.
11. N Provide downpayment and closing cost assistance for approximately 20 homebuyers who are purchasing homes in the city.
12. N Conduct and continue fair housing education to remove or reduce impediments to fair housing choice.

Other: _____

Economic Development

13. Y Assist with improvements to 20 commercial/industrial properties located in target neighborhoods or other predominantly low/mod income census tracts.
14. N Provide workforce and related training reflecting area labor market employment opportunities for at least 100 individuals.
15. Y Create 100 jobs through financial assistance and/or business development services for entrepreneurs and/or expanding small companies.

Other: _____

Please list one top priority for each of the five years from the list provided above (1-15) or list your own suggestion:

2010-2011 _____

2011-2012 _____

2012-2013 _____

2013-2014 _____

2014-2015 _____

Thank you for your time in completing this survey. Providing your name and phone number is optional, but would allow us to learn more from you, if we have questions.

Name: _____

Phone: _____



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Part I

Please indicate which city department you represent in this survey:

Please complete the survey irrespective of your expertise in other fields or departments.

- ☐ Community and Recreation Services
- ☒ Community Development
- ☐ Economic Development
- ☐ Engineering
- ☐ Intergovernmental Relations
- ☐ Finance
- ☐ Fire
- ☐ Management and Budget
- ☐ Police
- ☐ Public Works
- ☐ Transportation

Rate the need level for each of the following items. Check the one that best applies.

Section 1: Community Development Priorities

Community Facilities	Low	Med	High	Community Services	Low	Med	High
Senior centers	☐	☐	☒	Senior activities	☐	☐	☒
Youth centers	☐	☐	☒	Youth activities	☐	☐	☒
Child care centers	☐	☐	☒	Child care services	☐	☐	☒
Park & Recreational facilities	☐	☒	☐	Transportation services	☐	☐	☒
Health care facilities	☐	☒	☐	Anti-crime programs	☐	☐	☒
Community centers	☐	☐	☒	Health services	☐	☐	☒
Fire stations & equipment	☐	☒	☐	Mental health services	☐	☐	☒
School improvements	☐	☒	☐	Legal services	☐	☒	☐

Infrastructure	Low	Med	High
Drainage improvement	☐	☒	☐
Street/alley improvement	☐	☒	☐
Street lighting	☐	☐	☒
Sidewalk improvements	☐	☐	☒

Neighborhood Services	Low	Med	High
Water/sewer improvement	☐	☒	☐
Trash & debris removal	☐	☒	☐
Graffiti removal	☐	☐	☒
Code enforcement	☐	☐	☒
Parking facilities	☐	☒	☐
Cleanup abandoned lots & building demolition	☐	☐	☒

Special Needs Services	Low	Med	High
Literacy	☐	☐	☒
Centers/services for disabled	☐	☐	☒
Accessibility improvements	☐	☐	☒
Domestic violence	☐	☐	☒
Substance abuse	☐	☒	☐
Homeless shelters	☐	☒	☐
HIV/AIDS centers	☐	☒	☐
Neglected/abused centers & services	☐	☐	☒

Housing	Low	Med	High
Residential rehabilitation	☐	☐	☒
Homebuyer assistance	☐	☒	☐
Housing for disabled	☐	☐	☒
Senior housing	☐	☐	☒
Single-family housing	☐	☐	☒
Multi-family housing	☐	☐	☒
Affordable rental housing	☐	☐	☒
Fair housing	☐	☐	☒
Lead-based paint test/abatement	☐	☒	☐
Residential historic preservation	☐	☒	☐
Historic preservation	☐	☒	☐
Energy efficient improvements	☐	☐	☒

Section 2: Economic Development Priorities

Business & Jobs	Low	Med	High
Start up business assistance	☐	☒	☐
Small business loans	☐	☒	☐
Job creation/retention	☐	☐	☒
Employment training	☐	☐	☒
Commercial/ rehabilitation	☐	☒	☐
Façade improvements	☐	☒	☐
Business mentoring	☐	☒	☐

Labor	Low	Med	High
Availability of skilled labor	☐	☐	☒
Availability of unskilled labor	☐	☒	☐
Training programs	☐	☐	☒
Labor costs	☐	☐	☒
Non-union work force	☐	☒	☐
Union work force	☐	☒	☐

Transportation/Telecommunications	Low	Med	High
Highway accessibility	☐	☐	☒
Railroad service	☐	☒	☐
Availability of telecommunications services	☐	☐	☒
Availability of high-speed Internet access	☐	☐	☒

Finance	Low	Med	High
Availability of long-term financing	☐	☐	☒
Tax exemptions	☐	☐	☒
State and local incentives	☐	☐	☒

Quality-of-life factors	Low	Med	High
Climate	☐	☒	☐
Housing availability	☐	☐	☒
Housing costs	☐	☐	☒
Health facilities	☐	☐	☒
Cultural opportunities	☐	☐	☒
Recreational opportunities	☐	☒	☐
Colleges and universities in the city	☐	☒	☐
Low crime rate	☐	☐	☒

Part II

Action Items:

Below are the priority objectives the city is pursuing in its 2010-14 Consolidated Plan. Please check (Y=yes; N=no) any or all objectives you feel should be addressed in the plan. All the objectives mentioned here are examples and primarily for understanding the Consolidated Plan only. They are not to be considered as the final list. Please add any other comments or suggestions regarding the conditions or needs that the city should make a priority objective in the plan.

Community Development

1. ☒ Conduct approximately two (2) neighborhood-based events per year for blight-reduction, beautification, crime prevention, leadership/capacity development, development planning, or other neighborhood improvement activities.
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4. ☐ Provide financial incentives to approximately 20 low and middle income homebuyers to acquire and or rehabilitate foreclosed or substandard housing that is contributing to neighborhood blight.
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6. ☒ Provide housing counseling and education, including assistance in accessing financing, for 100 potential homebuyers seeking to buy homes within the city.
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11. ☐ Provide downpayment and closing cost assistance for approximately 20 homebuyers who are purchasing homes in the city.
12. ☒ Conduct and continue fair housing education to remove or reduce impediments to fair housing choice.

Other: _____

Economic Development

13. ☒ Assist with improvements to 20 commercial/industrial properties located in target neighborhoods or other predominantly low/mod income census tracts.
14. ☒ Provide workforce and related training reflecting area labor market employment opportunities for at least 100 individuals.
15. ☒ Create 100 jobs through financial assistance and/or business development services for entrepreneurs and/or expanding small companies.

Other: _____

Please list one top priority for each of the five years from the list provided above (1-15) or list your own suggestion:

2010-2011 ^{SF} Housing Rehab

2011-2012 Bldg Demo

2012-2013 Job Training

2013-2014 Youth Center

2014-2015 Housing Rehab

Thank you for your time in completing this survey. Providing your name and phone number is optional, but would allow us to learn more from you, if we have questions.

Name: Christina Ramirez

Phone: _____

26



**COMMUNITY DEVELOPMENT DEPARTMENT
NEIGHBORHOOD SERVICES**

16000 North Civic Center Plaza
Surprise, Arizona 85374
Ph: 623.222.1550
Fax: 623.222.3001
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Part 1

If you live in Surprise, please provide the neighborhood/area you live in: Vera Monte

If you serve Surprise, please provide the number of individuals you represent _____ and the neighborhood/area you serve: _____

Which of the following best describes your status (check all that applies)?

- ☐ Property Owner ☐ Landlord ☒ Renter ☐ Business Owner
☐ Housing Provider ☐ Social Service Provider ☐ Health Provider
☐ Other (specify) LIVE W/DAUGHTER



Rate the need level for each of the following items: Check the one that best applies

Section 1: Community Development Priorities

Community Facilities	Low	Med	High	Community Services	Low	Med	High
Senior centers	☐	☐	☒	Senior activities	☐	☐	☒
Youth centers	☒	☐	☐	Youth activities	☐	☒	☐
Child care centers	☐	☒	☐	Child care services	☒	☐	☐
Park & Recreational facilities	☐	☒	☐	Transportation services	☐	☐	☒
Health care facilities	☐	☒	☐	Anti-crime programs	☐	☒	☐
Community centers	☐	☒	☐	Health services	☐	☒	☐
Fire stations & equipment	☐	☐	☒	Mental health services	☐	☐	☒
School improvements	☐	☒	☐	Legal services	☐	☒	☐

Infrastructure	Low	Med	High
Drainage improvement	☐	☒	☐
Street/alley improvement	☐	☒	☐
Street lighting	☐	☐	☒
Sidewalk improvements	☐	☐	☒

Neighborhood Services	Low	Med	High
Water/sewer improvement	☐	☒	☐
Trash & debris removal	☐	☐	☒
Graffiti removal	☐	☒	☐
Code enforcement	☐	☐	☒
Parking facilities	☐	☒	☐
Cleanup abandoned lots & building demolition	☒	☐	☐

Special Needs Services	Low	Med	High
Literacy	☐	☒	☐
Centers/services for disabled	☐	☐	☒
Accessibility improvements	☐	☒	☐
Domestic violence	☐	☐	☒
Substance abuse	☐	☐	☒
Homeless shelters	☐	☒	☒
HIV/AIDS centers	☐	☒	☐
Neglected/abused centers & services	☐	☒	☐

Housing	Low	Med	High
Residential rehabilitation	☐	☒	☐
Homebuyer assistance	☒	☒	☐
Housing for disabled	☐	☒	☐
Senior housing	☐	☐	☒
Single-family housing	☐	☒	☐
Multi-family housing	☒	☐	☐
Affordable rental housing	☐	☐	☒
Fair housing	☐	☐	☒
Lead-based paint test/abatement	☐	☒	☐
Residential historic preservation	☒	☐	☐
Historic preservation	☒	☐	☐
Energy efficient improvements	☐	☒	☐



Section 2: Economic Development Priorities

Business & Jobs	Low	Med	High
Start up business assistance	☐	☐	☒
Small business loans	☐	☒	☐
Job creation/retention	☐	☒	☐
Employment training	☐	☒	☐
Commercial/ rehabilitation	☐	☒	☐
Façade improvements	☐	☒	☐
Business mentoring	☐	☒	☐

Labor	Low	Med	High
Availability of skilled labor	☐	☐	☒
Availability of unskilled labor	☒	☐	☐
Training programs	☐	☒	☐
Labor costs	☐	☒	☐
Non-union work force	☐	☐	☒
Union work force	☒	☐	☐

Transportation/Telecommunications	Low	Med	High
Highway accessibility	☐	☐	☒
Railroad service	☒	☐	☐
Availability of telecommunications services	☐	☒	☐
Availability of high-speed Internet access	☐	☒	☐

Finance	Low	Med	High
Availability of long-term financing	☐	☒	☐
Tax exemptions	☐	☒	☐
State and local incentives	☐	☒	☐

Quality-of-life factors	Low	Med	High
Climate	☐	☐	☒
Housing availability	☐	☐	☒
Housing costs	☐	☐	☒
Health facilities	☐	☒	☐
Cultural opportunities	☐	☒	☐
Recreational opportunities	☐	☒	☐
Colleges and universities in the city	☐	☒	☐
Low crime rate	☐	☐	☒



Part II

Action Items:

Below are the priority objectives the city is pursuing in its 2010-14 Consolidated Plan. Please check (Y=yes; N=no) any or all objectives you feel should be addressed in the plan. All the objectives mentioned here are examples and primarily for understanding the Consolidated Plan only. They are not to be considered as the final list. Please add any other comments or suggestions regarding the conditions or needs that the city should make a priority objective in the plan.

Community Development

1. Y Conduct approximately two (2) neighborhood-based events per year for blight-reduction, beautification, crime prevention, leadership/capacity development, development planning, or other neighborhood improvement activities.
2. Y Improve lighting, drainage or other street infrastructure needs in targeted neighborhoods or other predominantly low/mod income census tracts.
3. N Assist in the acquisition or improvement of public facilities such as community, health, or job/economic assistance centers.
4. Y Provide financial incentives to approximately 20 low and middle income homebuyers to acquire and or rehabilitate foreclosed or substandard housing that is contributing to neighborhood blight.
5. Y Construct or rehabilitate and sell approximately 20 single-family homes with LEED certification to low and middle income homebuyers.
6. N Provide housing counseling and education, including assistance in accessing financing, for 100 potential homebuyers seeking to buy homes within the city.
7. N Demolish or cause to be demolished, after consultation with the affected neighborhoods, buildings determined to be infeasible for repair, due to advanced deterioration and/or other factors.
8. N Assist accessibility or other home modifications for approximately 20 special needs units.
9. N Assist in substantial rehabilitation of approximately 20 owner or tenant occupied housing units.
10. N Provide emergency repairs for approximately 20 owner-occupied single family homes.
11. N Provide downpayment and closing cost assistance for approximately 20 homebuyers who are purchasing homes in the city.
12. Y Conduct and continue fair housing education to remove or reduce impediments to fair housing choice.

Other: ASSISTANCE SHOULD BE AVAILABLE TO ^{USA} CITIZENS ONLY.

Economic Development

13. Y Assist with improvements to 20 commercial/industrial properties located in target neighborhoods or other predominantly low/mod income census tracts.
14. Y Provide workforce and related training reflecting area labor market employment opportunities for at least 100 individuals.
15. Y Create 100 jobs through financial assistance and/or business development services for entrepreneurs and/or expanding small companies.

Other: _____



Please list one top priority for each of the five years from the list provided above (1-15) or list your own suggestion:

2010-2011 ENCOURAGE SMALL BUSINESS

2011-2012 " EDUCATION FOR JOB OPPORTUNITIES

2012-2013 " BEAUTIFICATION FOR ALL OF SURPRISE

2013-2014 " GOOD STREETS + SIGNALS FOR EFFICIENCY

2014-2015 MAINTAIN HIGH LEVEL OF EDUCATION

Thank you for your time in completing this survey. Please provide the zip code where you live or work. Providing your name and phone number is optional, but would allow us to learn more from you, if we have questions.

Name: JACKIE WILBERSCHIED

Phone: 623-322-6127

Zip code: 85379





COMMUNITY DEVELOPMENT DEPARTMENT
NEIGHBORHOOD SERVICES

16000 North Civic Center Plaza
Surprise, Arizona 85374
Ph: 623.222.1550
Fax: 623.222.3001
TTY: 623.222.1002

Consolidated Plan 2010-14 Survey

The City of Surprise Neighborhood Services Division is in the process of preparing a five-year Consolidated Plan 2010-14 and Action Plan 2010-11 and we seek your valuable input. The Plans under development will represent the community development and housing needs that benefit low to moderate income persons in the City of Surprise, as well as will guide the allocation of federal funds and other resources in our community.

The Consolidated Plan is a five-year planning document which: 1) identifies housing needs and opportunities; 2) analyzes market conditions and resources; 3) sets priorities and adopts strategies; 4) allocates resources; and 5) contains an annual plan with reporting obligations that tracks how monies are spent and how goals are accomplished for the funding year 2010-2011.

These Plans cover the FY 2010-14 planning period and will guide the use of Community Development Block Grant (CDBG), Home Investment Partnership (HOME), and other resources that benefit low to moderate income persons in City of Surprise. All of the Plans to be prepared will be submitted to the U.S. Department of Housing and Urban Development (HUD) by May 2010. Your input and perspectives on community development and housing needs and priorities for the city are greatly appreciated and we thank you for your cooperation in completing this survey before February 5, 2010.

Part 1

If you live in Surprise, please provide the neighborhood/area you live in: NORTHWEST RANCH

If you serve Surprise, please provide the number of individuals you represent _____ and the neighborhood/area you serve: 843 HOME

Which of the following best describes your status (check all that applies)?

- ☒ Property Owner ☐ Landlord ☐ Renter ☐ Business Owner
☐ Housing Provider ☐ Social Service Provider ☐ Health Provider
☐ Other (specify) HOA



Rate the need level for each of the following items: Check the one that best applies
Section 1: Community Development Priorities

Community Facilities	Low	Med	High	Community Services	Low	Med	High
Senior centers	☐	☐	☒	Senior activities	☐	☐	☒
Youth centers	☐	☒	☐	Youth activities	☐	☒	☐
Child care centers	☐	☒	☐	Child care services	☐	☒	☐
Park & Recreational facilities	☐	☐	☒	Transportation services	☐	☐	☒
Health care facilities	☐	☐	☒	Anti-crime programs	☐	☒	☐
Community centers	☐	☐	☒	Health services	☐	☐	☒
Fire stations & equipment	☐	☐	☒	Mental health services	☐	☒	☐
School improvements	☐	☐	☒	Legal services	☐	☒	☐

Infrastructure	Low	Med	High
Drainage improvement	☐	☒	☐
Street/alley improvement	☐	☒	☐
Street lighting	☐	☐	☒
Sidewalk improvements	☐	☐	☒

Neighborhood Services	Low	Med	High
Water/sewer improvement	☐	☐	☒
Trash & debris removal	☐	☐	☒
Graffiti removal	☐	☒	☐
Code enforcement	☒	☐	☐
Parking facilities	☒	☐	☐
Cleanup abandoned lots & building demolition	☐	☒	☐

Special Needs Services	Low	Med	High
Literacy	☐	☒	☐
Centers/services for disabled	☒	☐	☐
Accessibility improvements	☐	☒	☐
Domestic violence	☐	☒	☐
Substance abuse	☐	☒	☐
Homeless shelters	☒	☐	☐
HIV/AIDS centers	☒	☐	☐
Neglected/abused centers & services	☒	☐	☐

Housing	Low	Med	High
Residential rehabilitation	☒	☐	☐
Homebuyer assistance	☐	☒	☐
Housing for disabled	☒	☐	☐
Senior housing	☒	☐	☐
Single-family housing	☐	☒	☐
Multi-family housing	☐	☒	☐
Affordable rental housing	☐	☒	☐
Fair housing	☐	☒	☐
Lead-based paint test/abatement	☒	☐	☐
Residential historic preservation	☒	☐	☐
Historic preservation	☒	☐	☐
Energy efficient improvements	☒	☐	☐



Section 2: Economic Development Priorities

Business & Jobs	Low	Med	High
Start up business assistance	☒	☐	☐
Small business loans	☐	☒	☐
Job creation/retention	☒	☐	☐
Employment training	☒	☐	☐
Commercial/ rehabilitation	☒	☐	☐
Façade improvements	☒	☐	☐
Business mentoring	☐	☒	☐

Labor	Low	Med	High
Availability of skilled labor	☐	☒	☐
Availability of unskilled labor	☐	☒	☐
Training programs	☒	☐	☐
Labor costs	☒	☐	☐
Non-union work force	☒	☐	☒
Union work force	☒	☐	☐

Transportation/Telecommunications	Low	Med	High
Highway accessibility	☐	☒	☐
Railroad service	☐	☒	☐
Availability of telecommunications services	☒	☐	☐
Availability of high-speed Internet access	☐	☒	☐

Finance	Low	Med	High
Availability of long-term financing	☐	☒	☐
Tax exemptions	☐	☒	☐
State and local incentives	☐	☒	☐

Quality-of-life factors	Low	Med	High
Climate	☐	☐	☒
Housing availability	☐	☐	☒
Housing costs	☐	☐	☒
Health facilities	☐	☐	☒
Cultural opportunities	☐	☒	☐
Recreational opportunities	☐	☒	☐
Colleges and universities in the city	☐	☒	☐
Low crime rate	☐	☒	☐



Part II

Action Items:

Below are the priority objectives the city is pursuing in its 2010-14 Consolidated Plan. Please check (Y=yes; N=no) any or all objectives you feel should be addressed in the plan. All the objectives mentioned here are examples and primarily for understanding the Consolidated Plan only. They are not to be considered as the final list. Please add any other comments or suggestions regarding the conditions or needs that the city should make a priority objective in the plan.

Community Development

1. yes Conduct approximately two (2) neighborhood-based events per year for blight-reduction, beautification, crime prevention, leadership/capacity development, development planning, or other neighborhood improvement activities.
2. yes Improve lighting, drainage or other street infrastructure needs in targeted neighborhoods or other predominantly low/mod income census tracts.
3. yes Assist in the acquisition or improvement of public facilities such as community, health, or job/economic assistance centers.
4. no Provide financial incentives to approximately 20 low and middle income homebuyers to acquire and or rehabilitate foreclosed or substandard housing that is contributing to neighborhood blight.
5. no Construct or rehabilitate and sell approximately 20 single-family homes with LEED certification to low and middle income homebuyers.
6. no Provide housing counseling and education, including assistance in accessing financing, for 100 potential homebuyers seeking to buy homes within the city.
7. yes Demolish or cause to be demolished, after consultation with the affected neighborhoods, buildings determined to be infeasible for repair, due to advanced deterioration and/or other factors.
8. yes Assist accessibility or other home modifications for approximately 20 special needs units.
9. yes Assist in substantial rehabilitation of approximately 20 owner or tenant occupied housing units.
10. no Provide emergency repairs for approximately 20 owner-occupied single family homes.
11. no Provide downpayment and closing cost assistance for approximately 20 homebuyers who are purchasing homes in the city.
12. yes Conduct and continue fair housing education to remove or reduce impediments to fair housing choice.

Other: _____

Economic Development

13. yes Assist with improvements to 20 commercial/industrial properties located in target neighborhoods or other predominantly low/mod income census tracts.
14. no Provide workforce and related training reflecting area labor market employment opportunities for at least 100 individuals.
15. yes Create 100 jobs through financial assistance and/or business development services for entrepreneurs and/or expanding small companies.

Other: _____



Please list one top priority for each of the five years from the list provided above (1-15) or list your own suggestion:

2010-2011 _____

2011-2012 _____

2012-2013 _____

2013-2014 _____

2014-2015 _____

Thank you for your time in completing this survey. Please provide the zip code where you live or work. Providing your name and phone number is optional, but would allow us to learn more from you, if we have questions.

Name: Adolpho Lobato
Phone: 623-544-1534
Zip code: 85388





**COMMUNITY DEVELOPMENT DEPARTMENT
NEIGHBORHOOD SERVICES**

16000 North Civic Center Plaza
Surprise, Arizona 85374
Ph: 623.222.1550
Fax: 623.222.3001
TTY: 623.222.1002

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Part I

Please indicate which city department you represent in this survey:

Please complete the survey irrespective of your expertise in other fields or departments.

- ☐ Community and Recreation Services
- ☐ Community Development
- ☐ Economic Development
- ☐ Engineering
- ☐ Intergovernmental Relations
- ☐ Finance
- ☐ Fire
- ☐ Management and Budget
- ☐ Police
- ☒ Public Works
- ☐ Transportation
- ☐ Other _____

Rate the need level for each of the following items: Check the one that best applies.

Section 1: Community Development Priorities

Community Facilities	Low	Med	High	Community Services	Low	Med	High
Senior centers	☐	☐	☒	Senior activities	☐	☐	☒
Youth centers	☐	☐	☒	Youth activities	☐	☐	☒
Child care centers	☐	☐	☒	Child care services	☐	☐	☒
Park & Recreational facilities	☐	☐	☒	Transportation services	☐	☐	☒
Health care facilities	☐	☐	☒	Anti-crime programs	☐	☐	☒
Community centers	☐	☐	☒	Health services	☐	☐	☒
Fire stations & equipment	☒	☐	☐	Mental health services	☐	☐	☒
School improvements	☐	☐	☒	Legal services	☐	☐	☒

Infrastructure	Low	Med	High
Drainage improvement	☐	☒	☐
Street/alley improvement	☐	☒	☐
Street lighting	☐	☒	☐
Sidewalk improvements	☐	☒	☐

Neighborhood Services	Low	Med	High
Water/sewer improvement	☐	☒	☐
Trash & debris removal	☐	☒	☐
Graffiti removal	☐	☒	☐
Code enforcement	☐	☒	☐
Parking facilities	☐	☒	☐
Cleanup abandoned lots & building demolition	☐	☒	☐

Special Needs Services	Low	Med	High
Literacy	☐	☒	☐
Centers/services for disabled	☐	☒	☐
Accessibility improvements	☐	☒	☐
Domestic violence	☐	☐	☒
Substance abuse	☐	☐	☒
Homeless shelters	☐	☐	☒
HIV/AIDS centers	☐	☐	☒
Neglected/abused centers & services	☐	☐	☒

Housing	Low	Med	High
Residential rehabilitation	☐	☒	☐
Homebuyer assistance	☐	☐	☒
Housing for disabled	☐	☐	☒
Senior housing	☐	☐	☒
Single-family housing	☐	☒	☐
Multi-family housing	☐	☐	☒
Affordable rental housing	☐	☐	☒
Fair housing	☐	☐	☒
Lead-based paint test/abatement	☒	☐	☐
Residential historic preservation	☐	☐	☒
Historic preservation	☐	☐	☒
Energy efficient improvements	☐	☐	☒

Section 2: Economic Development Priorities

Business & Jobs	Low	Med	High
Start up business assistance	☐	☐	☒
Small business loans	☐	☐	☒
Job creation/retention	☐	☐	☒
Employment training	☐	☐	☒
Commercial/ rehabilitation	☐	☐	☒
Façade improvements	☐	☐	☒
Business mentoring	☐	☐	☒

Labor	Low	Med	High
Availability of skilled labor	☐	☐	☒
Availability of unskilled labor	☒	☐	☐
Training programs	☐	☐	☒
Labor costs	☒	☐	☐
Non-union work force	☐	☐	☒
Union work force	☐	☐	☒

Transportation/Telecommunications	Low	Med	High
Highway accessibility	☐	☐	☒
Railroad service	☐	☐	☒
Availability of telecommunications services	☐	☐	☒
Availability of high-speed Internet access	☐	☐	☒

Finance	Low	Med	High
Availability of long-term financing	☐	☒	☐
Tax exemptions	☐	☐	☒
State and local incentives	☐	☐	☒

Quality-of-life factors	Low	Med	High
Climate	☒	☐	☐
Housing availability	☐	☐	☒
Housing costs	☐	☐	☒
Health facilities	☐	☐	☒
Cultural opportunities	☐	☐	☒
Recreational opportunities	☐	☐	☒
Colleges and universities in the city	☐	☐	☒
Low crime rate	☐	☐	☒

Part II

Action Items:

Below are the priority objectives the city is pursuing in its 2010-14 Consolidated Plan. Please check (Y=yes; N=no) any or all objectives you feel should be addressed in the plan. All the objectives mentioned here are examples and primarily for understanding the Consolidated Plan only. They are not to be considered as the final list. Please add any other comments or suggestions regarding the conditions or needs that the city should make a priority objective in the plan.

Community Development

1. ☒ Conduct approximately two (2) neighborhood-based events per year for blight-reduction, beautification, crime prevention, leadership/capacity development, development planning, or other neighborhood improvement activities.
2. ☒ Improve lighting, drainage or other street infrastructure needs in targeted neighborhoods or other predominantly low/mod income census tracts.
3. ☒ Assist in the acquisition or improvement of public facilities such as community, health, or job/economic assistance centers.
4. ☒ Provide financial incentives to approximately 20 low and middle income homebuyers to acquire and or rehabilitate foreclosed or substandard housing that is contributing to neighborhood blight.
5. ☒ Construct or rehabilitate and sell approximately 20 single-family homes with LEED certification to low and middle income homebuyers.
6. ☒ Provide housing counseling and education, including assistance in accessing financing, for 100 potential homebuyers seeking to buy homes within the city.
7. ☒ Demolish or cause to be demolished, after consultation with the affected neighborhoods, buildings determined to be infeasible for repair, due to advanced deterioration and/or other factors.
8. ☒ Assist accessibility or other home modifications for approximately 20 special needs units.
9. ☒ Assist in substantial rehabilitation of approximately 20 owner or tenant occupied housing units.
10. ☒ Provide emergency repairs for approximately 20 owner-occupied single family homes.
11. ☒ Provide downpayment and closing cost assistance for approximately 20 homebuyers who are purchasing homes in the city.
12. ☒ Conduct and continue fair housing education to remove or reduce impediments to fair housing choice.

Other: _____

Economic Development

13. ☒ Assist with improvements to 20 commercial/industrial properties located in target neighborhoods or other predominantly low/mod income census tracts.
14. ☒ Provide workforce and related training reflecting area labor market employment opportunities for at least 100 individuals.
15. ☒ Create 100 jobs through financial assistance and/or business development services for entrepreneurs and/or expanding small companies.

Other: Being in more cooperatives = _____

Please list one top priority for each of the five years from the list provided above (1-15) or list your own suggestion:

2010-2011 Conduct neighborhood based events, . . .
2011-2012 demolish buildings/houses beyond repair
2012-2013 assist in acquisition of . . . #3 on list
2013-2014 #15
2014-2015 #14

Thank you for your time in completing this survey. Providing your name and phone number is optional, but would allow us to learn more from you, if we have questions.

Name: London Lacy
Phone: 623-810-3276



**COMMUNITY DEVELOPMENT DEPARTMENT
NEIGHBORHOOD SERVICES**

16000 North Civic Center Plaza
Surprise, Arizona 85374
Ph: 623.222.1550
Fax: 623.222.3001
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Part I

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- ☐ Finance
- ☐ Fire
- ☐ Management and Budget
- ☐ Police
- ☐ Public Works
- ☐ Transportation
- ☐ Other _____

Rate the need level for each of the following items: Check the one that best applies.

Section 1: Community Development Priorities

Community Facilities	Low	Med	High	Community Services	Low	Med	High
Senior centers	☐	☒	☐	Senior activities	☐	☐	☒
Youth centers	☐	☐	☒	Youth activities	☐	☐	☒
Child care centers	☐	☒	☐	Child care services	☐	☐	☒
Park & Recreational facilities	☒	☐	☐	Transportation services	☐	☐	☒
Health care facilities	☒	☐	☐	Anti-crime programs	☐	☒	☐
Community centers	☐	☒	☐	Health services	☐	☒	☐
Fire stations & equipment	☐	☒	☐	Mental health services	☐	☒	☐
School improvements	☐	☒	☐	Legal services	☐	☒	☐

Infrastructure	Low	Med	High
Drainage improvement	☐	☒	☐
Street/alley improvement	☐	☒	☐
Street lighting	☒	☐	☐
Sidewalk improvements	☐	☐	☒

Neighborhood Services	Low	Med	High
Water/sewer improvement	☐	☐	☒
Trash & debris removal	☐	☐	☒
Graffiti removal	☐	☐	☒
Code enforcement	☐	☐	☒
Parking facilities	☐	☒	☐
Cleanup abandoned lots & building demolition	☐	☐	☒

Special Needs Services	Low	Med	High
Literacy	☐	☒	☐
Centers/services for disabled	☐	☐	☒
Accessibility improvements	☐	☐	☒
Domestic violence	☐	☐	☒
Substance abuse	☐	☒	☐
Homeless shelters	☐	☒	☐
HIV/AIDS centers	☒	☐	☐
Neglected/abused centers & services	☐	☐	☒

Housing	Low	Med	High
Residential rehabilitation	☐	☐	☒
Homebuyer assistance	☐	☒	☐
Housing for disabled	☐	☒	☐
Senior housing	☐	☒	☐
Single-family housing	☐	☒	☐
Multi-family housing	☒	☐	☐
Affordable rental housing	☐	☒	☐
Fair housing	☐	☒	☐
Lead-based paint test/abatement	☐	☒	☐
Residential historic preservation	☒	☐	☐
Historic preservation	☒	☐	☐
Energy efficient improvements	☐	☐	☒

Section 2: Economic Development Priorities

Business & Jobs	Low	Med	High
Start up business assistance	☐	☒	☐
Small business loans	☐	☒	☐
Job creation/retention	☐	☐	☒
Employment training	☐	☒	☐
Commercial/ rehabilitation	☐	☐	☒
Façade improvements	☐	☐	☒
Business mentoring	☐	☒	☐

Labor	Low	Med	High
Availability of skilled labor	☒	☐	☒
Availability of unskilled labor	☒	☐	☐
Training programs	☐	☒	☐
Labor costs	☐	☒	☐
Non-union work force	☒	☐	☐
Union work force	☐	☒	☐

Transportation/Telecommunications	Low	Med	High
Highway accessibility	☐	☐	☒
Railroad service	☐	☐	☒
Availability of telecommunications services	☐	☒	☐
Availability of high-speed Internet access	☐	☒	☐

Finance	Low	Med	High
Availability of long-term financing	☐	☒	☐
Tax exemptions	☐	☒	☐
State and local incentives	☐	☒	☐

Quality-of-life factors	Low	Med	High
Climate	☐	☒	☐
Housing availability	☐	☒	☐
Housing costs	☐	☒	☐
Health facilities	☐	☒	☐
Cultural opportunities	☐	☐	☒
Recreational opportunities	☒	☐	☐
Colleges and universities in the city	☐	☒	☐
Low crime rate	☐	☒	☐

Part II

Action Items:

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1. Y Conduct approximately two (2) neighborhood-based events per year for blight-reduction, beautification, crime prevention, leadership/capacity development, development planning, or other neighborhood improvement activities.
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3. N Assist in the acquisition or improvement of public facilities such as community, health, or job/economic assistance centers.
- 11 4. Y Provide financial incentives to approximately 20 low and middle income homebuyers to acquire and or rehabilitate foreclosed or substandard housing that is contributing to neighborhood blight.
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8. Y Assist accessibility or other home modifications for approximately 20 special needs units.
9. Y Assist in substantial rehabilitation of approximately 20 owner or tenant occupied housing units.
10. Y Provide emergency repairs for approximately 20 owner-occupied single family homes.
- 11 11. Y Provide downpayment and closing cost assistance for approximately 20 homebuyers who are purchasing homes in the city.
12. Y Conduct and continue fair housing education to remove or reduce impediments to fair housing choice.

Other: Several of these items can be tied together items 4, 9, 10, 11

Economic Development

13. Y Assist with improvements to 20 commercial/industrial properties located in target neighborhoods or other predominantly low/mod income census tracts.
14. N Provide workforce and related training reflecting area labor market employment opportunities for at least 100 individuals.
15. Y Create 100 jobs through financial assistance and/or business development services for entrepreneurs and/or expanding small companies.

Other: _____



COMMUNITY DEVELOPMENT DEPARTMENT NEIGHBORHOOD SERVICES

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- ☐ Engineering
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- ☐ Fire
- ☐ Management and Budget
- ☐ Police
- ☐ Public Works
- ☐ Transportation

Section 2: Economic Development Priorities

Business & Jobs	Low	Med	High
Start up business assistance	☐	☒	☐
Small business loans	☐	☒	☐
Job creation/retention	☐	☐	☒
Employment training	☐	☐	☒
Commercial/ rehabilitation	☐	☐	☒
Façade improvements	☐	☐	☒
Business mentoring	☐	☒	☐

Labor	Low	Med	High
Availability of skilled labor	☐	☐	☒
Availability of unskilled labor	☒	☐	☐
Training programs	☐	☐	☒
Labor costs	☐	☐	☒
Non-union work force	☐	☐	☒
Union work force	☐	☐	☒

Transportation/Telecommunications	Low	Med	High
Highway accessibility	☐	☐	☒
Railroad service	☐	☐	☒
Availability of telecommunications services	☐	☐	☒
Availability of high-speed Internet access	☐	☐	☒

Finance	Low	Med	High
Availability of long-term financing	☐	☐	☒
Tax exemptions	☐	☐	☒
State and local incentives	☐	☐	☒

Quality-of-life factors	Low	Med	High
Climate	☐	☐	☒
Housing availability	☐	☐	☒
Housing costs	☐	☐	☒
Health facilities	☐	☐	☒
Cultural opportunities	☐	☐	☒
Recreational opportunities	☐	☐	☒
Colleges and universities in the city	☐	☐	☒
Low crime rate	☐	☐	☒

Part II

Action Items:

Below are the priority objectives the city is pursuing in its 2010-14 Consolidated Plan. Please check (Y=yes; N=no) any or all objectives you feel should be addressed in the plan. All the objectives mentioned here are examples and primarily for understanding the Consolidated Plan only. They are not to be considered as the final list. Please add any other comments or suggestions regarding the conditions or needs that the city should make a priority objective in the plan.

Community Development

1. ☐_Y_ Conduct approximately two (2) neighborhood-based events per year for blight-reduction, beautification, crime prevention, leadership/capacity development, development planning, or other neighborhood improvement activities.
2. ☐_Y_ Improve lighting, drainage or other street infrastructure needs in targeted neighborhoods or other predominantly low/mod income census tracts.
3. ☐_Y_ Assist in the acquisition or improvement of public facilities such as community, health, or job/economic assistance centers.
4. ☐_Y_ Provide financial incentives to approximately 20 low and middle income homebuyers to acquire and or rehabilitate foreclosed or substandard housing that is contributing to neighborhood blight.
5. ☐_N_ Construct or rehabilitate and sell approximately 20 single-family homes with LEED certification to low and middle income homebuyers.
6. ☐_Y_ Provide housing counseling and education, including assistance in accessing financing, for 100 potential homebuyers seeking to buy homes within the city.
7. ☐_Y_ Demolish or cause to be demolished, after consultation with the affected neighborhoods, buildings determined to be infeasible for repair, due to advanced deterioration and/or other factors.
8. ☐_Y_ Assist accessibility or other home modifications for approximately 20 special needs units.
9. ☐_Y_ Assist in substantial rehabilitation of approximately 20 owner or tenant occupied housing units.
10. ☐_Y_ Provide emergency repairs for approximately 20 owner-occupied single family homes.
11. ☐_N_ Provide down payment and closing cost assistance for approximately 20 homebuyers who are purchasing homes in the city.
12. ☐_Y_ Conduct and continue fair housing education to remove or reduce impediments to fair housing choice.

Other: _____

Economic Development

13. ☐_Y_ Assist with improvements to 20 commercial/industrial properties located in target neighborhoods or other predominantly low/mod income census tracts.
14. ☐_Y_ Provide workforce and related training reflecting area labor market employment opportunities for at least 100 individuals.
15. ☐_Y_ Create 100 jobs through financial assistance and/or business development services for entrepreneurs and/or expanding small companies.

Other: _____

Please list one top priority for each of the five years from the list provided above (1-15) or list your own suggestion:

2010-2011 _____ #13 _____

2011-2012 _____ #3 _____

2012-2013 _____

2013-2014 _____

2014-2015 _____

Thank you for your time in completing this survey. Providing your name and phone number is optional, but would allow us to learn more from you, if we have questions.

Name: _____ Michael Celaya _____

Phone: _____ (623) 222-3623 _____



**COMMUNITY DEVELOPMENT DEPARTMENT
NEIGHBORHOOD SERVICES**

16000 North Civic Center Plaza
Surprise, Arizona 85374
Ph: 623.222.1550
Fax: 623.222.3001
TTY: 623.222.1002

Consolidated Plan 2010-14 Survey

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Part I

Please indicate which city department you represent in this survey:

Please complete the survey irrespective of your expertise in other fields or departments.

- ☐ Community and Recreation Services
- ☐ Community Development
- ☐ Economic Development
- ☐ Engineering
- ☐ Intergovernmental Relations
- ☐ Finance
- ☐ Fire
- ☐ Management and Budget
- ☐ Police
- ☒ Public Works
- ☐ Transportation
- ☐ Other _____

Rate the need level for each of the following items: Check the one that best applies.

Section 1: Community Development Priorities

Community Facilities	Low	Med	High	Community Services	Low	Med	High
Senior centers	☒	☐	☐	Senior activities	☒	☐	☐
Youth centers	☒	☐	☐	Youth activities	☒	☐	☐
Child care centers	☒	☐	☐	Child care services	☒	☐	☐
Park & Recreational facilities	☒	☐	☐	Transportation services	☐	☒	☐
Health care facilities	☒	☐	☐	Anti-crime programs	☐	☐	☒
Community centers	☒	☐	☐	Health services	☒	☐	☐
Fire stations & equipment	☒	☐	☐	Mental health services	☒	☐	☐
School improvements	☐	☐	☒	Legal services	☒	☐	☐

Infrastructure	Low	Med	High
Drainage improvement	☐	☒	☐
Street/alley improvement	☐	☐	☒
Street lighting	☐	☐	☒
Sidewalk improvements	☐	☐	☒

Neighborhood Services	Low	Med	High
Water/sewer improvement	☐	☒	☐
Trash & debris removal	☒	☐	☐
Graffiti removal	☐	☒	☐
Code enforcement	☐	☐	☒
Parking facilities	☒	☐	☐
Cleanup abandoned lots & building demolition	☐	☐	☒

Special Needs Services	Low	Med	High
Literacy	☐	☐	☒
Centers/services for disabled	☒	☐	☐
Accessibility improvements	☒	☐	☐
Domestic violence	☒	☐	☐
Substance abuse	☒	☐	☐
Homeless shelters	☒	☐	☐
HIV/AIDS centers	☒	☐	☐
Neglected/abused centers & services	☒	☐	☐

Housing	Low	Med	High
Residential rehabilitation	☐	☐	☒
Homebuyer assistance	☒	☐	☐
Housing for disabled	☒	☐	☐
Senior housing	☒	☐	☐
Single-family housing	☒	☐	☐
Multi-family housing	☒	☐	☐
Affordable rental housing	☒	☐	☐
Fair housing	☒	☐	☐
Lead-based paint test/abatement	☒	☐	☐
Residential historic preservation	☒	☐	☐
Historic preservation	☒	☐	☐
Energy efficient improvements	☒	☐	☐

Section 2: Economic Development Priorities

Business & Jobs	Low	Med	High
Start up business assistance	☒	☐	☐
Small business loans	☒	☐	☐
Job creation/retention	☒	☐	☐
Employment training	☒	☐	☐
Commercial/ rehabilitation	☒	☐	☐
Façade improvements	☒	☐	☐
Business mentoring	☒	☐	☐

Labor	Low	Med	High
Availability of skilled labor	☒	☐	☐
Availability of unskilled labor	☒	☐	☐
Training programs	☒	☐	☐
Labor costs	☒	☐	☐
Non-union work force	☒	☐	☐
Union work force	☒	☐	☐

Transportation/Telecommunications	Low	Med	High
Highway accessibility	☒	☐	☐
Railroad service	☒	☐	☐
Availability of telecommunications services	☒	☐	☐
Availability of high-speed Internet access	☒	☐	☐

Finance	Low	Med	High
Availability of long-term financing	☒	☐	☐
Tax exemptions	☒	☐	☐
State and local incentives	☒	☐	☐

Quality-of-life factors	Low	Med	High
Climate	☒	☐	☐
Housing availability	☒	☐	☐
Housing costs	☒	☐	☐
Health facilities	☒	☐	☐
Cultural opportunities	☒	☐	☐
Recreational opportunities	☒	☐	☐
Colleges and universities in the city	☒	☐	☐
Low crime rate	☒	☐	☐

Part II

Action Items:

Below are the priority objectives the city is pursuing in its 2010-14 Consolidated Plan. Please check (Y=yes; N=no) any or all objectives you feel should be addressed in the plan. All the objectives mentioned here are examples and primarily for understanding the Consolidated Plan only. They are not to be considered as the final list. Please add any other comments or suggestions regarding the conditions or needs that the city should make a priority objective in the plan.

Community Development

1. Y Conduct approximately two (2) neighborhood-based events per year for blight-reduction, beautification, crime prevention, leadership/capacity development, development planning, or other neighborhood improvement activities.
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3. N Assist in the acquisition or improvement of public facilities such as community, health, or job/economic assistance centers.
4. N Provide financial incentives to approximately 20 low and middle income homebuyers to acquire and or rehabilitate foreclosed or substandard housing that is contributing to neighborhood blight.
5. N Construct or rehabilitate and sell approximately 20 single-family homes with LEED certification to low and middle income homebuyers.
6. N Provide housing counseling and education, including assistance in accessing financing, for 100 potential homebuyers seeking to buy homes within the city.
7. Y Demolish or cause to be demolished, after consultation with the affected neighborhoods, buildings determined to be infeasible for repair, due to advanced deterioration and/or other factors.
8. N Assist accessibility or other home modifications for approximately 20 special needs units.
9. N Assist in substantial rehabilitation of approximately 20 owner or tenant occupied housing units.
10. N Provide emergency repairs for approximately 20 owner-occupied single family homes.
11. N Provide downpayment and closing cost assistance for approximately 20 homebuyers who are purchasing homes in the city.
12. N Conduct and continue fair housing education to remove or reduce impediments to fair housing choice.

Other: _____

Economic Development

13. N Assist with improvements to 20 commercial/industrial properties located in target neighborhoods or other predominantly low/mod income census tracts.
14. N Provide workforce and related training reflecting area labor market employment opportunities for at least 100 individuals.
15. N Create 100 jobs through financial assistance and/or business development services for entrepreneurs and/or expanding small companies.

Other: _____

Please list one top priority for each of the five years from the list provided above (1-15) or list your own suggestion:

2010-2011 7

2011-2012 1

2012-2013 1

2013-2014 1

2014-2015 2

Thank you for your time in completing this survey. Providing your name and phone number is optional, but would allow us to learn more from you, if we have questions.

Name: Suneel Garg

Phone: 623-222-6130

(14)



**COMMUNITY DEVELOPMENT DEPARTMENT
NEIGHBORHOOD SERVICES**

16000 North Civic Center Plaza
Surprise, Arizona 85374

Ph: 623.222.1550

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TTY: 623.222.1002

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Part 1

If you live in Surprise, please provide the neighborhood/area you live in: Sierra Verde

If you serve Surprise, please provide the number of individuals you represent _____ and the neighborhood/area you serve: _____

Which of the following best describes your status (check all that applies)?

- ☒ Property Owner ☐ Landlord ☐ Renter ☐ Business Owner
☐ Housing Provider ☐ Social Service Provider ☐ Health Provider
☐ Other (specify) _____



Rate the need level for each of the following items: Check the one that best applies
Section 1: Community Development Priorities

Community Facilities	Low	Med	High	Community Services	Low	Med	High
Senior centers	☐	☒	☐	Senior activities	☐	☐	☒
Youth centers	☐	☒	☐	Youth activities	☐	☒	☒
Child care centers	☐	☐	☒	Child care services	☐	☒	☐
Park & Recreational facilities	☐	☐	☒	Transportation services	☐	☐	☒
Health care facilities	☐	☐	☒	Anti-crime programs	☐	☐	☒
Community centers	☐	☐	☒	Health services	☐	☒	☒
Fire stations & equipment	☐	☒	☐	Mental health services	☐	☒	☐
School improvements	☐	☒	☐	Legal services	☐	☒	☐

Infrastructure	Low	Med	High
Drainage improvement	☐	☒	☐
Street/alley improvement	☐	☒	☐
Street lighting	☐	☒	☐
Sidewalk improvements	☐	☒	☐

Neighborhood Services	Low	Med	High
Water/sewer improvement	☐	☒	☐
Trash & debris removal	☐	☒	☐
Graffiti removal	☐	☒	☐
Code enforcement	☐	☒	☐
Parking facilities	☐	☒	☐
Cleanup abandoned lots & building demolition	☐	☒	☐

Special Needs Services	Low	Med	High
Literacy	☐	☒	☐
Centers/services for disabled	☐	☒	☐
Accessibility improvements	☐	☒	☐
Domestic violence	☐	☒	☐
Substance abuse	☐	☒	☐
Homeless shelters	☒	☐	☐
HIV/AIDS centers	☒	☐	☐
Neglected/abused centers & services	☒	☐	☐

Housing	Low	Med	High
Residential rehabilitation	☐	☒	☐
Homebuyer assistance	☐	☒	☐
Housing for disabled	☒	☐	☐
Senior housing	☒	☐	☐
Single-family housing	☐	☒	☐
Multi-family housing	☒	☐	☐
Affordable rental housing	☒	☐	☐
Fair housing	☐	☒	☐
Lead-based paint test/abatement	☒	☐	☐
Residential historic preservation	☒	☐	☐
Historic preservation	☐	☒	☐
Energy efficient improvements	☐	☐	☒



Section 2: Economic Development Priorities

Business & Jobs	Low	Med	High
Start up business assistance	☐	☐	☒
Small business loans	☐	☐	☒
Job creation/retention	☐	☐	☒
Employment training	☐	☐	☒
Commercial/ rehabilitation	☐	☐	☒
Facade improvements	☐	☐	☒
Business mentoring	☐	☐	☒

Labor	Low	Med	High
Availability of skilled labor	☐	☒	☐
Availability of unskilled labor	☒	☐	☐
Training programs	☐	☐	☒
Labor costs	☐	☐	☒
Non-union work force	☐	☐	☒
Union work force	☒	☐	☐

Transportation/Telecommunications	Low	Med	High
Highway accessibility	☐	☐	☒
Railroad service	☒	☐	☐
Availability of telecommunications services	☐	☐	☒
Availability of high-speed Internet access	☐	☐	☒

Finance	Low	Med	High
Availability of long-term financing	☐	☐	☒
Tax exemptions	☐	☐	☒
State and local incentives	☐	☐	☒

Quality-of-life factors	Low	Med	High
Climate	☐	☒	☐
Housing availability	☐	☐	☒
Housing costs	☐	☐	☒
Health facilities	☐	☐	☒
Cultural opportunities	☐	☐	☒
Recreational opportunities	☐	☐	☒
Colleges and universities in the city	☐	☐	☒
Low crime rate	☐	☐	☒



Part II**Action Items:**

Below are the priority objectives the city is pursuing in its 2010-14 Consolidated Plan. Please check (Y=yes; N=no) any or all objectives you feel should be addressed in the plan. All the objectives mentioned here are examples and primarily for understanding the Consolidated Plan only. They are not to be considered as the final list. Please add any other comments or suggestions regarding the conditions or needs that the city should make a priority objective in the plan.

Community Development

1. ☒ Conduct approximately two (2) neighborhood-based events per year for blight-reduction, beautification, crime prevention, leadership/capacity development, development planning, or other neighborhood improvement activities.
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4. ☒ Provide financial incentives to approximately 20 low and middle income homebuyers to acquire and/or rehabilitate foreclosed or substandard housing that is contributing to neighborhood blight.
5. ☒ Construct or rehabilitate and sell approximately 20 single-family homes with LEED certification to low and middle income homebuyers.
6. ☒ Provide housing counseling and education, including assistance in accessing financing, for 100 potential homebuyers seeking to buy homes within the city.
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8. ☒ Assist accessibility or other home modifications for approximately 20 special needs units.
9. ☒ Assist in substantial rehabilitation of approximately 20 owner or tenant occupied housing units.
10. ☒ Provide emergency repairs for approximately 20 owner-occupied single family homes.
11. ☒ Provide downpayment and closing cost assistance for approximately 20 homebuyers who are purchasing homes in the city.
12. ☒ Conduct and continue fair housing education to remove or reduce impediments to fair housing choice.

Other: _____

Economic Development

13. ☒ Assist with improvements to 20 commercial/industrial properties located in target neighborhoods or other predominantly low/mod income census tracts.
14. ☒ Provide workforce and related training reflecting area labor market employment opportunities for at least 100 individuals.
15. ☒ Create 100 jobs through financial assistance and/or business development services for entrepreneurs and/or expanding small companies.

Other: _____



Please list one top priority for each of the five years from the list provided above (1-15) or list your own suggestion:

2010-2011 15

2011-2012 13

2012-2013 1

2013-2014 14

2014-2015 7

Thank you for your time in completing this survey. Please provide the zip code where you live or work. Providing your name and phone number is optional, but would allow us to learn more from you, if we have questions.

Name: KEVIN BYRNE
Phone: (623) 518-9391 OR (253) 861-3003 cell/home
Zip code: 85379





**COMMUNITY DEVELOPMENT DEPARTMENT
NEIGHBORHOOD SERVICES**

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Part 1

If you live in Surprise, please provide the neighborhood/area you live in: MOUNTAIN VISTA

If you serve Surprise, please provide the number of individuals you represent _____ and the neighborhood/area you serve: _____

Which of the following best describes your status (check all that applies)?

- ☒ Property Owner ☐ Landlord ☐ Renter ☐ Business Owner
☐ Housing Provider ☐ Social Service Provider ☐ Health Provider
☐ Other (specify) _____



Rate the need level for each of the following items: Check the one that best applies

Section 1: Community Development Priorities

Community Facilities	Low	Med	High	Community Services	Low	Med	High
Senior centers	☐	☒	☐	Senior activities	☐	☒	☐
Youth centers	☐	☐	☒	Youth activities	☐	☐	☒
Child care centers	☐	☒	☐	Child care services	☐	☒	☐
Park & Recreational facilities	☐	☒	☐	Transportation services	☐	☐	☒
Health care facilities	☐	☒	☐	Anti-crime programs	☐	☐	☒
Community centers	☐	☒	☐	Health services	☐	☒	☐
Fire stations & equipment	☐	☐	☒	Mental health services	☐	☒	☐
School improvements	☐	☒	☐	Legal services	☒	☐	☐

Infrastructure	Low	Med	High
Drainage improvement	☐	☒	☐
Street/alley improvement	☐	☒	☐
Street lighting	☐	☒	☐
Sidewalk improvements	☐	☒	☐

Neighborhood Services	Low	Med	High
Water/sewer improvement	☐	☒	☐
Trash & debris removal	☐	☒	☐
Graffiti removal	☐	☐	☒
Code enforcement	☐	☐	☒
Parking facilities	☐	☒	☐
Cleanup abandoned lots & building demolition	☐	☒	☐

Special Needs Services	Low	Med	High
Literacy	☐	☐	☒
Centers/services for disabled	☐	☒	☐
Accessibility improvements	☐	☐	☒
Domestic violence	☐	☒	☐
Substance abuse	☐	☒	☐
Homeless shelters	☒	☐	☐
HIV/AIDS centers	☒	☐	☐
Neglected/abused centers & services	☐	☒	☐

Housing	Low	Med	High
Residential rehabilitation	☐	☒	☐
Homebuyer assistance	☐	☒	☐
Housing for disabled	☐	☒	☐
Senior housing	☐	☒	☐
Single-family housing	☒	☐	☐
Multi-family housing	☒	☐	☐
Affordable rental housing	☐	☒	☐
Fair housing	☐	☒	☐
Lead-based paint test/abatement	☒	☐	☐
Residential historic preservation	☒	☐	☐
Historic preservation	☒	☐	☐
Energy efficient improvements	☐	☐	☒



Section 2: Economic Development Priorities

Business & Jobs	Low	Med	High
Start up business assistance	☐	☐	☒
Small business loans	☐	☐	☒
Job creation/retention	☐	☐	☒
Employment training	☐	☒	☐
Commercial/ rehabilitation	☐	☒	☐
Façade improvements	☐	☒	☐
Business mentoring	☒	☐	☐

Labor	Low	Med	High
Availability of skilled labor	☐	☒	☐
Availability of unskilled labor	☐	☒	☐
Training programs	☐	☐	☒
Labor costs	☐	☒	☐
Non-union work force	☐	☒	☐
Union work force	☐	☒	☐

Transportation/Telecommunications	Low	Med	High
Highway accessibility	☐	☐	☒
Railroad service	☐	☒	☐
Availability of telecommunications services	☐	☒	☐
Availability of high-speed Internet access	☒	☐	☐

Finance	Low	Med	High
Availability of long-term financing	☐	☒	☐
Tax exemptions	☐	☒	☐
State and local incentives	☐	☐	☒

Quality-of-life factors	Low	Med	High
Climate	☒	☐	☐
Housing availability	☒	☐	☐
Housing costs	☒	☐	☐
Health facilities	☐	☒	☐
Cultural opportunities	☒	☐	☐
Recreational opportunities	☐	☒	☐
Colleges and universities in the city	☐	☒	☐
Low crime rate	☐	☐	☒

Part II

Action Items:

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Other: _____

Economic Development

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15. ☒ Create 100 jobs through financial assistance and/or business development services for entrepreneurs and/or expanding small companies.

Other: _____

Please list one top priority for each of the five years from the list provided above (1-15) or list your own suggestion:

2010-2011 14 - Employment

2011-2012 14 - Employment

2012-2013 15 - Employment

2013-2014 FINANCIAL EDUCATION

2014-2015 demolish old (useless) buildings.

Thank you for your time in completing this survey. Please provide the zip code where you live or work. Providing your name and phone number is optional, but would allow us to learn more from you, if we have questions.

Name: TONY SEGARRA

Phone: 623-546-3882

Zip code: 85374-4305





**COMMUNITY DEVELOPMENT DEPARTMENT
NEIGHBORHOOD SERVICES**

16000 North Civic Center Plaza
Surprise, Arizona 85374
Ph: 623.222.1550
Fax: 623.222.3001
TTY: 623.222.1002

Consolidated Plan 2010-14 Survey

The City of Surprise Neighborhood Services Division is in the process of preparing a five-year Consolidated Plan 2010-14 and Action Plan 2010-11 and we seek your valuable input. The Plans under development will represent the community development and housing needs for the City of Surprise, as well as will guide the allocation of federal funds and other resources in our community.

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These Plans cover the FY 2010-14 planning period and will guide the use of Community Development Block Grant (CDBG), Home Investment Partnership (HOME), and other resources. All of the Plans to be prepared will be submitted to the U.S. Department of Housing and Urban Development (HUD) by May 2010. Your input and perspectives on community development and housing needs and priorities for the city are greatly appreciated and we thank you for your cooperation in completing this survey before January 15, 2010.

Part 1

If you live in Surprise, please provide the neighborhood/area you live in: Saguaro View HOA_

If you serve Surprise, please provide the number of individuals you represent ? and the neighborhood/area you serve: LD-4 (N of Bell until Sarival, then N of Greenway)

Which of the following best describes your status (check all that applies)?

xxProperty Owner

☐ Housing Provider

☐ Social Service Provider

☐ Health Provider

☒ Other (specify) State Senator_



Rate the need level for each of the following items: Check the one that best applies

Section 1: Community Development Priorities

Community Facilities	Low	Med	High	Community Services	Low	Med	High
Senior centers	X ☐	☐	☐	Senior activities	X ☐	☐	☐
Youth centers	X	☐	☐	Youth activities	X ☐	☐	☐
Child care centers	X ☐	☐	☐	Child care services	X	☐	☐
Park & Recreational facilities	X ☐	☐	☐	Transportation services	☐	☐	X
Health care facilities	X ☐	☐	☐	Anti-crime programs	☐	X	☐
Community centers	X ☐	☐	☐	Health services	X	☐	☐
Fire stations & equipment	☐	X ☐	☐	Mental health services	X ☐	☐	☐
School improvements	☐	X	☐	Legal services	X	☐	☐

Infrastructure	Low	Med	High
Drainage improvement	☐	☐	X ☐
Street/alley improvement	☐	☐	X ☐
Street lighting	☐	X	☐
Sidewalk improvements	☐	X	☐

Neighborhood Services	Low	Med	High
Water/sewer improvement	☐	X ☐	☐
Trash & debris removal	X	☐	☐
Graffiti removal	☐	☐	X ☐
Code enforcement	X ☐	☐	☐
Parking facilities	☐	☐	X ☐
Cleanup abandoned lots & building demolition	X	☐	☐

Special Needs Services	Low	Med	High
Literacy	☒	☐	☐
Centers/services for disabled	☒	☐	☐
Accessibility improvements	☐	X	☐
Domestic violence	☐	X	☐
Substance abuse	☒	☐	☐
Homeless shelters	☐	X	☐
HIV/AIDS centers	☒	☐	☐
Neglected/abused centers & services	☒	☒	☐

Housing	Low	Med	High
Residential rehabilitation	☒	☐	☐
Homebuyer assistance	☒	☐	☐
Housing for disabled	☒	☐	☐
Senior housing	☒	☐	☐
Single-family housing	☒	☐	☐
Multi-family housing	☒	☐	☐
Affordable rental housing	☒	☐	☐
Fair housing	☒	☐	☐
Lead-based paint test/abatement	☒	☐	☐
Residential historic preservation	☒	☐	☐
Historic preservation	☒	☐	☐
Energy efficient improvements	☒	☐	☐

Section 2: Economic Development Priorities

Business & Jobs	Low	Med	High
Start up business assistance	☒	☐	☐
Small business loans	☒	☐	☐
Job creation/retention	☒	☐	☐
Employment training	☒	☐	☐
Commercial/ rehabilitation	☒	☐	☐
Façade improvements	☒	☐	☐
Business mentoring	☐	☒	☐

Labor	Low	Med	High
Availability of skilled labor	☐	☐	☐
Availability of unskilled labor	☐	☐	☐
Training programs	☐	☐	☐
Labor costs	☐	☐	☐
Non-union work force	☐	☐	☐
Union work force	☐	☐	☐

Transportation/Telecommunications	Low	Med	High
Highway accessibility	☐	☐	☒
Railroad service	☐	☐	☒
Availability of telecommunications services	☐	☒	☐
Availability of high-speed Internet access	☒	☐	☐

Finance	Low	Med	High
Availability of long-term financing	☒	☐	☐
Tax exemptions	☒	☐	☐
State and local incentives	☒	☐	☐

Quality-of-life factors	Low	Med	High
Climate	☒	☐	☐
Housing availability	☒	☐	☐
Housing costs	☒	☐	☐
Health facilities	☒	☐	☐
Cultural opportunities	☒	☐	☐
Recreational opportunities	☒	☐	☐
Colleges and universities in the city	☐	☒	☐
Low crime rate	☐	☒	☐

Please list one top priority for each of the five years from the list provided above (1-15) or list your own suggestion:

2010-2011 Plan Drainage for North Surprise
2011-2012 Plan interchange at Jomax and Grand - state funded
2012-2013 Have State Transportation ^{Board} fund Jomax Corridor / Commuter rail along Grand
2013-2014 Connect 101, 303, and Canada Mex Hwy
2014-2015 Annex Wittmann and begin its Infrastructure improvements

Thank you for your time in completing this survey. Please provide the zip code where you live or work. Providing your name and phone number is optional, but would allow us to learn more from you, if we have questions.

Name: St. Sen. Jack Harper
Phone: 623-680-3530
Zip code: 85387

Section 2: Economic Development Priorities

Business & Jobs	Low	Med	High
Start up business assistance	☒	☐	☐
Small business loans	☒	☐	☐
Job creation/retention	☒	☐	☐
Employment training	☒	☐	☐
Commercial/ rehabilitation	☒	☐	☐
Façade improvements	☒	☐	☐
Business mentoring	☐	☒	☐

Labor	Low	Med	High
Availability of skilled labor	☐	☐	☐
Availability of unskilled labor	☐	☐	☐
Training programs	☐	☐	☐
Labor costs	☐	☐	☐
Non-union work force	☐	☐	☐
Union work force	☐	☐	☐

Transportation/Telecommunications	Low	Med	High
Highway accessibility	☐	☐	☒
Railroad service	☐	☐	☒
Availability of telecommunications services	☐	☒	☐
Availability of high-speed Internet access	☒	☐	☐

Finance	Low	Med	High
Availability of long-term financing	☒	☐	☐
Tax exemptions	☒	☐	☐
State and local incentives	☒	☐	☐

Quality-of-life factors	Low	Med	High
Climate	☒	☐	☐
Housing availability	☒	☐	☐
Housing costs	☒	☐	☐
Health facilities	☒	☐	☐
Cultural opportunities	☒	☐	☐
Recreational opportunities	☒	☐	☐
Colleges and universities in the city	☐	☒	☐
Low crime rate	☐	☒	☐

Part II

Action Items:

Below are the priority objectives the city is pursuing in its 2010-14 Consolidated Plan. Please check (Y=yes; N=no) any or all objectives you feel should be addressed in the plan. All the objectives mentioned here are examples and primarily for understanding the Consolidated Plan only. They are not to be considered as the final list. Please add any other comments or suggestions regarding the conditions or needs that the city should make a priority objective in the plan.

Community Development

1. N Conduct approximately two (2) neighborhood-based events per year for blight-reduction, beautification, crime prevention, leadership/capacity development, development planning, or other neighborhood improvement activities.
 2. Y Improve lighting, drainage or other street infrastructure needs in targeted neighborhoods or other predominantly low/mod income census tracts.
 3. N Assist in the acquisition or improvement of public facilities such as community, health, or job/economic assistance centers.
 4. N Provide financial incentives to approximately 20 low and middle income homebuyers to acquire and or rehabilitate foreclosed or substandard housing that is contributing to neighborhood blight.
 5. N Construct or rehabilitate and sell approximately 20 single-family homes with LEED certification to low and middle income homebuyers.
 6. N Provide housing counseling and education, including assistance in accessing financing, for 100 potential homebuyers seeking to buy homes within the city.
 7. Y Demolish or cause to be demolished, after consultation with the affected neighborhoods, buildings determined to be infeasible for repair, due to advanced deterioration and/or other factors.
 8. N Assist accessibility or other home modifications for approximately 20 special needs units.
 9. N Assist in substantial rehabilitation of approximately 20 owner or tenant occupied housing units.
 10. N Provide emergency repairs for approximately 20 owner-occupied single family homes.
 11. N Provide downpayment and closing cost assistance for approximately 20 homebuyers who are purchasing homes in the city.
 12. N Conduct and continue fair housing education to remove or reduce impediments to fair housing choice.
- Other: Have MAG conduct a study for a Loop 101 to Loop 303
connection: Loop 101 @ 43rd Ave To Loop 303 @

Economic Development

13. N Assist with improvements to 20 commercial/industrial properties located in target neighborhoods or other predominantly low/mod income census tracts.
14. N Provide workforce and related training reflecting area labor market employment opportunities for at least 100 individuals.
15. N Create 100 jobs through financial assistance and/or business development services for entrepreneurs and/or expanding small companies.

Other: _____

Please list one top priority for each of the five years from the list provided above (1-15) or list your own suggestion:

2010-2011 Plan Drainage for North Surprise
2011-2012 Plan interchange at Jomax and Grand - state funded
2012-2013 Have State Transportation ^{Board} fund Jomax Corridor / Commuter rail along Grand
2013-2014 Connect 101, 303, and CarriMex Hwy
2014-2015 Annex Wittmann and begin its Infrastructure improvements

Thank you for your time in completing this survey. Please provide the zip code where you live or work. Providing your name and phone number is optional, but would allow us to learn more from you, if we have questions.

Name: St. Sen. Jack Harper
Phone: 623-680-3530
Zip code: 85387



**COMMUNITY DEVELOPMENT DEPARTMENT
NEIGHBORHOOD SERVICES**

16000 North Civic Center Plaza
Surprise, Arizona 85374
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Consolidated Plan 2010-14 Survey

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Part 1

If you live in Surprise, please provide the neighborhood/area you live in: Sun City Grand

If you serve Surprise, please provide the number of individuals you represent _____ and the neighborhood/area you serve: Cimarron Precinct

Which of the following best describes your status (check all that applies)?

- ☒ Property Owner ☐ Landlord ☐ Renter ☐ Business Owner
☐ Housing Provider ☐ Social Service Provider ☐ Health Provider
☐ Other (specify) _____



Rate the need level for each of the following items: Check the one that best applies
Section 1: Community Development Priorities

Community Facilities	Low	Med	High	Community Services	Low	Med	High
Senior centers	☒	☐	☐	Senior activities	☐	☒	☐
Youth centers	☒	☐	☐	Youth activities	☒	☐	☐
Child care centers	☒	☐	☐	Child care services	☒	☐	☐
Park & Recreational facilities	☐	☒	☐	Transportation services	☐	☒	☐
Health care facilities	☐	☐	☒	Anti-crime programs	☐	☐	☒
Community centers	☒	☐	☐	Health services	☐	☐	☒
Fire stations & equipment	☐	☐	☒	Mental health services	☐	☒	☐
School improvements	☐	☒	☐	Legal services	☐	☒	☐

Infrastructure	Low	Med	High
Drainage improvement	☐	☒	☐
Street/alley improvement	☒	☐	☐
Street lighting	☒	☐	☐
Sidewalk improvements	☐	☒	☐

Neighborhood Services	Low	Med	High
Water/sewer improvement	☒	☐	☐
Trash & debris removal	☒	☐	☐
Graffiti removal	☐	☒	☐
Code enforcement	☒	☐	☐
Parking facilities	☒	☐	☐
Cleanup abandoned lots & building demolition	☒	☐	☐

Special Needs Services	Low	Med	High
Literacy	☒	☐	☐
Centers/services for disabled	☐	☒	☐
Accessibility improvements	☐	☒	☐
Domestic violence	☒	☐	☐
Substance abuse	☒	☐	☐
Homeless shelters	☒	☐	☐
HIV/AIDS centers	☒	☐	☐
Neglected/abused centers & services	☒	☐	☐

Housing	Low	Med	High
Residential rehabilitation	☒	☐	☐
Homebuyer assistance	☒	☐	☐
Housing for disabled	☒	☐	☐
Senior housing	☐	☒	☐
Single-family housing	☒	☐	☐
Multi-family housing	☒	☐	☐
Affordable rental housing	☒	☐	☐
Fair housing	☒	☐	☐
Lead-based paint test/abatement	☒	☐	☐
Residential historic preservation	☒	☐	☐
Historic preservation	☒	☐	☐
Energy efficient improvements	☐	☒	☐

Section 2: Economic Development Priorities

Business & Jobs	Low	Med	High
Start up business assistance	x ☐	☐	☐
Small business loans	x ☐	☐	☐
Job creation/retention	x ☐	☐	☐
Employment training	x ☐	☐	☐
Commercial/ rehabilitation	x ☐	☐	☐
Façade improvements	x ☐	☐	☐
Business mentoring	x ☐	☐	☐

Labor	Low	Med	High
Availability of skilled labor	x ☐	☐	☐
Availability of unskilled labor	x ☐	☐	☐
Training programs	☐	x ☐	☐
Labor costs	x ☐	☐	☐
Non-union work force	x ☐	☐	☐
Union work force	x ☐	☐	☐

Transportation/Telecommunications	Low	Med	High
Highway accessibility	x ☐	☐	☐
Railroad service	x ☐	☐	☐
Availability of telecommunications services	x ☐	☐	☐
Availability of high-speed Internet access	x ☐	☐	☐

Finance	Low	Med	High
Availability of long-term financing	☐	x ☐	☐
Tax exemptions	☐	x ☐	☐
State and local incentives	☐	x ☐	☐

Quality-of-life factors	Low	Med	High
Climate	☐	☐	x ☐
Housing availability	☐	x ☐	☐
Housing costs	☐	x ☐	☐
Health facilities	☐	☐	x ☐
Cultural opportunities	☐	☐	x ☐
Recreational opportunities	☐	☐	x ☐
Colleges and universities in the city	☐	x ☐	☐
Low crime rate	☐	☐	x ☐

Part II

Action Items:

Below are the priority objectives the city is pursuing in its 2010-14 Consolidated Plan. Please check (Y=yes; N=no) any or all objectives you feel should be addressed in the plan. All the objectives mentioned here are examples and primarily for understanding the Consolidated Plan only. They are not to be considered as the final list. Please add any other comments or suggestions regarding the conditions or needs that the city should make a priority objective in the plan.

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1. y Conduct approximately two (2) neighborhood-based events per year for blight-reduction, beautification, crime prevention, leadership/capacity development, development planning, or other neighborhood improvement activities.
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5. n Construct or rehabilitate and sell approximately 20 single-family homes with LEED certification to low and middle income homebuyers.
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10. n Provide emergency repairs for approximately 20 owner-occupied single family homes.
11. n Provide downpayment and closing cost assistance for approximately 20 homebuyers who are purchasing homes in the city.
12. n Conduct and continue fair housing education to remove or reduce impediments to fair housing choice.

Other: _____

Economic Development

13. n Assist with improvements to 20 commercial/industrial properties located in target neighborhoods or other predominantly low/mod income census tracts.
14. y Provide workforce and related training reflecting area labor market employment opportunities for at least 100 individuals.
15. y Create 100 jobs through financial assistance and/or business development services for entrepreneurs and/or expanding small companies.

Other: Reasonable tax incentive for new businesses wishing to locate in the city of Surprise.

Please list one top priority for each of the five years from the list provided above (1-15) or list your own suggestion:

2010-2011 1

2011-2012 2

2012-2013 3

2013-2014 14

2014-2015 15

Thank you for your time in completing this survey. Please provide the zip code where you live or work. Providing your name and phone number is optional, but would allow us to learn more from you, if we have questions.

Name: Larry Templeton

Phone: 623-322-5141

Zip code: 85387



**COMMUNITY DEVELOPMENT DEPARTMENT
NEIGHBORHOOD SERVICES**

16000 North Civic Center Plaza
Surprise, Arizona 85374
Ph: 623.222.1550
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Part I

Please indicate which city department you represent in this survey:

Please complete the survey irrespective of your expertise in other fields or departments.

- ☐ Community and Recreation Services
- ☐ Community Development
- ☒ Economic Development
- ☐ Engineering
- ☐ Finance
- ☐ Fire
- ☐ Management and Budget
- ☐ Police
- ☐ Public Works
- ☐ Transportation

Rate the need level for each of the following items: Check the one that best applies.

Section 1: Community Development Priorities

Community Facilities	Low	Med	High	Community Services	Low	Med	High
Senior centers	☐	☐	☒	Senior activities	☐	☐	☒
Youth centers	☐	☐	☒	Youth activities	☐	☐	☒
Child care centers	☐	☐	☒	Child care services	☐	☐	☒
Park & Recreational facilities	☐	☐	☒	Transportation services	☐	☐	☒
Health care facilities	☒	☐	☒	Anti-crime programs	☐	☐	☒
Community centers	☒	☐	☐	Health services	☒	☐	☒
Fire stations & equipment	☒	☐	☐	Mental health services	☒	☐	☐
School improvements	☐	☐	☒	Legal services	☒	☐	☐

Infrastructure	Low	Med	High
Drainage improvement	☒	☐	☐
Street/alley improvement	☒	☐	☐
Street lighting	☐	☐	☒
Sidewalk improvements	☐	☐	☒

Neighborhood Services	Low	Med	High
Water/sewer improvement	☐	☐	☒
Trash & debris removal	☐	☐	☒
Graffiti removal	☐	☐	☒
Code enforcement	☐	☐	☒
Parking facilities	☐	☐	☒
Cleanup abandoned lots & building demolition	☐	☐	☒

Special Needs Services	Low	Med	High
Literacy	☒	☐	☐
Centers/services for disabled	☐	☐	☒
Accessibility improvements	☒	☐	☐
Domestic violence	☐	☐	☒
Substance abuse	☒	☐	☐
Homeless shelters	☐	☒	☐
HIV/AIDS centers	☒	☐	☐
Neglected/abused centers & services	☐	☐	☒

Housing	Low	Med	High
Residential rehabilitation	☐	☐	☒
Homebuyer assistance	☒	☐	☐
Housing for disabled	☐	☐	☒
Senior housing	☐	☐	☒
Single-family housing	☐	☐	☒
Multi-family housing	☐	☐	☒
Affordable rental housing	☒	☐	☐
Fair housing	☒	☐	☐
Lead-based paint test/abatement	☒	☐	☐
Residential historic preservation	☐	☐	☒
Historic preservation	☐	☐	☒
Energy efficient improvements	☐	☐	☒

Section 2: Economic Development Priorities

Business & Jobs	Low	Med	High
Start up business assistance	☐	☐	☒
Small business loans	☒	☐	☐
Job creation/retention	☐	☐	☒
Employment training	☐	☐	☒
Commercial/ rehabilitation	☐	☐	☒
Façade improvements	☐	☐	☒
Business mentoring	☒	☐	☐

Labor	Low	Med	High
Availability of skilled labor	☐	☐	☒
Availability of unskilled labor	☐	☐	☒
Training programs	☐	☐	☒
Labor costs	☒	☐	☐
Non-union work force	☐	☐	☒
Union work force	☒	☐	☐

Transportation/Telecommunications	Low	Med	High
Highway accessibility	☐	☐	☒
Railroad service	☐	☐	☒
Availability of telecommunications services	☐	☐	☒
Availability of high-speed Internet access	☐	☐	☒

Finance	Low	Med	High
Availability of long-term financing	☐	☐	☒
Tax exemptions	☐	☐	☒
State and local incentives	☐	☐	☒

Quality-of-life factors	Low	Med	High
Climate	☒	☐	☐
Housing availability	☒	☐	☐
Housing costs	☒	☐	☐
Health facilities	☐	☐	☒
Cultural opportunities	☒	☐	☐
Recreational opportunities	☒	☐	☐
Colleges and universities in the city	☐	☐	☒
Low crime rate	☐	☐	☒

Part II

Action Items:

Below are the priority objectives the city is pursuing in its 2010-14 Consolidated Plan. Please check (Y=yes; N=no) any or all objectives you feel should be addressed in the plan. All the objectives mentioned here are examples and primarily for understanding the Consolidated Plan only. They are not to be considered as the final list. Please add any other comments or suggestions regarding the conditions or needs that the city should make a priority objective in the plan.

Community Development

1. ☒ Conduct approximately two (2) neighborhood-based events per year for blight-reduction, beautification, crime prevention, leadership/capacity development, development planning, or other neighborhood improvement activities.
2. ☒ Improve lighting, drainage or other street infrastructure needs in targeted neighborhoods or other predominantly low/mod income census tracts.
3. ☒ Assist in the acquisition or improvement of public facilities such as community, health, or job/economic assistance centers.
4. ☒ Provide financial incentives to approximately 20 low and middle income homebuyers to acquire and or rehabilitate foreclosed or substandard housing that is contributing to neighborhood blight.
5. ☒ Construct or rehabilitate and sell approximately 20 single-family homes with LEED certification to low and middle income homebuyers.
6. ☒ Provide housing counseling and education, including assistance in accessing financing, for 100 potential homebuyers seeking to buy homes within the city.
7. ☒ Demolish or cause to be demolished, after consultation with the affected neighborhoods, buildings determined to be infeasible for repair, due to advanced deterioration and/or other factors.
8. ☒ Assist accessibility or other home modifications for approximately 20 special needs units.
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10. ☒ Provide emergency repairs for approximately 20 owner-occupied single family homes.
11. ☒ Provide downpayment and closing cost assistance for approximately 20 homebuyers who are purchasing homes in the city.
12. ☒ Conduct and continue fair housing education to remove or reduce impediments to fair housing choice.

Other: _____

Economic Development

13. ☒ Assist with improvements to 20 commercial/industrial properties located in target neighborhoods or other predominantly low/mod income census tracts.
14. ☒ Provide workforce and related training reflecting area labor market employment opportunities for at least 100 individuals.
15. ☒ Create 100 jobs through financial assistance and/or business development services for entrepreneurs and/or expanding small companies.

Other: _____

Please list one top priority for each of the five years from the list provided above (1-15) or list your own suggestion:

2010-2011 AZ Tech Celerator / public improvements (facility)
2011-2012 AZ Tech Celerator / job training programs
2012-2013 AZ Tech Celerator neighborhood improvements
2013-2014 Water services site improvement at SW Railplex
2014-2015 _____

Thank you for your time in completing this survey. Providing your name and phone number is optional, but would allow us to learn more from you, if we have questions.

Name: Jeanine Jerkovic
Phone: 23322



**COMMUNITY DEVELOPMENT DEPARTMENT
NEIGHBORHOOD SERVICES**

16000 North Civic Center Plaza
Surprise, Arizona 85374
Ph: 623.222.1550
Fax: 623.222.3001
TTY: 623.222.1002

Consolidated Plan 2010-14 Survey

The City of Surprise Neighborhood Services Division is in the process of preparing a five-year Consolidated Plan 2010-14 and Action Plan 2010-11 and we seek your valuable input. The Plans under development will represent the community development and housing needs for the City of Surprise, as well as will guide the allocation of federal funds and other resources in our community.

The Consolidated Plan is a five-year planning document which: 1) identifies housing needs and opportunities; 2) analyzes market conditions and resources; 3) sets priorities and adopts strategies; 4) allocates resources; and 5) contains an annual plan with reporting obligations that tracks how monies are spent and how goals are accomplished for the funding year 2010-2011.

These Plans cover the FY 2010-14 planning period and will guide the use of Community Development Block Grant (CDBG), Home Investment Partnership (HOME), and other resources. All of the Plans to be prepared will be submitted to the U.S. Department of Housing and Urban Development by May 2010. Your input and perspectives on community development and housing needs and priorities for the city are greatly appreciated and we thank you for your cooperation in completing this survey before January 15, 2010.

Part I

Please indicate which city department you represent in this survey:

Please complete the survey irrespective of your expertise in other fields or departments.

- ☐ Community and Recreation Services
- ☐ Community Development
- ☒ Economic Development
- ☐ Engineering
- ☐ Finance
- ☐ Fire
- ☐ Management and Budget
- ☐ Police
- ☐ Public Works
- ☐ Transportation

Rate the need level for each of the following items: Check the one that best applies.

Section 1: Community Development Priorities

Community Facilities	Low	Med	High	Community Services	Low	Med	High
Senior centers	☐	☒	☐	Senior activities	☐	☒	☐
Youth centers	☐	☒	☐	Youth activities	☒	☐	☐
Child care centers	☒	☐	☐	Child care services	☒	☐	☐
Park & Recreational facilities	☐	☒	☐	Transportation services	☐	☒	☐
Health care facilities	☐	☒	☐	Anti-crime programs	☐	☒	☐
Community centers	☒	☐	☐	Health services	☐	☒	☐
Fire stations & equipment	☒	☐	☐	Mental health services	☒	☐	☐
School improvements	☐	☒	☐	Legal services	☒	☐	☐

Infrastructure	Low	Med	High
Drainage improvement	☐	☒	☐
Street/alley improvement	☐	☒	☐
Street lighting	☒	☐	☐
Sidewalk improvements	☒	☐	☐

Neighborhood Services	Low	Med	High
Water/sewer improvement	☐	☒	☐
Trash & debris removal	☐	☒	☐
Graffiti removal	☐	☒	☐
Code enforcement	☐	☒	☐
Parking facilities	☒	☐	☐
Cleanup abandoned lots & building demolition	☒	☐	☐

Special Needs Services	Low	Med	High
Literacy	☒	☐	☐
Centers/services for disabled	☐	☒	☐
Accessibility improvements	☐	☒	☐
Domestic violence	☒	☐	☐
Substance abuse	☒	☐	☐
Homeless shelters	☒	☐	☐
HIV/AIDS centers	☒	☐	☐
Neglected/abused centers & services	☒	☐	☐

Housing	Low	Med	High
Residential rehabilitation	☒	☐	☐
Homebuyer assistance	☐	☒	☐
Housing for disabled	☐	☒	☐
Senior housing	☐	☒	☐
Single-family housing	☐	☒	☐
Multi-family housing	☒	☐	☐
Affordable rental housing	☐	☒	☐
Fair housing	☒	☐	☐
Lead-based paint test/abatement	☒	☐	☐
Residential historic preservation	☒	☐	☐
Historic preservation	☒	☐	☐
Energy efficient improvements	☐	☐	☒

Section 2: Economic Development Priorities

Business & Jobs	Low	Med	High
Start up business assistance	☐	☐	☒
Small business loans	☐	☐	☒
Job creation/retention	☐	☐	☒
Employment training	☐	☐	☒
Commercial/ rehabilitation	☐	☒	☐
Façade improvements	☒	☐	☐
Business mentoring	☐	☒	☐

Labor	Low	Med	High
Availability of skilled labor	☐	☐	☒
Availability of unskilled labor	☒	☐	☐
Training programs	☐	☐	☒
Labor costs	☒	☐	☐
Non-union work force	☒	☐	☐
Union work force	☒	☐	☐

Transportation/Telecommunications	Low	Med	High
Highway accessibility	☐	☐	☒
Railroad service	☒	☐	☐
Availability of telecommunications services	☐	☒	☐
Availability of high-speed Internet access	☐	☒	☐

Finance	Low	Med	High
Availability of long-term financing	☐	☒	☐
Tax exemptions	☐	☐	☒
State and local incentives	☐	☐	☒

Quality-of-life factors	Low	Med	High
Climate	☒	☐	☐
Housing availability	☒	☐	☐
Housing costs	☒	☐	☐
Health facilities	☒	☐	☐
Cultural opportunities	☒	☐	☐
Recreational opportunities	☒	☐	☐
Colleges and universities in the city	☒	☐	☒
Low crime rate	☒	☐	☐

EXAMPLE ONLY!

Part II

Action Items:

Below are the priority objectives the city is pursuing in its 2010-14 Consolidated Plan. Please check (Y=yes; N=no) any or all objectives you feel should be addressed in the plan. All the objectives mentioned here are examples and primarily for understanding the Consolidated Plan only. They are not to be considered as the final list. Please add any other comments or suggestions regarding the conditions or needs that the city should make a priority objective in the plan.

Community Development

1. Y Conduct approximately two (2) neighborhood-based events per year for blight-reduction, beautification, crime prevention, leadership/capacity development, development planning, or other neighborhood improvement activities.
2. Y Improve lighting, drainage or other street infrastructure needs in targeted neighborhoods or other predominantly low/mod income census tracts.
3. Y Assist in the acquisition or improvement of public facilities such as community, health, or job/economic assistance centers.
4. N Provide financial incentives to approximately 20 low and middle income homebuyers to acquire and or rehabilitate foreclosed or substandard housing that is contributing to neighborhood blight.
5. N Construct or rehabilitate and sell approximately 20 single-family homes with LEED certification to low and middle income homebuyers.
6. N Provide housing counseling and education, including assistance in accessing financing, for 100 potential homebuyers seeking to buy homes within the city.
7. N Demolish or cause to be demolished, after consultation with the affected neighborhoods, buildings determined to be infeasible for repair, due to advanced deterioration and/or other factors.
8. N Assist accessibility or other home modifications for approximately 20 special needs units.
9. N Assist in substantial rehabilitation of approximately 20 owner or tenant occupied housing units.
10. N Provide emergency repairs for approximately 20 owner-occupied single family homes.
11. N Provide downpayment and closing cost assistance for approximately 20 homebuyers who are purchasing homes in the city.
12. N Conduct and continue fair housing education to remove or reduce impediments to fair housing choice.

Other: _____

Economic Development

13. — Assist with improvements to 20 commercial/industrial properties located in target neighborhoods or other predominantly low/mod income census tracts.
14. Y Provide workforce and related training reflecting area labor market employment opportunities for at least 100 individuals.
15. Y Create 100 jobs through financial assistance and/or business development services for entrepreneurs and/or expanding small companies.

Other: _____

Please list one top priority for each of the five years from the list provided above (1-15) or list your own suggestion:

2010-2011 AZ TechCenter Facility Improvements
2011-2012 AZ TechCenter Education/Job Training
2012-2013 Water Services 10 acre site/build out of flood plain
2013-2014 _____
2014-2015 _____

Thank you for your time in completing this survey. Providing your name and phone number is optional, but would allow us to learn more from you, if we have questions.

Name: Mike Hoover
Phone: 623.222.3326



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NEIGHBORHOOD SERVICES**

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Part I

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- ☒ Finance
- ☐ Fire
- ☐ Management and Budget
- ☐ Police
- ☐ Public Works
- ☐ Transportation

Rate the need level for each of the following items. Check the one that best applies.

Section 1: Community Development Priorities

Community Facilities	Low	Med	High	Community Services	Low	Med	High
Senior centers	☒	☐	☐	Senior activities	☒	☐	☐
Youth centers	☒	☐	☐	Youth activities	☒	☐	☐
Child care centers	☐	☒	☐	Child care services	☒	☐	☐
Park & Recreational facilities	☐	☒	☐	Transportation services	☒	☐	☐
Health care facilities	☒	☐	☐	Anti-crime programs	☐	☒	☐
Community centers	☒	☐	☐	Health services	☒	☐	☐
Fire stations & equipment	☒	☐	☐	Mental health services	☒	☐	☐
School improvements	☒	☐	☐	Legal services	☒	☐	☐

Infrastructure	Low	Med	High
Drainage improvement	☒	☐	☐
Street/alley improvement	☐	☐	☒
Street lighting	☒	☐	☐
Sidewalk improvements	☒	☐	☐

Neighborhood Services	Low	Med	High
Water/sewer improvement	☒	☐	☐
Trash & debris removal	☒	☐	☐
Graffiti removal	☒	☐	☐
Code enforcement	☒	☐	☐
Parking facilities	☒	☐	☐
Cleanup abandoned lots & building demolition	☒	☐	☐

Special Needs Services	Low	Med	High
Literacy	☒	☐	☐
Centers/services for disabled	☒	☐	☐
Accessibility improvements	☒	☐	☐
Domestic violence	☒	☐	☐
Substance abuse	☒	☐	☐
Homeless shelters	☒	☐	☐
HIV/AIDS centers	☒	☐	☐
Neglected/abused centers & services	☒	☐	☐

Housing	Low	Med	High
Residential rehabilitation	☒	☐	☐
Homebuyer assistance	☐	☒	☐
Housing for disabled	☒	☐	☐
Senior housing	☒	☐	☐
Single-family housing	☒	☐	☐
Multi-family housing	☒	☐	☐
Affordable rental housing	☒	☐	☐
Fair housing	☒	☐	☐
Lead-based paint test/abatement	☒	☐	☐
Residential historic preservation	☒	☐	☐
Historic preservation	☒	☐	☐
Energy efficient improvements	☒	☐	☐

Section 2: Economic Development Priorities

Business & Jobs	Low	Med	High
Start up business assistance	☒	☐	☐
Small business loans	☒	☐	☐
Job creation/retention	☐	☒	☐
Employment training	☐	☒	☐
Commercial/ rehabilitation	☒	☐	☐
Façade improvements	☒	☐	☐
Business mentoring	☒	☐	☐

Labor	Low	Med	High
Availability of skilled labor	☒	☐	☐
Availability of unskilled labor	☒	☐	☐
Training programs	☐	☒	☐
Labor costs	☒	☐	☐
Non-union work force	☒	☐	☐
Union work force	☒	☐	☐

Transportation/Telecommunications	Low	Med	High
Highway accessibility	☐	☐	☒
Railroad service	☒	☐	☐
Availability of telecommunications services	☒	☐	☐
Availability of high-speed Internet access	☒	☐	☐

Finance	Low	Med	High
Availability of long-term financing	☒	☐	☐
Tax exemptions	☒	☐	☐
State and local incentives	☒	☐	☐

Quality-of-life factors	Low	Med	High
Climate	☐	☒	☐
Housing availability	☒	☐	☐
Housing costs	☒	☐	☐
Health facilities	☒	☐	☐
Cultural opportunities	☒	☐	☐
Recreational opportunities	☐	☐	☒
Colleges and universities in the city	☒	☐	☐
Low crime rate	☐	☒	☐

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Action Items:

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Other: _____

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14. N Provide workforce and related training reflecting area labor market employment opportunities for at least 100 individuals.
15. N Create 100 jobs through financial assistance and/or business development services for entrepreneurs and/or expanding small companies.

Other: _____

Please list one top priority for each of the five years from the list provided above (1-15) or list your own suggestion:

2010-2011 STREET IMPR - BELL ROAD

2011-2012 MORE EAST-WEST OPTIONS FOR TRAVEL TO SURPRISE & BALL PARK

2012-2013 ITEM 7

2013-2014 _____

2014-2015 _____

Thank you for your time in completing this survey. Providing your name and phone number is optional, but would allow us to learn more from you, if we have questions.

Name: JOHN RUNBERG

Phone: 2-1820



COMMUNITY DEVELOPMENT DEPARTMENT NEIGHBORHOOD SERVICES

16000 North Civic Center Plaza

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- ☐ Transportation

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Youth centers	☐	☐	☐	Youth activities	☐	☒	☐
Child care centers	☐	☐	☐	Child care services	☒	☐	☐
Park & Recreational facilities	☐	☐	☒	Transportation services	☐	☐	☒
Health care facilities	☐	☐	☐	Anti-crime programs	☐	☐	☒
Community centers	☐	☐	☐	Health services	☐	☒	☐
Fire stations & equipment	☐	☐	☐	Mental health services	☒	☐	☐
School improvements	☐	☐	☐	Legal services	☒	☐	☐

Infrastructure	Low	Med	High
Drainage improvement	☒	☐	☐
Street/alley improvement	☐	☐	☒
Street lighting	☐	☐	☒
Sidewalk improvements	☒	☐	☐

Neighborhood Services	Low	Med	High
Water/sewer improvement	☐	☒	☐
Trash & debris removal	☐	☐	☒
Graffiti removal	☐	☐	☒
Code enforcement	☐	☐	☒
Parking facilities	☐	☒	☐
Cleanup abandoned lots & building demolition	☐	☒	☐

Special Needs Services	Low	Med	High
Literacy	☐	☒	☐
Centers/services for disabled	☒	☐	☐
Accessibility improvements	☒	☐	☐
Domestic violence	☐	☐	☒
Substance abuse	☒	☐	☐
Homeless shelters	☐	☒	☐
HIV/AIDS centers	☒	☐	☐
Neglected/abused centers & services	☐	☒	☐

Housing	Low	Med	High
Residential rehabilitation	☐	☒	☐
Homebuyer assistance	☐	☒	☐
Housing for disabled	☐	☒	☐
Senior housing	☐	☒	☐
Single-family housing	☐	☒	☐
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Affordable rental housing	☐	☒	☐
Fair housing	☐	☒	☐
Lead-based paint test/abatement	☒	☐	☐
Residential historic preservation	☒	☐	☐
Historic preservation	☒	☐	☐
Energy efficient improvements	☒	☐	☐

Section 2: Economic Development Priorities

Business & Jobs	Low	Med	High
Start up business assistance	☐	☒	☐
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Job creation/retention	☐	☒	☐
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Commercial/ rehabilitation	☒	☐	☐
Façade improvements	☒	☐	☐
Business mentoring	☒	☐	☐

Labor	Low	Med	High
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Availability of unskilled labor	☐	☒	☐
Training programs	☒	☐	☐
Labor costs	☐	☒	☐
Non-union work force	☒	☐	☐
Union work force	☒	☐	☐

Transportation/Telecommunications	Low	Med	High
Highway accessibility	☐	☐	☒
Railroad service	☐	☐	☒
Availability of telecommunications services	☐	☐	☒
Availability of high-speed Internet access	☐	☐	☒

Finance	Low	Med	High
Availability of long-term financing	☐	☐	☒
Tax exemptions	☐	☐	☐
State and local incentives	☐	☐	☐

Quality-of-life factors	Low	Med	High
Climate	☐	☐	☐
Housing availability	☐	☐	☒
Housing costs	☐	☐	☒
Health facilities	☐	☐	☒
Cultural opportunities	☒	☐	☐
Recreational opportunities	☐	☐	☒
Colleges and universities in the city	☐	☒	☒
Low crime rate	☐	☐	☒

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Other: _____

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Other: _____

Please list one top priority for each of the five years from the list provided above (1-15) or list your own suggestion:

2010-2011 _____

2011-2012 _____

2012-2013 _____

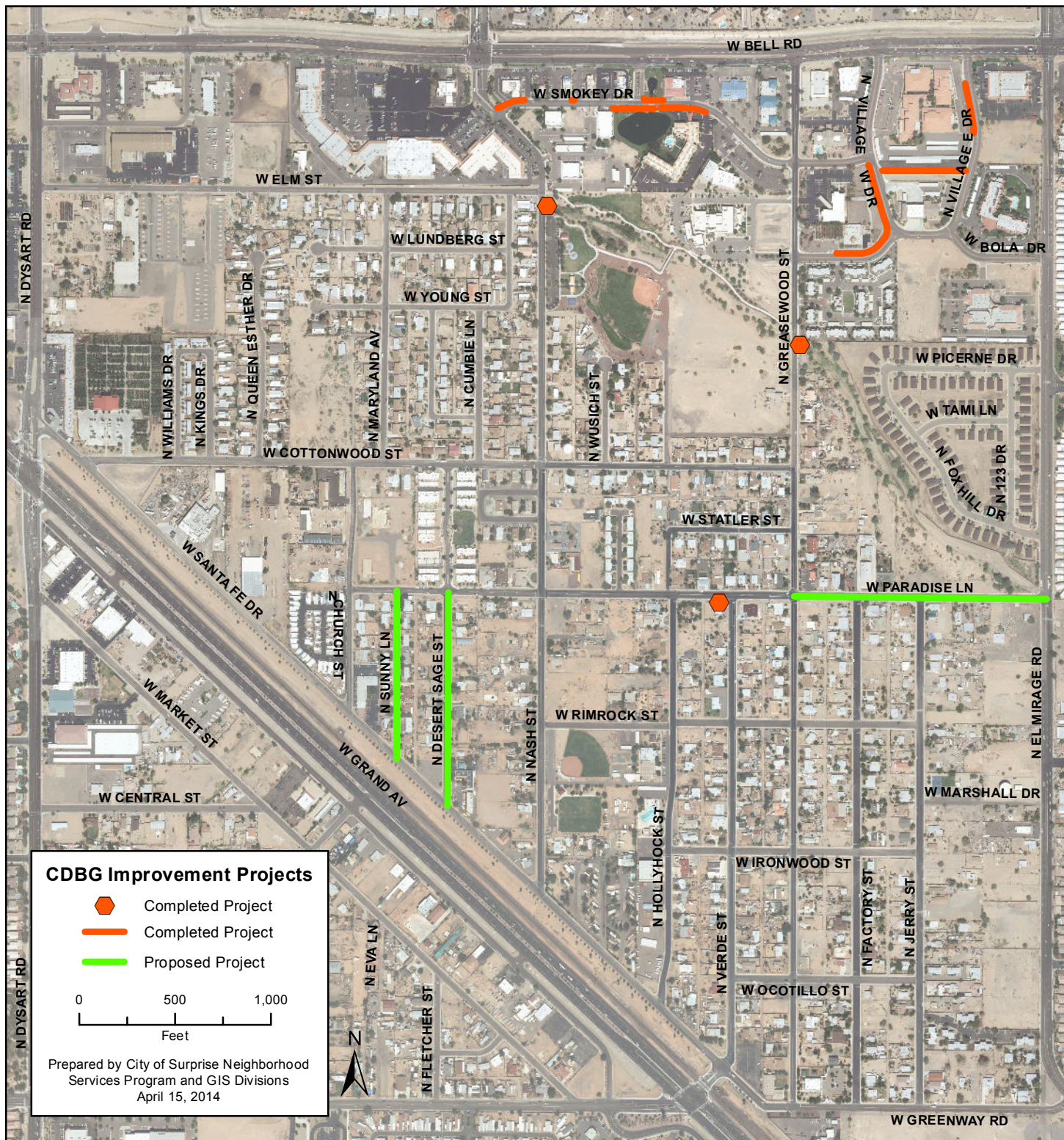
2013-2014 _____

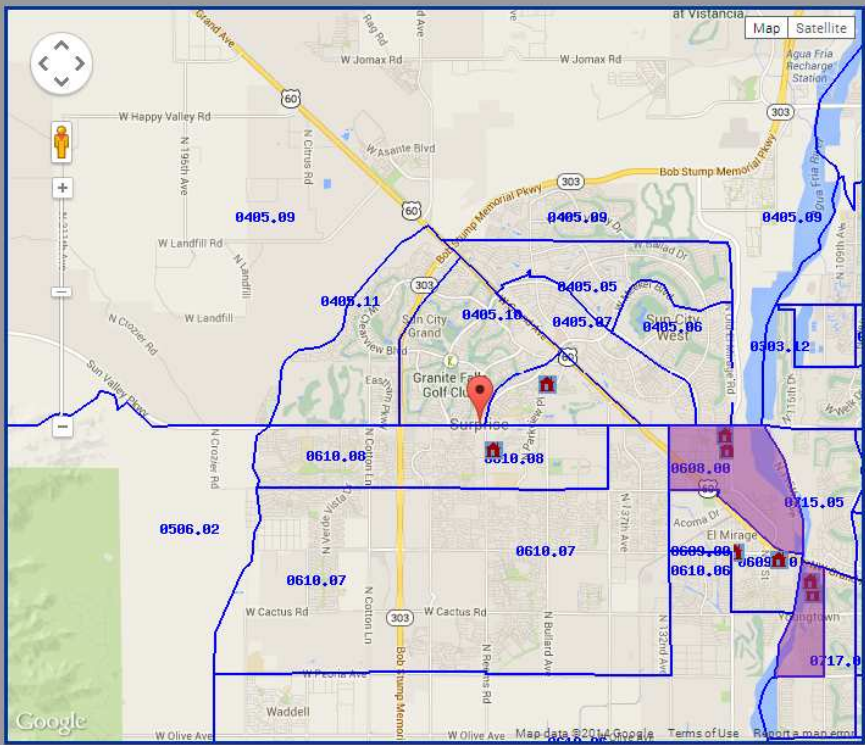
2014-2015 _____

Thank you for your time in completing this survey. Providing your name and phone number is optional, but would allow us to learn more from you, if we have questions.

Name: D. Hughes

Phone: 222-4020





Map options - [Clear](#)/[Reset](#)

Address

SELECT LAYERS TO BE DRAWN

- 12 Current Zoom Level
- ☒ Show Tracts Outline (Zoom 11+)
- ☒ Show LIHTC Projects (Zoom 11+)
- ☒ Color Qualified Tracts

CENSUS TRACT QUALIFICATIONS

- ☐ Tract Outline
- ☒ Qualified Census Tracts (2010-2012)

Version 5.0

[illegible]



CITY OF SURPRISE
Regular City Council Meeting

May 6, 2014 @ 6:00:00 PM

Back Print

Council Meeting Date:	May 6, 2014	Contact Person:	Bob Wingenroth, Assistant City Manager / Chief Financial Officer
Submitting Department:	Finance Dept	District:	Citywide
Staff Recommendations:	Approve		

Consent	Regular	Public Hearing	x	Report/Discussion
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Agenda Wording:

Solicit public input on the FY2015 Tentative Budget and approve Resolution 2014-49, a Resolution adopting the tentative expenditure budget for fiscal year 2015 in the amount of \$310.9 M plus an additional \$23.0M for interfund transfers and setting the date of June 3, 2014 for the public hearing and final adoption of the FY2015 Budget.

Motion:

I move to approve Resolution 2014-49 Fiscal Year 2015 Tentative Budget.

Background:

City Manager's Recommended Budget was released on April 22nd. Adoption of the Tentative Budget sets the City's maximum annual spending limit. The proposal sets the budget at a level that provides the City Council with the greatest flexibility permitted under state law. Prior to final budget adoption on June 3rd, the City Council has the ability, if necessary, to reduce or reallocate expenses, but the total spending amount cannot increase from the Tentative Budget.

Financial Impact Statement:

Adoption of the Tentative Budget for fiscal year 2015 establishes spending limits and allocates resources to specific uses representing the priority objectives and outcomes of the City Council.

ATTACHMENTS:

Click to download

☐ [Resolution 2014-49](#)

External Attachment Links:

Meeting Requirements:

Powerpoint	x	Video	White Board	Other	x
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Presentation Speaker Names (spelling and titles for TV captions):

Bob Wingenroth, ACM/CFO

RESOLUTION 2014-49

**A RESOLUTION OF THE MAYOR AND COUNCIL OF THE
CITY OF SURPRISE ARIZONA SETTING FORTH THE
TENTATIVE BUDGET IN CONFORMANCE WITH THE
EXPENDITURE LIMITATION FOR THE CITY OF SURPRISE
FOR FISCAL YEAR 2015.**

WHEREAS, pursuant to the provisions of the laws of the State of Arizona, the Mayor and Council of the City of Surprise is required to adopt a budget; and

WHEREAS, the City Manager has prepared and filed with the City Council the City Manager's tentative budget and estimates of expenses for the fiscal year beginning July 1, 2014, and ending June 30, 2015.

NOW THEREFORE, BE IT RESOLVED by the Mayor and City Council of the City of Surprise, Arizona, as follows:

Section 1. That the statements and schedules attached hereto as Exhibit A and incorporated herein by reference are hereby adopted as the City's official tentative budget and estimates of expenses for the fiscal year beginning July 1, 2014, and ending June 30, 2015, in conformance with the expenditure limitation.

Section 2. That upon approval of the City Council a summary of such official tentative budget and estimates of expenses shall be published in the official City newspaper once a week for two consecutive weeks.

Section 3. That a public hearing meeting shall be held beginning at or after 6:00 p.m. on June 3, 2014, at the Surprise City Council Chambers, 16000 N. Civic Center Plaza, Surprise, Arizona 85374, at which any taxpayer may appear and be heard in favor of or against any proposed expenditure or tax levy.

APPROVED AND ADOPTED this _____ day of _____, 2014.

Sharon R Wolcott, Mayor

Attest:

Approved as to form:

Sherry A. Aguilar, City Clerk

Misty Leslie, City Attorney

EXHIBIT “A”

Fiscal Year 2015 Budget Statements and Schedules

CITY OF SURPRISE
Summary Schedule of Estimated Revenues and Expenditures/Expenses
Fiscal Year 2015

FUND	ADOPTED BUDGETED EXPENDITURES/ EXPENSES* 2014	ACTUAL EXPENDITURES/ EXPENSES** 2014	FUND BALANCE/ NET POSITION*** July 1, 2014**	PROPERTY TAX REVENUES 2015	ESTIMATED REVENUES OTHER THAN PROPERTY TAXES 2015	OTHER FINANCING 2015		INTERFUND TRANSFERS 2015		TOTAL FINANCIAL RESOURCES AVAILABLE 2015	BUDGETED EXPENDITURES/ EXPENSES 2015
						SOURCES	<USES>	IN	<OUT>		
1. General Fund	\$ 79,109,500	\$ 75,527,200	\$ 29,198,700	Primary: \$ 6,513,600	\$ 80,669,800	\$	\$	\$ 5,823,000	\$ 4,500,000	\$ 117,705,100	\$ 101,435,800
2. Special Revenue Funds	20,940,400	12,504,100	\$ 5,879,200	Secondary: 2,337,000	17,673,100				645,100	25,244,200	25,222,800
3. Debt Service Funds Available	11,898,100	37,542,000	19,298,300		73,400			14,199,800	73,400	33,498,100	33,498,100
4. Less: Amounts for Future Debt Retirement											
5. Total Debt Service Funds	11,898,100	37,542,000	19,298,300		73,400			14,199,800	73,400	33,498,100	33,498,100
6. Capital Projects Funds	50,116,900	9,092,300	56,721,600		20,511,200			3,000,000	11,981,300	68,251,500	68,662,700
7. Fiduciary Fund	25,600	20,600	60,300							60,300	
8. Enterprise Funds Available	28,087,000	20,741,800	37,926,100		34,527,900				5,823,000	66,631,000	66,631,000
9. Less: Amounts for Future Debt Retirement											
10. Total Enterprise Funds	28,087,000	20,741,800	37,926,100		34,527,900				5,823,000	66,631,000	66,631,000
11. Internal Service Funds	15,075,100	11,010,500	3,677,900		11,726,900					15,404,800	15,404,800
12. TOTAL ALL FUNDS	\$ 205,252,600	\$ 166,438,500	\$ 152,762,100	\$ 8,850,600	\$ 165,182,300	\$	\$	\$ 23,022,800	\$ 23,022,800	\$ 326,795,000	\$ 310,855,200

EXPENDITURE LIMITATION COMPARISON

1. Budgeted expenditures/expenses
2. Add/subtract: estimated net reconciling items
3. Budgeted expenditures/expenses adjusted for reconciling items
4. Less: estimated exclusions
5. Amount subject to the expenditure limitation
6. EEC or voter-approved alternative expenditure limitation

	2014	2015
1.	\$ 205,252,600	\$ 310,855,200
2.	(10,494,700)	(10,494,700)
3.	194,757,900	300,360,500
4.	24,252,200	24,252,200
5.	\$ 170,505,700	\$ 276,108,300
6.	\$ 912,481,200	\$ 919,730,200

☐ The city/town does not levy property taxes and does not have special assessment districts for which property taxes are levied. Therefore, Schedule B has been omitted.

* Includes Expenditure/Expense Adjustments Approved in current year from Schedule E.

** Includes actual amounts as of the date the proposed budget was prepared, adjusted for estimated activity for the remainder of the fiscal year.

*** Amounts in this column represent Fund Balance/Net Position amounts except for amounts not in spendable form (e.g., prepaids and inventories) or legally or contractually required to be maintained intact (e.g., principal of a permanent fund).

CITY OF SURPRISE
Tax Levy and Tax Rate Information
Fiscal Year 2015

	<u>2014</u>	<u>2015</u>
1. Maximum allowable primary property tax levy. A.R.S. §42-17051(A)	\$ <u>8,285,245</u>	\$ <u>8,591,967</u>
2. Amount received from primary property taxation in the current year in excess of the sum of that year's maximum allowable primary property tax levy. A.R.S. §42-17102(A)(18)	\$ <u> </u>	
3. Property tax levy amounts		
A. Primary property taxes	\$ <u>6,406,700</u>	\$ <u>6,513,600</u>
B. Secondary property taxes	<u>2,235,600</u>	<u>2,337,000</u>
C. Total property tax levy amounts	\$ <u>8,642,300</u>	\$ <u>8,850,600</u>
4. Property taxes collected*		
A. Primary property taxes		
(1) Current year's levy	\$ <u>6,306,400</u>	
(2) Prior years' levies	<u>100,300</u>	
(3) Total primary property taxes	\$ <u>6,406,700</u>	
B. Secondary property taxes		
(1) Current year's levy	\$ <u>2,235,600</u>	
(2) Prior years' levies		
(3) Total secondary property taxes	\$ <u>2,235,600</u>	
C. Total property taxes collected	\$ <u>8,642,300</u>	
5. Property tax rates		
A. City/Town tax rate		
(1) Primary property tax rate	<u>0.7783</u>	<u>0.7591</u>
(2) Secondary property tax rate		
(3) Total city/town tax rate	<u>0.7783</u>	<u>0.7591</u>
B. Special assessment district tax rates		
Secondary property tax rates - As of the date the proposed budget was prepared, the city/town was operating <u>107</u> special assessment districts for which secondary property taxes are levied. For information pertaining to these special assessment districts and their tax rates, please contact the city/town.		

* Includes actual property taxes collected as of the date the proposed budget was prepared, plus estimated property tax collections for the remainder of the fiscal year.

CITY OF SURPRISE
Revenues Other Than Property Taxes
Fiscal Year 2015

SOURCE OF REVENUES	ESTIMATED REVENUES 2014	ACTUAL REVENUES* 2014	ESTIMATED REVENUES 2015
GENERAL FUND			
Local taxes			
Local sales tax	\$ 32,051,500	\$ 32,584,100	\$ 34,422,000
Bed tax	114,000	122,600	120,200
Construction sales tax	1,250,000	1,250,000	1,250,000
Licenses and permits			
Electric Franchise Fee	2,186,500	2,540,900	2,660,900
Cable Franchise Fee	990,400	1,153,800	1,208,300
Business Licenses	680,000	743,100	759,600
Natural Gas Franchise Fee	292,000	315,300	330,200
Water Franchise Fee	490,600	500,400	524,100
Sanitation Hauler's License	40,000	38,900	39,700
Intergovernmental			
State Shared Income Tax	13,111,000	13,100,600	14,225,300
State Shared Sales Tax	10,154,400	10,280,300	10,718,600
Vehicle License Tax	3,917,200	4,047,300	4,155,300
Fines and foreits			
Court	1,256,200	1,029,700	1,214,800
Charges for services			
Parks & Recreation	4,487,800	4,468,600	3,987,300
Fire	754,100	666,300	704,800
Police	655,200	709,400	728,300
Community Development	2,052,500	1,748,800	2,289,700
All other	500,000	359,200	376,200
Interest Income	14,000	15,700	16,500
Public Works Fees	633,100	417,800	547,100
Facilities	397,300	373,300	390,900
Total General Fund	\$ 76,027,800	\$ 76,466,100	\$ 80,669,800

* Includes actual revenues recognized on the modified accrual or accrual basis as of the date the proposed budget was prepared, plus estimated revenues for the remainder of the fiscal year.

CITY OF SURPRISE
Revenues Other Than Property Taxes
Fiscal Year 2015

SOURCE OF REVENUES	ESTIMATED REVENUES 2014	ACTUAL REVENUES* 2014	ESTIMATED REVENUES 2015
SPECIAL REVENUE FUNDS			
Highway User Revenue Fund			
State Shared	\$ 6,617,500	\$ 6,697,400	\$ 7,008,400
Charges for Services/Other	11,600		
	\$ 6,629,100	\$ 6,697,400	\$ 7,008,400
Employee Dep Scholarship			
Charges for Services/Other	\$ 1,400	\$ 1,200	\$ 1,200
	1,400	1,200	1,200
Donations Fund			
Charges for Services/Other	\$ 210,100	\$ 728,800	\$ 451,700
	\$ 210,100	\$ 728,800	\$ 451,700
Municipal Court Enhancement			
Charges for Services/Other	\$ 113,000	\$ 95,000	\$ 110,000
	\$ 113,000	\$ 95,000	\$ 110,000
Neighborhood Revitalization			
Charges for Services/Other	\$ 692,400	\$ 2,090,300	\$ 2,083,200
	\$ 692,400	\$ 2,090,300	\$ 2,083,200
Tourism			
Charges for Services/Other	\$ 1,800	\$ 2,700	\$ 2,700
Local Sales Tax	172,000	186,400	182,800
	\$ 173,800	\$ 189,100	\$ 185,500
Grants			
Charges for Services/Other	5,227,800	159,400	5,596,500
	\$ 5,227,800	\$ 159,400	\$ 5,596,500
SPD RICO Funds			
Charges for Services/Other	\$ 360,000	\$ 480,000	\$ 480,000
	\$ 360,000	\$ 480,000	\$ 480,000
SPD DEA Fund			
Charges for Services/Other	\$ 120,000	\$	\$
	\$ 120,000	\$	\$
SPD Towing Fund			
Charges for Services/Other	\$ 117,000	\$ 117,000	\$ 117,000
	\$ 117,000	\$ 117,000	\$ 117,000
Municipal Court JCEF			
Charges for Services/Other	\$ 23,000	\$ 21,300	\$ 23,300
	\$ 23,000	\$ 21,300	\$ 23,300
Municipal Court MFTG			
Charges for Services/Other	\$ 8,900	\$ 8,300	\$ 8,600
	\$ 8,900	\$ 8,300	\$ 8,600
Municipal Court FARE			
Charges for Services/Other	\$ 4,400	\$ 4,200	\$ 4,400
	\$ 4,400	\$ 4,200	\$ 4,400
Net Premium Seating			
Charges for Services/Other	\$ 25,500	\$ 26,000	\$ 22,000
	\$ 25,500	\$ 26,000	\$ 22,000
Spring Training Ticket Surcharge			
Charges for Services/Other	\$ 100,600	\$ 180,000	\$ 635,000
	\$ 100,600	\$ 180,000	\$ 635,000
SB1398 Fines			
Charges for Services/Other	\$ 17,000	\$ 17,000	\$ 17,000
	\$ 17,000	\$ 17,000	\$ 17,000

CITY OF SURPRISE
Revenues Other Than Property Taxes
Fiscal Year 2015

SOURCE OF REVENUES	ESTIMATED REVENUES 2014	ACTUAL REVENUES* 2014	ESTIMATED REVENUES 2015
LTAF II			
State Shared	\$ 350,000	\$ 345,600	\$ 350,000
	\$ 350,000	\$ 345,600	\$ 350,000
Proposition 400			
Charges for Services/Other	\$ 19,600	\$ 19,600	\$ 19,300
	\$ 19,600	\$ 19,600	\$ 19,300
Wildland Fire			
Charges for Services/Other	\$ 140,000	\$ 69,100	\$ 140,000
	\$ 140,000	\$ 69,100	\$ 140,000
HALO			
Charges for Services/Other	\$ 132,000	\$ 132,000	\$ 158,000
	\$ 132,000	\$ 132,000	\$ 158,000
Ambulance Contract			
Charges for Services/Other	\$ 278,700	\$ 40,000	\$ 247,000
	\$ 278,700	\$ 40,000	\$ 247,000
Animal Seizure Bond Forfeiture			
Charges for Services/Other	\$	\$	\$ 5,000
	\$	\$	\$ 5,000
Unclaimed Property Disposal			
Charges for Services/Other	\$	\$	\$ 5,000
	\$	\$	\$ 5,000
Program 1033 Auction Proceeds			
Charges for Services/Other	\$	\$	\$ 5,000
	\$	\$	\$ 5,000
Total Special Revenue Funds	\$ 14,744,300	\$ 11,421,300	\$ 17,673,100

* Includes actual revenues recognized on the modified accrual or accrual basis as of the date the proposed budget was

CITY OF SURPRISE
Revenues Other Than Property Taxes
Fiscal Year 2015

SOURCE OF REVENUES	ESTIMATED REVENUES 2014	ACTUAL REVENUES* 2014	ESTIMATED REVENUES 2015
DEBT SERVICE FUNDS			
2000-03 Government Reserve Fund			
Charges for Services/Other	\$ 48,800	\$ 46,200	\$
	\$ 48,800	\$ 46,200	\$
2000-03 Proprietary Reserve Fund			
Charges for Services/Other	\$ 21,200	\$ 20,100	\$
	\$ 21,200	\$ 20,100	\$
Prop Reserve 2007			
Charges for Services/Other	73,700	73,400	73,400
	\$ 73,700	\$ 73,400	\$ 73,400
Prop Debt Service 2000-2003			
Charges for Services/Other	100	100	
	\$ 100	\$ 100	\$
Gov Debt Service 2000-2003			
Charges for Services/Other	100	200	
	\$ 100	\$ 200	\$
Total Debt Service Funds	\$ 143,900	\$ 140,000	\$ 73,400
FIDUCIARY FUNDS			
Firefighters Pension Fund			
Charges for Services/Other	\$ 100	\$	\$
	\$ 100	\$	\$
Total Fiduciary Funds	\$ 100	\$	\$
CAPITAL PROJECTS FUNDS			
General Capital Fund			
Charges for Services/Other	\$	\$ 227,500	\$ 386,400
Local Sales Tax	1,950,000	2,089,300	3,210,200
	\$ 1,950,000	\$ 2,316,800	\$ 3,596,600
Transportation Improvement Fund			
Charges for Services/Other	\$ 46,500	\$	\$ 46,500
Local Sales Tax	2,181,800	2,276,800	3,041,000
	\$ 2,228,300	\$ 2,276,800	\$ 3,087,500
Vehicle Replacement Fund			
Charges for Services/Other	\$ 65,800	\$	\$ 465,800
	\$ 65,800	\$	\$ 465,800
General Government Development Fund 2012			
Charges for Services/Other	\$ 537,400	\$ 324,900	\$ 804,300
	\$ 537,400	\$ 324,900	\$ 804,300
Roads of Regional Significance Development SPA 2, 4, 6 Fund			
Charges for Services/Other	\$ 27,100	\$	\$ 27,100
	\$ 27,100	\$	\$ 27,100
Roads of Regional Significance Development SPA 3,5 Fund			
Charges for Services/Other	\$ 300	\$	\$ 300
	\$ 300	\$	\$ 300
Police Development Fee Fund			
Charges for Services/Other	\$ 8,500	\$ 8,500	\$ 8,500
	\$ 8,500	\$ 8,500	\$ 8,500

CITY OF SURPRISE
Revenues Other Than Property Taxes
Fiscal Year 2015

SOURCE OF REVENUES	ESTIMATED REVENUES 2014	ACTUAL REVENUES* 2014	ESTIMATED REVENUES 2015
Police Development Fee Fund 2012			
Charges for Services/Other	\$ 232,200	\$ 218,400	\$ 487,200
	\$ 232,200	\$ 218,400	\$ 487,200
Parks and Recreation Development Fee Fund			
Charges for Services/Other	\$ 45,800	\$	\$
	\$ 45,800	\$	\$
Parks and Recreation Development Fee Fund 2012			
Charges for Services/Other	\$ 487,800	\$ 290,500	\$ 841,800
	\$ 487,800	\$ 290,500	\$ 841,800
Fire and EMS Development Fee Fund 2012			
Charges for Services/Other	\$ 760,100	\$ 353,000	\$ 851,100
	\$ 760,100	\$ 353,000	\$ 851,100
Fire and EMS Development Fee Fund 2014			
Charges for Services/Other	\$	\$	\$ 891,800
	\$	\$	\$ 891,800
Library Development Fee Fund			
Charges for Services/Other	\$ 53,600	\$	\$ 53,600
	\$ 53,600	\$	\$ 53,600
Library Development Fee Fund 2012			
Charges for Services/Other	\$ 82,900	\$ 49,200	\$ 1,200
	\$ 82,900	\$ 49,200	\$ 1,200
Public Works Development Fee Fund			
Charges for Services/Other	\$ 115,300	\$ 6,500	\$ 144,800
	\$ 115,300	\$ 6,500	\$ 144,800
Public Works Development Fee Fund 2012			
Charges for Services/Other	\$ 139,800	\$ 110,500	\$ 2,000
	\$ 139,800	\$ 110,500	\$ 2,000
Sewer Development Fee Fund			
Charges for Services/Other	\$ 2,352,700	\$ 3,941,600	\$ 5,403,700
	\$ 2,352,700	\$ 3,941,600	\$ 5,403,700
Sewer Development Fee Fund SPA 2			
Charges for Services/Other	\$ 2,800	\$	\$ 44,400
	\$ 2,800	\$	\$ 44,400
Sewer Development Fee Fund SPA 3			
Charges for Services/Other	\$ 600	\$	\$ 600
	\$ 600	\$	\$ 600
Water Development Fee Fund			
Charges for Services/Other	\$ 1,368,700	\$ 3,931,600	\$ 2,327,000
	\$ 1,368,700	\$ 3,931,600	\$ 2,327,000
Water Development Fee Fund SPA 2			
Charges for Services/Other	\$	\$	\$ 179,200

CITY OF SURPRISE
Revenues Other Than Property Taxes
Fiscal Year 2015

SOURCE OF REVENUES	ESTIMATED REVENUES 2014	ACTUAL REVENUES* 2014	ESTIMATED REVENUES 2015
	\$	\$	\$
			179,200
Replenishment System Development Fee Fund SPA 1			
Charges for Services/Other	\$ 332,000	\$ 2,085,300	\$ 1,171,600
	\$ 332,000	\$ 2,085,300	\$ 1,171,600
Replenishment System Development Fee Fund SPA 2			
Charges for Services/Other	\$	\$	\$ 101,300
	\$	\$	\$ 101,300
163rd Ave Development Fee Fund			
Charges for Services/Other	\$	\$	\$ 19,800
	\$	\$	\$ 19,800
Total Capital Projects Funds	\$ 10,791,700	\$ 15,913,600	\$ 20,511,200

* Includes actual revenues recognized on the modified accrual or accrual basis as of the date the proposed budget was

CITY OF SURPRISE
Revenues Other Than Property Taxes
Fiscal Year 2015

SOURCE OF REVENUES	ESTIMATED REVENUES 2014	ACTUAL REVENUES* 2014	ESTIMATED REVENUES 2015
ENTERPRISE FUNDS			
Water Fund			
Charges for Services/Other	\$ 10,087,000	\$ 10,107,900	\$ 10,860,500
	\$ 10,087,000	\$ 10,107,900	\$ 10,860,500
Wastewater Fund			
Charges for Services/Other	\$ 16,046,900	\$ 16,293,500	\$ 16,469,000
	\$ 16,046,900	\$ 16,293,500	\$ 16,469,000
Sanitation Fund			
Charges for Services/Other	\$ 6,958,100	\$ 7,063,900	\$ 7,198,400
	\$ 6,958,100	\$ 7,063,900	\$ 7,198,400
Total Enterprise Funds	\$ 33,092,000	\$ 33,465,300	\$ 34,527,900
INTERNAL SERVICE FUNDS			
Risk Management Fund			
Charges for Services/Other	\$ 1,647,100	\$ 1,691,900	\$ 1,737,000
	\$ 1,647,100	\$ 1,691,900	\$ 1,737,000
Employee Healthcare Fund			
Charges for Services/Other	\$ 8,971,900	\$ 9,448,700	\$ 9,989,900
	\$ 8,971,900	\$ 9,448,700	\$ 9,989,900
Total Internal Service Funds	\$ 10,619,000	\$ 11,140,600	\$ 11,726,900
TOTAL ALL FUNDS	\$ 145,418,800	\$ 148,546,900	\$ 165,182,300

* Includes actual revenues recognized on the modified accrual or accrual basis as of the date the proposed budget was

CITY OF SURPRISE
Other Financing Sources/<Uses> and Interfund Transfers
Fiscal Year 2015

FUND	OTHER FINANCING 2015		INTERFUND TRANSFERS 2015	
	SOURCES	<USES>	IN	<OUT>
GENERAL FUND				
2014 Debt Payment	\$	\$	\$	\$ 1,500,000
Sanitation Hauler's License			26,000	
Franchise Fees			555,100	
Indirect Cost Allocation			4,682,900	
Payments in Lieu of Property Taxes			559,000	
General Capital Fund				2,000,000
Vehicle Replacement Fund				1,000,000
Total General Fund	\$	\$	\$ 5,823,000	\$ 4,500,000
SPECIAL REVENUE FUNDS				
Spring Training Ticket Surcharge	\$	\$	\$	\$ 645,100
Total Special Revenue Funds	\$	\$	\$	\$ 645,100
DEBT SERVICE FUNDS				
2000-03 Government Debt Service	\$	\$	\$	\$
2000-03 Proprietary Debt Service				
2014 Government Debt Service			3,968,900	
2014 Government Constr Service				
2014 Prop Debt Service			1,454,500	
2007 Debt Service				
Prop Reserve 2007				73,400
Prop Debt Service 2007			8,776,400	
Total Debt Service Funds	\$	\$	\$ 14,199,800	\$ 73,400
CAPITAL PROJECTS FUNDS				
Police Development Fee	\$	\$	\$	\$ 245,200
P&R Development Fee 2012				792,400
General Government Development Fee				665,000
Fire and EMS Development Fee				121,200
Wastewater Development Fee				10,157,500
General Capital Fund			2,000,000	
Vehicle Replacement Fund			1,000,000	
Total Capital Projects Funds	\$	\$	\$ 3,000,000	\$ 11,981,300
ENTERPRISE FUNDS				
Water	\$	\$	\$	\$ 1,638,700
Wastewater				2,263,300
Sanitation				1,921,000
Total Enterprise Funds	\$	\$	\$	\$ 5,823,000
TOTAL ALL FUNDS	\$	\$	\$ 23,022,800	\$ 23,022,800

CITY OF SURPRISE
Expenditures/Expenses by Fund
Fiscal Year 2015

FUND/DEPARTMENT	ADOPTED BUDGETED EXPENDITURES/ EXPENSES 2014	EXPENDITURE/ EXPENSE ADJUSTMENTS APPROVED 2014	ACTUAL EXPENDITURES/ EXPENSES* 2014	BUDGETED EXPENDITURES/ EXPENSES 2015
GENERAL FUND				
City Clerk	\$ 669,800	\$	\$ 647,000	\$ 501,400
City Court	1,942,100		1,864,500	1,834,700
City Manager	2,073,400		1,896,600	7,077,600
Community and Rec Services	14,689,000		14,140,700	15,863,400
Community Development	6,305,300	165,000	7,025,500	3,812,700
Finance	2,892,300		3,036,800	3,915,500
Fire-Emergency Services	15,072,200		15,504,600	17,428,200
General Operations			(2,544,800)	
Human Resources	1,659,000		1,582,100	1,749,500
Information Services	4,109,100		3,887,800	4,598,400
Legal	1,796,700		1,769,100	1,990,400
Mayor & Council	432,300		435,200	412,000
Police	20,129,900		20,126,800	23,354,900
Public Works	6,188,400	44,000	6,155,300	6,827,100
Contingency	1,150,000	(209,000)		12,070,000
Total General Fund	\$ 79,109,500	\$	\$ 75,527,200	\$ 101,435,800
SPECIAL REVENUE FUNDS				
Employee Dep Scholarship Fund				
General Operations	\$ 6,000	\$	\$ 3,000	\$ 3,000
Contingency	100			2,900
Donations Fund				
City Manager				7,500
Community and Rec Services	267,300		343,900	443,300
Fire-Emergency Services	15,000		400	7,100
Police	14,300		200	14,700
Contingency	334,400			658,200
Municipal Court Enhancement				
City Court	85,000	11,100	91,100	320,000
Information Services				
Contingency	406,800	(11,100)		177,600
Neighborhood Revitalization				
Community Development	692,400		2,090,300	2,083,200
Contingency				
Tourism				
Community and Rec Services		31,000	31,000	
General Operations				
Contingency	506,400	(31,000)		676,900
Grants				
Community and Rec Services	50,000		50,000	50,000
Fire-Emergency Services		80,400		46,700
Police	177,800	72,300	109,400	376,400
Public Works				
General Operations				24,800
Legal				67,100
Contingency	5,000,000	(152,700)		5,031,500
SPD RICO				
Police	400,000	85,000	526,000	1,277,900
Contingency	406,000	(85,000)		
SPD DEA				
Police	116,000			
Contingency	38,400			
SPD Towing				
Police	32,500	334,000	250,000	375,100
Contingency	499,500	(334,000)		
Municipal Court JCEF				
City Court	53,300		52,000	104,300
Contingency	77,300			
Municipal Court MFTG				
City Court				15,000
Contingency	20,200			15,800
Municipal Court FARE				
City Court				
Contingency	14,700			18,900
Net Premium Seating				
Community and Rec Services	10,400		18,000	50,000
Contingency	67,000			2,600
Spring Training Ticket Surcharge				
Community and Rec Services			50,000	250,000
Contingency	301,500			137,900
SB1398 Fines				
Police	40,100		40,100	19,600
Contingency				

CITY OF SURPRISE
Expenditures/Expenses by Fund
Fiscal Year 2015

FUND/DEPARTMENT	ADOPTED BUDGETED EXPENDITURES/ EXPENSES 2014	EXPENDITURE/ EXPENSE ADJUSTMENTS APPROVED 2014	ACTUAL EXPENDITURES/ EXPENSES* 2014	BUDGETED EXPENDITURES/ EXPENSES 2015
LTAFFI				
Public Works	350,000		345,600	350,000
Contingency				
Proposition 400				
Public Works	19,600		19,600	127,800
Contingency				
Wildland Fire				
Fire-Emergency Services	140,000		101,400	140,000
Contingency				
HALO				
Fire-Emergency Services	132,000		132,000	158,000
Contingency				
Ambulance Contract				
Fire-Emergency Services	278,700		40,000	187,800
Contingency				59,200
Highway User Revenue Fund				
Public Works	5,996,600		5,804,800	9,045,200
Contingency	1,734,000			350,000
Street Lights Improvement District				
Public Works	2,624,500		2,405,300	2,531,800
Contingency	32,600			
Animal Seizure Bond Forfeiture				
Police				5,000
Contingency				
Unclaimed Property Disposal				
Police				5,000
Contingency				
Program 1033 Auction Proceeds				
Police				5,000
Contingency				
Total Special Revenue Funds	\$ 20,940,400	\$	\$ 12,504,100	\$ 25,222,800
DEBT SERVICE FUNDS				
2000-03 Government Debt Service Fund				
General Operations	\$ 3,362,900	\$	\$ 21,115,500	\$
2000-03 Proprietary Debt Service Fund				
General Operations	7,076,700		7,076,700	8,703,000
Contingency				1,297,900
2007 Proprietary Reserve Fund				
Contingency				5,069,400
2007 Proprietary Debt Service Fund				
General Operations	1,458,500		9,157,700	
2014 Government Debt Service Fund				
General Operations			192,100	3,968,900
2014 Government Construction Fund				
Contingency				13,004,400
2014 Prop Debt Service Fund				
General Operations				1,454,500
Total Debt Service Funds	\$ 11,898,100	\$	\$ 37,542,000	\$ 33,498,100
CAPITAL PROJECTS FUNDS				
General Capital Fund				
Community Development	\$ 1,100,000	\$	\$ 520,000	\$
City Manager	50,000		50,000	47,500
Community and Rec Services	670,000		150,000	2,550,000
Fire-Emergency Services	475,000		475,000	225,000
Information Services	1,115,000		444,200	1,420,800
Police	72,000		72,000	263,000
Finance				
General Operations				
Public Works	2,906,100	(38,600)	783,800	6,364,000
Contingency	2,255,600	38,600		3,573,600
Transportation Improvement Fund				
Community Development	260,000		262,800	
Public Works	5,043,100		2,707,900	4,727,400
City Manager				286,100
Contingency				504,700
Vehicle Replacement Fund				
General Operations	2,281,300		1,777,300	3,954,900
Public Works				
Contingency	1,998,000			338,900
Roads of Regional Significance SPA 2, 4, 6				
Finance	10,000		4,500	
General Operations				
Contingency	2,126,000			2,124,700
Roads of Regional Significance SPA 3, 5				
Finance	10,000		4,500	12,500
Contingency	4,900			6,500
General Government Development Fee				
General Operations				
Finance				
Public Works				
Contingency				

CITY OF SURPRISE
Expenditures/Expenses by Fund
Fiscal Year 2015

FUND/DEPARTMENT	ADOPTED BUDGETED EXPENDITURES/ EXPENSES 2014	EXPENDITURE/ EXPENSE ADJUSTMENTS APPROVED 2014	ACTUAL EXPENDITURES/ EXPENSES* 2014	BUDGETED EXPENDITURES/ EXPENSES 2015
General Government Development Fee 2012				
General Operations				
Finance	20,000		8,900	25,000
Public Works				
Contingency				894,200
Police Development Fee				
Police				
Finance				
Contingency	309,300			334,700
Police Development Fee 2012				
Police				
Finance	20,000		8,900	25,000
Contingency	669,500			1,203,500
Parks and Recreation Development Fee				
Community and Rec Services				2,635,100
Finance				
Contingency	2,473,500			593,200
Parks and Recreation Development Fee 2012				
Community and Rec Services				
Finance	20,000		8,900	25,000
Contingency	1,080,500			1,066,100
Public Works Development Fee				
Public Works	64,000	109,800	124,100	1,093,600
Finance		(109,800)		
Contingency	9,481,900			8,232,600
Public Works Development Fee 2012				
Public Works				
Finance	20,000		8,900	25,000
Contingency	252,800			324,700

CITY OF SURPRISE
Expenditures/Expenses by Fund
Fiscal Year 2015

FUND/DEPARTMENT	ADOPTED BUDGETED EXPENDITURES/ EXPENSES 2014	EXPENDITURE/ EXPENSE ADJUSTMENTS APPROVED 2014	ACTUAL EXPENDITURES/ EXPENSES* 2014	BUDGETED EXPENDITURES/ EXPENSES 2015
Fire & EMS Development Fee				
Fire-Emergency Services				
Finance				
General Operations				
Contingency				68,200
Fire & EMS Development Fee 2012				
Fire-Emergency Services				
Finance	20,000		8,900	12,500
General Operations				
Contingency				1,077,100
Fire & EMS Development Fee 2014				
Fire-Emergency Services				
Finance				12,500
General Operations				
Contingency				879,300
Library Development Fee				
Community and Rec Services				
Finance				
Contingency	4,427,000			4,388,500
Library Development Fee 2012				
Community and Rec Services				75,000
Finance	20,000		8,900	25,000
Contingency	162,800			68,700
163rd Ave Roadway Dev Fee 2014				
Community and Rec Services				
Finance				12,500
Contingency				7,300
Water Development Fee				
Community Development	450,000		450,000	
City Manager				450,000
Finance	3,400		7,500	8,400
Public Works	2,290,500		1,185,800	1,835,700
Contingency	2,199,000			5,974,500
Water Development Fee SPA2				
Community Development				
Finance	3,400		1,500	8,300
Public Works	112,500			112,500
Contingency				46,400
Water Development Fee SPA3				
Community Development				
Finance	3,400			8,300
Public Works				
Contingency				
Water Development Fee SPA 4				
Community Development				
City Manager				
Finance	3,400			
Public Works				
Contingency				
Water Development Fee SPA5				
Community Development				
Finance	3,400			
Public Works				
Contingency				
Water Development Fee SPA 6				
Community Development				
Finance	3,400			
Public Works				
Contingency				
Water Replenishment Development Fee SPA 1				
Finance	3,400		1,500	8,400
Public Works	3,364,100			3,269,100
Contingency	585,000			3,643,600
Water Replenishment Development Fee SPA 2				
Finance	3,400		7,500	8,300
Public Works				
Contingency	338,300			435,500
Water Replenishment Development Fee SPA 3				
Finance	3,400			8,300
Public Works				
Contingency				
Water Replenishment Development Fee SPA 4				
Finance	3,400			
Public Works				
Contingency				
Water Replenishment Development Fee SPA 5				
Finance	3,400			
Public Works				
Contingency				

CITY OF SURPRISE
Expenditures/Expenses by Fund
Fiscal Year 2015

FUND/DEPARTMENT	ADOPTED BUDGETED EXPENDITURES/ EXPENSES 2014	EXPENDITURE/ EXPENSE ADJUSTMENTS APPROVED 2014	ACTUAL EXPENDITURES/ EXPENSES* 2014	BUDGETED EXPENDITURES/ EXPENSES 2015
Water Replenishment Development Fee SPA 6				
Finance	3,400			
Public Works				
Contingency				
Sewer Development Fee SPA1				
Community Development	25,000			
City Manager				25,000
Finance	3,400		6,000	8,400
Public Works	1,000,000			2,900,000
Contingency				
Sewer Development Fee SPA2				
Community Development				
Finance	3,400		1,500	8,300
Public Works				
Contingency	229,600			351,700
Sewer Development Fee SPA3				
Community Development				
Finance	3,400		1,500	8,300
Public Works				
Contingency	43,400			39,800
Sewer Development Fee SPA4				
Community Development				
Finance	3,400			
Public Works				
Contingency				
Sewer Development Fee SPA5				
Community Development				
Finance	3,400			
Public Works				
Contingency				
Sewer Development Fee SPA6				
Community Development				
Finance	3,400			
Public Works				
Contingency				
Total Capital Projects Funds	\$ 50,116,900	\$	\$ 9,092,300	\$ 68,662,700
FIDUCIARY FUNDS				
Firefighters Pension Fund				
General Operations	\$	\$	\$ 20,600	\$
Fire-Emergency Services	18,000			
Contingency	7,600			
Total Fiduciary Funds	\$ 25,600	\$	\$ 20,600	\$
ENTERPRISE FUNDS				
Water Fund				
Community Development	\$ 30,000	\$	\$ 27,000	\$
City Manager				27,800
Finance				
Public Works	7,145,100	75,000	6,246,700	9,270,600
Contingency	1,096,000	(75,000)		6,471,300
Wastewater Fund				
Community Development	251,000		270,100	
City Manager				327,900
Finance				
Public Works	11,296,800		8,151,800	15,938,400
Contingency	1,357,400			19,582,900
Sanitation				
Finance				
Public Works	6,157,700		6,046,200	8,455,300
Contingency	753,000			6,556,800
Total Enterprise Funds	\$ 28,087,000	\$	\$ 20,741,800	\$ 66,631,000
INTERNAL SERVICE FUNDS				
Risk Management Fund				
Community Development	\$	\$	\$	\$
Finance				
Human Resources	1,570,200		1,549,500	1,594,000
Contingency	2,269,000			2,149,700
Employee Healthcare Fund				
Human Resources	9,043,700		9,461,000	9,948,400
Contingency	2,192,200			1,712,700
Total Internal Service Funds	\$ 15,075,100	\$	\$ 11,010,500	\$ 15,404,800
TOTAL ALL FUNDS	\$ 205,252,600	\$	\$ 166,438,500	\$ 310,855,200

* Includes actual expenditures/expenses recognized on the modified accrual or accrual basis as of the date the proposed budget was prepared, plus estimated expenditures/expenses for the remainder of the fiscal year.

CITY OF SURPRISE
Expenditures/Expenses by Department
Fiscal Year 2015

DEPARTMENT/FUND	ADOPTED BUDGETED EXPENDITURES/ EXPENSES 2014	EXPENDITURE/ EXPENSE ADJUSTMENTS APPROVED 2014	ACTUAL EXPENDITURES/ EXPENSES* 2014	BUDGETED EXPENDITURES/ EXPENSES 2015
City Clerk				
General	\$ 669,800	\$	\$ 647,000	\$ 501,400
Department Total	<u>\$ 669,800</u>	<u>\$</u>	<u>\$ 647,000</u>	<u>\$ 501,400</u>
Mayor & Council				
General	\$ 432,300	\$	\$ 435,200	\$ 412,000
Department Total	<u>\$ 432,300</u>	<u>\$</u>	<u>\$ 435,200</u>	<u>\$ 412,000</u>
City Court				
General	\$ 1,942,100	\$	\$ 1,864,500	\$ 1,834,700
Municipal Court Enhancement	491,800		91,100	497,600
Municipal Court FARE	14,700			18,900
Municipal Court JCEF	130,600		52,000	104,300
Municipal Court MFTG	20,200			30,800
Department Total	<u>\$ 2,599,400</u>	<u>\$</u>	<u>\$ 2,007,600</u>	<u>\$ 2,486,300</u>
City Manager				
General	\$ 2,143,400	\$	\$ 1,896,600	\$ 8,147,600
General Capital Fund	50,000		50,000	47,500
Donations				7,500
Transportation Improvement				286,100
Water Operations fund				27,800
Water System Dev Fee Fund				450,000
Sewer Operations				327,900
Sewer System Dev Fee				25,000
Department Total	<u>\$ 2,193,400</u>	<u>\$</u>	<u>\$ 1,946,600</u>	<u>\$ 9,319,400</u>
Community Development				
General	\$ 7,305,300	\$ (44,000)	\$ 7,025,500	\$ 3,812,700
General Capital Fund	1,100,000		520,000	
Donations				
Neighborhood Revitalization	692,400		2,090,300	2,083,200
Transportation Improvement	260,000		262,800	
Water Operations fund	30,000		27,000	
Water System Dev Fee Fund	450,000		450,000	
Sewer Operations	251,000		270,100	
Sewer System Dev Fee	25,000			
Department Total	<u>\$ 10,113,700</u>	<u>\$ (44,000)</u>	<u>\$ 10,645,700</u>	<u>\$ 5,895,900</u>
Finance				
General	\$ 2,972,300	\$	\$ 3,036,800	\$ 3,915,500
RDS Regional sig. SPA 2,4 & 6	10,000		4,500	
RDS Regional sig. SPA 3 & 5	10,000		4,500	12,500
General Govt Dev Fee 2012	20,000		8,900	25,000
Police Dev Fee 2012	20,000		8,900	25,000
Parks & Recreation Dev Fee 2012	20,000		8,900	25,000
Public Works Dev Fee 2012	20,000		8,900	25,000
Fire Dev Fee 2012	20,000		8,900	12,500
Library Dev Fee 2012	20,000		8,900	25,000
163rd Ave Roadway Dev Fee 2014				12,500
Fire & EMS Dev Fee 2014				12,500
Water System Dev Fee Fund	3,400		7,500	8,400
Water Sys Dev Fee Fund SPA 2	3,400		1,500	8,300
Water Sys Dev Fee Fund SPA 3	3,400			8,300
Water Sys Dev Fee Fund SPA 4	3,400			
Water Sys Dev Fee Fund SPA 5	3,400			
Water Sys Dev Fee Fund SPA 6	3,400			
WTR Resources Sys Dev Fee SPA1	3,400		1,500	8,400
WTR Resources Sys Dev Fee SPA2	3,400		7,500	8,300
WTR Resources Sys Dev Fee SPA3	3,400			8,300
Sewer System Dev Fee	3,400		6,000	8,400
Sewer System Dev Fee SPA 2	3,400		1,500	8,300
Sewer System Dev Fee SPA 3	3,400		1,500	8,300
Risk Management Fund				
Department Total	<u>\$ 3,173,500</u>	<u>\$</u>	<u>\$ 3,126,200</u>	<u>\$ 4,165,500</u>

CITY OF SURPRISE
Expenditures/Expenses by Department
Fiscal Year 2015

DEPARTMENT/FUND	ADOPTED BUDGETED EXPENDITURES/ EXPENSES 2014	EXPENDITURE/ EXPENSE ADJUSTMENTS APPROVED 2014	ACTUAL EXPENDITURES/ EXPENSES* 2014	BUDGETED EXPENDITURES/ EXPENSES 2015
Fire				
General	\$ 15,072,200	\$	\$ 15,504,600	\$ 17,428,200
General Capital Fund	475,000		475,000	225,000
Donations	15,000		400	7,100
Grants		80,400		46,700
Wildland Fire	140,000		101,400	140,000
HALO	132,000		132,000	158,000
Ambulance Contract	278,700		40,000	247,000
Fire & EMS Dev Fee				68,200
Fire Dev Fee 2012				1,077,100
Fire & EMS Dev Fee 2014				879,300
Department Total	\$ 16,130,900	\$ 80,400	\$ 16,253,400	\$ 20,276,600
General Operations				
General	\$	\$	\$ (2,544,800)	\$ 11,000,000
General Capital Fund	2,255,600	38,600		3,573,600
Vehicle Replacement Fund	2,281,300		1,777,300	3,954,900
Donations	334,400			658,200
Tourism	506,400	(31,000)		
Grants	5,000,000	(152,700)		5,056,300
RDS Regional sig. SPA 2,4 & 6	2,126,000			2,124,700
Transportation Improvement				
RDS Regional sig. SPA 3 & 5	4,900			6,500
General Govt Dev Fee 2012				894,200
Public Works Dev Fee 2012				
Fire Dev Fee 2012				
Library Dev Fee 2012				
163rd Ave Roadway Dev Fee 2014				7,300
Employee Healthcare				
Employee Dep Scholarship FD	6,100		3,000	5,900
Firefighters Pension Fund	7,600		20,600	
Gov Debt Service 2000-2003	3,362,900		21,115,500	
Gov Reserve Fund 2000-2003				
Prop Debt Service 2007	7,076,700		7,076,700	10,000,900
Prop Reserve Fund 2007				5,069,400
Prop Debt Service 2000-2003	1,458,500		9,157,700	
Prop Reserve Fund 2000-2003				
Gov Debt Service 2014			192,100	3,968,900
Gov Construction 2014				13,004,400
Prop Debt Service 2014				1,454,500
Department Total	\$ 24,420,400	\$ (145,100)	\$ 36,798,100	\$ 60,779,700
Human Resources				
General Fund	\$ 1,659,000	\$	\$ 1,582,100	\$ 1,749,500
Employee Healthcare Fund	11,235,900		9,461,000	11,661,100
Risk Management Fund	3,839,200		1,549,500	3,743,700
Department Total	\$ 16,734,100	\$	\$ 12,592,600	\$ 17,154,300
Information Services				
General Fund	\$ 4,109,100	\$	\$ 3,887,800	\$ 4,598,400
General Capital Fund	1,115,000		444,200	1,420,800
Department Total	\$ 5,224,100	\$	\$ 4,332,000	\$ 6,019,200
Legal				
General Fund	\$ 1,796,700	\$	\$ 1,769,100	\$ 1,990,400
Grants				67,100
Department Total	\$ 1,796,700	\$	\$ 1,769,100	\$ 2,057,500

CITY OF SURPRISE
Expenditures/Expenses by Department
Fiscal Year 2015

DEPARTMENT/FUND	ADOPTED BUDGETED EXPENDITURES/ EXPENSES 2014	EXPENDITURE/ EXPENSE ADJUSTMENTS APPROVED 2014	ACTUAL EXPENDITURES/ EXPENSES* 2014	BUDGETED EXPENDITURES/ EXPENSES 2015
Community and Recreation Services				
General	\$ 14,689,000	\$	\$ 14,140,700	\$ 15,863,400
General Capital Fund	670,000		150,000	2,550,000
Donations	267,300		343,900	443,300
Tourism		31,000	31,000	676,900
Grants	50,000		50,000	50,000
Net Premium Seating	77,400		18,000	52,600
Spring Training Ticket Surcharge	301,500		50,000	387,900
Parks & Recreation Dev Fee	2,473,500			3,228,300
Library Dev Fee	4,427,000			4,388,500
Parks & Recreation Dev Fee 2012	1,080,500			1,066,100
Library Dev Fee 2012	162,800			143,700
Department Total	\$ 24,199,000	\$ 31,000	\$ 14,783,600	\$ 28,850,700
Police				
General	\$ 20,129,900	\$	\$ 20,126,800	\$ 23,354,900
General Capital Fund	72,000		72,000	263,000
Donations	14,300		200	14,700
Grants	177,800	72,300	109,400	376,400
SPD RICO Funds	806,000		526,000	1,277,900
SPD DEA Funds	154,400			
SPD Towing Funds	532,000		250,000	375,100
Municipal Court MFTG				
SB1398 Fines	40,100		40,100	19,600
Animal Seizure Bond Forfeiture				5,000
Unclaimed Property Disposal				5,000
Program 1033 Auction Proceeds				5,000
Police Development Fee	309,300			334,700
Police Dev Fee 2012	669,500			1,203,500
Department Total	\$ 22,905,300	\$ 72,300	\$ 21,124,500	\$ 27,234,800

CITY OF SURPRISE
Expenditures/Expenses by Department
Fiscal Year 2015

DEPARTMENT/FUND	ADOPTED BUDGETED EXPENDITURES/ EXPENSES 2014	EXPENDITURE/ EXPENSE ADJUSTMENTS APPROVED 2014	ACTUAL EXPENDITURES/ EXPENSES* 2014	BUDGETED EXPENDITURES/ EXPENSES 2015
Public Works				
General	\$ 6,188,400	\$ 44,000	\$ 6,155,300	\$ 6,827,100
General Capital Fund	2,906,100	(38,600)	783,800	6,364,000
Vehicle Replacement Fund	1,998,000			338,900
Highway User	7,730,600		5,804,800	9,395,200
Grants				
LTAf II	350,000		345,600	350,000
Proposition 400	19,600		19,600	127,800
RDS Regional sig. SPA 2,4 & 6				
General Govt Dev Fee				
Public Works Dev Fee	9,545,900		124,100	9,326,200
Transportation Improvement	5,043,100		2,707,900	5,232,100
General Govt Dev Fee 2012				
Public Works Dev Fee 2012	252,800			324,700
163rd Ave Roadway Dev Fee 2014				
Water Operations fund	8,241,100		6,246,700	15,741,900
Water System Dev Fee Fund	4,489,500		1,185,800	7,810,200
Water Sys Dev Fee Fund SPA 2	112,500			158,900
Water Sys Dev Fee Fund SPA 3				
Water Sys Dev Fee Fund SPA 4				
Water Sys Dev Fee Fund SPA 5				
WTR Resources Sys Dev Fee SPA1	3,949,100			6,912,700
WTR Resources Sys Dev Fee SPA2	338,300			435,500
Sewer Operations	12,654,200		8,151,800	35,521,300
Sewer System Dev Fee	1,000,000			2,900,000
Sewer System Dev Fee SPA 2	229,600			351,700
Sewer System Dev Fee SPA 3	43,400			39,800
Sewer System Dev Fee SPA 4				
Sewer System Dev Fee SPA 5				
Sanitation Operations Fund	6,910,700		6,046,200	15,012,100
Gov Reserve Fund 2000-2003				
Streetlight Improvement District	2,657,100		2,405,300	2,531,800
Department Total	\$ 74,660,000	\$ 5,400	\$ 39,976,900	\$ 125,701,900
Total All Departments	\$ 205,252,600		\$ 166,438,500	\$ 310,855,200

* Includes actual expenditures/expenses recognized on the modified accrual or accrual basis as of the date the proposed budget was prepared, plus estimated expenditures/expenses for the remainder of the fiscal year.

CITY OF SURPRISE
Full-Time Employees and Personnel Compensation
Fiscal Year 2015

FUND	Full-Time Equivalent (FTE) 2015	Employee Salaries and Hourly Costs 2015	Retirement Costs 2015	Healthcare Costs 2015	Other Benefit Costs 2015	Total Estimated Personnel Compensation 2015
GENERAL FUND	640.2	\$ 46,197,500	\$ 6,399,700	\$ 6,934,600	\$ 3,817,400	= \$ 63,349,200
SPECIAL REVENUE FUNDS						
Neighborhood Revitalization		\$ 379,500	\$	\$	\$	= \$ 379,500
SPD Towing Fund	0.5	32,500				32,500
Highway User Revenue Fund	41.0	2,239,600	259,900	504,500	282,400	3,286,400
Grants		366,900	30,600		18,700	416,200
HALO		133,700	19,000		5,300	158,000
Wildland Fire		101,300	14,400		4,100	119,800
Ambulance Contract		134,200				134,200
Municipal Court JCEF	1.0	40,300	4,700	15,900	3,400	64,300
Total Special Revenue Funds	42.5	\$ 3,387,700	\$ 323,900	\$ 504,500	\$ 310,500	= \$ 4,590,900
CAPITAL PROJECTS FUNDS						
Transportation Improvement	1.0	\$ 94,600	\$ 11,000	\$ 16,400	\$ 8,800	= \$ 130,800
Total Capital Projects Funds	1.0	\$ 94,600	\$ 11,000	\$ 16,400	\$ 8,800	= \$ 130,800
INTERNAL SERVICE FUNDS						
Employee Healthcare Fund	1.0	\$ 70,400	\$ 8,200	\$ 11,700	\$ 5,800	= \$ 96,100
Risk Management Fund	2.0	150,100	25,400	8,000	12,500	196,000
Total Permanent Funds	3.0	\$ 220,500	\$ 33,600	\$ 19,700	\$ 18,300	= \$ 292,100
ENTERPRISE FUNDS						
Water Fund	25.0	\$ 1,383,600	\$ 160,400	\$ 255,900	\$ 139,000	= \$ 1,938,900
Wastewater Fund	36.2	2,372,600	275,200	322,900	239,200	3,209,900
Sanitation Fund	32.0	1,578,300	183,000	400,800	196,200	2,358,300
Total Enterprise Funds	93.2	\$ 5,334,500	\$ 618,600	\$ 979,600	\$ 574,400	= \$ 7,507,100
TOTAL ALL FUNDS	779.9	\$ 55,234,800	\$ 7,386,800	\$ 8,454,800	\$ 4,729,400	= \$ 75,870,100